

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>		<input type="text" value="202800.39"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="144439.60"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="50477.18"/>	<input type="text" value="309206.80"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="194916.78"/>	<input type="text" value="512007.19"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="13547.62"/>	<input type="text" value="330638.03"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="181369.16"/>	<input type="text" value="181369.16"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	29513.51	120680.66
(ii) Unitemized	20963.67	182526.14
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	50477.18	303206.80
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	50477.18	303206.80
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	6000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	50477.18	309206.80
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	50477.18	309206.80

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	47.62	646.35
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	47.62	646.35
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	13500.00	310500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	41.68
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	41.68
29. Other Disbursements	0.00	19450.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	13547.62	330638.03
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	13547.62	330638.03

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	50477.18	303206.80
34. Total Contribution Refunds (from Line 28(d))	0.00	41.68
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	50477.18	303165.12
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	47.62	646.35
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	47.62	646.35

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Emad E Abdelnaby
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge	State MA	Zip Code 02139
-------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals	Occupation Marketing - Director
--	------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	29	/	2013

Transaction ID : A2013-4384203

Amount of Each Receipt this Period

96.93	27.69
-------	-------

B. Robert E Ackerman Jr.
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover	State NJ	Zip Code 07936
----------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals	Occupation Administration - Vice Presiden
--	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	29	/	2013

Transaction ID : A2013-4383510

Amount of Each Receipt this Period

96.93	34.62
-------	-------

C. Robin D Adelstein
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover	State NJ	Zip Code 07936
----------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc.	Occupation LEGAL & IP - CEG ROLE
---------------------------------	-------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	29	/	2013

Transaction ID : A2013-4384274

Amount of Each Receipt this Period

96.93	34.62
-------	-------

SUBTOTAL of Receipts This Page (optional).....▶	96.93
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Lisa A Aghabi			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4384205		
Mailing Address One Health Plaza			Amount of Each Receipt this Period 780.00		
City East Hanover	State NJ	Zip Code 07936			
FEC ID number of contributing federal political committee. C					
Name of Employer Novartis Pharmaceuticals		Occupation QA In-Proc & Release Ctrl - D			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 780.00			

Full Name (Last, First, Middle Initial) B. Laman Alani			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382364		
Mailing Address One Health Plaza			Amount of Each Receipt this Period 46.14		
City East Hanover	State NJ	Zip Code 07936			
FEC ID number of contributing federal political committee. C					
Name of Employer Alcon Research		Occupation Top Formulation and Pharmaceut			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 369.12			

Full Name (Last, First, Middle Initial) C. Kim R Alford			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-438268		
Mailing Address One Health Plaza			Amount of Each Receipt this Period 27.69		
City East Hanover	State NJ	Zip Code 07936			
FEC ID number of contributing federal political committee. C					
Name of Employer Novartis Pharmaceuticals		Occupation Oncology Sr Specialist			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 221.52			

SUBTOTAL of Receipts This Page (optional).....▶	853.83
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Antonio Anderson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384027

Amount of Each Receipt this Period
27.69

B. Renee H Anderson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Diversity Workforce Mgmt - Ex

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **220.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383643

Amount of Each Receipt this Period
20.00

C. Gary J Appio
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product Sfty Risk Mgmt - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **332.40**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383503

Amount of Each Receipt this Period
41.55

SUBTOTAL of Receipts This Page (optional)..... **89.24**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Felipe Arenas

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383793

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
B. Brad Arlett

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Relationship Mgmt - Asoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384150

Amount of Each Receipt this Period
34.62

Full Name (Last, First, Middle Initial)
C. Andrew Arline

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **332.40**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383794

Amount of Each Receipt this Period
41.55

SUBTOTAL of Receipts This Page (optional).....▶	103.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Marc W Bacon

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Sales Analysis - Senior Specia

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383798

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
B. Jeanne E Baker

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Specialty Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383323

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
c. Christopher G Bangs

Mailing Address One Health Plaza
 Ste 725

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Vaccines & Diagnostics Product/Brand Mgmt - Sr Conslt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384316

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 83.07

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael Banko

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Database Analysis - Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383585

Amount of Each Receipt this Period
69.24

Full Name (Last, First, Middle Initial)
B. Michael C Barninger

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Budgeting - Associate Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383802

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
C. Tracy L Baroni Allmon

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1107.60**

Date of Receipt
 M M / D D / Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384152

Amount of Each Receipt this Period
138.45

SUBTOTAL of Receipts This Page (optional)..... ▶ **235.38**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Andrew R Bayard			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383431
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	BU Sr Account Manager		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Antonio M Benitz			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4382230
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Animal Health US Inc.	Research/Devel Mgmt - Ex Dir		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Stephen H Bennett			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4382391
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="28.86"/>
Name of Employer	Occupation		
Alcon Laboratories Inc.	Financial Analysis - Associate		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="230.88"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="84.24"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Ted R Benson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Sales-PH-Spec - Sr Conslt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **360.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384271

Amount of Each Receipt this Period
45.00

B. Sheila Bhattacharya
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Assoc Dir National Account

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383628

Amount of Each Receipt this Period
34.62

C. Darrell E Biggs
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Project Mgmt-Info Tech - Sr Co

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **253.88**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384273

Amount of Each Receipt this Period
11.54

SUBTOTAL of Receipts This Page (optional)..... ▶ **91.16**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward J Blair
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Mgmt - Assoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383500

Amount of Each Receipt this Period
34.62

B. Eduardo Blas
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Information Systems - Vice Pre

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **369.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382313

Amount of Each Receipt this Period
46.14

C. Lisa M Blizzard
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Managed Care Marketing - Direc

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383806

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ► **115.38**

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Peri K Bonner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **677.11**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383368

Amount of Each Receipt this Period
84.81

B. Troy L Borill
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **447.82**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383735

Amount of Each Receipt this Period
56.22

C. Daniel S Bortfeld
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Staffing - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383331

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ▶ **175.65**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Karen M Boyajian
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Neuro-Psych Executive Speciali

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **243.60**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383343

Amount of Each Receipt this Period
30.45

B. Leonard J Brandt
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Promotion/Advertising - Direct

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383572

Amount of Each Receipt this Period
69.24

C. Ellen M Browne
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383619

Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional).....▶	168.93
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Lucinda A Bruere
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383288

Amount of Each Receipt this Period
34.62

B. Thomas R Brunner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sys Plan and Architecture - Di

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383813

Amount of Each Receipt this Period
69.24

C. Matthew H Buckley
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Regional Director Acct Mgmt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383147

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	131.55
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. John S Burke		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383590
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="27.69"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Executive Sales Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Richard Burns		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383194
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="41.55"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Regional Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="332.40"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Steven J Busch		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4384038
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="27.69"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Executive Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="96.93"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 19 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Timothy S Byler
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383436

Amount of Each Receipt this Period
34.62

B. Lindsay R Callaghan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383763

Amount of Each Receipt this Period
27.69

C. Patricia A Cannon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **315.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384385

Amount of Each Receipt this Period
105.00

SUBTOTAL of Receipts This Page (optional).....▶	167.31
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Todd D Cantin
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Specialty Sr Area Business Lea

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383357

Amount of Each Receipt this Period
27.69

B. James P Carey
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Vice Pres

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1185.30**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383700

Amount of Each Receipt this Period
148.80

C. James L Carrico
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **332.40**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383318

Amount of Each Receipt this Period
41.55

SUBTOTAL of Receipts This Page (optional)..... ▶ **218.04**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Daniel P Casserly
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Fed and Legislative Affairs -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **3323.04**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383390

Amount of Each Receipt this Period
415.38

B. Julie A Cave
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Sci Liaison(Non-MD) - Sr C

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383961

Amount of Each Receipt this Period
250.00

C. Cynthia C Cetani
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Compliance - Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383817

Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional).....▶	734.62
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James E Chastain
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Pharmacokinetics/Drug Meta - E
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 230.88

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382319
 Amount of Each Receipt this Period
 28.86

