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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

TOKIII OX	or Other Than	An Authorize	d Committe	ee		Office Use Only	
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT		ample: If typiner the lines.	ng, type	12FE4M	5	
Novartis Corporation P	olitical Action	Committee		1 1 1			
							1
ADDRESS (number and street)	701 Pennsylvani	a Ave. NW Suite 72	25				
▼ Check if different							
than previously reported. (ACC)	Washington				DC	20004-2608	
2. FEC IDENTIFICATION NU	MBER ▼	CITY ▲		S	STATE A	ZIP COE	DE 🛦
C C00033969		3. IS THIS REPORT		NEW (N) OR	AM (A)	IENDED	
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2		May 20 (M5)		()	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:		Mar 20 (M3		Jun 20 (M6)		20 (M9)	Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q:	1)	Apr 20 (M4		Jul 20 (M7)	. —		Jan 31 (YE)
July 15 Quarterly Report (Q:	(c) 12-Day PRE-E	lection	Primary (12F		General (Runoff (12R)
October 15 Quarterly Report (Q	Repor	t for the:	Convention ((12C)	Special (12S)	
January 31 Year-End Report (YE		Election on	M M /	D D /	Y I Y I Y I Y	in the State of	
July 31 Mid-Year Report (Non-election Year Only) (MY)	POST	y -Election t for the:	General (300	G)	Runoff (3	60R)	Special (30S)
Termination Report (TER)	пери	Election on	M M /	D	Y = Y = Y = Y	in the State of	
5. Covering Period 04	01	2017	through	M M M	30 /	2017	
I certify that I have examined this			owledge and	belief it is tru	e, correct and	d complete.	
Type or Print Name of Treasurer	O'Neail, Shawn,	, ,					
Signature of Treasurer	il, Shawn, , ,		[Electronicall	y Filed] D	ate 05	19 /	2017
NOTE: Submission of false, errone	ous, or incomplete	information may s	subject the per	son signing th	is Report to th	ne penalties of 52	U.S.C. § 3010
Office Use Only						FEC FORI Rev. 05/20	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 04 01 2017 To: 04 30 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
6.	(a) Cash on Hand January 1, 2017		82321.29			
	(b) Cash on Hand at Beginning of Reporting Period	72790.80				
	(c) Total Receipts (from Line 19)	18517.54	76927.42			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	91308.34	159248.71			
7.	Total Disbursements (from Line 31)	24015.11	91955.48			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	67293.23	67293.23			
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

2017 04 30 2017 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 6992.28 17627.68 (i) Itemized (use Schedule A)..... 10525.26 58299.74 (ii) Unitemized (iii) TOTAL (add 75927.42 17517.54 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 75927.42 17517.54 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 1000.00 1000.00 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 (from Schedule H3)..... 0.00 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 76927.42 18517.54 20. Total Federal Receipts 18517.54 76927.42 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)	Total Tillo I Gliou	Calcilual Teal-to-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating	4 4 4	4 4
Expenditures	15.11	55.48
(c) Total Operating Expenditures	45.44	55.48
(add 21(a)(i), (a)(ii), and (b))	15.11	33.40
Committees	0.00	0.00
Contributions to Federal Candidates/Committees		00500.00
and Other Political Committees	24000.00	96500.00
Independent Expenditures (use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	4 4	
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loan nepayments wave	0.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other	4 4	
Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	
(such as PACs)	0.00	0.00
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))	0.00	0.00
Other Disbursements (Including		
Non-Federal Donations)	0.00	-4600.00
Federal Election Activity (52 U.S.C. § 30101(2)	0))	
(a) Allocated Federal Election Activity	"	
(from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid	3.00	7 7
Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add	1 1 1 1 1 1 1 1 1 1	
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	24015.11	91955.48
Total Fadaral Dishuman satur	4 4	4- 4- 4- 4- 4- 4- 4- 4- 4- 4- 4- 4- 4- 4
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	24015.11	04055 40
,	24013.11	91955.48

