

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☒ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
05 01 2015

through

M M M / D D D / Y Y Y Y Y Y
05 31 2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Scott Vock

Signature of Treasurer

Scott Vock

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
06 18 2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y 05 / 01 / 2015 To: M M / D D / Y Y Y Y Y Y 05 / 31 / 2015

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2015		287741.99
(b) Cash on Hand at Beginning of Reporting Period.....	260695.02	
(c) Total Receipts (from Line 19)	19419.94	101022.97
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	280114.96	388764.96
7. Total Disbursements (from Line 31)	1505.00	110155.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	278609.96	278609.96
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	5		0	1		2	0	1	5

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	5		3	1		2	0	1	5

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	16004.66	69630.40
(ii) Unitemized	3415.28	31392.57
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	19419.94	101022.97
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ►	19419.94	101022.97
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ►	19419.94	101022.97
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ►	19419.94	101022.97

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	5.00	1155.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	5.00	1155.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	1500.00	109000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	1505.00	110155.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	1505.00	110155.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	19419.94	101022.97
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19419.94	101022.97
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	5.00	1155.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	5.00	1155.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. NABIL S. ABDELHAMID

Mailing Address 576 TREVI LANE

City

Ballwin

State

MO

Zip Code

63011-2298

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legends Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AD89D505572A443B2B86

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lee Alcorn

Mailing Address 1066 Turquoise Street

City

San Diego

State

CA

Zip Code

92109-1267

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 22 / 2015

Transaction ID : A0D2F6294CC6E437DA85

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID R ALLEN

Mailing Address 113 FRONT STREET

City

Owego

State

NY

Zip Code

13827-1518

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A3263AEB27A8D4EB8815

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 48

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. FRANCIS XAVIER ASTORINO

Mailing Address 22 MAPLE DRIVE

City State Zip Code
 Fairfield NJ 07004-3209

FEC ID number of contributing federal political committee.

C

Name of Employer

The Astorino Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 05 31 2015

Transaction ID : A1286FDEF6BBC4452BA5

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MICHAEL BABYAK JR, II

Mailing Address 57 IRONIA RD

City State Zip Code
 Randolph NJ 07869-3901

FEC ID number of contributing federal political committee.

C

Name of Employer

Precision Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 05 31 2015

Transaction ID : A989A1F2FA0BF44C0B91

Amount of Each Receipt this Period

208.00

Payroll Deduction: \$104.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. HARVEY STUART BERK, CFP

Mailing Address 9 CONRAD LANE

City State Zip Code
 New City NY 10956-6851

FEC ID number of contributing federal political committee.

C

Name of Employer

Berk Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 05 31 2015

Transaction ID : AD08E368E5D6F4AF48A0

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

416.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 8 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mimi Bock

Mailing Address 6053 Seacrest View Road

City State Zip Code
San Diego CA 92121-4370

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Client Exprnce & Training

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.70

Date of Receipt

05 / 22 / 2015

Transaction ID : A323201FA3E1140F0960

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. PAUL WILLIAM BOTTE, CFP

Mailing Address 1691 BURRIS DRIVE

City State Zip Code
El Cajon CA 92019-3596

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A0D034FEBBCFA94AAD844

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MICHAEL S BRADY

Mailing Address 356 DUPONT ST.

City State Zip Code
Philadelphia PA 19128-4714

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A80371A298F634B7CB99

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 9 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David A. Brounley

Mailing Address 407 Calle Robles

City State Zip Code
 San Clemente CA 92672-2127

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Retirement Worksite

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

05 / 22 / 2015

Transaction ID : A841CE0A96B694606A68

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KERRICK WALTER BUBB

Mailing Address 650 SOUTH LA SALLE STREET

City State Zip Code
 Redlands CA 92374-6443

FEC ID number of contributing
federal political committee.

C

Name of Employer

KWB WEALTH MANAGERS GROUP

Occupation

Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2080.00

Date of Receipt

05 / 31 / 2015

Transaction ID : ACAB99DA6BCBC4B29B01

Amount of Each Receipt this Period

416.00

Payroll Deduction: \$208.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jeffrey Buchheister

Mailing Address 2367 Juan St

City State Zip Code
 San Diego CA 92103-1110

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

05 / 22 / 2015

Transaction ID : A77FF62F9363A42448E3

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

507.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 48

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. STEVEN ANDREW BUDIN

Mailing Address 23 HASSAYAMPA TRAIL

City State Zip Code
Henderson NV 89052-6668

FEC ID number of contributing federal political committee.