B. Atindra N Chaturvedi
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 553.92

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383509
 Amount of Each Receipt this Period
 69.24

C. Barbara Christensen-Boner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 648.14

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383221
 Amount of Each Receipt this Period
 81.27

SUBTOTAL of Receipts This Page (optional)..... ▶ 179.37
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Charles J Ciccia
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Specialty Sr Area Business Lea

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383819

Amount of Each Receipt this Period
27.69

B. Matthew D Civiello
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Specialty Sr Area Business Lea

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384031

Amount of Each Receipt this Period
27.69

C. Martin R Clark
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384030

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional)..... **83.07**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Sean T Clark		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	
Name of Employer	Occupation	
CIBA Vision Corporation	Product/Brand Mgmt - VP	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="475.00"/>	
		Amount of Each Receipt this Period
		<input type="text" value="90.00"/>

Full Name (Last, First, Middle Initial) B. Cathryn M Clary		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	
Name of Employer	Occupation	
Novartis Pharmaceuticals	DEVELOPMENT & MEDICAL - CEG RO	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="317.00"/>	
		Amount of Each Receipt this Period
		<input type="text" value="300.00"/>

Full Name (Last, First, Middle Initial) C. Julie A Collins		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	
Name of Employer	Occupation	
CIBA Vision Corporation	Professional Svcs-Mktg - Dir	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="1107.60"/>	
		Amount of Each Receipt this Period
		<input type="text" value="138.45"/>

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="528.45"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Matt Compton			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383306
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Sr Area Leader Key Account Mg		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. William W Conkling			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383824
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Therapeutic Head Mktg - Ex Di		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Michael A Conley			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383451
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="69.24"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Sales-RAM-Pharma Acct Mgmt - E		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="553.92"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="124.62"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Burt Cook

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Sales Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383681

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
B. Seth Coombs

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Product Marketing - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1107.60**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384341

Amount of Each Receipt this Period
138.45

Full Name (Last, First, Middle Initial)
C. Mary E Corcoran

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383825

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ **193.83**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 27 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David C Creedon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Relationship Mgmt - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **360.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4384164

Amount of Each Receipt this Period
45.00

B. Rhonda N Crichlow
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Diversity Workforce Mgmt - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4383984

Amount of Each Receipt this Period
34.62

C. Charles S Crouch
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Cont Process Improv Anlys - Di

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **230.88**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4382362

Amount of Each Receipt this Period
28.86

SUBTOTAL of Receipts This Page (optional).....▶	108.48
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 28 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Thomas G Cullen

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Sci Acquist & Lic Invest - Spe

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384284

Amount of Each Receipt this Period
34.62

Full Name (Last, First, Middle Initial)
B. William E Darnall

Mailing Address One Health Plaza Ste 725

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Med Science Liaison (MD) - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1200.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384301

Amount of Each Receipt this Period
150.00

Full Name (Last, First, Middle Initial)
C. Spencer E Davidson

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383308

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	212.31
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 29 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Timothy K De Valroger
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Management - Sr
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383828
 Amount of Each Receipt this Period
 27.69

B. R. Deck
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Project Management - Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384361
 Amount of Each Receipt this Period
 34.62

C. Clinton D Degner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383270
 Amount of Each Receipt this Period
 34.62

SUBTOTAL of Receipts This Page (optional).....▶	96.93
TOTAL This Period (last page this line number only).....▶	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 30 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Joanne Del Rio
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Facilities - Specialist
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 276.96

Date of Receipt 11 / 29 / 2013
Transaction ID : A2013-4383540
Amount of Each Receipt this Period 34.62

B. Lynne M DeLisi
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation General Counsel - Principal
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 276.96

Date of Receipt 11 / 29 / 2013
Transaction ID : A2013-4384015
Amount of Each Receipt this Period 34.62

C. Randal Dias
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Project Management - Executive
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 276.96

Date of Receipt 11 / 29 / 2013
Transaction ID : A2013-4383522
Amount of Each Receipt this Period 34.62

SUBTOTAL of Receipts This Page (optional).....▶ 103.86
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 31 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Candace B Dibblee		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383634
Mailing Address One Health Plaza		Amount of Each Receipt this Period 90.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Services Incorporated	Occupation Government Affairs - Associate	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2512.98	

Full Name (Last, First, Middle Initial) B. Anthony Diorio		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4384379
Mailing Address One Health Plaza		Amount of Each Receipt this Period 45.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Vaccines & Diagnostics	Occupation District Sales Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 360.00	

Full Name (Last, First, Middle Initial) C. Dwayne T Dixon		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382350
Mailing Address One Health Plaza		Amount of Each Receipt this Period 28.86
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Alcon Laboratories Inc.	Occupation Product/Brand Mgmt - Ex Dir	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 230.88	

SUBTOTAL of Receipts This Page (optional).....▶	163.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 32 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christos Dogas
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Auditing - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384293
 Amount of Each Receipt this Period
34.62

B. Dawn M Doggett-Soldatke
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Assoc Dir Account Mgmt
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **390.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384265
 Amount of Each Receipt this Period
390.00

C. Alastair M Douglas
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Sales Administration-Alcon - E
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382341
 Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional).....▶	459.24
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 33 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David P Drake
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2169.24**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383508

Amount of Each Receipt this Period
300.00

B. Carter L Dutch
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales Management - Executive D

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384212

Amount of Each Receipt this Period
150.00

C. Carol D DuVall
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Auditing - Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **369.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382302

Amount of Each Receipt this Period
46.14

SUBTOTAL of Receipts This Page (optional).....▶	496.14
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 34 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David M Eberenz Jr.
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **332.40**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383426

Amount of Each Receipt this Period
41.55

B. Fred Ellis
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales Management-Alcon - Vice

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **369.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382290

Amount of Each Receipt this Period
46.14

C. Michael R Emch
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383170

Amount of Each Receipt this Period
42.12

SUBTOTAL of Receipts This Page (optional)..... **129.81**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 35 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Nancy E Emerson
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Training-Sales - Associate Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **230.88**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382355
 Amount of Each Receipt this Period
28.86

B. Richard T Entrekin
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Eng-Mftg - Ex Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382379
 Amount of Each Receipt this Period
34.62

C. David R Epstein
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Services Incorporated Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1100.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383546
 Amount of Each Receipt this Period
100.00

SUBTOTAL of Receipts This Page (optional).....	163.48
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 36 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Judith J Ewalt

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383404

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
B. Michael E Fairchild

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Laboratories Inc. FINANCE - DEV ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 369.12

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382310

Amount of Each Receipt this Period
 46.14

Full Name (Last, First, Middle Initial)
C. Thomas S Fellers

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Med Science Liaison (Non-MD) -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 823.12

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383639

Amount of Each Receipt this Period
 150.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 230.76

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 37 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christine Ferguson
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Labeling Specifications - Sr S
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 260.00

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384220
 Amount of Each Receipt this Period
 260.00

B. Darrell G Fick
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation ED Oncology Sales
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 347.02

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384001
 Amount of Each Receipt this Period
 250.00

C. Lothar H Finke
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Translational Medicine - Vice
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1950.00

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384133
 Amount of Each Receipt this Period
 1950.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 2460.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 38 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James E Foley
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clin Rsrch (Non-MD) - Dir (GM)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383621

Amount of Each Receipt this Period
34.62

B. Andrew T Footo
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383687

Amount of Each Receipt this Period
27.69

C. Matthew C Foster
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383397

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ▶ **96.93**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 39 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Anna M Frable		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383536
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="27.69"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Top Pub Relat & Int Comms Ex-	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Steven Franchetti		Date of Receipt
Mailing Address 350 Massachusetts Avenue		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
Cambridge	MA	02139
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4384338
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="34.62"/>
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Auditing - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Patrick G Francke		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4384020
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="42.12"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="336.96"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="104.43"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 40 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. H. P Frederick
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **619.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383440