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5 **COLUMN A** COLUMN B III. Net Contributions/ **Total This Period** Calendar Year-to-Date **Operating Expenditures** 33. Total Contributions (other than loans) 17517.54 75927.42 (from Line 11(d), page 3) 34. Total Contribution Refunds 0.00 0.00 (from Line 28(d))..... 35. Net Contributions (other than loans) 75927.42 17517.54 (subtract Line 34 from Line 33) 36. Total Federal Operating Expenditures 15.11 55.48 (add Line 21(a)(i) and Line 21(b))▶ 37. Offsets to Operating Expenditures 0.00 0.00 (from Line 15, page 3)..... 38. Net Operating Expenditures 15.11 55.48 (subtract Line 37 from Line 36)

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE		6	OF		49
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		13		14		15		16	6		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571729 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-686568 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baroni Allmon, Tracy, L, Date of Receipt Mailing Address One Health Plaza 14 2017 City State Zip Code Transaction ID: A2017-571730 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Director Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional).....

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF Hz G7 < 98 I @ 'CF' + H9 A = N5 H+CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686569 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 04 2017 City State Zip Code Transaction ID : A2017-571676 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 28 2017 City Zip Code State Transaction ID: A2017-686515 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) DirectorSandoz Health Policy Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brashear, Denise, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571756 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 750.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP US Onc Comm & Patient Relations Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571773 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 28 2017 City State Zip Code Transaction ID: A2017-686612 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 850.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686442 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1461.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571604 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686443 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 263.96 Other (specify) 255.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571791 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 677.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID: A2017-686631 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 754.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Digital Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) 200.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686348 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head Digital Receipt For: Aggregate Year-to-Date ▼ Primary General 415.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conley, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686639 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Vice President Trade Ops & Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 207.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conoshenti, Joseph, J., Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID : A2017-571801 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strategic Account Alliances Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 99.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686641 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma **Director Strategic Account Alliances** Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571608 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686447 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2017 City Zip Code State Transaction ID: A2017-571680 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Oncology and Injectable Products Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2017 City State Zip Code Transaction ID : A2017-686519 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 415.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686645 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **AD Strategic Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 117.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name De Leon, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571682 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** De Leon, Andrew, , , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-686521 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Epstein, David, R., Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID : A2017-571611 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Epstein, David, R,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686450 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571613 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686452 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

49 FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fellers, Thomas, S,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571852 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686692 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frye, Neely, T, , Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571615 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 486.11 Other (specify) 165.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frye, Neely, T,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686454 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 551.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571616 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 616.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686455 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 693.00 Other (specify) 219.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571886 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 616.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-686725 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 693.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571892 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 204.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571900 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gulick, David, E,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686739 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686460 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) 83.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686761 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Public Health Policy Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hellberg, Mark, R,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-572298 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director Chemical Technolog** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hellberg, Mark, R, Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-687137 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director Chemical Technologi** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 135.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hilkert, Robert, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686771 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Program Medical Director Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571625 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Insurance Exchange Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686464 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Insurance Exchange Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 83.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571948 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Ex Director Public Policy Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686787 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Director Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 14 2017 City State Zip Code Transaction ID: A2017-571972 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Capability Building Academy Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686811 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Capability Building Academy Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571628 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Asc Dir State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 616.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kan, Sarah, G., Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686467 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 693.00 Other (specify) 204.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Keenan, Julie, S, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686817 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 260.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Comm & Patient Relations US Phare Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571596 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 369.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kendris, Thomas, N., Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686435 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 415.35 Other (specify) 352.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Krayacich, John, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571993 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Head NPC Strategic Plng & BD & Li Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Krayacich, John, M, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-686834 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Head NPC Strategic Plng & BD & L Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kulesher, Kathleen, M., Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686471 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc. Dir. State and External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