C

Name of Employer

The Budin Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A803533DCD8464551B6C

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. R. NEIL BURNS

Mailing Address 24151 CASCADES

City State Zip Code
Laguna Niguel CA 92677-2418

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : AEA7F0015B674C94AB3

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. R. CRAIG BYRD

Mailing Address 1723 SE 270TH PLACE

City State Zip Code
Camas WA 98607-8585

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A78A0239043D643DCB2F

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

666.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Kent A. Cameron

Mailing Address 6648 Lavandula Court

City State Zip Code
 San Diego CA 92130-4897

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Internal Audit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

05 / 22 / 2015

Transaction ID : A9141243FD6DB41E7825

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KELLY PETER CAMPBELL

Mailing Address 11727 RIVER DRIVE

City State Zip Code
 Lorton VA 22079-4104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Campbell Wealth Management

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1934.87

Date of Receipt

05 / 31 / 2015

Transaction ID : ACA375CF8380E4C8899C

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MICHAEL DALE JOSEPH CARPENTER

Mailing Address 1803 BAJA LANE

City State Zip Code
 Henderson NV 89012-3109

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

05 / 31 / 2015

Transaction ID : A1BDE68A4677F4F69AED

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. EDWARD FRANCIS CHAIRVOLOTTI

Mailing Address 818 SOUTH PRIMROSE DRIVE

City State Zip Code
Orlando FL 32803-6840

FEC ID number of contributing
federal political committee.

C

Name of Employer
Chairvolotti Financial

Occupation
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A10F514300F654934B90

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SUZANNE H. CHRISTIAN

Mailing Address 2263 LA PAZ - PO BOX 1237

City State Zip Code
Claremont CA 91711-1237

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial

Occupation
Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : ADCD0C6AFD63642B1A71

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DON CLARK

Mailing Address 10710 OAKCREST LANE

City State Zip Code
Olathe KS 66061-7463

FEC ID number of contributing
federal political committee.

C

Name of Employer
Lpl Financial Llc

Occupation
OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A9267423EAB8B406BAAD

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Crystal Clifford

Mailing Address 1968 Sakina St

City State Zip Code
 El Cajon CA 92019-3804

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.50

Date of Receipt

05 / 22 / 2015

Transaction ID : A95B0C2DECD824E24BD

Amount of Each Receipt this Period

62.50

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. HEIDI GRACE CLUTE

Mailing Address 21 PINERIDGE DRIVE

City State Zip Code
 Plattsburgh NY 12962-9671

FEC ID number of contributing
federal political committee.

C

Name of Employer

Clute Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.64

Date of Receipt

05 / 31 / 2015

Transaction ID : AE1C31FC926F5458FBED

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT SMITH COLLINS

Mailing Address 9206 BRUSHBORO DRIVE

City State Zip Code
 Brentwood TN 37027-6122

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A88A4406961FC467CBDB

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

312.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Bradford Cornell

Mailing Address 5257 Fieldgate Ct

City State Zip Code
Harrisburg NC 28075-8339

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

05 / 22 / 2015

Transaction ID : ABBD4504FDD45437DA46

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JAMES A COX, III

Mailing Address 14137 RIVERDOWNS SOUTH DRIVE

City State Zip Code
Midlothian VA 23113-3796

FEC ID number of contributing
federal political committee.

C

Name of Employer

Harris Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.80

Date of Receipt

05 / 15 / 2015

Transaction ID : A53AF414CEB52409AB2E

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Patrick E. Cox

Mailing Address 1291 Oakwood Lane

City State Zip Code
Hanover MN 55341-4102

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 22 / 2015

Transaction ID : A7E546C34526B4BD699F

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 15 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ANGELA LOUISE DENHAM

Mailing Address 19311 PEBBLE BEACH COURT

City

Baton Rouge

State

LA

Zip Code

70809-6756

FEC ID number of contributing
federal political committee.

