Image# 13964908042 PAGE 1 / 138

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

									Office U	se Only	
1.	NAME OF COMMITTEE (in		TYPE OR F	PRINT ▼		mple: If typion the lines.	ng, type	12FE4	1M5		
N	lovartis Corp	oration Po	olitical A	ction Co	mmittee						1
AD∣	DRESS (number a	nd street)	701 Penr	nsylvania Ave	. NW Suite 725	5					
H	Check if dif	ferent									
L	than previo reported. (A		Washing	ton				DC	2000	4-2608	
2.	FEC IDENTIFIC	CATION NU	MBER ▼		CITY 🛦		5	STATE A		ZIP COI	DE 🛦
	C C000339	69			3. IS THIS REPORT	\ \ \	NEW (N) OR		AMENDED (A)		
4.	TYPE OF RE	PORT	(b) Mon	ort	Feb 20 (M2)		May 20 (M5)		Aug 20 (M8)		Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Re	eports:	Due	On:	Mar 20 (M3)		Jun 20 (M6)		Sep 20 (M9)	×	Dec 20 (M12) (Non-Election Year Only)
	April 15	5			Apr 20 (M4)		Jul 20 (M7)		Oct 20 (M10)		Jan 31 (YE)
		ly Report (Q1	(c)	12-Day	П	Primary (12F	P)	Gen	eral (12G)	П	Runoff (12R)
	July 15 Quarter	ly Report (Q2	2)	PRE-Electio		Convention ((12C)	Spe	cial (12S)		
	Octobe Quarter	r 15 ly Report (Q3	3)			, , , , , , , , , , , , , , , , , , ,	(1.20)	Sp0	o.a. (.20)		
	Januar Year-Ei	/ 31 nd Report (YE	≣)	E	Election on	M M /	D D /	Y Y Y	Y	in the State of	
	Report	Mid-Year (Non-election nly) (MY)	(d)	30-Day POST-Elect		General (300	G)	Run	off (30R)		Special (30S)
	Termina (TER)	ation Report		Report for t	ne.	M = M /	D = D /	Y = Y = Y	- Y	in the	
	(1211)			E	Election on					State of	
5.	Covering Period	M M	/ 01		013	through	M M	30		13	
Lce	ertify that I have e	examined this	s Report a	nd to the be	est of my kno	wledge and	belief it is tru	e. correc	t and comple	ete.	
	e or Print Name		-						- and comple		
									M M / D	D /	Y Y Y Y
Sig	nature of Treasur	er <i>Shawn</i>	O'Neail			[Electronicall	y Filed] D	ate	12 19		2013
NO.	TE: Submission of	false, errone	ous, or inco	omplete infor	mation may su	bject the per	son signing th	is Report	to the penalt	ies of 2 L	J.S.C. §437g.
	Office			-		•				FOR	
	Use Only									Rev. 12/20	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 11 01 2013 To: 11 30 2013

		COLUMN A This Period	COLUMN B Calendar Year-to-Date				
6.	(a) Cash on Hand January 1, 2013		202800.39				
	(b) Cash on Hand at Beginning of Reporting Period	144439.60					
	(c) Total Receipts (from Line 19)	50477.18	309206.80				
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	194916.78	512007.19				
	Total Disbursements (from Line 31)	13547.62	330638.03				
	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	181369.16	181369.16				
	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					
0.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	29513.51	
Than Political Committees	29513 51	
	29513 51	
(i) Itemized (use Schedule A)		120680.66
	20010.01	120000.00
(ii) Unitemized	20963.67	182526.14
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	50477.18	303206.80
Political Party Committees	0.00	0.00
	0.00	0.00
Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	50477.18	303206.80
sfers From Affiliated/Other		
y Committees	0.00	0.00
oans Received	0.00	0.00
_		
Repayments Received	0.00	0.00
ets To Operating Expenditures		
·		
	0.00	0.00
		2000 00
	0.00	6000.00
· · · · · · · · · · · · · · · · · · ·		
	0.00	0.00
	0.00	0.00
(IIOIII Scriedule 113)	0.00	0.00
evin Funds (from Schedule H5)	0.00	0.00
Committee (norm concession no) minim		
otal Transfers (add 18(a) and 18(b))	0.00	0.00
	Political Party Committees	(iii) TOTAL (add Lines 11(a)(i) and (ii)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	Total This Period	COLUMN B Calendar Year-to-Date
erating Expenditures: — Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
Other Federal Operating		
Expenditures	47.62	646.35
	47.62	646.35
ansfers to Affiliated/Other Party		
mmittees	0.00	0.00
deral Candidates/Committees d Other Political Committees	13500.00	310500.00
The state of the s	0.00	0.00
ordinated Party Expenditures		0.00
U.S.C. §441a(d)) se Schedule F)	0.00	0.00
an Repayments Made	0.00	0.00
one Made	0.00	0.00
funds of Contributions To:	0.00	0.00
Than Political Committees	0.00	41.68
Political Party Committees	0.00	0.00
Other Political Committees	0.00	
(such as PACs)	0.00	0.00
Total Contribution Refunds		
(add Lines 28(a), (b), and (c))▶	0.00	41.68
ner Disbursements	0.00	19450.00
(from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
Federal Election Activity Paid Entirely		
With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
al Disbursements (add Lines 21(c), 22.		
, 24, 25, 26, 27, 28(d), 29 and 30(c))	13547.62	330638.03
al Federal Disbursements		
abtract Line 21(a)(ii) and Line 30(a)(ii)	13547.62	330638.03
	Allocated Federal/Non-Federal Activity (from Schedule H4) (i) Federal Share	Allocated Federal/Non-Federal Activity (from Schedule H4) (i) Federal Share

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	50477.18	303206.80
34. Total Contribution Refunds (from Line 28(d))	0.00	41.68
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	50477.18	303165.12
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	47.62	646.35
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	47.62	646.35

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Sta or for commercial purposes, other than using the r		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	tion Committee	
' '	State Zip Code MA 02139 C Occupation Marketing - Director Aggregate Year-to-Date ▼ 221.52	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Novertia Dharmacauticala	State Zip Code NJ 07936 C Occupation Administration - Vice Presiden Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4383510 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Robin D Adelstein Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation LEGAL & IP - CEG ROLE Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4384274 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)		96.93
TOTAL This Period (last page this line number or	nly)	

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Lisa A Aghabi Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384205 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 780.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals QA In-Proc & Release Ctrl - D Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) Full Name (Last, First, Middle Initial) B. Laman Alani Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382364 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Alcon Research Top Formulation and Pharmaceut Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) c. Kim R Alford Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383268 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 853.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 8 OF

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
Any information copied from such Reports and Sta or for commercial purposes, other than using the r		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	tion Committee	
Full Name (Last, First, Middle Initial) Antonio Anderson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation PC Sr Area Business Leader Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID : A2013-4384027 Amount of Each Receipt this Period 27.69
Navantia Dhamaaaautiaala	State Zip Code NJ 07936 C Occupation Diversity Workforce Mgmt - Ex Aggregate Year-to-Date ▼ 220.00	Date of Receipt M M M / 29 2013 Transaction ID: A2013-4383643 Amount of Each Receipt this Period 20.00
1 ,	State Zip Code NJ 07936 C Occupation Product Sfty Risk Mgmt - Dir Aggregate Year-to-Date ▼ 332.40	Date of Receipt 11 29 2013 Transaction ID: A2013-4383503 Amount of Each Receipt this Period 41.55
SUBTOTAL of Receipts This Page (optional)	······	89.24
TOTAL This Period (last page this line number or	nlv)	