49 FOR LINE NUMBER: PAGE 30 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571634 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 616.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-686473 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 693.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-572015 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 254.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 31 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686856 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-572024 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head of Global Market Access Oncolog Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 369.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686865 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Global Market Access Oncology Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 415.35 Other (specify) 192.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-687139 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head NIBR Communications **NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matthews, William, R, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-686899 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 24.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 215.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McBride, Catharine, M, Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571640 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 97.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Millard, Susan, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686377 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head HR Alcon R&D Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myrie, Donna, H,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686939 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Associate Dir Strategic Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571645 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686484 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 04 2017 City State Zip Code Transaction ID : A2017-571647 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 96.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head Federal Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 642.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 28 2017 City Zip Code State Transaction ID: A2017-686486 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 738.00 Other (specify) 242.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-571649 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 880.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686488 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 990.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571695 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 270.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686534 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-572169 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rodgers, Renee, C, Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-687009 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 110.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sanderson, Adwoa, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-687024 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schweitzer, Mark, G,, Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571657 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686496 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-572218 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Polic Receipt For: Aggregate Year-to-Date ▼ Primary General 920.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spurr, Robert, A, , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City State Zip Code Transaction ID : A2017-687057 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Police Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1035.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stevens, Donald, P., Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571663 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 264.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Donald, P,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686502 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 311.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 04 2017 City State Zip Code Transaction ID : A2017-571666 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 28 2017 City Zip Code State Transaction ID: A2017-686505 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 94.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686541 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Service Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 693.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Warner, Robert, K,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-686396 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Franchise Head Vision Care Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 207.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 14 2017 City Zip Code State Transaction ID: A2017-571671 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 130.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-686510 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 04 2017 City State Zip Code Transaction ID : A2017-571703 NY 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 28 2017 City Zip Code State Transaction ID: A2017-686542 NY 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Counse Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... 6992.28 TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form 3X)			Use separate schedule(s)	FOR LINE NUMBER: PAGE 45 OF 49 (check only one)
IT	EMIZED RECEIPTS		for each category of the	
			Detailed Summary Page	11a 11b 11c 12 13 14 15 x 16 17
	ny information copied from such Reports and Sta for commercial purposes, other than using the			erson for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full)			
	Novartis Corporation Political Ac			
Α.	Full Name of Individual (Last, First, Middle Initia M-PAC	Date of Receipt		
	Mailing Address 700 13th Street NW #600			04 04 2017
	City	State	Zip Code	Transaction ID: A2017-15657
	Washington	DC	20005	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C co	0365270	1000.00
	Name of Employer (for Individual)	Occ	cupation (for Individual)	Memo Item Refund of Contribution dated 11/3/2016
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General	riggrogato		1
	X Other (specify) ▼ Not Applicable		1000.00	
	Full Name of Individual (Last, First, Middle Initia	al) or Full C	Organization Name	
В.		Date of Receipt		
	Mailing Address	M M / D D / Y Y Y Y		
	City	State	Zip Code	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		
	Name of Employer (for Individual)	Occ	cupation (for Individual)	Memo Item
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General			1
	Other (specify) ▼		<u> </u>	
C.	Full Name of Individual (Last, First, Middle Initia	al) or Full C	Organization Name	Date of Receipt
	Mailing Address			M = M / D = D / Y = Y = Y
	City	State	Zip Code	Amount of Each Receipt this Period
	FEC ID number of contributing			
	federal political committee.	C		
	Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item
	Receipt For:	A a a r a a a a	Voor to Doto V	_
	Primary General	Aggregate	Year-to-Date ▼	
	Other (specify)		7	
S	SUBTOTAL of Receipts This Page (optional)			1000.00

TOTAL This Period (last page this line number only).....