C

Name of Employer

A.L. Denham Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : AE81335283B79408483C

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KIMBERLY JOAN DEPROSPERO

Mailing Address 2727 KINSINGTON CIRCLE

City

Weston

State

FL

Zip Code

33332-1860

FEC ID number of contributing
federal political committee.

C

Name of Employer

Financial Strategies Group

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.00

Date of Receipt

05 / 31 / 2015

Transaction ID : ADB6389E32C0A45208EB

Amount of Each Receipt this Period

208.00

Payroll Deduction: \$104.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID STAPLEY DIXON

Mailing Address 1419 DRAKEWOOD

City

North Las Vegas

State

NV

Zip Code

89031-1694

FEC ID number of contributing
federal political committee.

C

Name of Employer

Dixon Financial Group, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : AA385BA4413874193A3C

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

374.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 16 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. RICHARD CALVERT DONAHUE, CFP

Mailing Address 810 W PACIFIC VIEW DRIVE

City State Zip Code
Bellingham WA 98229-2180

FEC ID number of contributing
federal political committee.

C

Name of Employer

Asset Advisors, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

958.95

Date of Receipt

05 / 31 / 2015

Transaction ID : A8E06B4763AB2406C947

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Marc Ehlers

Mailing Address 1258 Oak Avenue

City State Zip Code
Carlsbad CA 92008-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

05 / 22 / 2015

Transaction ID : A7457E37574F5494C98F

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Matthew Enyedi

Mailing Address 15497 Tanner Ridge Road

City State Zip Code
San Diego CA 92127-3654

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, RIA & HNW Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

05 / 22 / 2015

Transaction ID : A391961546C234592B60

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROBERT L ERRICO, CFP AIF

Mailing Address 10 VILLENEUVE

City State Zip Code
Newport Beach CA 92657-1023

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

05 / 31 / 2015

Transaction ID : ABD3AD3D9CC374247831

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SHARON JOY FALL, CFP(R)

Mailing Address 25 E QUEEN ELIZABETH CT

City State Zip Code
Chester MD 21619-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer

SANDY SPRING INVESTMENT SVC

Occupation

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A1622DACBCE7E453881F

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mr. RICHARD NORTHRUP FISHER JR.

Mailing Address 3537 CAMBRIDGE RD

City State Zip Code
Durham NC 27707-4509

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fisher Wealth Management

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A3F4BE2815EC843EA98D

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

416.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 18 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Fitz Fisher

Mailing Address 867 Homestake Court

City

Castle Rock

State

CO

Zip Code

80108-9081

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

05 / 22 / 2015

Transaction ID : A93147F8CCFB0453B9C7

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. ROBERT IVANO FRAGASSO

Mailing Address 611 KEITH ROAD

City

Bethel Park

State

PA

Zip Code

15102-3741

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fragasso Financial Advisors

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

05 / 15 / 2015

Transaction ID : A3C84D2F7C48C4ED6A8B

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MARC STUART FREEDMAN, CFP

Mailing Address 119 KARA DRIVE

City

North Andover

State

MA

Zip Code

01845-4834

FEC ID number of contributing
federal political committee.

C

Name of Employer

Freedman Financial

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

05 / 31 / 2015

Transaction ID : AD1B2D448A4064773A01

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

608.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 19 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. LOREEN MICHELLE GILBERT

Mailing Address 1605 TAHITI AVE

City

Laguna Beach

State

CA

Zip Code

92651-1948

FEC ID number of contributing
federal political committee.

C

Name of Employer

WealthWise Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A96CC902C603F4E749DC

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Tara Gilchrist

Mailing Address 10428 Duxbury Lane

City

San Diego

State

CA

Zip Code

92127-6880

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 22 / 2015

Transaction ID : A9463E706C5F64C959B5

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Joy Goble

Mailing Address 3217 Selwyn Farms Ln

City

Charlotte

State

NC

Zip Code

28209-4009

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

05 / 22 / 2015

Transaction ID : ACD04E035F1E94F808F2

Amount of Each Receipt this Period

70.00

Payroll Deduction: \$35.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

236.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. PAMELA FRANCES GROFT, CFP

Mailing Address 3247 OAKGLEN COURT

City State Zip Code
Lancaster PA 17601-1340

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A994AC57FB1224519B3E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JEFFREY DANIEL HAMBLÉN

Mailing Address 1410 SPRING HILL ROAD, STE 425

City State Zip Code
Mc Lean VA 22102-3032

FEC ID number of contributing
federal political committee.