FOR LINE NUMBER: PAGE 9 OF 138 Use separate sch for each category Detailed Summai

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Felipe Arenas Mailing Address One Health Plaza		Date of Receipt
		11 29 2013
City	State Zip Code NJ 07936	Transaction ID : A2013-4383793
East Hanover	140 0/930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Oncology Sr Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) 3. Brad Arlett Mailing Address One Health Plane		Date of Receipt
Mailing Address One Health Plaza		11 29 _2013 _
City	State Zip Code	Transaction ID : A2013-4384150
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals	Occupation Relationship Mgmt - Asoc Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Andrew Arline		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383794 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.55
Name of Employer	Occupation	•
Novartis Pharmaceuticals	PC Sr Area Business Leader	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	332.40	
SUBTOTAL of Receipts This Page (optional)		103.86
TOTAL This Period (last page this line number	<u>^</u>	

	FOF	R LINE	NU	MBER	:	PAGE		10 OF	13	
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Marc W Bacon Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4383798 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Sales Analysis - Senior Specia Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Jeanne E Baker Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383323 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Specialty Area Business Leader	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Christopher G Bangs		Date of Receipt
Mailing Address One Health Plaza Ste 725 City	State Zip Code	11 29 2013 Transaction ID : A2013-4384316
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics Receipt For:	Product/Brand Mgmt - Sr ConsIt	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
SUBTOTAL of Receipts This Page (optional)	•	83.07
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 11 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael Banko Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383585 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Database Analysis - Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Michael C Barninger Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383802 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Budgeting - Associate Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384152 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 235.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Andrew R Bayard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID: A2013-4383431 Amount of Each Receipt this Period 27.69
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation BU Sr Account Manager Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) 3. Antonio M Benitz Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4382230
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 27.69
Name of Employer Novartis Animal Health US Inc. Receipt For: Primary General Other (specify) ▼	Occupation Research/Devel Mgmt - Ex Dir Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Stephen H Bennett Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Financial Analysis - Associate Aggregate Year-to-Date ▼ 230.88	Transaction ID : A2013-4382391 Amount of Each Receipt this Period 28.86
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	84.24
TOTAL This Period (last page this line number	r only)	

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Detailed Summary Page	🔼 11a	11	מו	11C		12	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Teder A Benson Mailing Address One Health Plaza City State Zip Code East Hanover Nu 07938 FEC ID number of contributing federal political committee. Coccupation Sandoz Inc. Sales Ph-Spec - Sr Const! Receipt For: Primary General Other (specify) ▼ State Zip Code Nu 07938 Aggregate Year-to-Date ▼ Political committee. City State Zip Code Nu 07938 FEC ID number of contributing federal political committee. City State Zip Code Nu 07938 FEC ID number of contributing federal political committee. City State Zip Code Nu 07938 FEC ID number of contributing federal political committee. City Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ State Zip Code Nu 07936 Full Name (Last, First, Middle Initial) Date of Receipt This Period Transaction ID: A2013-4383628 Amount of Each Receipt This Period Transaction ID: A2013-4383628 Amount of Each Receipt This Period Transaction ID: A2013-4383628 Amount of Each Receipt This Period Transaction ID: A2013-4383628 Amount of Each Receipt Transaction ID: A2013-4383628 Amount of Each Receipt Transaction ID: A2013-4383628 Transaction ID: A2013-4383628 Amount of Each Receipt Transaction ID: A2013-4383627 Transaction ID: A2013-4383627 Amount of Each Receipt Transaction ID: A2013-4383628 Amount of Each Receipt Transactio	Any information copied from such Reports a or for commercial purposes, other than using	nd Statements may not be sold or used by any per g the name and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
Ted Renson Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Sales-PH-Spec - Sr Constt Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Political committee. C Date of Receipt Transaction ID: A2013-4384271 Amount of Each Receipt this Period 45.00 Aggregate Year-to-Date ▼ Date of Receipt Transaction ID: A2013-4385828 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Transaction ID: A2013-4385828 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Transaction ID: A2013-4385827 Amount of Each Receipt this Period Transaction ID: A2013-4385827 Amount of Each Receipt this Period Transaction ID: A2013-4385827 Amount of Each Receipt this Period Transaction ID: A2013-4385827 Amount of Each Receipt this Period Transaction ID: A2013-4385828 Transaction ID: A20	,	Il Action Committee	
State Anover State Zip Code NJ 07936	Ted R Benson		†
East Hanover FEC ID number of contributing federal political committee. City East Hanover Numer of Employer Sandoz Inc. Full Name (Last, First, Middle Initial) Sheila Bhattacharya Mailing Address One Health Plaza City East Hanover Numer of Employer State Zip Code Number of contributing federal political committee. Name of Employer State Zip Code Number of contributing federal political committee. Receipt For: Primary			
FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Occupation Sales-Ph-Spec - Sr Const Primary General Orbert Specify ▼ State	•	•	
Sales-PH-Spec - Sr Consit Receipt For:	FEC ID number of contributing		
Primary General Primary General Shelia Bhattacharya Date of Receipt	Sandoz Inc.	'	
Sheila Bhattacharya Mailing Address One Health Plaza City East Hanover East Hanover C Nu O7936 Nu O7936 City State City Docupation Novariis Pharmaceuticals Receipt For: Primary General City Darrell E Biggs Mailing Address One Health Plaza City State State City State City State City State City State City State	Primary General		
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ State Zip Code NJ 07936 Amount of Each Receipt this Period Aggregate Year-to-Date ▼ Transaction ID: A2013-4383628 Amount of Each Receipt this Period Address One Health Plaza Date of Receipt Transaction ID: A2013-4384273 Transaction ID: A2013-4384273 Transaction ID: A2013-4384273 Transaction ID: A2013-4384273 Amount of Each Receipt this Period 11 29 2013 Transaction ID: A2013-4384288 Date of Receipt Date of Receipt Transaction ID: A2013-4384273 Transaction ID: A2013-4384273 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. C Name of Employer Sandoz Inc. Primary General Other (specify) ▼ Occupation Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ PS3.88 SUBTOTAL of Receipts This Page (optional) 11 29 2013 Transaction ID: A2013-4384273 Amount of Each Receipt this Period Aggregate Year-to-Date ▼ 91.16	Sheila Bhattacharya	•	₹
East Hanover East Hanover East Hanover C C C C C C C C C C C C C	Mailing Address One Health Plaza		
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Aggregate Year-to-Date Pull Name (Last, First, Middle Initial) Darrell E Biggs Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Soundoz Inc. Receipt For: Primary General Other (specify) Aggregate Year-to-Date Date of Receipt Transaction ID : A2013-4384273 Amount of Each Receipt this Period 11.54 Substotial Committee. Aggregate Year-to-Date Occupation Project Mgmt-Info Tech - Sr Co Receipt For: Primary General Other (specify) Other (specify) Other (specify) Aggregate Year-to-Date 91.16	•	•	
Substotal of Receipts This Page (optional) State Substotal of Receipts For: Primary General Aggregate Year-to-Date ▼ Substotal of Receipts For: Primary General Aggregate Year-to-Date ▼ State Zip Code NJ 07936 Occupation Transaction ID: A2013-4384273	East Hanover	NJ 07936	Amount of Each Receipt this Period
Novartis Pharmaceuticals Receipt For:	ŭ	C	34.62
Primary General Other (specify) ▼ 276.96 Full Name (Last, First, Middle Initial) Darrell E Biggs Mailing Address One Health Plaza	Novartis Pharmaceuticals	'	
Darrell É Biggs Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼ Date of Receipt Transaction ID: A2013-4384273 Amount of Each Receipt this Period 11.54 Aggregate Year-to-Date ▼ 91.16	Primary General	00 0	
Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼ Page (optional). Substitute Zip Code Transaction ID : A2013-4384273 Amount of Each Receipt this Period 11.54 Aggregate Year-to-Date ▼ 91.16			Date of Receipt
East Hanover NJ 07936 Amount of Each Receipt this Period C 11.54 Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 91.16			M = M / D = D / Y = Y = Y
FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary Other (specify) Other (specify) Annount of Each Receipt this Period 11.54			
Name of Employer Sandoz Inc. Receipt For: Primary Other (specify) ▼ SUBTOTAL of Receipts This Page (optional) Occupation Project Mgmt-Info Tech - Sr Co Aggregate Year-to-Date ▼ 253.88	FEC ID number of contributing		T
Sandoz Inc. Receipt For: Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional)	·		
Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 253.88 SUBTOTAL of Receipts This Page (optional)		'	
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	SUBTOTAL of Receipts This Page (optional	ıl)	91.16
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FOR LINE NUMBER: PAGE 14 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J Blair Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383500 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Product/Brand Mgmt - Asoc Dir Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Eduardo Blas Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382313 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Information Systems - Vice Pre Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa M Blizzard Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Peri K Bonner Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383368 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 84.81 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 677.11 Other (specify) Full Name (Last, First, Middle Initial) **B.** Troy L Borill Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383735 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 56.22 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 447.82 Other (specify) Full Name (Last, First, Middle Initial) c. Daniel S Bortfeld Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383331 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Staffing - Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 175.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Karen M Boyajian Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID: A2013-4383343
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other	NJ 07936 C Occupation Neuro-Psych Executive Speciali Aggregate Year-to-Date ▼ 243.60	Amount of Each Receipt this Period 30.45
Full Name (Last, First, Middle Initial) Leonard J Brandt Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4383572
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	NJ 07936 C Occupation Promotion/Advertising - Direct	Amount of Each Receipt this Period 69.24
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
Full Name (Last, First, Middle Initial) Ellen M Browne Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code NJ 07936 C Occupation Info Sys- Ex Dir Aggregate Year-to-Date ▼ 553.92	Transaction ID : A2013-4383619 Amount of Each Receipt this Period 69.24
SUBTOTAL of Receipts This Page (optional)		168.93
TOTAL This Period (last page this line numbe	r only)	

