

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

75 State Street

24th Floor

Check if different
than previously
reported. (ACC)

Boston

MA

02109-1827

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☒ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Bakke, Kathy, , ,

Type or Print Name of Treasurer

Signature of Treasurer

Bakke, Kathy, , ,

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office
Use
Only**FEC FORM 3X**
Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M M	/	D D	/	Y Y Y Y Y
02		01		2018

To:

M M	/	D D	/	Y Y Y Y Y
02		28		2018

	COLUMN A This Period	COLUMN B Calendar Year-to-Date															
6. (a) Cash on Hand January 1, <table><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td colspan="5">2018</td></tr></table>	Y	Y	Y	Y	Y	2018						<table><tr><td colspan="5">95420.47</td></tr></table>	95420.47				
Y	Y	Y	Y	Y													
2018																	
95420.47																	
(b) Cash on Hand at Beginning of Reporting Period.....	<table><tr><td colspan="5">121658.62</td></tr></table>	121658.62															
121658.62																	
(c) Total Receipts (from Line 19)	<table><tr><td colspan="5">30657.80</td></tr></table>	30657.80					<table><tr><td colspan="5">56895.95</td></tr></table>	56895.95									
30657.80																	
56895.95																	
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<table><tr><td colspan="5">152316.42</td></tr></table>	152316.42					<table><tr><td colspan="5">152316.42</td></tr></table>	152316.42									
152316.42																	
152316.42																	
7. Total Disbursements (from Line 31).....	<table><tr><td colspan="5">29291.66</td></tr></table>	29291.66					<table><tr><td colspan="5">29291.66</td></tr></table>	29291.66									
29291.66																	
29291.66																	
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	<table><tr><td colspan="5">123024.76</td></tr></table>	123024.76					<table><tr><td colspan="5">123024.76</td></tr></table>	123024.76									
123024.76																	
123024.76																	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<table><tr><td colspan="5">0.00</td></tr></table>	0.00															
0.00																	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<table><tr><td colspan="5">0.00</td></tr></table>	0.00															
0.00																	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 05/2016)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	2		0	1		2	0	1	8

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	2		2	8		2	0	1	8

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	17969.91	29360.42
(ii) Unitemized	12687.89	27535.53
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	30657.80	56895.95
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	30657.80	56895.95
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	30657.80	56895.95
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	30657.80	56895.95

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	250.00	250.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	250.00	250.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	29000.00	29000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	41.66	41.66
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	41.66	41.66
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	29291.66	29291.66
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	29291.66	29291.66

DETAILED SUMMARY PAGE
of Disbursements

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Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	30657.80	56895.95
34. Total Contribution Refunds (from Line 28(d))	41.66	41.66
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	30616.14	56854.29
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	250.00	250.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	250.00	250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MILLER, EDWARD, BRUCE, ,

Mailing Address 481 MUNN ROAD SUITE 225

City
FORT MILLState
SCZip Code
29715-8462FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 13 / 2018

Transaction ID : A68A998D2A791423E882

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Valenti, Joan, MARIE, ,

Mailing Address 3 FOREST PARK DRIVE

City
FARMINGTONState
CTZip Code
06032-1468FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Valenti Wealth ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 13 / 2018

Transaction ID : A702425CDEF0D4215AD2

Amount of Each Receipt this Period

100.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WAGNER JR., WAYNE, DAVID, ,

Mailing Address 11 MIDDLETON DR

City
WILMINGTONState
DEZip Code
19808-4320FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Vizionary Wealth ManagementOccupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 01 / 2018

Transaction ID : A108AB9528ACB4729821

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

641.66

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Audette, Matt J, J, ,

Mailing Address 8183 Doug HI

City
San Diego

State
CA

Zip Code
92127-2568

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.86

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 09 / 2018

Transaction ID : A14DAD448BFD945AEA03

Amount of Each Receipt this Period

208.18

☐ Memo Item

Payroll Deduction: \$208.18/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Hecht, Matthew, , ,

Mailing Address 10977 Aspen Ridge Ln NW

City
Concord

State
NC

Zip Code
28027-2962

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
VP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AB97361CFEF0240A1BC1

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ho, Peggy, , ,

Mailing Address 9 Donizetti St

City
Wellesley

State
MA

Zip Code
02482-4822

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

416.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A5FE834C4C8864B3085D

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

624.84

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Oroschakoff, Michelle, , ,

Mailing Address PO Box 9216

City

Rancho Santa Fe

State

CA

Zip Code

92067-4216

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

MD, Chief Legal & Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A5C93E3FB927D4CC7B8B