C

Name of Employer

Washington Financial Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A377973B6B5B94A8C919

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WILLIAM E HAMM

Mailing Address 5009 SOUTH THE RIVIERA ST

City State Zip Code
Tampa FL 33609-3612

FEC ID number of contributing
federal political committee.

C

Name of Employer

IFP

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A6F53099BA3DD4D1296D

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

542.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 21 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. EDWARD JAMES HARRIS

Mailing Address 60 PARK TERRACE

City

Washington

State

PA

Zip Code

15301-3055

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A4CA00B423159489B9B4

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. REX ROY HARRIS

Mailing Address 1555 STILLWATER RD

City

Kalispell

State

MT

Zip Code

59901-6904

FEC ID number of contributing
federal political committee.

C

Name of Employer

Harris Financial Services

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A1F6F6ACFC93D49CC931

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JOHN THOMAS HASSELL

Mailing Address 305 NAVARRO DR.

City

Gray

State

LA

Zip Code

70359-5114

FEC ID number of contributing
federal political committee.

C

Name of Employer

Hassell Wealth Management

Occupation

Owner/Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A2BBAC772D8BA4D3B8FF

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

251.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 48

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL PATRICK HATCH

Mailing Address 755 SOUTH OAKLAND AVE

City State Zip Code
Pasadena CA 91106-3722

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
The Sterling Group Financial Advisor & Principal

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.97

Date of Receipt

05 / 31 / 2015

Transaction ID : AC7705AAF1E724A4AA86

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Brad Hearn

Mailing Address 7293 Calle Conifera

City State Zip Code
Carlsbad CA 92009-8699

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
LPL Financial LLC EVP, Business Consulting

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

05 / 22 / 2015

Transaction ID : AE07A116FFCB648A1936

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mark Helliker

Mailing Address 700 W Harbor Drive

City State Zip Code
San Diego CA 92101-7753

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
LPL Financial LLC MD, Client Support Services

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

05 / 22 / 2015

Transaction ID : A16DDA8CBC4404854A15

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$150.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

550.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 23 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Peggy Ho

Mailing Address 6 Lilac Circle

City State Zip Code
Wellesley MA 02482-4518

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 22 / 2015

Transaction ID : A5D735446C1374AC9B41

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lisa Hughes

Mailing Address 4817 St. Simons Terrace

City State Zip Code
Waxhaw NC 28173-6823

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 22 / 2015

Transaction ID : AEF1406649EDC4270B89

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Brad Jacobs

Mailing Address 5 Beacham Place

City State Zip Code
Hingham MA 02043-3331

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

05 / 22 / 2015

Transaction ID : AEEAA91959D95421EA73

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

133.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 24 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL WILLIAM JOWDY

Mailing Address 715 MAR VISTA AVE

City State Zip Code
Huntington Beach CA 90740

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.97

Date of Receipt

05 / 31 / 2015

Transaction ID : AAEE4EA63564B00B5F

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Andy Kalbaugh

Mailing Address 6713 Seton House Lane

City State Zip Code
Charlotte NC 28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Divisional President IS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.70

Date of Receipt

05 / 22 / 2015

Transaction ID : AE9F0630BBC5748AAB1F

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. SUSAN CAROL KAPLAN

Mailing Address 157 OTIS ST.

City State Zip Code
West Newton MA 02465-2522

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AE40BC4BF5799453B8A0

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

791.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 25 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ANDREW C KARLINSKI, CFP

Mailing Address 179 SADDLEBACK LANE

City

Snowmass Village

State

CO

Zip Code

81615-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer

AK Financial Group

Occupation

President/Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : ADDBF4A22A2B64F2992C

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Brent Korte

Mailing Address 10628 Vista Valle Dr

City

San Diego

State

CA

Zip Code

92131-1230

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

05 / 22 / 2015

Transaction ID : A1273E2C3F72F495A8D3

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID BLANDIN LA POINTE

Mailing Address 37919 LUNA DRIVE

City

Temecula

State

CA

Zip Code

92592-9053

FEC ID number of contributing
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.98