Amount of Each Receipt this Period
77.49

B. Kathryn M Freeborn
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383241

Amount of Each Receipt this Period
27.69

C. Jon S Freeland
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **262.50**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384213

Amount of Each Receipt this Period
37.50

SUBTOTAL of Receipts This Page (optional).....▶	142.68
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 41 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Debra E Freire
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation External Comms - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383583

Amount of Each Receipt this Period
69.24

B. Neely T Frye
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1443.16**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383466

Amount of Each Receipt this Period
181.05

C. Steven J Gabor
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Product/Brand Mgmt - Assoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **420.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384355

Amount of Each Receipt this Period
52.50

SUBTOTAL of Receipts This Page (optional)..... ▶ **302.79**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 42 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Jill H Gaither		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383642
Mailing Address One Health Plaza		Amount of Each Receipt this Period 34.62
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Oncology Sr Specialist	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	

Full Name (Last, First, Middle Initial) B. Edward G Gajewski		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383322
Mailing Address One Health Plaza		Amount of Each Receipt this Period 20.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Marketing - Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

Full Name (Last, First, Middle Initial) C. Albert Galloza		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383189
Mailing Address One Health Plaza		Amount of Each Receipt this Period 10.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Tech-Lab - Suppt Spec	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

SUBTOTAL of Receipts This Page (optional).....▶	64.62
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 43 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Owen H Gan			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4382287
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="28.86"/>
Name of Employer	Occupation		
Alcon Research	Pharma/Bio Proc- Dir		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="230.88"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Martin J Gargiule			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4384276
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="34.62"/>
Name of Employer	Occupation		
Sandoz Inc.	Financial Analysis - Director		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Parameswar Garimella			Date of Receipt
Mailing Address 350 Massachusetts Avenue			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4384365
Cambridge	MA	02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="34.62"/>
Name of Employer	Occupation		
Novartis Vaccines & Diagnostics	Information Systems - Associat		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="98.10"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 44 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Carolyn A Geier
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **280.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383836

Amount of Each Receipt this Period
130.00

B. Michael L Gentry
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Programmer/Analyst - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **313.90**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382301

Amount of Each Receipt this Period
36.00

C. Melissa F Gifford
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383838

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	193.69
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 45 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Erwin A Gomez Valladares
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Latin America Service Occupation Procurement - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1107.60**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383126
 Amount of Each Receipt this Period
138.45

B. Roger K Gravitte
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Consumer Health Inc. Occupation Sales Management-OTC - Vice Pr
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **336.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383125
 Amount of Each Receipt this Period
42.12

C. Robert D Gross
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Medical Affairs - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **600.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382393
 Amount of Each Receipt this Period
300.00

SUBTOTAL of Receipts This Page (optional).....	480.57
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 46 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Kris Grzegorzewski
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Clin Rsrch - Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **362.34**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4384157
 Amount of Each Receipt this Period **120.00**

B. Joseph M Guidi
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Marketing - Director II
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **253.83**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4384124
 Amount of Each Receipt this Period **60.00**

C. Joseph Guido
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **425.00**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4383845
 Amount of Each Receipt this Period **75.00**

SUBTOTAL of Receipts This Page (optional).....▶	255.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 47 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. David E Gulick			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383248
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="69.24"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Managed Care Marketing - Direc		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="553.92"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Kurt Habel			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383505
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="69.24"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Operations Research - Sr Consl		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="553.92"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. James P Hafner			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4384187
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="41.55"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	MS Area Business Leader II		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="332.40"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="180.03"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 48 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Laura A Hagan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Science Liaison (Non-MD) -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384147

Amount of Each Receipt this Period
34.62

B. Thomas Halbur
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Research/Devel Mgmt - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382223

Amount of Each Receipt this Period
34.62

C. Philip D Hall
Full Name (Last, First, Middle Initial)

Mailing Address 6201 South Freeway

City Fort Worth State TX Zip Code 76134-2001

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales-Medical Devices - Manage

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382271

Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional)..... **138.48**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 49 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Paul R Hallen		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4382292
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="46.14"/>
Name of Employer	Occupation	
Alcon Research	Business Development - Vice Pr	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="369.12"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Sarah E Haller		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383449
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="69.24"/>
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs - Vice Pres	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="553.92"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Mary J Halliwell		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383525
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="30.00"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	External Comms - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="330.00"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="145.38"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 50 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas E Harper
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Leader Key Account Mgmt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383389

Amount of Each Receipt this Period
27.69

B. Veronica Harris
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Management - Sr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384135

Amount of Each Receipt this Period
34.62

C. Rachel S Hartman
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Clinical Education - Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **230.88**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382334

Amount of Each Receipt this Period
28.86

SUBTOTAL of Receipts This Page (optional)..... **91.17**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 51 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Sherri J Hatch

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Med Science Liaison (Non-MD) -

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383850

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
B. Kathy-Jo B Hayden

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Government Affairs - Director

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383470

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
C. Joseph P Hazelton

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Managed Care Marketing - Direc

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 488.16

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383491

Amount of Each Receipt this Period
 61.35

SUBTOTAL of Receipts This Page (optional)..... ▶ 123.66

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 52 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas M Head
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Corp Social Responsibility - E
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382322
 Amount of Each Receipt this Period
34.62

B. Richard Heddens
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza Ste 725
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Product/Brand Mgmt - Sr Const
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384309
 Amount of Each Receipt this Period
27.69

c. David N Hering
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue 350 MA # 234D
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Program Manager - Executive Di
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384298
 Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional).....	96.93
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 53 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. William S Higgins
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383414

Amount of Each Receipt this Period
42.12

B. Robert J Hilkert
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med AFFAIRS Ex (MD) - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384072

Amount of Each Receipt this Period
69.24

C. John A Hohneker
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **275.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383532

Amount of Each Receipt this Period
25.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **136.36**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 54 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. William C Hokanson

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales-Reg Act Mgmt-Sales Ops -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **335.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383400

Amount of Each Receipt this Period
45.00

Full Name (Last, First, Middle Initial)
B. Jeanne P Hood

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Software Devel - Asoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **230.88**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382351

Amount of Each Receipt this Period
28.86

Full Name (Last, First, Middle Initial)
C. Herve J Hoppenot

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383855

Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional)..... ▶ **143.10**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 55 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Otto C Horstmann II II
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Employee Benefits - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4383610
 Amount of Each Receipt this Period **34.62**

B. Patricia I Hosch
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Promotion/Advertising - Direct
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4382413
 Amount of Each Receipt this Period **250.00**

C. Cyrus M Hoseyni
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation DEVELOPMENT & MEDICAL - CEG RO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4384166
 Amount of Each Receipt this Period **34.62**

SUBTOTAL of Receipts This Page (optional).....	319.24
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 56 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Linda M Howe
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue
350 MA # 254J

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation QA Mgmt-Plant - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
232.56

Date of Receipt
11 / 29 / 2013
Transaction ID : A2013-4382267

Amount of Each Receipt this Period
29.07

B. Kirk R Huber
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Human Resources - Senior Consu

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
221.52

Date of Receipt
11 / 29 / 2013
Transaction ID : A2013-4383531

Amount of Each Receipt this Period
27.69

C. Gene M Hughes
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Manager Business Solutions

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
224.00

Date of Receipt
11 / 29 / 2013
Transaction ID : A2013-4383710

Amount of Each Receipt this Period
24.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 80.76

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 57 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Melody Hughson		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4384190
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="150.00"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Executive	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="1200.00"/>	

Full Name (Last, First, Middle Initial) B. Muhammed Z Islam		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383247
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="300.00"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Biostatistics - Executive Dire	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="300.00"/>	

Full Name (Last, First, Middle Initial) C. Vijay K Iyengar		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383859
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="500.00"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Marketing/Sales Head - Vice Pr	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="500.00"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="950.00"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 58 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Karen A Jacobs
 Full Name (Last, First, Middle Initial)
 Mailing Address 4560 Horton Street
 City Emeryville State CA Zip Code 94608-2916
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Operations Research - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **443.04**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383563
 Amount of Each Receipt this Period
55.38

B. Mary A Jarema
 Full Name (Last, First, Middle Initial)
 Mailing Address 4560 Horton Street
 City Emeryville State CA Zip Code 94608-2916
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Marketing - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383703
 Amount of Each Receipt this Period
27.69

C. Edgar L Jarvis
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **387.60**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383295
 Amount of Each Receipt this Period
48.45

SUBTOTAL of Receipts This Page (optional)..... **131.52**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 59 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Scott R Jauch

Mailing Address 508 Ellworth Dr.