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Seese, Scott, , ,

Mailing Address 17601 Westward Reach Rd

City

Cornelius

State

NC

Zip Code

28031-5125

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL

Occupation (for Individual)

MD, Chief Information Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AC2811C00CAFE440CB91

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Waterfield, Brett, , ,

Mailing Address 2533 Stonehenge Dr

City

Aurora

State

IL

Zip Code

60502-4707

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AFB0EF1D2FA7C4A908E8

Amount of Each Receipt this Period

200.00

☐ Memo Item

Payroll Deduction: \$100.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1033.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 28

(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ARMSTRONG, DAVID, BRUCE, ,

Mailing Address 1701 Duke St
Ste 425

City
Alexandria

State
VA

Zip Code
22314-3429

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Monument Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AE684E4B072874596A36

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BERK CFP, HARVEY, STUART, ,

Mailing Address 244 NORTH MAIN STREET
BERK WEALTH MANAGEMENT

City
NEW CITY

State
NY

Zip Code
10956-5302

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Berk Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A0FA4A46D9D8040CE911

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BRADY, MICHAEL, S, ,

Mailing Address 780 PARKWAY BLVD.

City
BROOMALL

State
PA

Zip Code
19008-4212

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A525838905EFF4A81870

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

583.32

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BUBB, KERRICK, WALTER, ,

Mailing Address 1782 ORANGE TREE LANE

City
REDLANDS

State
CA

Zip Code
92374-2856

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
KWB WEALTH MANAGERS GROUP

Occupation (for Individual)
Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

832.00

Date of Receipt

02 / 23 / 2018

Transaction ID : AE54CC222D85C4FF4840

Amount of Each Receipt this Period

416.00

☐ Memo Item

Payroll Deduction: \$208.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BUDIN, STEVEN, ANDREW, ,

Mailing Address 3145 E. WARM SPRINGS RD. #400

City
LAS VEGAS

State
NV

Zip Code
89120-3140

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Budin Group

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

02 / 23 / 2018

Transaction ID : A551AE7FBF7934EDCBDA

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CAMPBELL, KELLY, PETER, ,

Mailing Address 700 S WASHINGTON ST STE 220

City
ALEXANDRIA

State
VA

Zip Code
22314-4287

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Campbell Wealth Management

Occupation (for Individual)
CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.32

Date of Receipt

02 / 23 / 2018

Transaction ID : AFD4FEF4DC7AAB4E82AFA

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1040.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CARTOLANO, JOHN, MICHAEL, ,

Mailing Address 9059 E BAHIA DR

City
SCOTTSDALE

State
AZ

Zip Code
85260-1554

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.99

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 12 / 2018

Transaction ID : A72101F5798AA40E897A

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DEPROSPERO, KIMBERLY, JOAN, ,

Mailing Address 1525 N PARK DR STE 104

City
WESTON

State
FL

Zip Code
33326-3225

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Financial Strategies Group

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AED4E06A1B2394AECAC5

Amount of Each Receipt this Period

208.00

☐ Memo Item

Payroll Deduction: \$104.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DUBOSE, TONY, EDWARD, ,

Mailing Address 1250 S PINE ISLAND RD STE 350

City
PLANTATION

State
FL

Zip Code
33324-4440

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Legacy Wealth Management

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A693AC531BB214873B60

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

832.99

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ERRICO CFP AIF, ROBERT, L, ,

Mailing Address 3991 MACARTHUR BLVD STE 250

City
NEWPORT BEACH

State
CA

Zip Code
92660-3039

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A6003255605944B76ADE

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. FREEDMAN CFP, MARC, STUART, ,

Mailing Address 8 ESSEX CENTER DR 3RD FLOOR

City
PEABODY

State
MA

Zip Code
01960-2911

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Freedman Financial

Occupation (for Individual)

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A172260D76F8E4FF093B

Amount of Each Receipt this Period

333.32

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HAMM, WILLIAM, E, ,

Mailing Address 3030 N ROCKY POINT DR W #700

City
TAMPA

State
FL

Zip Code
33607-5907

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

IFP

Occupation (for Individual)

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A6AF8C58ED7AE48C6B85

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

999.98

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HERBST CFP MBA, DAVID, GEORGE, ,

Mailing Address 15003 SOUTH ROUTE 59

City
PLAINFIELD

State
IL

Zip Code
60544-9539

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AF8265A2720D94015B84

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HILLIS, JOHN, L, ,

Mailing Address 333 W SANTA CLARA #604 - BR#2

City
SAN JOSE

State
CA

Zip Code
95113

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Hillis Financial Services

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AF87F1645BF84AD79A3

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. JOWDY, MICHAEL, WILLIAM, ,

Mailing Address 7777 CENTER AVE. #120

City
HUNTINGTON BEACH

State
CA

Zip Code
92647-3017

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : ACD1451E5C52E498FB02

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

624.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 28

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KAPLAN, SUSAN, CAROL, ,

Mailing Address 2227 WASHINGTON ST STE 300
2 NEWTON EXECUTIVE PARK

City
NEWTON

State
MA

Zip Code
02462-1417

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A83091BC511FB4AE5AD0

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KEEVER IV, G. WARD, , ,

Mailing Address 15 MIDDLETON DR.