Date of Receipt

05 / 15 / 2015

Transaction ID : AC38B79F3942D480E997

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

708.31

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 48

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Stephen Lank

Mailing Address 15936 Glen Miro Drive

City

Huntersville

State

NC

Zip Code

28078-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 / 22 / 2015

Transaction ID : A1B024F8421DB4694A82

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MARK EDWARD LEIBMANMailing Address 11543 LAKEVIEW DR
PO BOX 165

City

Papillion

State

NE

Zip Code

68133-4794

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A5F6A6165CB1940D5AF7

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. LAWRENCE NELSON LEITCH

Mailing Address 29 WINDFLOWER COURT

City

Towson

State

MD

Zip Code

21036

FEC ID number of contributing
federal political committee.

C

Name of Employer

Synergy Financial Group

Occupation

Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A6A716C143C1446AD86A

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

300.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 48

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. TIMOTHY W LEVERONI, CFP

Mailing Address 1100 RANDOLPH AVE.

City
MiltonState
MAZip Code
02186-5235FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 / 15 / 2015

Transaction ID : A46E386DA79FC42CFA40

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. TIMOTHY W LEVERONI, CFP

Mailing Address 1100 RANDOLPH AVE.

City
MiltonState
MAZip Code
02186-5235FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : AFFA182E796894720A39

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WILLIAM LEE LITTON

Mailing Address 8875 COSTA VERDE BLVD #1217

City
San DiegoState
CAZip Code
92122-6665FEC ID number of contributing
federal political committee.

C

Name of Employer

Litton Financial

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A27C3B53E617C4D32A42

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

112.49

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 28 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mr. CAM JOEL MADER

Mailing Address 10660 GLEN EAGLE RD

City

Saint Paul

State

MN

Zip Code

55129-4223

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

05 / 31 / 2015

Transaction ID : AB140BC3AA9874233A16

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. LAILA SOBHI MARSHALL-PENCE

Mailing Address 10572 VILLA DEL CERRO

City

Santa Ana

State

CA

Zip Code

92705-1486

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pence Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AB777CE2C6E6441B9AF8

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. THEODORE ROCCO MASSARO, CHFC

Mailing Address 36 HARVEST LANE

City

Medford

State

NJ

Zip Code

08055-8450

FEC ID number of contributing
federal political committee.

C

Name of Employer

M Financial Planning Services, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A75DE4399C31943C4A28

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

749.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROBERT ARTHUR MATSON

Mailing Address 333 ORCHARD STREET

City State Zip Code
Millis MA 02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer
Robert A Matson CLU, ChFC

Occupation
Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
05 31 2015

Transaction ID : A3031C0C3DB9D4F4EB43

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. W. DAIL MEIKLE, CFP

Mailing Address 17239 N 19TH AVE APT 2001

City State Zip Code
Phoenix AZ 85023-2439

FEC ID number of contributing
federal political committee.

C

Name of Employer
Meikle Financial Group

Occupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y
05 31 2015

Transaction ID : A431F7A86E16E42B9899

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT EDWARD MICONE

Mailing Address 360 EAST FIRST ST

City State Zip Code
Irvine CA 92780-3211

FEC ID number of contributing
federal political committee.

C

Name of Employer

AFP LPL

Occupation
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 31 2015

Transaction ID : AB9FEBA4E33EF470290F

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

374.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 30 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. EDWARD BRUCE MILLER

Mailing Address 1721 BARDSTOWN RD

City

Charlotte

State

NC

Zip Code

28226-0915

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A03B69EB166C6450897C

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SHARI DIANE MILLER

Mailing Address 4250 SHOREPOINTE WAY

City

San Diego

State

CA

Zip Code

92130-8634

FEC ID number of contributing
federal political committee.

C

Name of Employer

Symbio Financial Partners

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

05 / 31 / 2015

Transaction ID : AF86346D0654B40C4B5F

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. THOMAS W MORAN

Mailing Address 12 COMMODORE HULL DRIVE

City

Derby

State

CT

Zip Code

06418-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AF633FED1C8DE47A9913

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 31 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. HAROLD FRANCIS NEVILLE, JR.