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Lucinda A Bruere Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4383288 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation PC Sr Area Business Leader Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza		Date of Receipt 11 29 _2013 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383813 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer Novartis Pharmaceuticals	Occupation Sys Plan and Architecture - Di	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
Full Name (Last, First, Middle Initial) Matthew H Buckley		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383147 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Regional Director Acct Mgmt	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)		131.55
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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) John S Burke Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383590 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Sales Specialist Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Richard Burns Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383194 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.55
Name of Employer Novartis Pharmaceuticals	Occupation Regional Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 332.40	
Full Name (Last, First, Middle Initial) C. Steven J Busch	,	Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4384038 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Executive Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)		96.93
TOTAL This Period (last page this line numbe	r only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Timothy S Byler Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383436 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Lindsay R Callaghan Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383763 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **BU Sr Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Patricia A Cannon Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384385 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 105.00 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 167.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Todd D Cantin Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383357 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Specialty Sr Area Business Lea Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. James P Carey Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383700 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 148.80 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 1185.30 Other (specify) Full Name (Last, First, Middle Initial) c. James L Carrico Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383318 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation PC Sr Area Business Leader Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 218.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383390 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 415.38 federal political committee. Name of Employer Occupation Fed and Legislative Affairs -Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 3323.04 Other (specify) Full Name (Last, First, Middle Initial) B. Julie A Cave Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383961 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Sci Liaison(Non-MD) - Sr C Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Cynthia C Cetani Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383817 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Compliance - Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 734.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) James E Chastain Mailing Address One Health Plaza		Date of Receipt
Cit.	0.44	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382319
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 28.86
Name of Employer Alcon Research	Occupation Pharmacokinetics/Drug Meta - E	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 230.88	
Full Name (Last, First, Middle Initial) Atindra N Chaturvedi Mailing Address One Health Plaza		Date of Receipt
		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383509
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 69.24
Name of Employer Novartis Pharmaceuticals	Occupation Info Sys- Ex Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
Full Name (Last, First, Middle Initial)		2. (2.)
Barbara Christensen-Boner Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383221 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	81.27
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Government Affairs - Senior Sp	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 648.14	
SUBTOTAL of Receipts This Page (optional)		179.37
TOTAL This Period (last page this line number	<u> </u>	

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Charles J Ciccia Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383819 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Specialty Sr Area Business Lea Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew D Civiello Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384031 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Specialty Sr Area Business Lea Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Martin R Clark Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384030 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sean T Clark Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382251 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Product/Brand Mgmt - VP **CIBA Vision Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Cathryn M Clary Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384219 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **DEVELOPMENT & MEDICAL - CEG RO** Receipt For: Aggregate Year-to-Date ▼ Primary General 317.00 Other (specify) Full Name (Last, First, Middle Initial) c. Julie A Collins Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4382252 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation Professional Svcs-Mktg - Dir CIBA Vision Corporation Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 528.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a
		person for the purpose of soliciting contributions tee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Matt Compton Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Area Leader Key Account Mg Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID: A2013-4383306 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) William W Conkling Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Therapeutic Head Mktg - Ex Di Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID: A2013-4383824 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sales-RAM-Pharma Acct Mgmt - E Aggregate Year-to-Date ▼ 553.92	Date of Receipt 11 29 2013 Transaction ID : A2013-4383451 Amount of Each Receipt this Period 69.24
		124.62
TOTAL This Period (last page this line numb	er only)	>

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Burt Cook Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383681 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Sr Sales Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4384341 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Product Marketing - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) c. Mary E Corcoran Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383825 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 193.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	nd Statements may not be sold or used by any per the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. David C Creedon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Relationship Mgmt - Ex Dir Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4384164 Amount of Each Receipt this Period 45.00
Full Name (Last, First, Middle Initial) B. Rhonda N Crichlow Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID: A2013-4383984 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	Occupation Diversity Workforce Mgmt - VP Aggregate Year-to-Date ▼ 276.96	34.62
Full Name (Last, First, Middle Initial) C. Charles S Crouch Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Cont Process Improv Anlys - Di Aggregate Year-to-Date ▼ 230.88	Transaction ID : A2013-4382362 Amount of Each Receipt this Period 28.86
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number)	·	108.48

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee	
Receipt For: Primary General Other (specify) ▼	07936	Date of Receipt 11 29 2013 Transaction ID : A2013-4384284 Amount of Each Receipt this Period 34.62
Receipt For:	07936	Date of Receipt 11 29 2013 Transaction ID : A2013-4384301 Amount of Each Receipt this Period 150.00
Possint For:	07936	Date of Receipt 11 29 2013 Transaction ID: A2013-4383308 Amount of Each Receipt this Period 27.69
SUBTOTAL of Receipts This Page (optional)		212.31
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Cambridge

FEC ID number of contributing

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Transaction ID: A2013-4384361