City Silver Spring State MD Zip Code 20910

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **600.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384382

Amount of Each Receipt this Period
75.00

Full Name (Last, First, Middle Initial)
B. Mark J Jeffers

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Training-Sales - Senior Consul

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **230.88**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382318

Amount of Each Receipt this Period
28.86

Full Name (Last, First, Middle Initial)
C. Kathryn E Jennings

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383861

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	131.55
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 60 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James P Jogerst
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Acquist Allianc & Licens - Ex

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **369.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382352

Amount of Each Receipt this Period
46.14

B. James Johngrass
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **213.06**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384108

Amount of Each Receipt this Period
19.23

C. Bruce S Johnson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Therapeutic Head Mktg - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383175

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional)..... **93.06**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 61 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Heather L Johnson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer CIBA Vision Corporation Occupation Sales-Reg Act Mgmt - Mgr

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4382246

Amount of Each Receipt this Period **27.69**

B. Sara Johnson-Davis
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4383753

Amount of Each Receipt this Period **27.69**

C. Mark A Joines
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4383374

Amount of Each Receipt this Period **27.69**

SUBTOTAL of Receipts This Page (optional)..... **83.07**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 62 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Gregory Jones			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383307
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Specialty Area Business Leader		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Gregory R Jones			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4382296
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="300.00"/>
Name of Employer	Occupation		
Alcon Laboratories Inc.	Sales Administration-Alcon - E		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="300.00"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Robert W Jones			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4382225
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="34.62"/>
Name of Employer	Occupation		
Novartis Animal Health US Inc.	COMMERCIAL & GEN MGMT - CEG RO		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="362.31"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 63 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Deborah Juterbock
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Svcs-Tech - Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **276.96**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4383716
 Amount of Each Receipt this Period **34.62**

B. Tawfik Kamal
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Marketing - Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **940.00**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4383554
 Amount of Each Receipt this Period **100.00**

C. Mutlu Karakelle
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Pharma/Bio Proc- VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **369.12**

Date of Receipt **11 / 29 / 2013**
Transaction ID : A2013-4382304
 Amount of Each Receipt this Period **46.14**

SUBTOTAL of Receipts This Page (optional).....▶	180.76
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 64 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Bella B Karbachinskaya		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383709
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="34.62"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Operations Research - Sr Consl	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Erik L Karlsons		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383865
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="41.55"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	MS Area Business Leader II	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="332.40"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) c. Chandraprakash Kasireddy		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4384281
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="27.69"/>
Name of Employer	Occupation	
Sandoz Inc.	Chem - Analytical - Asoc Dir	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="103.86"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 65 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Claudia Kelly
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Product/Brand Management - Dir

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4384342

Amount of Each Receipt this Period **34.62**

B. Sara Kelly
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Controller Division - Executiv

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4382359

Amount of Each Receipt this Period **27.69**

C. Thomas N Kendris
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation LEGAL & IP - CEG ROLE

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **1107.60**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4383168

Amount of Each Receipt this Period **138.45**

SUBTOTAL of Receipts This Page (optional)..... **200.76**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 66 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Michael C Kincaid
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation ED Oncology Sales

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383867

Amount of Each Receipt this Period
42.12

B. Shannon T Klinger
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Global Head Lgl & Gen Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1269.18**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384285

Amount of Each Receipt this Period
115.38

C. Richard E Knapp
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2769.12**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383342

Amount of Each Receipt this Period
346.14

SUBTOTAL of Receipts This Page (optional)..... ▶ **503.64**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 67 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Paul M Kotch			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382337
Mailing Address One Health Plaza			Amount of Each Receipt this Period 34.62
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C			
Name of Employer Alcon Laboratories Inc.	Occupation Sales Mgr-Reg-Alcon I - Ex Dir		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96		

Full Name (Last, First, Middle Initial) B. Robert W Kowalski			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4384158
Mailing Address One Health Plaza			Amount of Each Receipt this Period 138.45
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - CEG RO		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1107.60		

Full Name (Last, First, Middle Initial) C. Roland Kreissig			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4384104
Mailing Address One Health Plaza			Amount of Each Receipt this Period 400.00
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Marketing - Director		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00		

SUBTOTAL of Receipts This Page (optional).....▶	573.07
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 68 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Kathleen M Kulesher		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2013-4384244
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Pharmaceuticals	Government Affairs - Senior Sp	<input type="text" value="400.00"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="400.00"/>	

Full Name (Last, First, Middle Initial) B. Gregory D Kunst		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2013-4382346
Name of Employer	Occupation	Amount of Each Receipt this Period
Alcon Laboratories Inc.	Product/Brand Mgmt - Ex Dir	<input type="text" value="28.86"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="230.88"/>	

Full Name (Last, First, Middle Initial) C. Keith A LaDue		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2013-4383497
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Pharmaceuticals	Division - Vice President	<input type="text" value="23.08"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="253.88"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="451.94"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 69 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Robert D Larsen

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Research Sci Acquist & Lic Invest - Sr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 230.88

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382366

Amount of Each Receipt this Period
 28.86

Full Name (Last, First, Middle Initial)
B. Steven D Lau

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Neuro-Psych Executive Speciali

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383332

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
C. Vickie Laurent

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Reg Aff Approval - Sr Spec

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 390.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384167

Amount of Each Receipt this Period
 390.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 453.48

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 70 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Shawn Laverty
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383516

Amount of Each Receipt this Period
27.69

B. Leigh A Leas
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383990

Amount of Each Receipt this Period
42.12

C. Christian Lease
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue
350 MA # 212E

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Cont Process Improv Anlys - Di

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384294

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ▶ **104.43**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 71 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Richard E Lemire
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Engineering-Facilities - Direc

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1035.43**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383144

Amount of Each Receipt this Period
129.78

B. Lee C Lensky
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation E-Commerce - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384192

Amount of Each Receipt this Period
34.62

C. Vinson G Leslie
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383868

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	192.09
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 72 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Clement S Lewin
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 350 MA # 232E
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Med Science Liaison (MD) - VP
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384296
 Amount of Each Receipt this Period
34.62

B. Manuel B Litchman
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Global Program Head - Vice Pre
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383626
 Amount of Each Receipt this Period
34.62

C. Richard Lloyd
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Consumer Health Inc. Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1107.60**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383123
 Amount of Each Receipt this Period
138.45

SUBTOTAL of Receipts This Page (optional)..... **207.69**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 73 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Jeffrey W Lockwood
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer NIBRI Occupation External Communications - Vice
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383129
 Amount of Each Receipt this Period
69.24

B. Konstantine G Lolos
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383593
 Amount of Each Receipt this Period
42.12

C. Scott W Long
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sales Consultant
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384032
 Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	139.05
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 74 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael J Lorenz

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Train-Sales-Pharma I - Asoc Di

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384292

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
B. Donald R Losordo

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383623

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
C. Frederic D Loveland

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation R & D Operations - Executive D

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383524

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ▶ **90.00**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 75 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Brenda Luckritz		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City East Hanover State NJ Zip Code 07936		Transaction ID : A2013-4383254
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive		<input type="text" value="75.00"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
	<input type="text" value="2600.00"/>	

Full Name (Last, First, Middle Initial) B. Paul O Lund		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City East Hanover State NJ Zip Code 07936		Transaction ID : A2013-4382256
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
Name of Employer CIBA Vision Corporation Occupation Mfg Plant Mgmt - Asoc Dir		<input type="text" value="31.26"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
	<input type="text" value="250.08"/>	