Mailing Address 94A ATLANTIC AVE

City

North Hampton

State

NH

Zip Code

03862-2309

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Adviser

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A51ABD62DC03D405FBA3

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SALLY WOO NG

Mailing Address 15 KNICKERBOCKER LANE

City

Orinda

State

CA

Zip Code

94563-3717

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A180DD8D6C75A4418A15

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MARY LOUISE NOTHELFER

Mailing Address 731 DORSET ROAD

City

Allentown

State

PA

Zip Code

18104-3385

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

05 / 15 / 2015

Transaction ID : AC4C259B68443459DA1A

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 32 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. BRIAN OETTINGER, CFP

Mailing Address 17805 BUEHLER RD. #116

City State Zip Code
 Olney MD 20832-2353

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Sandy Spring Wealth Management

Occupation
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A1811DF01A4964D31BC3

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. CARLO ANTHONY PANACCIONE, CFP

Mailing Address 12760 DIANNE DRIVE

City State Zip Code
 Los Altos Hills CA 94022-4606

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Navigation Group

Occupation
 Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

05 / 15 / 2015

Transaction ID : AD1A63482F78747088CE

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT ALLEN PAUL

Mailing Address 29 WYNDRIDGE CIRCLE

City State Zip Code
 Pelham NH 03076-3286

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

05 / 31 / 2015

Transaction ID : AD55FAFA74D944EDE995

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 OF 48

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Nicole Petrosino

Mailing Address 2328 Champlain Street NW

City State Zip Code
 Washington DC 20009-8601

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

VP, Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
 05 22 2015

Transaction ID : A28F6065652A64214834

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert Pettman

Mailing Address 11850 Blanton Court

City State Zip Code
 San Diego CA 92128-5201

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
 05 22 2015

Transaction ID : A050EFCDCAF2C4916BFA

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID ANTHONY PICKLER

Mailing Address 2268 BIRCHTON DRIVE

City State Zip Code
 Germantown TN 38139-5251

FEC ID number of contributing federal political committee.

C

Name of Employer

Pickler Wealth Advisors

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

M M / D D / Y Y Y Y Y Y
 05 31 2015

Transaction ID : A7F03B545263A459C934

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

416.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 34 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DANIEL WALTER PINKERTON

Mailing Address 14701 S. HERITAGE DR.

City

Coeur D Alene

State

ID

Zip Code

83814-8613

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A5664D4E75EA74D4A918

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. GREGORY R. POWELL, CIMA

Mailing Address 505 RUSSET BEND DRIVE

City

Hoover

State

AL

Zip Code

35244-4330

FEC ID number of contributing
federal political committee.

C

Name of Employer

fi-Plan Partners

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.97

Date of Receipt

05 / 31 / 2015

Transaction ID : A37AFD3AB2C7B44519D8

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David Reich

Mailing Address 7995 Entrada De Luz West

City

San Diego

State

CA

Zip Code

92127-2512

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Head of Retirement Prtnrs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.70

Date of Receipt

05 / 22 / 2015

Transaction ID : AA96D3BE2D2144032810

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

708.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 35 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CAROL L. ROGERS, MBA

Mailing Address 1306 CONWAY OAKS DR.

City State Zip Code
 Chesterfield MO 63017-1958

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Rogers & Company, A Wealth Management

Occupation
 President, CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : AF2E71835B5814682B33

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KARL HORACE ROMERO

Mailing Address 1221 WEST COAST HWY SUITE 407

City State Zip Code
 Newport Beach CA 92663-5048

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial

Occupation
 Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

05 / 15 / 2015

Transaction ID : AE6555DAB91CA41F58E0

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Philip Rosplock

Mailing Address 6822 Williams Road

City State Zip Code
 Painesville OH 44077-9513

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 VP, Trust Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

05 / 22 / 2015

Transaction ID : AFB96EE975AF74CB4956

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.33

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 36 OF 48

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ARTHUR R. SALOMON

Mailing Address 459 WOODLAKE WAY

City

Lexington

State

KY

Zip Code

40502-2535

FEC ID number of contributing
federal political committee.

C

Name of Employer

Salomon & Co.