Amount of Each Receipt this Period

34.62

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Timothy K De Valroger Date of Receipt Mailing Address One Health Plaza 2013 City State Zip Code Transaction ID: A2013-4383828 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Management - Sr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. R. Deck Date of Receipt Mailing Address 350 Massachusetts Avenue 29 11 2013 City Zip Code State

	rederal political committee.		
	Name of Employer Novartis Vaccines & Diagnostics	Occupation Project Management - Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
С .	Full Name (Last, First, Middle Initial) Clinton D Degner		Date of Receipt
	Mailing Address One Health Plaza		11 29 2013
	City	State Zip Code	Transaction ID : A2013-4383270
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	34.62
	Name of Employer	Occupation	
	Novartis Pharmaceuticals	Sr Account Manager	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Joanne Del Rio Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383540 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Facilities - Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Lynne M DeLisi Date of Receipt Mailing Address One Health Plaza 29 2013 City State Zip Code Transaction ID: A2013-4384015 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals General Counsel - Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Randal Dias Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383522 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Project Management - Executive Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Candace B Dibblee Mailing Address One Health Plaza		Date of Receipt
		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383634
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	90.00
Name of Employer	Occupation	-
Novartis Services Incorporated	Government Affairs - Associate	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2512.98	
Full Name (Last, First, Middle Initial) Anthony Diorio Mailing Address One Health Plaza	, ,	Date of Receipt
Mailing Address One Health Plaza		11 29 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4384379
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.00
Name of Employer	Occupation	-
Novartis Vaccines & Diagnostics	District Sales Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	360.00	
Full Name (Last, First, Middle Initial) C. Dwayne T Dixon		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382350 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.86
Name of Employer	Occupation	-
Alcon Laboratories Inc.	Product/Brand Mgmt - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	230.88	
SUBTOTAL of Receipts This Page (optional)		163.86
TOTAL This Period (last page this line number	· only)	

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christos Dogas Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384293 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Auditing - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Dawn M Doggett-Soldatke Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384265 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 390.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Alastair M Douglas Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4382341 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Sales Administration-Alcon - E Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 459.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	and Statements may not be sold or used by any period the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) David P Drake Mailing Address One Health Place		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383508
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	+
Novartis Services Incorporated	Government Affairs - Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2169.24	
Full Name (Last, First, Middle Initial) Carter L Dutch Mailing Address One Health Plaza		Date of Receipt
C:h.	Ctata Zin Code	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4384212 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer Novartis Pharmaceuticals	Occupation Sales Management - Executive D	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382302 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.14
Name of Employer	Occupation	+
Alcon Laboratories Inc.	Auditing - Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 369.12	_
	al)	496.14
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David M Eberenz Jr. Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383426 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) B. Fred Ellis Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382290 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales Management-Alcon - Vice Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) c. Michael R Emch Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383170 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) 129.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any perse e name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Nancy E Emerson Mailing Address One Health Plaza		Date of Receipt
01.	7. 0 1	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382355
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 28.86
Name of Employer Alcon Laboratories Inc.	Occupation Training-Sales - Associate Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 230.88	
Full Name (Last, First, Middle Initial) Richard T Entrekin Mailing Address One Health Plaza		Date of Receipt
		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382379
FEC ID number of contributing federal political committee.	C 07936	Amount of Each Receipt this Period 34.62
Name of Employer Alcon Research	Occupation Eng-Mftg - Ex Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) David R Epstein		Date of Receipt
Mailing Address One Health Plaza		M - M / D - D / Y - Y - Y - Y - Y - Y - Y - Y - Y - Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383546
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer	Occupation	
Novartis Services Incorporated	COMMERCIAL & GEN MGMT - CEG RO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
SUBTOTAL of Receipts This Page (optional)		163.48
TOTAL This Period (last page this line number		

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	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
$\Big angle$ Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. Judith J Ewalt		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383404
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Area Sales Mgr - Oncology	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	276.96	
Full Name (Last, First, Middle Initial) Michael E Fairchild		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4382310
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.14
Name of Employer	Occupation	
Alcon Laboratories Inc.	FINANCE - DEV ROLE	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 369.12	
Full Name (Last, First, Middle Initial) C. Thomas S Fellers		Date of Receipt
Mailing Address One Health Plaza		11 29 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4383639
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Med Science Liaison (Non-MD) -	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	823.12	
SUBTOTAL of Receipts This Page (optional)	•	230.76
TOTAL This Period (last page this line number	only)	7 7