City

WILMINGTON

State

DE

Zip Code

19808-4320

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A2BC227527C9C4B2A86D

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LA POINTE, DAVID, BLANDIN, ,

Mailing Address 28544 OLD TOWN FRONT ST #201

City

TEMECULA

State

CA

Zip Code

92590-2756

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
La Pointe Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A2F4694D1C51346CFAF3

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1041.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEIBMAN, MARK, EDWARD, ,

Mailing Address 228 MAIN ST.

City
LOUISVILLEState
NEZip Code
68037-6032FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AF785F2DA48034708A5C

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LEITCH, LAWRENCE, NELSON, ,

Mailing Address 401 WASHINGTON AVE 7TH FL

City
TOWSONState
MDZip Code
21204-4821FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Synergy Financial GroupOccupation (for Individual)
Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A30177A6AB502404AA09

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MARSHALL-PENCE, LAILA, SOBHI, ,

Mailing Address 5000 BIRCH STREET #8000

City
NEWPORT BEACHState
CAZip Code
92660-2158FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Pence Wealth ManagementOccupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A15E9500AA6D74C388B6

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

874.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MASSARO CHFC, THEODORE, ROCCO, ,

Mailing Address 57 SOUTH MAPLE AVENUE

City
MARLTONState
NJZip Code
08053-2031FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
M Financial Planning Services, Inc.Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A31D6B9D8D0F940C5912

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MCKENNA, TIMOTHY, JOHN, ,

Mailing Address 930 WEST U.S. HWY 30

City
SCHERERVILLEState
INZip Code
46375-1551FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial CorporationOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A24DE2E370507492AB68

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. PANACCIONE CFP, CARLO, ANTHONY, ,

Mailing Address 3 LAGOON DRIVE #110

City
REDWOOD SHORESState
CAZip Code
94065-1566FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Navigation GroupOccupation (for Individual)
Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 12 / 2018

Transaction ID : A15DE17870BA04119A43

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

583.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. POWELL CIMA, GREGORY, R., ,

Mailing Address 5825 FELDSPAR WAY

City
BIRMINGHAM

State
AL

Zip Code
35244-4503

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
fi-Plan Partners

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 12 / 2018

Transaction ID : ADFFA120019C64290908

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SHANAHAN, MICHAEL, DENNIS, ,

Mailing Address 12520 High Bluff Dr
Ste 120

City
San Diego

State
CA

Zip Code
92130-7002

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : ABAF805755FF949B5895

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SHEPHERD AIF, R. MARK, , ,

Mailing Address 1004 MAIN STREET

City
WINCHESTER

State
MA

Zip Code
01890-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Shepherd Financial Partners

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

208.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 12 / 2018

Transaction ID : A3078A99A5C09495497D

Amount of Each Receipt this Period

104.00

☐ Memo Item

Payroll Deduction: \$104.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

520.65

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SOLIS, GREG, ROBERT, ,

Mailing Address 78-075 MAIN STREET SUITE 204

City
LA QUINTAState
CAZip Code
92253-8961FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.99

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 12 / 2018

Transaction ID : ABEC411605AFD465C88A

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. STANISLAWSKI, GARY, M, ,

Mailing Address 7134 S. YALE AVE STE 700

City
TULSAState
OKZip Code
74136-6354FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Regent Financial ServicesOccupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A1156FC423C1B4138A04

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SUPKA, KEVIN, PETER, ,

Mailing Address 100 WITMER ROAD STE 140

City
HORSHAMState
PAZip Code
19044-2648FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A15BD6C420083421493C

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

666.65

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. TYE CFP, TOM, MICHAEL, ,

Mailing Address 141 NORTH 3RD STREET

City
DANVILLE

State
KY

Zip Code
40422-1655

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Tye Financial group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.68

Date of Receipt

02 / 23 / 2018

Transaction ID : A7005751F078742E293A

Amount of Each Receipt this Period

333.34

☐ Memo Item

Payroll Deduction: \$166.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Valenti, Joan, MARIE, ,