Full Name (Last, First, Middle Initial) C. David T Mac Askill		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City East Hanover State NJ Zip Code 07936		Transaction ID : A2013-4383381
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals Occupation BU Sr Account Manager		<input type="text" value="34.62"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
	<input type="text" value="276.96"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="140.88"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 76 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Joanne K Machalaba
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sales-Reg Act Mgmt - VP
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383534
 Amount of Each Receipt this Period
34.62

B. Kimberly A MacKay
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation General Counsel - Principal
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383873
 Amount of Each Receipt this Period
34.62

C. Judith Madsen
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Sales Consultant
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **303.88**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383240
 Amount of Each Receipt this Period
61.54

SUBTOTAL of Receipts This Page (optional).....	130.78
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 77 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John Mandala
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Strategic Planning-Senior - Di
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383360
 Amount of Each Receipt this Period
27.69

B. Frank Maness
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Top Shared Svcs Ex- VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384094
 Amount of Each Receipt this Period
42.12

C. Paula Mann
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Oncology Specialist
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **280.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384221
 Amount of Each Receipt this Period
130.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **199.81**
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 78 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Frank Manolios
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **360.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383412
 Amount of Each Receipt this Period
45.00

B. Annette A Mansfield
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Assoc Dir Account Mgmt
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383567
 Amount of Each Receipt this Period
27.69

C. Sridhar V Mantha
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Quality Assurance-Compliance -
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382360
 Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... **107.31**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 79 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Bryan A Maring
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Specialty Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383785

Amount of Each Receipt this Period
27.69

B. Daniel B Maring
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384050

Amount of Each Receipt this Period
27.69

C. Kerry L Markwardt
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Project Mgmt - Life Cycle - Pr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382285

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional).....▶	90.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 80 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Charles J Marshall		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4382311
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="34.62"/>
Name of Employer	Occupation	
Alcon Laboratories Inc.	Product/Brand Mgmt - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Andre Martins		Date of Receipt
Mailing Address 350 Massachusetts Avenue 350 MA # 276A		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
Cambridge	MA	02139
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4384300
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="20.00"/>
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Human Resources Generalist - D	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="220.00"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Teresa Mason		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4384177
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="138.45"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Vice Pres	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="1107.60"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="193.07"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 81 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Julie Masow
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation External Communications - Dire

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384122

Amount of Each Receipt this Period
34.62

B. William R Matthews
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **493.70**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383457

Amount of Each Receipt this Period
63.09

C. Arthur M Mauceri
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383876

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional).....▶	132.33
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 82 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Stefanie L Maurer
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Neuro-Psych Specialist
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **279.75**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383632
 Amount of Each Receipt this Period
 24.00

B. Aaron A Mays
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Consumer Promotions - Associat
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **230.88**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382336
 Amount of Each Receipt this Period
 28.86

C. Stephen W Mc Laughlin
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Managed Care Mktg - Asoc Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383285
 Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional).....	80.55
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 83 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John C Mc Partland
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 276.96

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383878
 Amount of Each Receipt this Period
 34.62

B. Christopher R McBurney
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Med Science Liaison (Non-MD) -
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 221.52

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383879
 Amount of Each Receipt this Period
 27.69

C. Vivian H McCain
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Procurement - Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 276.96

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383148
 Amount of Each Receipt this Period
 34.62

SUBTOTAL of Receipts This Page (optional).....▶	96.93
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 84 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. J'ai B McClellan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Government Affairs - Vice Pres

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **369.12**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382289

Amount of Each Receipt this Period
46.14

B. Michael P McDermott
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Product/Brand Management - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382226

Amount of Each Receipt this Period
34.62

C. Teresa L McElvaney
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Regulatory Submission - Sr Spe

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382323

Amount of Each Receipt this Period
150.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **230.76**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 85 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Catharine M McGeehan
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1107.60

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383363
 Amount of Each Receipt this Period
 138.45

B. Paul J McGinley
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Mktg and Sales Ex-TP Area-Ex D
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 336.96

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383882
 Amount of Each Receipt this Period
 42.12

C. Edward D McGough
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation PRODUCTION - CEG ROLE
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2769.12

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382300
 Amount of Each Receipt this Period
 346.14

SUBTOTAL of Receipts This Page (optional)..... ▶ 526.71
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 86 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Edward J McKenna

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Manager Business Solutions II

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 332.40

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384057

Amount of Each Receipt this Period
 41.55

Full Name (Last, First, Middle Initial)
B. William D McLaury

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Supply Chain Plan - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 1107.60

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383391

Amount of Each Receipt this Period
 138.45

Full Name (Last, First, Middle Initial)
C. Matthew A McNally

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Oncology Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384105

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 207.69

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 87 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Brian J McNamara
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Consumer Health Inc. Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1107.60**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383122

Amount of Each Receipt this Period
138.45

B. Joshua J Meikrantz
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza Ste 725

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Sales Mgr-Reg-V&D - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384308

Amount of Each Receipt this Period
27.69

C. Gary J Menichini
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer CIBA Vision Corporation Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **600.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382250

Amount of Each Receipt this Period
75.00

SUBTOTAL of Receipts This Page (optional).....▶	241.14
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 88 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Wayne P Merkelson		Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383589
Mailing Address One Health Plaza		Amount of Each Receipt this Period 103.86
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Finance Corporation	Occupation Tax - Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 830.88	

Full Name (Last, First, Middle Initial) B. Chad J Michna		Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382272
Mailing Address 6201 South Freeway		Amount of Each Receipt this Period 250.00
City Fort Worth	State TX	Zip Code 76134-2001
FEC ID number of contributing federal political committee. C		
Name of Employer Alcon Laboratories Inc.	Occupation Sales-Natl Act - Sr Conslt	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

Full Name (Last, First, Middle Initial) C. Susan J Millard		Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382257
Mailing Address One Health Plaza		Amount of Each Receipt this Period 75.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer CIBA Vision Corporation	Occupation HR - CEG ROLE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 600.00	

SUBTOTAL of Receipts This Page (optional).....▶	428.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 89 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Donald J Miller
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Managed Care Marketing - Direc

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383886

Amount of Each Receipt this Period
34.62

B. Laurie C Mills
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Clinical Operations Occupation CRM - Spec II

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **332.40**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383127

Amount of Each Receipt this Period
41.55

C. Michael Moore
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Tech/Sci Sales Svc-NAHPSV-Sr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382227

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional).....▶	110.79
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 90 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Stacey L Moore

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Account Manager I

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **422.48**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383345

Amount of Each Receipt this Period
52.98

Full Name (Last, First, Middle Initial)
B. Glenn H Morton

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Project Management - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1115.79**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383487

Amount of Each Receipt this Period
20.00

Full Name (Last, First, Middle Initial)
C. Polly L Murphy

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383890

Amount of Each Receipt this Period
42.12

SUBTOTAL of Receipts This Page (optional).....▶	115.10
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 91 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Jyun J Nakamura
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation MS Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384060

Amount of Each Receipt this Period
27.69

B. Vasant Narasimhan
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1107.60**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384362

Amount of Each Receipt this Period
138.45

C. Clifford R Neukrug
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384048

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... **200.76**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 92 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Peter Ng

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Project Management - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383592

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
B. An V Nguyen

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Sys Anlys and Programming - As

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383999

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
C. James D Nickoli

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Neuro-Psych Executive Speciali

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383671

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 90.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 93 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James R Niebanck
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Operations Research - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **336.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383454

Amount of Each Receipt this Period
42.12

B. Michael A Niesel
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383896

Amount of Each Receipt this Period
27.69

C. Sharon L Nobles
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Account Manager II

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383325

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... **104.43**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 94 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Kevin A Nugent

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Research Regulatory Affairs Approval -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 230.88