1000.00

SCHEDULE B (FEC Form 3X)	Use separate schedule(FOR LINE NUMBER: PAGE 46 OF 49 (check only one)			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(0110011 0111)	22 X 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Stater or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)		20	The state of the s			
Novartis Corporation Political Action	on Committee					
Full Name (Last, First, Middle Initial)	Date of Disbursement					
A. Anna Eshoo for Congress	Date of Disbursement					
Mailing Address P.O. Box 636	04 20 2017					
City Annandale	State Zip Code VA 22003		FEC Identification Number			
Purpose of Disbursement			C C00258475			
Contribution		011	Transaction ID : B646015			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Eshoo, Anna, , , Office Sought: House Disburser	ment For: 2018	Type	1000.00			
Senate Sought.	Primary General					
State: CA District: 18	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)						
B. Kevin McCarthy for Congress						
			M = M / D = D / Y = Y = Y			
Mailing Address 213 Ashby Street	04 20 2017					
,	State Zip Code VA 22305		FEC Identification Number			
Alexandria Purpose of Disbursement	VA 22305		C C00420935			
Contribution						
Candidate Name	Category					
McCarthy, Kevin, , , Office Sought: W House Disburser	ment For: 0010	Type	2500.00			
· F - -	ment For: 2018 Primary General		2500.00			
President	Other (specify)		Moma Hom			
State: CA District: 23			Memo Item			
Full Name (Last, First, Middle Initial)	Date of Disbursement					
C. Friends of Susan Brooks	Friends of Susan Brooks					
Mailing Address 1006 Pendleton Street	04 20 7 2017					
City	State Zip Code		FEC Identification Number			
Alexandria Purpose of Disbursement	VA 22314					
Contribution	C C00500207					
Candidate Name	Transaction ID : B646011 Amount of Each Disbursement this Period					
Brooks, Susan, , ,						
Office Sought: House Disburser	Consts					
Senate X	Primary General Other (specify)					
State: IN District: 05	Other (specify) ▼		Memo Item			
00						
SUBTOTAL of Disbursements This Page (optional)		·····	4500.00			
TOTAL This Period (last page this line number only))					

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)		FOR LINE NUMBER: PAGE 47 OF 49 (check only one)			
ITEMIZED DISBURSEMENTS	for each category of Detailed Summary P	the	21b 28a	one) 22 X 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the name	nents may not be sold ne and address of any	or used to	by any perso	on for the purpose of soliciting contributions solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Actio	n Committee					
Full Name (Last, First, Middle Initial)				Data of Diabure		
A. Pat Roberts for US Senate Inc.	Date of Disbursement					
Mailing Address 325 7th Street NW Suite 400	04 20 2017					
City S Washington	State Zip Code DC 20004			FEC Identification Number		
Purpose of Disbursement Contribution			011	C C00128876		
Candidate Name		 └-		Transaction ID : B646013		
Roberts, Pat, , ,		C	Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Disbursen	nent For: 2020 Primary Gene	eral	. 7/1-2	3000.00		
	Other (specify) ▼	- 		Memo Item		
Full Name (Last, First, Middle Initial)						
· Friends of Erik Paulsen				Date of Disbursement		
Mailing Address P.O. Box 44369	04 20 2017					
,	State Zip Code MN 55344			FEC Identification Number		
Purpose of Disbursement	00011			C C00439661		
Contribution			011	Transaction ID : B646014		
Paulsen, Erik, , ,	Candidate Name Category/					
	nent For: 2018		Туре	1000.00		
	Primary Gene	eral		4 4		
State: MN District: 03	Other (specify)			Memo Item		
Full Name (Last, First, Middle Initial)	Date of Disbursement					
C. Montanans for Tester	M M / D D / Y Y Y Y					
Mailing Address 303 Massachusetts Avenue NE	04 20 2017					
City S Washington	State Zip Code DC 20002			FEC Identification Number		
Purpose of Disbursement	C C00412304					
Contribution	011	Transaction ID : B646017				
Candidate Name	Amount of Each Disbursement this Period					
Tester, Jon, , , Office Sought: House Disbursen	1000.00					
Office Sought: House Disbursement For: 2018 X Senate Primary General						
	Other (specify) ▼			Memo Item		
2.5						
SUBTOTAL of Disbursements This Page (optional)				5000.00		