Mailing Address 3 FOREST PARK DRIVE

City
FARMINGTON

State
CT

Zip Code
06032-1468

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Valenti Wealth Management

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

02 / 23 / 2018

Transaction ID : A4D4C78713F2E484EBBB

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. VAN LEEUWEN, KENNETH, WILLIAM, ,

Mailing Address 281 WITHERSPOON ST STE 220

City
PRINCETON

State
NJ

Zip Code
08540-6300

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Van Leeuwen & Company

Occupation (for Individual)

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

416.66

Date of Receipt

02 / 23 / 2018

Transaction ID : AE7C5648289E646838E7

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. VANARSDALE, JUDY, ANN, ,

Mailing Address 21925 W. FIELD PKWY STE 245

City
DEER PARK

State
IL

Zip Code
60010-7208

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
enRich Private Wealth Management

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A5DDE2272FC4B416DB7C

Amount of Each Receipt this Period

192.30

☐ Memo Item

Payroll Deduction: \$96.15/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. VON BORSTEL CFP, WAYNE, , ,

Mailing Address 308 E 3RD ST

City
THE DALLES

State
OR

Zip Code
97058-2204

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LLC

Occupation (for Individual)
financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A770682EF4175443BA00

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WILLIAMS CFP, MICHAEL, C. F., ,

Mailing Address 224 VALLEY CREEK BLVD STE 330

City
EXTON

State
PA

Zip Code
19341-2386

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
First Financial Networks. Inc

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

666.64

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AD8DA656BC60249C8BD4

Amount of Each Receipt this Period

333.32

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

942.28

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WISE, WARREN, DAVID, ,

Mailing Address 3515 WEST MARKET ST. STE 100

City
GREENSBOROState
NCZip Code
27403-4439FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Market St. Wealth Management GroupOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A386FFBCFB8FC46B8810

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MUSCHICK, CPA, CFP, MARK STAHLMAN, , ,

Mailing Address 2997 CLARKSON ROAD
SUITE 100City
CHESTERFIELDState
MOZip Code
63017-7321FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Business Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 15 / 2018

Transaction ID : A77F295C7BD0C437699A

Amount of Each Receipt this Period

5000.00

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Audette, Matt J, J, ,

Mailing Address 8183 Doug HI

City
San DiegoState
CAZip Code
92127-2568FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.19

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AF2EB01A4453D44048F1

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

5416.65

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 28

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. COX III, JAMES, A, ,

Mailing Address 9100 ARBORETUM PKWY STE 255

City
RICHMONDState
VAZip Code
23236-5408FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Harris FinancialOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.99

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AA874639C2A0543FDA31

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Kalbaugh, John, , ,

Mailing Address 6713 Seton House Ln

City
CharlotteState
NCZip Code
28277-4519FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
MD, Divisional President NSC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.51

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AB30BA075027347E2928

Amount of Each Receipt this Period

104.17

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Mochal, Jeffrey, , ,

Mailing Address 133 Forsythia Ln

City
Tega CayState
SCZip Code
29708-0027FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
SVP, Corporate Communication

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

312.51

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : AFB63CC1DD952465BA11

Amount of Each Receipt this Period

104.17

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

416.67

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 23 OF 28

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WONG CFP, CARY, ANN, ,

Mailing Address 16201 E. INDIANA AVE STE 5220

City
SPOKANE VALLEY

State
WA

Zip Code
99216-2842

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Wong Financial Services, Inc.

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
02 / 23 / 2018

Transaction ID : A4FDB0CEE907C4659A5F

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.00

17969.91

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 24 OF 28

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

 Office Sought: ☐ House
☐ Senate
☐ President

State: District:

 Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		21		2018

FEC Identification Number

C

Transaction ID : B0D0C1F2DF

Amount of Each Disbursement this Period

250.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

 Office Sought: ☐ House
☐ Senate
☐ President

State: District:

 Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

FEC Identification Number

C

Amount of Each Disbursement this Period

☐ Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

 Office Sought: ☐ House
☐ Senate
☐ President

State: District:

 Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

FEC Identification Number

C

Amount of Each Disbursement this Period

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

250.00

250.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 25 OF 28

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. BRIAN FITZPATRICK FOR CONGRESS

Mailing Address PO BOX 939

City
LANGHORNEState
PAZip Code
19047Purpose of Disbursement
Contribution to Committee

Candidate Name

Fitzpatrick, Brian, K., ,Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: PA District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02	/	27	/	2018