Occupation

Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 15 / 2015

Transaction ID : A32668E9E37574E53AA4

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Charles Schwab

Mailing Address 3501 Lone Hill Lane

City

Encinitas

State

CA

Zip Code

92024-7276

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 22 / 2015

Transaction ID : A431CF19708B745D6B9C

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas Seeburger

Mailing Address 423 South Nevada Street

City

Oceanside

State

CA

Zip Code

92054-4038

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 22 / 2015

Transaction ID : A4E4286BE7A2F4DD5843

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

175.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 37 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jim Shorris

Mailing Address 19 Kingsbury Street

City

Wellesley Hills

State

MA

Zip Code

02481-4844

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 22 / 2015

Transaction ID : A84182AB436494143BCF

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN FRED SHREWSBURY

Mailing Address 7325 PALM BEACH AVE

City

Bryant

State

AR

Zip Code

72019-1849

FEC ID number of contributing
federal political committee.

C

Name of Employer

GenWealth Financial Advisors

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A35F87D55804541A49E2

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JOHN EMMANUEL SIMONE, AIF

Mailing Address 500 OFFICE CENTER DR, STE 400

City

Fort Washington

State

PA

Zip Code

19034-3234

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

05 / 31 / 2015

Transaction ID : AEFC2CAE1F2AF4A0F839

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

133.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. GREG ROBERT SOLIS

Mailing Address 52806 VIA DONA

City State Zip Code
La Quinta CA 92253-7565

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : AC583304B8C9D440DB1F

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. GARY M STANISLAWSKI

Mailing Address 2992 E 78TH ST

City State Zip Code
Tulsa OK 74136-8732

FEC ID number of contributing
federal political committee.

C

Name of Employer

Regent Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A1FBBFD0595E942399BE

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JOHN P STEVENS

Mailing Address 6697 MAHOGANY COURT

City State Zip Code
Liberty Township OH 45044-8894

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stevens Investment Group, Inc

Occupation

Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A8390069353E843BDBC8

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

416.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 39 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. KEVIN PETER SUPKA

Mailing Address 1408 STOCTON ROAD

City State Zip Code
 Jenkintown PA 19046-1131

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A2BE3B6348F22456298B

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Todd Tharp

Mailing Address 5781 Soledad Rd

City State Zip Code
 La Jolla CA 92037-7053

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

05 / 22 / 2015

Transaction ID : ABA634AE83DA744FAB6E

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JUDITH ANN TOCCO, CFP

Mailing Address 8253 S. CIRCLE R RANCH PLACE

City State Zip Code
 Vail AZ 85641-8905

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A600E2C7F84EA47EFA7D

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

458.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 40 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. TOM MICHAEL TYE, CFP

Mailing Address 3185 AIRPORT RD

City

Danville

State

KY

Zip Code

40422-9434

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tye Financial group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A208AF9A5AC504A9B982

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOAN MARIE VALENTI

Mailing Address 6 MORGAN PLACE

City

Unionville

State

CT

Zip Code

06085-1178

FEC ID number of contributing
federal political committee.

C

Name of Employer

Valenti Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A2FDE7B73888941C1A18

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. STEVEN LEE VAN HOUTEN

Mailing Address 1428 CALLE CHRISTOPHER

City

Encinitas

State

CA

Zip Code

92024-5520

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AF8B884BAC9EB4B55B23

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

558.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 41 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. KENNETH WILLIAM VAN LEEUWEN

Mailing Address 1010 BARCLAY BLVD

City State Zip Code
Princeton NJ 08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer
Van Leeuwen & Company

Occupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

796.67

Date of Receipt

05 / 15 / 2015

Transaction ID : A7A08D402B91141E4AFF

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KENNETH WILLIAM VAN LEEUWEN

Mailing Address 1010 BARCLAY BLVD

City State Zip Code
Princeton NJ 08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer
Van Leeuwen & Company

Occupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

861.52

Date of Receipt

05 / 31 / 2015

Transaction ID : AD0BC66A25BEC462C856

Amount of Each Receipt this Period

64.85

Payroll Deduction: \$64.85/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JUDY ANN VANARSDALE

Mailing Address 22216 W. CHESTNUT RIDGE RD.

City State Zip Code
Kildeer IL 60047-3052

FEC ID number of contributing
federal political committee.