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christine Ferguson Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384220 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 260.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Labeling Specifications - Sr S Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) B. Darrell G Fick Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384001 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 347.02 Other (specify) Full Name (Last, First, Middle Initial) c. Lothar H Finke Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384133 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 1950.00 С federal political committee. Name of Employer Occupation Translational Medicine - Vice Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1950.00 Other (specify) 2460.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) James E Foley Mailing Address One Health Plaza		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C Occupation Clip Barch (Non MD) Dir (CM)	Transaction ID : A2013-4383621 Amount of Each Receipt this Period 34.62
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Clin Rsrch (Non-MD) - Dir (GM) Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Andrew T Footo Mailing Address One Health Plaza	Chales 7 to Code	Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2013-4383687 Amount of Each Receipt this Period 27.69
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation Oncology Sr Specialist Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Matthew C Foster Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID: A2013-4383397 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation PC Sr Area Business Leader Aggregate Year-to-Date ▼ 276.96	34.62
SUBTOTAL of Receipts This Page (optional)	>	96.93
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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Anna M Frable Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Top Pub Relat & Int Comms Ex- Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID : A2013-4383536 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Steven Franchetti Mailing Address 350 Massachusetts Avenuation City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Auditing - Director Aggregate Year-to-Date 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4384338 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Patrick G Francke Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Sales Consultant Aggregate Year-to-Date ▼ 336.96	Date of Receipt 11 29 2013 Transaction ID : A2013-4384020 Amount of Each Receipt this Period 42.12
SUBTOTAL of Receipts This Page (optional)	104.43
TOTAL This Period (last page this line num	ber only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) H. P Frederick Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383440 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.49 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals PC Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 619.92 Other (specify) Full Name (Last, First, Middle Initial) B. Kathryn M Freeborn Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383241 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Jon S Freeland Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384213 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.50 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 262.50 Other (specify) 142.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Debra E Freire Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383583 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals External Comms - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Neely T Frye Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383466 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 181.05 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 1443.16 Other (specify) Full Name (Last, First, Middle Initial) c. Steven J Gabor Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384355 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 52.50 С federal political committee. Name of Employer Occupation Product/Brand Mgmt - Asoc Dir Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 302.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jill H Gaither Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383642 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383322 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Marketing - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Albert Galloza Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383189 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Tech-Lab - Suppt Spec Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 64.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Owen H Gan Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382287 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Pharma/Bio Proc-Dir Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) B. Martin J Gargiule Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384276 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sandoz Inc. Financial Analysis - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Parameswar Garimella Date of Receipt Mailing Address 350 Massachusetts Avenue 29 2013 City Zip Code State Transaction ID: A2013-4384365 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Information Systems - Associat Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 98.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Carolyn A Geier Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	11 29 2013 Transaction ID : A2013-4383836
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	130.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Executive Sales Consultant	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 280.00	
Full Name (Last, First, Middle Initial) Michael L Gentry Mailing Address One Health Place		Date of Receipt
Mailing Address One Health Plaza		11 29 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4382301
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	36.00
Name of Employer	Occupation	
Alcon Laboratories Inc.	Programmer/Analyst - Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	313.90	
Full Name (Last, First, Middle Initial) C. Melissa F Gifford		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383838 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Executive Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	221.52	
SUBTOTAL of Receipts This Page (optional).		193.69
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 45 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383126 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Latin America Service Procurement - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) B. Roger K Gravitte Date of Receipt Mailing Address One Health Plaza 29 2013 City State Zip Code Transaction ID: A2013-4383125 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. Sales Management-OTC - Vice Pr Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) c. Robert D Gross Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4382393 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 300.00 С federal political committee. Name of Employer Occupation Medical Affairs - Director Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 480.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384157 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clin Rsrch - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 362.34 Other (specify) Full Name (Last, First, Middle Initial) **B.** Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384124 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Marketing - Director II Receipt For: Aggregate Year-to-Date ▼ Primary General 253.83 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Guido Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383845 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys- Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) 255.00 SUBTOTAL of Receipts This Page (optional).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David E Gulick Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383248 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Kurt Habel Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383505 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research - Sr Consl Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. James P Hafner Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384187 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation MS Area Business Leader II Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 180.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Laura A Hagan Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4384147 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Med Science Liaison (Non-MD) - Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Thomas Halbur Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382223 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.62
Name of Employer Novartis Animal Health US Inc.	Occupation Research/Devel Mgmt - Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) C. Philip D Hall		Date of Receipt
Mailing Address 6201 South Freeway	Otata Zin Coda	11 29 2013
City Fort Worth	State Zip Code TX 76134-2001	Transaction ID : A2013-4382271 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	69.24
Name of Employer	Occupation	
Alcon Laboratories Inc. Receipt For:	Sales-Medical Devices - Manage	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
SUBTOTAL of Receipts This Page (optional)		138.48
TOTAL This Period (last page this line numb	er only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Paul R Hallen Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382292 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Alcon Research Business Development - Vice Pr Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah E Haller Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383449 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Mary J Halliwell Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383525 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation External Comms - Ex Dir Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 145.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Thomas E Harper Mailing Address One Health Plaza		Date of Receipt
City	State 7:- C-4-	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383389
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 27.69
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Area Leader Key Account Mgmt	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) 3. Veronica Harris	I	Date of Receipt
Mailing Address One Health Plaza		11 29 _2013 _
City	State Zip Code	Transaction ID : A2013-4384135
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals	Occupation Product/Brand Management - Sr	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) C. Rachel S Hartman		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382334
-	140 07930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.86
Name of Employer	Occupation	1
Alcon Laboratories Inc.	Clinical Education - Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	230.88	
SUBTOTAL of Receipts This Page (optional)		91.17
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sherri J Hatch Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383850 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Med Science Liaison (Non-MD) -Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383470 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph P Hazelton Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383491 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 61.35 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 488.16 Other (specify) 123.66 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) William S Higgins Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 336.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4383414 Amount of Each Receipt this Period 42.12
Full Name (Last, First, Middle Initial) Robert J Hilkert Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Med AFFAIRS Ex (MD) - VP Aggregate Year-to-Date ▼ 553.92	Date of Receipt 11 29 2013 Transaction ID: A2013-4384072 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) John A Hohneker Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4383532 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional).	<u> </u>	136.36
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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William C Hokanson Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383400 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Reg Act Mgmt-Sales Ops -Receipt For: Aggregate Year-to-Date ▼ Primary General 335.85 Other (specify) Full Name (Last, First, Middle Initial) B. Jeanne P Hood Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382351 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Software Devel - Asoc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Herve J Hoppenot Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383855 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 143.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Otto C Horstmann II II Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383610 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Employee Benefits - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Patricia I Hosch Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382413 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Promotion/Advertising - Direct Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Cyrus M Hoseyni Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4384166 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation DEVELOPMENT & MEDICAL - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 319.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	• •	22 22 22 22 22 22 22 22 22 22 22 22 22
Full Name (Last, First, Middle Initial) Linda M Howe Mailing Address 350 Massachusetts Avenue 350 MA # 254J City Cambridge FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation QA Mgmt-Plant - Dir Aggregate Year-to-Date ▼ 232.56	Date of Receipt M M / 29 2013 Transaction ID: A2013-4382267 Amount of Each Receipt this Period 29.07
Full Name (Last, First, Middle Initial) Kirk R Huber Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Occupation Human Resources - Senior Consu Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4383531 Amount of Each Receipt this Period 27.69
Primary General Other (specify) ▼	221.52	
Full Name (Last, First, Middle Initial) Gene M Hughes Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Manager Business Solutions Aggregate Year-to-Date ▼ 224.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4383710 Amount of Each Receipt this Period 24.00
SUBTOTAL of Receipts This Page (optional)	>	80.76
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Melody Hughson Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Government Affairs - Executive Aggregate Year-to-Date ▼	Transaction ID : A2013-4384190 Amount of Each Receipt this Period 150.00
Other (specify) ▼ Full Name (Last, First, Middle Initial) Muhammed Z Islam Mailing Address One Health Plaza	1200.00	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C Occupation	Transaction ID : A2013-4383247 Amount of Each Receipt this Period 300.00
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Biostatistics - Executive Dire Aggregate Year-to-Date ▼ 300.00	
Full Name (Last, First, Middle Initial) Vijay K Iyengar Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Marketing/Sales Head - Vice Pr Aggregate Year-to-Date ▼ 500.00	Transaction ID : A2013-4383859 Amount of Each Receipt this Period 500.00
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	nd Statements may not be sold or used by any pers g the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Il Action Committee	
Full Name (Last, First, Middle Initial) Karen A Jacobs Mailing Address 4560 Horton Street		Date of Receipt
		11 29 2013
City	State Zip Code CA 94608-2916	Transaction ID : A2013-4383563
Emeryville FEC ID number of contributing federal political committee.	CA 94008-2916	Amount of Each Receipt this Period 55.38
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Operations Research - Director Aggregate Year-to-Date ▼ 443.04	
Full Name (Last, First, Middle Initial) Mary A Jarema Mailing Address 4560 Horton Street		Date of Receipt
City	State Zip Code	Transaction ID : A2013-4383703
Emeryville	CA 94608-2916	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Marketing - Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) C. Edgar L Jarvis		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383295 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	48.45
Name of Employer	Occupation	-
Novartis Pharmaceuticals	PC Sr Area Business Leader	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 387.60	
SUBTOTAL of Receipts This Page (optional	I)	131.52
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Scott R Jauch Date of Receipt Mailing Address 508 Ellworth Dr. 2013 City Zip Code State Transaction ID: A2013-4384382 MD Silver Spring 20910 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Government Affairs - Director Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark J Jeffers Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382318 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Training-Sales - Senior Consul Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383861 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 131.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other the	han using the name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation F	Political Action Committee	
Full Name (Last, First, Middle Ini James P Jogerst Mailing Address One Health Plaz		Date of Receipt
City	State Zip Code	11 29 2013 Transaction ID : A2013-4382352
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.14
Name of Employer	Occupation	1
Alcon Laboratories Inc.	Acquist Allianc & Licens - Ex	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 369.12	
Full Name (Last, First, Middle Ini James Johngrass		Date of Receipt
Mailing Address One Health Plaz	a	11 29 2013 _
City	State Zip Code	Transaction ID : A2013-4384108
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sr Sales Consultant	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 213.06	
Full Name (Last, First, Middle Ini	itial)	Date of Receipt
Mailing Address One Health Plaz	za	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383175 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Therapeutic Head Mktg - VP	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	221.52	
SUBTOTAL of Receipts This Page	(optional)	93.06
TOTAL This Period (last page this	line number only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Heather L Johnson Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382246 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation **CIBA Vision Corporation** Sales-Reg Act Mgmt - Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sara Johnson-Davis Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383753 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Mark A Joines Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383374 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Gregory Jones Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383307 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Specialty Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory R Jones Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382296 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales Administration-Alcon - E Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert W Jones Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4382225 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 362.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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	I Statements may not be sold or used by any per the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Deborah Juterbock		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383716 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Info Svcs-Tech - Dir Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Tawfik Kamal Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4383554 Amount of Fool Positive Paried
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals	Occupation Marketing - Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 940.00	
Full Name (Last, First, Middle Initial)		Date of Descipt
Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382304 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.14
Name of Employer	Occupation	-
Alcon Research Receipt For:	Pharma/Bio Proc- VP	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 369.12	
SUBTOTAL of Receipts This Page (optional).	>	180.76
TOTAL This Period (last page this line number	er only)	