FEC Identification Number

C C00607416**Transaction ID : BA2AC91B1F**

Amount of Each Disbursement this Period

2500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. DUFFY FOR CONGRESS

Mailing Address PO BOX 538

City
WAUSAUState
WIZip Code
54402Purpose of Disbursement
Contribution to Committee

Candidate Name

Duffy, Sean, P., ,Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: WI District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02	/	27	/	2018

FEC Identification Number

C C00464339**Transaction ID : B4EB3C3753I**

Amount of Each Disbursement this Period

2500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Hoyer for CongressMailing Address 4201 Northview Drive
Suite 220City
BowieState
MDZip Code
20716-2604Purpose of Disbursement
Contribution to Committee

Candidate Name

Hoyer, Steny, H., ,Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: MD District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02	/	27	/	2018

FEC Identification Number

C C00140715**Transaction ID : BF4A4AAD2I**

Amount of Each Disbursement this Period

2000.00

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 26 OF 28

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Hoyer for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02		27		2018

Mailing Address 4201 Northview Drive
Suite 220City
BowieState
MDZip Code
20716-2604Purpose of Disbursement
Contribution to Committee

Candidate Name

Hoyer, Steny, H., ,

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: MD District: 05

Category/
Type

FEC Identification Number

C C00140715**Transaction ID : B64546B206**

Amount of Each Disbursement this Period

3000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. MODERATE DEMOCRATS PAC

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02		27		2018

Mailing Address 303 MASSACHUSETTS AVENUE, NE

City
WASHINGTONState
DCZip Code
20002Purpose of Disbursement
Contribution to Committee

Candidate Name

MODERATE DEMOCRATS PAC

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☐ General
☒ Other (specify) ▼

State: District: Other

Category/
Type

FEC Identification Number

C C00436022**Transaction ID : B748465CED**

Amount of Each Disbursement this Period

5000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. NEW DEMOCRAT COALITION PAC

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02		27		2018

Mailing Address 700 13TH STREET, NW
SUITE 600City
WashingtonState
DCZip Code
20005-5998Purpose of Disbursement
Contribution to Committee

Candidate Name

NEW DEMOCRAT COALITION PAC

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☐ General
☒ Other (specify) ▼

State: District: Other

Category/
Type

FEC Identification Number

C C00409730**Transaction ID : B4928070CD**

Amount of Each Disbursement this Period

5000.00

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

13000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 27 OF 28

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROGER WILLIAMS FOR U S CONGRESS COMMITTEE

Mailing Address P.O. BOX 91061

City
AUSTINState
TXZip Code
78709-1061Purpose of Disbursement
Contribution to Committee

Candidate Name

Williams, Roger, , ,

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: TX

District: 25

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02	/	27	/	2018

FEC Identification Number

C C00498121

Transaction ID : BA63DC4BDi

Amount of Each Disbursement this Period

2500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. ROGER WILLIAMS FOR U S CONGRESS COMMITTEE

Mailing Address P.O. BOX 91061

City
AUSTINState
TXZip Code
78709-1061Purpose of Disbursement
Contribution to Committee

Candidate Name

Williams, Roger, , ,

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: TX

District: 25

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02	/	27	/	2018

FEC Identification Number

C C00498121

Transaction ID : BE068370599

Amount of Each Disbursement this Period

2500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. STIVERS FOR CONGRESS

Mailing Address 217 3rd St., SE

City
WashingtonState
DCZip Code
20003-1904Purpose of Disbursement
Contribution to Committee

Candidate Name

Stivers, Steve, E., ,

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: OH

District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02	/	27	/	2018

FEC Identification Number

C C00441352

Transaction ID : BFA30BC824

Amount of Each Disbursement this Period

4000.00

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶

9000.00

TOTAL This Period (last page this line number only).....▶

29000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 28 OF 28

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. OETTINGER, CFP, BRIAN, , ,

Mailing Address 611 Rockville Pike

City
RockvilleState
MDZip Code
20852-1130Purpose of Disbursement
Refund of Contribution

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	2			0	1			2	0	1	8		

FEC Identification Number

C

Transaction ID : B61ABAEDF

Amount of Each Disbursement this Period

20.83

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. OETTINGER, CFP, BRIAN, , ,

Mailing Address 611 Rockville Pike

City
RockvilleState
MDZip Code
20852-1130Purpose of Disbursement
Refund of contribution

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify)

State: District:

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	2			0	1			2	0	1	8		

FEC Identification Number

C

Transaction ID : BA221786B7f

Amount of Each Disbursement this Period

20.83

☐ Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y

FEC Identification Number

C

Amount of Each Disbursement this Period

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►

41.66

TOTAL This Period (last page this line number only)..... ►

41.66