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382396

Amount of Each Receipt this Period
 28.86

Full Name (Last, First, Middle Initial)
B. Hugh M O'Dowd

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 553.92

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383347

Amount of Each Receipt this Period
 69.24

Full Name (Last, First, Middle Initial)
c. Shawn O'Neail

Mailing Address 608 Fifth Avenue

City State Zip Code
 New York NY 10020

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Services Incorporated Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 1107.60

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384175

Amount of Each Receipt this Period
 138.45

SUBTOTAL of Receipts This Page (optional)..... ▶ 236.55

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 95 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Michael S Oehrlein		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383897
Mailing Address One Health Plaza		Amount of Each Receipt this Period 225.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	
Occupation VP Oncology Sales		Aggregate Year-to-Date ▼ 1575.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Sharon N Olmstead		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4384195
Mailing Address One Health Plaza		Amount of Each Receipt this Period 69.24
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	
Occupation Fed and Legislative Affairs -		Aggregate Year-to-Date ▼ 553.92
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. John J Orloff		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383898
Mailing Address One Health Plaza		Amount of Each Receipt this Period 11.54
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	
Occupation DEVELOPMENT & MEDICAL - CEG RO		Aggregate Year-to-Date ▼ 253.88
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	305.78
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 96 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Jeffrey S Osmundson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Business Relationship Mgr II

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383258

Amount of Each Receipt this Period
27.69

B. Craig S Osten
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Controller Corporate - Vice Pr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384289

Amount of Each Receipt this Period
69.24

C. Serafina Oxner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Strategic Planning - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383606

Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional)..... ▶ **166.17**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 97 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. John M Pakulski

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Sandoz Inc. Reg Aff Approval - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 1107.60

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384283

Amount of Each Receipt this Period
 138.45

Full Name (Last, First, Middle Initial)
B. Joseph S Palumbo

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Organization Devel - Asoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383564

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
C. Melissa A Parker

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Regional Director Acct Mgmt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 332.40

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383644

Amount of Each Receipt this Period
 41.55

SUBTOTAL of Receipts This Page (optional)..... ▶ 214.62

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 98 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Gregory A Peters

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383328

Amount of Each Receipt this Period
34.62

Full Name (Last, First, Middle Initial)
B. Bret D Peterson

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383740

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
C. Candice C Phipps

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384288

Amount of Each Receipt this Period
300.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **362.31**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 99 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David Picard
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation Top Mktg and Sales Ex- VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384279
 Amount of Each Receipt this Period
34.62

B. Elizabeth A Pinamonti
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383416
 Amount of Each Receipt this Period
27.69

C. Elvira Ponce
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Medical Affairs - Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384353
 Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ▶ **96.93**
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 100 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Barbara Porcher

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383906

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
B. Bernard M Porter

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Sr Assoc Dir Account Mgmt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383605

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
C. Elizabeth Power

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Vaccines & Diagnostics External Communications - Dire

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 450.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384367

Amount of Each Receipt this Period
 90.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 145.38

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 101 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Lisa M Praeger

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Laboratories Inc. Primary Care Sales - Vice Pres

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382293

Amount of Each Receipt this Period
 300.00

Full Name (Last, First, Middle Initial)
B. Kelly D Prather

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383731

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
C. Jeffrey P Prejean

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals PC Area Business Leader II

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383734

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ **355.38**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 102 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. John T Price			Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382417
Mailing Address One Health Plaza			Amount of Each Receipt this Period 27.69
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 221.52	
Name of Employer EONS Labs Inc.		Occupation Eng-EH&S- Asoc Dir	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. J. C Proctor			Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383973
Mailing Address One Health Plaza			Amount of Each Receipt this Period 27.69
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 221.52	
Name of Employer Novartis Pharmaceuticals		Occupation Oncology Specialist	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Eileen M Quinn			Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383346
Mailing Address One Health Plaza			Amount of Each Receipt this Period 27.69
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 221.52	
Name of Employer Novartis Pharmaceuticals		Occupation Oncology Sr Specialist	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	83.07
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 103 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Stuart Raetzman
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **253.88**

Date of Receipt
M M / D D / Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382297

Amount of Each Receipt this Period
23.08

B. Anne Ramsey
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation District Sales Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **460.00**

Date of Receipt
M M / D D / Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384374

Amount of Each Receipt this Period
40.00

C. Douglas L Reece
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Drug/Devic Sfty Surv (Non-MD)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **214.68**

Date of Receipt
M M / D D / Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382228

Amount of Each Receipt this Period
45.00

SUBTOTAL of Receipts This Page (optional).....▶	108.08
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 104 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Susan R Rendon

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Human Resources Generalist - D

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **230.88**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382347

Amount of Each Receipt this Period
28.86

Full Name (Last, First, Middle Initial)
B. Marc B Reuss

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation HR - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **550.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384364

Amount of Each Receipt this Period
50.00

Full Name (Last, First, Middle Initial)
C. Margaret Riccobono

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Training - Assoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384280

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional).....▶	113.48
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 105 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Edward C Richards

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Research Mfg Plant Mgmt - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 369.12

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382383

Amount of Each Receipt this Period
 46.14

Full Name (Last, First, Middle Initial)
B. Sheila A Riddell

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383464

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
C. Keith C Riddiford

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Marketing - Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383385

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ **101.52**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 106 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Kevin T Rigby

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals CORPORATE AFFAIRS - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 2769.12

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383559

Amount of Each Receipt this Period
 346.14

Full Name (Last, First, Middle Initial)
B. Randi C Roberts

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals VP Oncology Sales

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 553.92

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383912

Amount of Each Receipt this Period
 69.24

Full Name (Last, First, Middle Initial)
C. David J Robertson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Laboratories Inc. PROCUREMENT - DEV ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 230.88

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382325

Amount of Each Receipt this Period
 28.86

SUBTOTAL of Receipts This Page (optional)..... ▶ 444.24

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 107 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Allan P Robinson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384052

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
B. Joseph A Robinson Jr.

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384112

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
C. Laurie J Roemisch

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383655

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 90.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 108 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Diane M Rogers
Full Name (Last, First, Middle Initial)
Mailing Address 608 Fifth Avenue
City New York State NY Zip Code 10020
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Vaccines & Diagnostics Occupation Sales Management-V&D - Vice Pr
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 332.40

Date of Receipt 11 / 29 / 2013
Transaction ID : A2013-4384360
Amount of Each Receipt this Period 41.55

B. Rodney M Roggow
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 276.96

Date of Receipt 11 / 29 / 2013
Transaction ID : A2013-4383259
Amount of Each Receipt this Period 34.62

C. Thomas M Ruggia Jr.
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Alcon Laboratories Inc. Occupation Business Devel - Ex Dir
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 275.00

Date of Receipt 11 / 29 / 2013
Transaction ID : A2013-4382332
Amount of Each Receipt this Period 25.00

SUBTOTAL of Receipts This Page (optional).....▶ 101.17
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 109 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Jason T Russell
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **698.38**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383212
 Amount of Each Receipt this Period
87.72

B. Alan D Ryan
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Assoc Dir Account Mgmt
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **276.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384051
 Amount of Each Receipt this Period
34.50

C. Peter Rzewnicki
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 350 MA # 234F
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Product Marketing - Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384299
 Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... ▶ **156.84**
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 110 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Ahmad M Saad

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Engineering-Test - Senior Cons

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4382382

Amount of Each Receipt this Period
34.62

Full Name (Last, First, Middle Initial)
B. Roger F Samartino

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Leader Key Account Mgmt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383573

Amount of Each Receipt this Period
27.69

Full Name (Last, First, Middle Initial)
C. Cristina L Santos

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384172

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	90.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 111 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Robert J Sardinha

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Managed Care Mktg - Asoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383919

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
B. David G Sattler

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Laboratories Inc. Professional Svcs-Mktg - Ex Di

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382414

Amount of Each Receipt this Period
 300.00

Full Name (Last, First, Middle Initial)
C. David A Schoening

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Laboratories Inc. QA Mgmt-Plant - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 369.12