SCHEDULE A (FEC Form 3X)

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or for commercial purposes, other than using	the name and a	ddress of any political committee	e to soli	icit con	ntrib	utions	from suc	h commit	tee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee							
Full Name (Last, First, Middle Initial) A. Bella B Karbachinskaya				ate of	Re	ceipt			
Mailing Address One Health Plaza				M = M	/	29		2013	Y
City	State	Zip Code		Trans	acti	on ID	A2013-4	1383709	
East Hanover	NJ	07936	A	mount	of	Each F	Receipt th	nis Period	l
FEC ID number of contributing federal political committee.	С				_	7	7	34	1.62
Name of Employer	Occupation								
Novartis Pharmaceuticals	Operations	Research - Sr Consl							
Receipt For: Primary General	Aggregate	Year-to-Date ▼							
Other (specify) ▼		276.96							
Full Name (Last, First, Middle Initial) 3. Erik L Karlsons	-		Г	ate of	Re	ceint			
Mailing Address One Health Plaza			_ [M = M	1 /	29		2013	Y
City	State	Zip Code			acti		A2013-4		
East Hanover	NJ	07936	A	mount	of	Each F	Receipt th	nis Period	I
FEC ID number of contributing federal political committee.	С					7	7	41	.55
Name of Employer	Occupation								
Novartis Pharmaceuticals	MS Area Bu	isiness Leader II							
Receipt For: Primary General	Aggregate	Year-to-Date ▼							
Other (specify) ▼		332.40	Ш						
Full Name (Last, First, Middle Initial) Chandraprakash Kasireddy				ate of	Re	ceipt			
Mailing Address One Health Plaza				M - M	/	29		2013	Y
City	State NJ	Zip Code					: A2013-		
East Hanover	INJ	07936	A	mount	of	Each F	Receipt th	nis Period	1
FEC ID number of contributing federal political committee.	С				_	7	-	2	7.69
Name of Employer	Occupation								
Sandoz Inc. Receipt For:		alytical - Asoc Dir							
Primary General	Aggregate	Year-to-Date ▼							
Other (specify) ▼		221.52	Ц						
SUBTOTAL of Receipts This Page (optional)						7		103	.86
TOTAL This Period (last page this line numb	er only)					7	- 1		

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	Statements may not be sold or used by any per the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Claudia Kelly Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Product/Brand Management - Dir Aggregate Year-to-Date 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4384342 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Sara Kelly Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Controller Division - Executiv Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID: A2013-4382359 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Thomas N Kendris Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation LEGAL & IP - CEG ROLE Aggregate Year-to-Date ▼ 1107.60	Date of Receipt 11 29 2013 Transaction ID: A2013-4383168 Amount of Each Receipt this Period 138.45
SUBTOTAL of Receipts This Page (optional).	· • • • • • • • • • • • • • • • • • • •	200.76
TOTAL This Period (last page this line number	er only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Michael C Kincaid Mailing Address One Health Plaza		Date of Receipt
0		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383867
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 42.12
Name of Employer Novartis Pharmaceuticals	Occupation ED Oncology Sales	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 336.96	
Full Name (Last, First, Middle Initial) Shannon T Klinger Mailing Address One Health Plaza	•	Date of Receipt
		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4384285
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer Sandoz Inc.	Occupation Global Head Lgl & Gen Counsel	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1269.18	
Full Name (Last, First, Middle Initial)		D. (D.)
Richard E Knapp Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City	State Zip Code	Transaction ID : A2013-4383342
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	346.14
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Government Affairs - Executive	4
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2769.12	
SUBTOTAL of Receipts This Page (optional))	503.64
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Paul M Kotch		Date of Receipt
Mailing Address One Health Plaza		M - M / D - D / Y - Y - Y - Y - Y - Y - Y - Y - Y - Y
City	State Zip Code NJ 07936	Transaction ID : A2013-4382337
East Hanover	NJ 07930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Alcon Laboratories Inc.	Sales Mgr-Reg-Alcon I - Ex Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) B. Robert W Kowalski		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4384158
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	138.45
Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - CEG RO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1107.60	
Full Name (Last, First, Middle Initial) C. Roland Kreissig		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4384104 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	400.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Marketing - Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	400.00	
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numbe	r only)	573.07

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kathleen M Kulesher Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384244 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory D Kunst Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382346 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Product/Brand Mgmt - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Keith A LaDue Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383497 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Division - Vice President Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 451.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Robert D Larsen Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sci Acquist & Lic Invest - Sr Aggregate Year-to-Date ▼ 230.88	Date of Receipt 11 29 2013 Transaction ID : A2013-4382366 Amount of Each Receipt this Period 28.86
Full Name (Last, First, Middle Initial) Steven D Lau Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Neuro-Psych Executive Speciali Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4383332 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Vickie Laurent Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Reg Aff Approval - Sr Spec Aggregate Year-to-Date ▼ 390.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4384167 Amount of Each Receipt this Period 390.00
SUBTOTAL of Receipts This Page (optional	al)	453.48
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Novartis Pharmaceuticais	Government Affairs - Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 336.96	
Full Name (Last, First, Middle Initial) Christian Lease		Date of Receipt
Mailing Address 350 Massachusetts Avenue 350 MA # 212E	7. 0.4	11 29 2013
City Cambridge	State Zip Code MA 02139	Transaction ID : A2013-4384294 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Cont Process Improv Anlys - Di	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 276.96	
SUBTOTAL of Receipts This Page (optional)		104.43