C

Name of Employer
enRich Private Wealth Management

Occupation
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AEFE1F9132E6C458D950

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

231.51

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 42 OF 48

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. SANDEEP VARMA

Mailing Address 688 CAMINO EL DORADO

City

Encinitas

State

CA

Zip Code

92024-3822

FEC ID number of contributing
federal political committee.

C

Name of Employer

ATS Advanced Trustee Strategies

Occupation

ATS Wealth Strategist

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : AF4DAC24E5CCB4F629C9

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Scott Vock

Mailing Address 2503 Roswell Avenue

City

Charlotte

State

NC

Zip Code

28209-1659

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Govn, Risk & Compliance

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 22 / 2015

Transaction ID : AA14E558DEE1B4E98B5E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WAYNE VON BORSTEL CFP

Mailing Address 1603 MORTON

City

The Dalles

State

OR

Zip Code

97058-4006

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

financial planner

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
05 / 31 / 2015

Transaction ID : A8D871966A533430EA48

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

541.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 43 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. WAYNE DAVID WAGNER, JR.

Mailing Address 34 WATER VIEW DRIVE

City State Zip Code
Pilesgrove NJ 08098-2649

FEC ID number of contributing
federal political committee.

C

Name of Employer
Vizionary Wealth Management

Occupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

05 / 31 / 2015

Transaction ID : ABCA4003EBFBF43D0B24

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MICHAEL JAMES WARD, CFP

Mailing Address 1491 BERNE-ALTAMONT ROAD

City State Zip Code
Albany NY 12209

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial LLC

Occupation
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : AF8314A437CE64B0E885

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jeffrey Warren

Mailing Address 7137 Sherbourne Lane

City State Zip Code
San Diego CA 92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial LLC

Occupation
SVP, Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

05 / 22 / 2015

Transaction ID : A0DCC4A6C8A7F409B87A

Amount of Each Receipt this Period

60.00

Payroll Deduction: \$30.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

351.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 44 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. STEPHEN H WEDEL

Mailing Address 13350 BUCKLAND HALL ROAD

City State Zip Code
Saint Louis MO 63131-1214

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

05 / 31 / 2015

Transaction ID : A0019E0A039D74D92916

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MICHAEL C. F. WILLIAMS, CFP

Mailing Address 15 IVY LANE

City State Zip Code
Chester Springs PA 19425-3316

FEC ID number of contributing
federal political committee.

C

Name of Employer

First Financial Networks. Inc

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A86433B9011E54C4F93F

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WARREN DAVID WISE

Mailing Address 437 WEDGEWOOD CT

City State Zip Code
Eden NC 27288-2841

FEC ID number of contributing
federal political committee.

C

Name of Employer

Market St. Wealth Management Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A5415905A608B4BE7AB7

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

583.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 45 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CARY ANN WONG

Mailing Address 23015 EAST COLT LANE

City

Liberty Lake

State

WA

Zip Code

99019-8520

FEC ID number of contributing
federal political committee.

C

Name of Employer

Wong Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

05 / 31 / 2015

Transaction ID : AEEBBCFB7317649F4987

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Greg Woods

Mailing Address 116 Pembroke Street

City

Boston

State

MA

Zip Code

02118-1208

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

05 / 22 / 2015

Transaction ID : ABFCA0E34D29D4E4C8AE

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. SCOTT W YANKER

Mailing Address 719 FOREST CIRCLE TRAIL RD.

City

Ballwin

State

MO

Zip Code

63021-7266

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Yanker Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

05 / 31 / 2015

Transaction ID : A235D4876DA2A4F32B48

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

208.68

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 46 OF 48

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MARK G ZITZELSBERGER

Mailing Address 1048 CLUBHOUSE DRIVE

City

Weidman

State

MI

Zip Code

48893-9339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Total Investment Planners, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

416.60

Date of Receipt

05 / 31 / 2015

Transaction ID : A7029EE813F194C43942

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

83.32

16004.66

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. Aristotle International

Date of Disbursement

Transaction ID : B156628449C1E4C3B9AA

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

Amount of Each Disbursement this Period



Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Amount of Each Disbursement this Period

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

State: District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Amount of Each Disbursement this Period

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

State: District:

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

5.00