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382376

Amount of Each Receipt this Period
 46.14

SUBTOTAL of Receipts This Page (optional)..... ▶ **373.83**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 112 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Russell E Seay Jr. Jr.		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383921
Mailing Address One Health Plaza		Amount of Each Receipt this Period 45.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation Med Science Liaison (Non-MD) -
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 225.00	

Full Name (Last, First, Middle Initial) B. S. M Seeland		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383713
Mailing Address One Health Plaza		Amount of Each Receipt this Period 34.62
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation Regional Director
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	

Full Name (Last, First, Middle Initial) C. Christopher Sellin		Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4384093
Mailing Address One Health Plaza		Amount of Each Receipt this Period 41.55
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation Business Process Anlys - Sr Co
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 332.40	

SUBTOTAL of Receipts This Page (optional).....▶	121.17
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 113 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Dawn M Shaffer			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383358
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Sr Area Sales Mgr - Oncology		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Christi L Shaw			Date of Receipt
Mailing Address 44 Guinea Hollow Rd			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4384176
Lebanon	NJ	08833	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="69.24"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	COMMERCIAL & GEN MGMT - CEG RO		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="553.92"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Deborah B Shertzer			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383923
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="27.69"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Budgeting - Director		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="124.62"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 114 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Sherrie L Simms
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation HR Generalist - Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 276.96

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383928
 Amount of Each Receipt this Period
 34.62

B. Joseph M Simon
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation MS Executive Specialist
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 443.04

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383378
 Amount of Each Receipt this Period
 55.38

C. William E Simon
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 221.52

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383465
 Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 117.69
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 115 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. David P Sipe

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383432

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
B. John G Slick

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383680

Amount of Each Receipt this Period
 27.69

Full Name (Last, First, Middle Initial)
c. Gregory R Slyfield

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383929

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 83.07

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 116 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Michael F Smith
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clinical Devel Operations - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4384174

Amount of Each Receipt this Period
34.62

B. Nancy B Smith
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **201.64**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383656

Amount of Each Receipt this Period
104.62

C. Katherine E Solon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2769.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013

Transaction ID : A2013-4383985

Amount of Each Receipt this Period
346.14

SUBTOTAL of Receipts This Page (optional).....▶	485.38
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 117 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Rajat Soni			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4382326
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="28.86"/>
Name of Employer	Occupation		
Alcon Laboratories Inc.	Product/Brand Management - Dir		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="230.88"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. William S Spelta			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4383196
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="42.12"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Sr Area Sales Mgr - Oncology		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="336.96"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Robert A Spurr			Date of Receipt
Mailing Address 608 Fifth Avenue			<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4384223
New York	NY	10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="300.00"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Sales Mgmt-Onco Mgd Mkts - VP		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="2100.00"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="370.98"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 118 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Danney M Stanley Jr.			Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4382375
Mailing Address One Health Plaza			Amount of Each Receipt this Period 27.69
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C			
Name of Employer Alcon Research	Occupation Manufacturing Plant Management		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52		

Full Name (Last, First, Middle Initial) B. Donald R Stanski			Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383975
Mailing Address One Health Plaza			Amount of Each Receipt this Period 1000.00
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Clinical Research - Vice Presi		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1242.34		

Full Name (Last, First, Middle Initial) C. Donald E Stecher			Date of Receipt M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013 Transaction ID : A2013-4383660
Mailing Address One Health Plaza			Amount of Each Receipt this Period 34.62
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Government Affairs - Senior Sp		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96		

SUBTOTAL of Receipts This Page (optional).....▶	1062.31
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 119 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Lisa A Steelman		Date of Receipt
Mailing Address One Health Plaza		M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	Transaction ID : A2013-4383162	
	Amount of Each Receipt this Period	
	159.24	
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	1273.92	

Full Name (Last, First, Middle Initial) B. Philip G Stehle		Date of Receipt
Mailing Address One Health Plaza		M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	Transaction ID : A2013-4382367	
	Amount of Each Receipt this Period	
	28.86	
Name of Employer	Occupation	
Alcon Laboratories Inc.	Cont Process Improv Anlys - Sr	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	230.88	

Full Name (Last, First, Middle Initial) C. Ashley D Stephens		Date of Receipt
Mailing Address One Health Plaza		M M M / D D D / Y Y Y Y Y Y 11 / 29 / 2013
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	Transaction ID : A2013-4383684	
	Amount of Each Receipt this Period	
	27.69	
Name of Employer	Occupation	
Novartis Pharmaceuticals	Area Leader Key Account Mgmt	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	221.52	

SUBTOTAL of Receipts This Page (optional).....▶	215.79
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 120 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Donald P Stevens		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383274
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="103.86"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="830.88"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Lesley J Stickley		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383338
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="34.62"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Account Manager	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Matthew C Stillwell		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383287
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="27.69"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Area Leader Key Account Mgmt	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="166.17"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 121 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Peter N Streit
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation MARKETING - DEV ROLE
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **332.40**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383586
 Amount of Each Receipt this Period
41.55

B. Kevin Strickland
 Full Name (Last, First, Middle Initial)
 Mailing Address 701 Pennsylvania AveNW Ste 725
 City Washington State DC Zip Code 20004
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Sales-Pharmaceutical - Special
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384314
 Amount of Each Receipt this Period
27.69

c. Mary R Strickland
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation Government Affairs - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384282
 Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional)..... **138.48**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 122 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Joanne Strunk-Walsh		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4384351
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	Zip Code	<input type="text" value="5.00"/>
	07936	
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Sales-Pharmaceutical - Senior	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="440.00"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Nirupama Subramanian		Date of Receipt
Mailing Address 350 Massachusetts Avenue 350 MA # 232A		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4384295
Cambridge	MA	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	Zip Code	<input type="text" value="34.62"/>
	02139	
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Clin Rsrch - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="276.96"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. John Suchorsky		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4383555
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	Zip Code	<input type="text" value="69.24"/>
	07936	
Name of Employer	Occupation	
Novartis Pharmaceuticals	Relationship Management - Dire	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="553.92"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="108.86"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 123 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Xiaowei Sun

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Sandoz Inc. QC Anlys and TstSvcs - Sr Con

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384287

Amount of Each Receipt this Period
 34.62

Full Name (Last, First, Middle Initial)
B. Thomas A Suter

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 553.92

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384125

Amount of Each Receipt this Period
 69.24

Full Name (Last, First, Middle Initial)
C. Sonya R Taylor

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals BU Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 221.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383939

Amount of Each Receipt this Period
 27.69

SUBTOTAL of Receipts This Page (optional)..... ▶ 131.55

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 124 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Richard Tessier
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Engineering Facilities - Direc

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383622

Amount of Each Receipt this Period
69.24

B. Barbara A Tombros
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Strategic Planning - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **850.13**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383507

Amount of Each Receipt this Period
106.59

C. Seth A Townsend
Full Name (Last, First, Middle Initial)

Mailing Address 608 Fifth Avenue

City New York State NY Zip Code 10020

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Financial Analysis - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **390.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384229

Amount of Each Receipt this Period
90.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **265.83**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 125 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Christina M Tremains		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383668
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="93.63"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	BU Sr Account Manager	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="747.02"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Aaron L Trenary		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383651
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="27.69"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Neuro-Psych Executive Speciali	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="221.52"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Thomas A Urban		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="11"/> / <input type="text" value="29"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4383163
<input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="46.23"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Executive Sales Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="368.87"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="167.55"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 126 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Lisa R Utt
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Neuro-Psych Executive Speciali

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **332.40**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4383249

Amount of Each Receipt this Period
41.55

B. Erwin Vanhaecke
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation PRODUCTION - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.04**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4382339

Amount of Each Receipt this Period
23.08

C. Russell E Veitenheimer
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4383272

Amount of Each Receipt this Period
34.62

SUBTOTAL of Receipts This Page (optional)..... **99.25**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 127 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Stephanie L Visioli
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Project Management - Senior Co
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383228
 Amount of Each Receipt this Period
 250.00

