



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

RQ-2

February 8, 2024

RONALD BUETOW, TREASURER
LOCKTON INC POLITICAL ACTION
COMMITTEE
444 WEST 47TH STREET SUITE 900
KANSAS CITY, MO 64112

Response Due Date
03/14/2024

IDENTIFICATION NUMBER: C00652529

REFERENCE: YEAR-END REPORT (07/01/2023 - 12/31/2023)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 3 item(s):

1. Your report discloses voided contributions from a federal candidate(s) and/or committee(s) totaling \$7,500 on Schedule A supporting Line 16 of the Detailed Summary Page. If the check written by your committee was not cashed, you should itemize the voided check on Schedule B supporting Line 23 as a negative entry. Line 16 should be used if the recipient committee cashed your check and wrote a refund on its account. Please amend your report to correct this discrepancy or provide clarification regarding this transaction. (52 U.S.C. §30104(b)(2) and 11 CFR §104.3(a)(2)(vii))

2. Schedule B of your report (see attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. Please be advised that 52 U.S.C. §30116(a) prohibits a committee and its affiliates from making contributions to another political committee and its affiliates in excess of \$5,000 per calendar year.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have made an excessive contribution, you must notify the recipient and request a refund of the amount in excess of \$5,000. The refund of the excessive amount must be made within sixty (60) days of receipt of the contribution.

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Please inform the Commission of your corrective action promptly by providing the date of the refund request for each contribution. The committee should retain for its records copies of the request(s) sent to the recipient committee(s) and refund checks. In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received.

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund will be taken into consideration. (11 CFR §103.3(b)(1) and (3))

3. Schedule B of your report (see attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. Please be advised that 52 U.S.C. §30116(a) prohibits a multicandidate committee and its affiliates from making a contribution to a candidate for federal office in excess of \$5,000 per election.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If any contribution you made exceeds the limits, you must request a refund of the excessive amount or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund of the excessive amount.

Please inform the Commission of your corrective action promptly by providing the date and method of your remedy (refund or redesignation request) for each contribution. The committee should retain for its records copies of the refund and/or redesignation request(s) sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. (11 CFR §110.1(b))

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the

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contribution(s) will be taken into consideration. (11 CFR §103.3(b)(1) and (3))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1323.

Sincerely,

A handwritten signature in blue ink, appearing to read 'AK', with a long horizontal line extending to the right.

Aaron Kelley
Campaign Finance Analyst

Apparent Impermissible, Excessive, and Prohibited Contributions
LOCKTON INC POLITICAL ACTION COMMITTEE (C00652529)

Apparent Excessive Contributions PAC to PAC/ PAC to National Party

Recipient Name	Date	Amount	Report
DEFEND OUR CONSERVATIVE SENATE PAC (DOC'S PAC)	2/27/23	\$5,000.00	2023 Mid-Year
DEFEND OUR CONSERVATIVE SENATE PAC (DOC'S PAC)	9/19/23	\$5,000.00	2023 Year-End

Apparent Excessive Contributions to a Committee/Candidate Committee

Recipient Name	Date	Amount	Election	Report
ROSEN FOR NEVADA	12/13/21	\$2,500.00	P2024	2021 Year-End
ROSEN FOR NEVADA	4/3/23	\$2,500.00	*P2024	2023 Mid-Year
ROSEN FOR NEVADA	9/25/23	\$2,500.00	*P2024	2023 Year-End
SINEMA FOR ARIZONA	3/29/22	\$2,900.00	**P2024	2022 April Quarterly
SINEMA FOR ARIZONA	8/2/22	\$2,100.00	P2024	2022 October Quarterly
SINEMA FOR ARIZONA	9/19/23	\$800.00	P2024	2023 Year-End

*Schedule B of your report discloses this contribution as designated to the 2024 House Primary election; however, this candidate did not participate in a House Primary election in 2024. Therefore, this contribution has been attributed to the next scheduled Federal election for this candidate, the 2024 Senate Primary election (11 CFR §110.2(b)(ii)).

**Schedule B of your report discloses this contribution as designated to the 2022 Primary election; however, this candidate did not participate in a Primary election in 2022. Therefore, this contribution has been attributed to the next scheduled Federal election for this candidate, the 2024 Primary election (11 CFR §110.2(b)(ii)).