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)		FOR LINE NUMBER: PAGE 48 OF 49 (check only one)			
ITEMIZED DISBURSEMENTS	for each cate Detailed Sun	egory of the	21b 28a	22 🗶 2	23 26 27 28c 29 30b	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action		71	20	- San Sommon	and the control of th	
Full Name (Last, First, Middle Initial) A. Thom Tillis Committee	Date of Disbursement					
Mailing Address PO Box 97396	04	20 / 2017				
Raleigh	I	p Code 27624		FEC Identific	ation Number	
Purpose of Disbursement Contribution Candidate Name			011	Transac	45772 tion ID : B646010	
Tillis, Thom, , ,	ment For: 2020)	Category/ Type	Amount of E	ach Disbursement this Period 1500.00	
Senate President	Primary Other (specify)	General		Memo It	em	
State: NC District: Full Name (Last, First, Middle Initial)				Ц		
B. People for Ben	•					
Mailing Address PO Box 31129	04 20 2017					
Santa Fe		p Code 87594		FEC Identific	ation Number	
Purpose of Disbursement Contribution	Purpose of Disbursement					
Lujan, Ben, R, ,	Candidate Name Lujan, Ben, R, , Category Type					
Senate x	ment For: 2018 Primary	General			1000.00	
State: NM District: 03	Other (specify)			Memo It	em	
Full Name (Last, First, Middle Initial) C. Heller for Senate	Date of Disbursement					
Mailing Address 1006 Pendleton Street	04	20 2017				
Alexandria Purpose of Disbursement		p Code 22314			ation Number	
Contribution Candidate Name Heller, Dean, , ,	011 Category/ Type	Transac	tion ID : B646006 ach Disbursement this Period			
Office Sought: House Disburser	Sought: House Disbursement For: 2018 Senate Primary General				1000.00	
State: NV District:	Other (specify)	▼		Memo It	em	
SUBTOTAL of Disbursements This Page (optional)			······		3500.00	
TOTAL This Period (last page this line number only))			1		