B. William W Voegtli
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Reimbursement-Sr Mgr - Senior
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 336.96

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383242
 Amount of Each Receipt this Period
 42.12

C. Andrew J Volante
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1107.60

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383445
 Amount of Each Receipt this Period
 138.45

SUBTOTAL of Receipts This Page (optional)..... ▶ 430.57
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 128 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Matthew M von Wellsheim

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Alcon Laboratories Inc. IT - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 553.92

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382303

Amount of Each Receipt this Period
 69.24

Full Name (Last, First, Middle Initial)
B. Anthony Wallace

Mailing Address One Health Plaza
 Ste 725

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Vaccines & Diagnostics Sales Mgr-Reg-V&D - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 360.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384305

Amount of Each Receipt this Period
 45.00

Full Name (Last, First, Middle Initial)
C. Steven A Waller

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
 Novartis Pharmaceuticals Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 223.06

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383653

Amount of Each Receipt this Period
 29.23

SUBTOTAL of Receipts This Page (optional)..... ▶ 143.47

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 129 OF 138
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John Ward
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City State Zip Code
 Cambridge MA 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Novartis Vaccines & Diagnostics General Counsel - Senior Consu
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384363
 Amount of Each Receipt this Period
 34.62

B. Robert K Warner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City State Zip Code
 East Hanover NJ 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Alcon Laboratories Inc. COMMERCIAL & GEN MGMT - CEG RO
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 553.92

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4382309
 Amount of Each Receipt this Period
 69.24

C. James T Wassil
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City State Zip Code
 Cambridge MA 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Novartis Vaccines & Diagnostics Clin Rsrch (MD) - Ex Dir
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 276.96

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384366
 Amount of Each Receipt this Period
 34.62

SUBTOTAL of Receipts This Page (optional).....▶ 138.48
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 130 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Richard B Weitzman
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Drug Sfty Surv (MD) - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4384016

Amount of Each Receipt this Period
250.00

B. Jane E Welborn
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **589.20**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383293

Amount of Each Receipt this Period
73.65

C. Randy S Wilbur
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Cont Process Improv Anlys - Sp

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 11 / 29 / 2013
Transaction ID : A2013-4383627

Amount of Each Receipt this Period
27.69

SUBTOTAL of Receipts This Page (optional).....▶	351.34
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 131 OF 138
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward S Williams
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4383425

Amount of Each Receipt this Period **27.69**

B. James E Williams
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clinical Research (MD) - Princ

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **1107.60**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4384113

Amount of Each Receipt this Period **138.45**

C. Kara K Williamson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **227.02**

Date of Receipt **11 / 29 / 2013**

Transaction ID : A2013-4384043

Amount of Each Receipt this Period **130.00**

SUBTOTAL of Receipts This Page (optional)..... **296.14**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 132 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Brad C Willie
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Assoc Dir Account Mgmt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4383262

Amount of Each Receipt this Period
34.62

B. Karen M Wilshire
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4383276

Amount of Each Receipt this Period
27.69

C. Stephen A Woolford
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Financial Analysis - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **553.92**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4383603

Amount of Each Receipt this Period
69.24

SUBTOTAL of Receipts This Page (optional)..... ▶ **131.55**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 133 OF 138
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christine D Wyble
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Medical Information Services -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.96**

Date of Receipt
 M M / D D / Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4384162

Amount of Each Receipt this Period
34.62

B. Fan Zhang
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Toxicology - Senior Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **230.88**

Date of Receipt
 M M / D D / Y Y Y Y Y
11 / 29 / 2013
Transaction ID : A2013-4382348

Amount of Each Receipt this Period
28.86

C.
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶	63.48
TOTAL This Period (last page this line number only).....▶	29513.51

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Capital One Bank

Mailing Address 701 Pennsylvania Ave. NW

City Washington State DC Zip Code 20004

Purpose of Disbursement
Bank Service Charge

001

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For: 2013
 Primary General
 Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2013

Transaction ID : B483809

Amount of Each Disbursement this Period

47.62

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Amount of Each Disbursement this Period

--

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Amount of Each Disbursement this Period

--

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

47.62

47.62

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Defend America PAC

Mailing Address 499 S. Capitol St. SW Suite 420

City Washington State DC Zip Code 20003

Purpose of Disbursement Contribution

011

Candidate Name

Category/Type

Office Sought: House Senate President

Disbursement For: 2013
 Primary General
 Other (specify) ▼

State: District:

Not Applicable

Date of Disbursement

MM / DD / YYYY
11 / 07 / 2013

Transaction ID : B481140

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Scott Peters for Congress

Mailing Address 412 First St. SE Suite 100

City Washington State DC Zip Code 20003

Purpose of Disbursement Contribution

011

Candidate Name

Scott Peters

Category/Type

Office Sought: House Senate President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: CA District: 52

Date of Disbursement

MM / DD / YYYY
11 / 07 / 2013

Transaction ID : B481141

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. The Markey Committee

Mailing Address 236 Massachusetts Ave. NE Ste. 207

City Washington State DC Zip Code 20002

Purpose of Disbursement Contribution

011

Candidate Name

Edward J Markey

Category/Type

Office Sought: House Senate President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: MA District:

Date of Disbursement

MM / DD / YYYY
11 / 07 / 2013

Transaction ID : B481145

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Rogers for Congress

Mailing Address 499 S. Capitol St. SW Suite 420

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

011

Candidate Name

Mike J Rogers

Category/
Type

Office Sought: House
 Senate
 President
State: MI District: 08

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			07			2013			

Transaction ID : B481139

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Renee Ellmers for Congress

Mailing Address 499 S. Capitol St. SW Suite 420

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

011

Candidate Name

Renee Ellmers

Category/
Type

Office Sought: House
 Senate
 President
State: NC District: 02

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			21			2013			

Transaction ID : B481292

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Frelinghuysen for Congress

Mailing Address P.O. Box 29576

City Washington State DC Zip Code 20017

Purpose of Disbursement
Contribution

011

Candidate Name

Rodney P Frelinghuysen

Category/
Type

Office Sought: House
 Senate
 President
State: NJ District: 11

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			21			2013			

Transaction ID : B482097

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gerlach for Congress

Mailing Address 499 S. Capitol St. SW Suite 420

City Washington State DC Zip Code 20003

Purpose of Disbursement Contribution

011

Candidate Name
Jim Gerlach

Category/Type

Office Sought: House Senate President
State: PA District: 06

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 07 / 2013

Transaction ID : B481146

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. The Reed Committee

Mailing Address 328 Massachusetts Ave. NE

City Washington State DC Zip Code 20002

Purpose of Disbursement Contribution

011

Candidate Name
Jack Reed

Category/Type

Office Sought: House Senate President
State: RI District:

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 07 / 2013

Transaction ID : B481147

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Alexander for Senate Inc.

Mailing Address 1015 Stonebridge Park Dr.

City Franklin State TN Zip Code 37069

Purpose of Disbursement Contribution

011

Candidate Name
Lamar Alexander

Category/Type

Office Sought: House Senate President
State: TN District:

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : B481293

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gene Green Congressional Campaign

Mailing Address PO Box 16128

City Houston State TX Zip Code 77222

Purpose of Disbursement
Contribution

011

Candidate Name

Raymond Gene Green

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: TX District: 29

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : B481933

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

B. Cantor for Congress

Mailing Address P.O. Box 21027

City Washington State DC Zip Code 20009

Purpose of Disbursement
Contribution

011

Candidate Name

Eric I Cantor

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: VA District: 07

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : B481931

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Gerry Connolly for Congress

Mailing Address P.O. Box 563

City Merrifield State VA Zip Code 22116

Purpose of Disbursement
Contribution

011

Candidate Name

Gerry Connolly

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: VA District: 11

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : B481932

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4000.00

TOTAL This Period (last page this line number only)..... ▶

13500.00