for each category of the Detailed Summary Page Detailed Summary Page Detailed Summary Page Detailed Detailed Summary Page Detailed Detailed Detailed Summary Page Detailed Detaile	SCHEDULE B (FEC Form 3X)	Use separate schedule(s	\	FOR LINE NUMBER: PAGE 49 OF 49			
ANME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Ful Name (Last, First, Middle Initial) Walden for Congress Mailing Address 213 Ashby Street City Alexandria Purpose of Disbursement Contribution Candidate Name Walden, Gregory Paul, Dem. Senatorial Campaign Cmte Mailing Address 120 Maryland Ave. NE City Washington Candidate Name Cardidate Name District Cardidate Name Cardi	ITEMIZED DISBURSEMENTS	for each category of the	21b	22 🗶 23 🔲 26 🔲 27			
NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Walden for Congress Mailing Address 213 Ashby Street City Alexandria Primary Walden, Gregory Paul, Office Sought: State: OR District: O2 Full Name (Last, First, Middle Initial) Dem. Senatorial Campaign Cmte Mailing Address 120 Maryland Ave. NE City Washington Candidate Name Office Sought: House Senate Primary District: O2 Full Name (Last, First, Middle Initial) Dem. Senatorial Campaign Cmte Mailing Address 120 Maryland Ave. NE City City Senate Primary Office Sought: District: D							
Mailing Address 213 Ashby Street City	NAME OF COMMITTEE (In Full)	•					
Mailing Address 213 Ashby Street City Aloxandria Aloxandria VA 22305 Purpose of Disbursement Contribution Cardidate Name Mailing Address 120 Maryland Ave. NE City Washington Purpose of Disbursement Contribution Cardidate Name Mailing Address 120 Maryland Ave. NE City Washington Purpose of Disbursement Contribution Cardidate Name Category Type Other (specify) Amount of Each Disbursement this Period Detail Name (Last, First, Middle Initial) Memo Item FEC Identification Number Category Type Date of Disbursement Category Type FEC Identification Number Category Type Category Type FEC Identification Number FEC Identification Number FEC Identification Number Category Type Transaction ID: 864699 Amount of Each Disbursement this Period Amount of Each Disbursement Contribution Category Transaction ID: 8640397 Amount of Each Disbursement Category Transaction ID: 8640397 Amount of Each Disbursement this Period Transaction ID: 8640397 Transaction ID: 8640397 Transaction ID: 8640397 Amount of Each Disbursement this Period Transaction ID: 8640397 Transaction ID: 864039							
Mailing Address 213 Ashby Street City Alexandria Prurpose of Disbursement Contribution Contri	A. Walden for Congress						
Alexandria	Mailing Address 213 Ashby Street						
Contribution Candidate Name Walden, Gregory Paul, , , Office Sought:	Alexandria			FEC Identification Number			
Category/ Walden, Gregory Paul, , Office Sought:	Contribution		011				
Subtrotal of Disbursement State: OR District: O2 President State: OR District: O2 Full Name (Last, First, Middle Initial) Memo Item Date of Disbursement Contribution Candidate Name City Senate President Senate President Senate President Senate President State: District: Not Applicable Office Sought: House Disbursement Contribution Candidate Name City Senate President State: District: Not Applicable Date of Disbursement Countribution Category/ Type Date of Disbursement Countribution Category/ Type Disbursement For: 2017 Senate President State: District: Not Applicable FEUI Name (Last, First, Middle Initial) Memo Item Date of Disbursement Countribution Date of Disbursement Date of Disbursement Date of Disbursement Date of Disbursement Category/ Type Date of Disbursement Category/ Type Office Sought: House Senate Purpose of Disbursement Contribution Candidate Name Office Sought: House Disbursement Contribution Candidate Name Office Sought: House President Presi				Amount of Each Disbursement this Period			
State: OR District: 02	Office Sought: House Disburser		Туре	5000.00			
Full Name (Last, First, Middle Initial) Dem. Senatorial Campaign Cmte Mailing Address 120 Maryland Ave. NE City Washington Purpose of Disbursement Contribution Candidate Name Office Sought: Feel I Name (Last, First, Middle Initial) Feel I Name (Last, First, Middle Initial) Memo Item Date of Disbursement Ca0042 20 / 2017 FEC Identification Number Ca0042366 Transaction ID: B640397 Amount of Each Disbursement this Period Transaction ID: B640397 Amount of Each Disbursement this Period Memo Item Date of Disbursement Category/ Type Souther (specify) Memo Item Feel Identification Number Category/ Transaction ID: B646499 Amount of Each Disbursement Out 26 / 2017 FEC Identification Number Category/ Transaction ID: B646499 Amount of Each Disbursement Out 26 / 2017 Transaction ID: B646499 Amount of Each Disbursement C C00365270 Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period	President			Memo Item			
Mailing Address 120 Maryland Ave. NE City Washington Purpose of Disbursement Contribution Candidate Name Office Sought: House	Full Name (Last, First, Middle Initial)	Date of Disbursement					
City Washington Purpose of Disbursement Contribution Candidate Name Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Category/ Type Memo Item Date of Disbursement Contribution Candidate Name Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Category Type Office Sought: State Primary General Primary General Primary General Primary General Primary General Primary Other (specify) Date of Disbursement Category/ Type FEC Identification Number Category/ Type FEC Identification Number Coods Transaction ID: 8640397 Amount of Each Disbursement C C C00365270 Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Washington Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8646499 Amount of Each Disbursement this Period Transaction ID: 8640397		Dem. Senatonal Campaign Cifite					
Washington Purpose of Disbursement Contribution Candidate Name Category/ Type Office Sought: House Disbursement For: 2017 Full Name (Last, First, Middle Initial) City Seattle Purpose of Disbursement Cother (specify) State: District: Not Applicable Full Name (Last, First, Middle Initial) City Seattle Purpose of Disbursement Contribution Candidate Name Office Sought: House Disbursement Contribution Candidate Name Office Sought: Not Applicable District: Not Applicable FEC Identification ID: B640397 Amount of Each Disbursement Memo Item FEC Identification Number FEC Identification ID: B640397 Amount of Each Disbursement Category/ Type Office Sought: House Disbursement For: 2017 Category/ Transaction ID: B646499 Amount of Each Disbursement this Period Transaction ID: B646499 Amount of Each Disbursement this Period Memo Item Substitution Transaction ID: B646499 Amount of Each Disbursement this Period Memo Item Memo Item 1000.00 11000.00	Mailing Address 120 Maryland Ave. NE	04 20 2017					
Purpose of Disbursement Contribution Candidate Name Office Sought: House Disbursement For: 2017 Senate Primary General President X Other (specify) State: District: Not Applicable Full Name (Last, First, Middle Initial) M-PAC Mailing Address 712 35th Avenue City Seattle WA 98122 Purpose of Disbursement Contribution Candidate Name Office Sought: House Disbursement For: 2017 Senate WA 98122 Purpose of Disbursement Contribution Candidate Name Office Sought: House Disbursement For: 2017 Senate Primary General Category/ Type Office Sought: House Disbursement For: 2017 Senate Primary General Primary General Primary General Primary General Primary General Disbursement This Page (optional) Subtotal of Disbursements This Page (optional) Subtotal of Disbursements This Page (optional) 11000.00	-	'		FEC Identification Number			
Candidate Name Category/ Type Office Sought: House Senate Primary General Patential Primary General Other (specify) Memo Item Amount of Each Disbursement this Period State: Disbursement For: 2017 FEC Identification Number FEC Identification Number Category/ Type Category/ Type Office Sought: House Disbursement For: 2017 Fec Identification Number Category/ Type Category/ Type Office Sought: House Disbursement For: 2017 Senate Primary General Primar	Purpose of Disbursement	20002	011				
Office Sought: House Senate Primary General Other (specify) State: District: Not Applicable Full Name (Last, First, Middle Initial) Memo Item Date of Disbursement Mailing Address 712 35th Avenue City Seattle Purpose of Disbursement Contribution Candidate Name Office Sought: House Senate Primary General Primary General Primary General President State: District: Not Applicable State: District: Not Applicable Substored For: 2017 Transaction ID: B646499 Amount of Each Disbursement this Period Memo Item 11000.00 1100.00	Candidate Name		Transaction ID: B640397 Amount of Each Disbursement this Period				
State: District: Not Applicable Full Name (Last, First, Middle Initial) M-PAC Mailing Address 712 35th Avenue City Seattle Purpose of Disbursement Contribution Candidate Name Office Sought: House President State: District: Disbursement For: 2017 FEC Identification Number Category/ Type Category/ Type Office Sought: House President State: District: Not Applicable Not Applicable Not Applicable Not Applicable			71-3	5000.00			
Mailing Address 712 35th Avenue City Seattle Purpose of Disbursement Contribution Candidate Name Disbursement For: 2017 Senate President State: District: Date of Disbursement 04			ole	Memo Item			
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Seattle Purpose of Disbursement Contribution Candidate Name Office Sought: House Senate President President State: District: WA 98122 C C00365270 Transaction ID: B646499 Amount of Each Disbursement this Period Tourish Transaction ID: B646499 Amount of Each Disbursement this Period Other (specify) ▼ Not Applicable SUBTOTAL of Disbursements This Page (optional)	Mailing Address 712 35th Avenue						
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Candidate Name Category/ Type Office Sought: House Senate Primary General President State: District: Not Applicable Transaction ID: B646499 Amount of Each Disbursement this Period 1000.00 Memo Item 11000.00	Purpose of Disbursement						
Office Sought: House Disbursement For: 2017 Senate Primary General President Not Applicable SUBTOTAL of Disbursements This Page (optional)	Candidate Name	Transaction ID: B646499 Amount of Each Disbursement this Period					
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