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard E Lemire Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383144 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 129.78 federal political committee. Name of Employer Occupation Engineering-Facilities - Direc Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1035.43 Other (specify) Full Name (Last, First, Middle Initial) B. Lee C Lensky Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384192 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals E-Commerce - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Vinson G Leslie Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383868 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 192.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Clement S Lewin Mailing Address 350 Massachusetts Avenue 350 MA # 232E City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify) Other (specify)	State Zip Code MA 02139 C Occupation Med Science Liaison (MD) - VP Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4384296 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Manuel B Litchman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation Global Program Head - Vice Pre Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4383626 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Richard Lloyd Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Consumer Health Inc. Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 1107.60	Date of Receipt 11 29 2013 Transaction ID: A2013-4383123 Amount of Each Receipt this Period 138.45
SUBTOTAL of Receipts This Page (optional)		207.69
TOTAL This Period (last page this line numbe	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383129 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation NIBRI External Communications - Vice Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383593 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) c. Scott W Long Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384032 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 139.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and or for commercial purposes, other than using	nd Statements may not be sold or used by any per the name and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Michael J Lorenz Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	11 29 2013
East Hanover	NJ 07936	Transaction ID : A2013-4384292 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Train-Sales-Pharma I - Asoc Di	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) 3. Donald R Losordo Mailing Address One Health Plaza		Date of Receipt
——————————————————————————————————————		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383623
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Oncology Sr Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) C. Frederic D Loveland		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383524 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	+
Novartis Pharmaceuticals	R & D Operations - Executive D	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
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FOR LINE NUMBER: PAGE 75 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Brenda Luckritz Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383254 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Government Affairs - Executive Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 2600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul O Lund Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382256 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.26 federal political committee. Name of Employer Occupation CIBA Vision Corporation Mftg Plant Mgmt - Asoc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 250.08 Other (specify) Full Name (Last, First, Middle Initial) c. David T Mac Askill Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383381 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **BU Sr Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 140.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joanne K Machalaba Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383534 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Reg Act Mgmt - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly A MacKay Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383873 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals General Counsel - Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Judith Madsen Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383240 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 61.54 С federal political committee. Name of Employer Occupation Sr Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 303.88 Other (specify) 130.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using	I Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) John Mandala Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4383360
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	NJ 07936 C Occupation Strategic Planning-Senior - Di Aggregate Year-to-Date ▼ 221.52	Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Frank Maness Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation Top Shared Svcs Ex- VP	Amount of Each Receipt this Period 42.12
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 336.96	
Full Name (Last, First, Middle Initial) Paula Mann Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Oncology Specialist Aggregate Year-to-Date ▼ 280.00	Transaction ID : A2013-4384221 Amount of Each Receipt this Period 130.00
SUBTOTAL of Receipts This Page (optional).		199.81
TOTAL This Period (last page this line number	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Frank Manolios Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383412 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) B. Annette A Mansfield Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383567 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Sridhar V Mantha Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4382360 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Quality Assurance-Compliance -Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 107.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Bryan A Maring Mailing Address One Health Plaza	Chata 7in Cada	Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383785
FEC ID number of contributing federal political committee.	C 0/936	Amount of Each Receipt this Period 27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Specialty Area Business Leader	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) 3. Daniel B Maring		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4384050 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation PC Sr Area Business Leader	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial)	<u> </u>	D. (D.)
Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382285 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.62
Name of Employer	Occupation	
Alcon Research	Project Mgmt - Life Cycle - Pr	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 276.96	
Other (Specify)	210.00	
SUBTOTAL of Receipts This Page (optional).		90.00
TOTAL This Period (last page this line numb	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Charles J Marshall Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382311 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Product/Brand Mgmt - Ex Dir Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Andre Martins Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 276A 11 29 2013 City State Zip Code Transaction ID: A2013-4384300 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Human Resources Generalist - D Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Teresa Mason Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4384177 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 193.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Julie Masow Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384122 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals External Communications - Dire Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. William R Matthews Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383457 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 63.09 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 493.70 Other (specify) Full Name (Last, First, Middle Initial) **c.** Arthur M Mauceri Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383876 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 132.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16	17
			erson for the purpose of soliciting contribute to solicit contributions from such committee	
NAME OF COMMITTEE (In F Novartis Corporation	•	nmittee		
A. Full Name (Last, First, Middle Stefanie L Maurer Mailing Address One Health F			Date of Receipt	Y
City East Hanover	State NJ	Zip Code 07936	11 29 2013 Transaction ID : A2013-4383632 Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C			.00
Name of Employer Novartis Pharmaceuticals Receipt For:		ch Specialist		
Primary Gener Other (specify) ▼		Year-to-Date ▼ 279.75]	
Full Name (Last, First, Middle B. Aaron A Mays			Date of Receipt	
Mailing Address One Health P City	laza State	Zip Code	11 29 2013 Transaction ID : A2013-4382336	
East Hanover	NJ	07936	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C		28.	.86
Name of Employer Alcon Laboratories Inc.	Occupation Consumer F	Promotions - Associat		
Receipt For: Primary Gener Other (specify) ▼		Year-to-Date ▼ 230.88]	
Full Name (Last, First, Middle C. Stephen W Mc Laugh	lin		Date of Receipt	
Mailing Address One Health F	Plaza		M M / D D / Y Y Y Y Y 11 29 2013	Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2013-4383285 Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C		27.	7.69
Name of Employer	Occupation			
Novartis Pharmaceuticals Receipt For:		Care Mktg - Asoc Dir	_	
Primary Gener Other (specify) ▼		Year-to-Date ▼ 221.52]	
SUBTOTAL of Receipts This Pa	age (optional))	80.	55
TOTAL This Period (last page t	his line number only)			

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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) John C Mc Partland Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4383878 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation Info Sys- Ex Dir	34.62
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) 3. Christopher R McBurney Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2013-4383879 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation (No. MD)	27.69
Receipt For: Primary General Other (specify) ▼	Med Science Liaison (Non-MD) - Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Vivian H McCain Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4383148 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	Occupation	34.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Procurement - Director Aggregate Year-to-Date ▼	
Other (specify) SUBTOTAL of Receipts This Page (optional)	276.96	96.93
TOTAL This Period (last page this line number	<u> </u>	

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) J'ai B McClellan Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382289 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Government Affairs - Vice Pres Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) B. Michael P McDermott Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382226 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Animal Health US Inc. Product/Brand Management - Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Teresa L McElvaney Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4382323 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Alcon Research Regulatory Submission - Sr Spe Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 230.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any perse name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Catharine M McGeehan Mailing Address One Health Plaza		Date of Receipt
Maining Address One Health Flaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383363
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	138.45
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Senior Sp	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1107.60	
Full Name (Last, First, Middle Initial) Paul J McGinley		Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y
City	State Zip Code	11 29 2013 Transaction ID : A2013-4383882
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	42.12
Name of Employer Novartis Pharmaceuticals	Occupation Mktg and Sales Ex-TP Area-Ex D	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 336.96	
Full Name (Last, First, Middle Initial) C. Edward D McGough		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382300
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 346.14
Name of Employer	Occupation	-
Alcon Laboratories Inc.	PRODUCTION - CEG ROLE	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	2769.12	
SUBTOTAL of Receipts This Page (optional)	>	526.71
TOTAL This Period (last page this line number	r only)	

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	nd Statements may not be sold or used by any pe the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Edward J McKenna Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Manager Business Solutions II Aggregate Year-to-Date ▼ 332.40	Date of Receipt 11 29 2013 Transaction ID: A2013-4384057 Amount of Each Receipt this Period 41.55
Full Name (Last, First, Middle Initial) William D McLaury Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Supply Chain Plan - Ex Dir Aggregate Year-to-Date ▼ 1107.60	Date of Receipt 11 29 2013 Transaction ID: A2013-4383391 Amount of Each Receipt this Period 138.45
Full Name (Last, First, Middle Initial) Matthew A McNally Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Oncology Specialist Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4384105 Amount of Each Receipt this Period 27.69
SUBTOTAL of Receipts This Page (optional)	207.69
TOTAL This Period (last page this line num)	ber only)	

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Brian J McNamara Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Consumer Health Inc.	State Zip Code NJ 07936 C Occupation COMMERCIAL & GEN MGMT - CEG RO	Transaction ID : A2013-4383122 Amount of Each Receipt this Period 138.45
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1107.60	
Full Name (Last, First, Middle Initial) Joshua J Meikrantz Mailing Address One Health Plaza Ste 725 City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation Sales Mgr-Reg-V&D - Ex Dir Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID: A2013-4384308 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Gary J Menichini Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer CIBA Vision Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 600.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4382250 Amount of Each Receipt this Period 75.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	241.14
TOTAL This Period (last page this line number	only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Wayne P Merkelson Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383589 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 103.86 federal political committee. Name of Employer Occupation Tax - Vice President **Novartis Finance Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 830.88 Other (specify) Full Name (Last, First, Middle Initial) B. Chad J Michna Date of Receipt Mailing Address 6201 South Freeway 11 29 2013 City State Zip Code Transaction ID: A2013-4382272 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales-Natl Act - Sr Conslt Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Susan J Millard Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4382257 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation HR - CEG ROLE CIBA Vision Corporation Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 428.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Donald J Miller Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383886 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Laurie C Mills Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383127 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation **Novartis Clinical Operations** CRM - Spec II Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Moore Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4382227 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Tech/Sci Sales Svc-NAHPSV-Sr Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 110.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stacey L Moore Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383345 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 52.98 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals BU Account Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 422.48 Other (specify) Full Name (Last, First, Middle Initial) B. Glenn H Morton Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383487 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1115.79 Other (specify) Full Name (Last, First, Middle Initial) c. Polly L Murphy Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383890 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) 115.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Jyun J Nakamura Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code NJ 07936 C Occupation MS Sr Account Manager Aggregate Year-to-Date ▼ 221.52	Transaction ID: A2013-4384060 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Vasant Narasimhan Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 1107.60	Date of Receipt 11 29 2013 Transaction ID: A2013-4384362 Amount of Each Receipt this Period 138.45
Full Name (Last, First, Middle Initial) Clifford R Neukrug Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Area Sales Mgr - Oncology Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4384048 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	200.76
TOTAL This Period (last page this line number	only)	7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Peter Ng Date of Receipt Mailing Address One Health Plaza 2013 City State Zip Code Transaction ID: A2013-4383592 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. An V Nguyen Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383999 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sys Anlys and Programming - As Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. James D Nickoli Date of Receipt Mailing Address One Health Plaza 29 11 2013 City State Zip Code Transaction ID: A2013-4383671 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Neuro-Psych Executive Speciali Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James R Niebanck Date of Receipt Mailing Address One Health Plaza 29 2013 City State Zip Code Transaction ID: A2013-4383454 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael A Niesel Date of Receipt Mailing Address One Health Plaza 29 11 2013 City State Zip Code Transaction ID: A2013-4383896 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation

Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Executive Sales Specialist Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) C. Sharon L Nobles Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	Transaction ID : A2013-4383325
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	-
Novartis Pharmaceuticals	BU Account Manager II	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Kevin A Nugent Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382396 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.86
Name of Employer	Occupation	
Alcon Research	Regulatory Affairs Approval -	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 230.88	
Full Name (Last, First, Middle Initial) Hugh M O'Dowd Mailing Address One Health Plaza	· · · · · · · · · · · · · · · · · · ·	Date of Receipt
Maining Address One Health Plaza		11 29 _2013 _
City	State Zip Code	Transaction ID : A2013-4383347
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	69.24
Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - CEG RO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
Full Name (Last, First, Middle Initial) Shawn O'Neail	<u> </u>	Date of Receipt
Mailing Address 608 Fifth Avenue		11 29 2013
City New York	State Zip Code NY 10020	Transaction ID : A2013-4384175
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 138.45
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs - Executive	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	1107.60	
SUBTOTAL of Receipts This Page (optional	al)	236.55
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Michael S Oehrlein Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID: A2013-4383897 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation VP Oncology Sales Aggregate Year-to-Date ▼ 1575.00	225.00
Full Name (Last, First, Middle Initial) Sharon N Olmstead Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4384195
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	NJ 07936 C Occupation Fed and Legislative Affairs -	Amount of Each Receipt this Period 69.24
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
Full Name (Last, First, Middle Initial) John J Orloff Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation DEVELOPMENT & MEDICAL - CEG RO Aggregate Year-to-Date ▼ 253.88	Transaction ID : A2013-4383898 Amount of Each Receipt this Period 11.54
SUBTOTAL of Receipts This Page (optional)	•	305.78
TOTAL This Period (last page this line numbe	r only)	

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey S Osmundson Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383258 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Business Relationship Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Craig S Osten Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384289 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Controller Corporate - Vice Pr Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383606 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Strategic Planning - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 166.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) John M Pakulski Mailing Address One Health Plaza	Date of Receipt 1.1 29 2013	
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Reg Aff Approval - Ex Dir Aggregate Year-to-Date ▼ 1107.60	Transaction ID : A2013-4384283 Amount of Each Receipt this Period 138.45
Full Name (Last, First, Middle Initial) Joseph S Palumbo Mailing Address One Health Plaza City East Hanover	Date of Receipt 11 29 2013 Transaction ID : A2013-4383564 Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Organization Devel - Asoc Dir Aggregate Year-to-Date ▼ 276.96	34.62
Full Name (Last, First, Middle Initial) Melissa A Parker Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regional Director Acct Mgmt Aggregate Year-to-Date ▼ 332.40	Date of Receipt 11 29 2013 Transaction ID: A2013-4383644 Amount of Each Receipt this Period 41.55
SUBTOTAL of Receipts This Page (optional)	>	214.62
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NAME OF COMMITTEE (In Full) Novartis Corporation Politication	al Action Committee	
Full Name (Last, First, Middle Initial) Gregory A Peters Mailing Address One Health Plaza		Date of Receipt
C:h.	Ctoto 7in Cada	11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383328
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 34.62
Name of Employer	Occupation	†
Novartis Pharmaceuticals	BU Sr Account Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Bret D Peterson Mailing Address Over Health Bland	'	Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383740
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Executive Sales Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Candice C Phipps	1	Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code NJ 07936	Transaction ID : A2013-4384288
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	1
Sandoz Inc.	Government Affairs - Director	_
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) General	300.00	
SUBTOTAL of Receipts This Page (option	nal)	362.31
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) David Picard Mailing Address One Health Plaza	Date of Receipt 11 29 2013	
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Top Mktg and Sales Ex- VP Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 34.62
Other (specify) ▼ Full Name (Last, First, Middle Initial) Blizabeth A Pinamonti Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Oncology Sr Specialist Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4383416 Amount of Each Receipt this Period 27.69
Other (specify) ▼ Full Name (Last, First, Middle Initial) Elvira Ponce Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify) ▼	State Zip Code MA 02139 C Occupation Medical Affairs - Director Aggregate Year-to-Date 276.96	Date of Receipt 11 29 2013 Transaction ID: A2013-4384353 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)		96.93
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	d Statements may not be sold or used by any per the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Barbara Porcher Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID: A2013-4383906 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Bernard M Porter Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Sr Assoc Dir Account Mgmt Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID : A2013-4383605 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Elizabeth Power Mailing Address 350 Massachusetts Avenu City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation External Communications - Dire Aggregate Year-to-Date 450.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4384367 Amount of Each Receipt this Period 90.00
SUBTOTAL of Receipts This Page (optional)	>	145.38
TOTAL This Period (last page this line numb	er only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Lisa M Praeger Mailing Address One Health Plaza		Date of Receipt
		11 29 2013
City	State Zip Code	Transaction ID : A2013-4382293
East Hanover	NJ 07936	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	1
Alcon Laboratories Inc.	Primary Care Sales - Vice Pres	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	300.00	
Full Name (Last, First, Middle Initial) Kelly D Prather	•	Date of Receipt
Mailing Address One Health Plaza	7. 2	11 29 2013
City	State Zip Code NJ 07936	Transaction ID : A2013-4383731
East Hanover		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Area Sales Mgr - Oncology	_
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	221.52	
Full Name (Last, First, Middle Initial) 5. Jeffrey P Prejean	•	Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383734
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	PC Area Business Leader II	_
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	221.52	
SUBTOTAL of Receipts This Page (optional	ıl)	355.38
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) John T Price Mailing Address One Health Plaza	Date of Receipt 11 29 2013	
City East Hanover FEC ID number of contributing federal political committee. Name of Employer EONS Labs Inc. Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code NJ 07936 C Occupation Eng-EH&S- Asoc Dir Aggregate Year-to-Date ▼	Transaction ID : A2013-4382417 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) 3. J. C Proctor Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID: A2013-4383973 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Oncology Specialist Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 27.69
Other (specify) ▼ Full Name (Last, First, Middle Initial) Eileen M Quinn Mailing Address One Health Plaza	221.52	Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Oncology Sr Specialist Aggregate Year-to-Date ▼ 221.52	Transaction ID : A2013-4383346 Amount of Each Receipt this Period 27.69
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ITEMIZED RECEIPTS for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stuart Raetzman Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382297 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Anne Ramsey Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384374 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** District Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas L Reece Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4382228 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Drug/Devic Sfty Surv (Non-MD) Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 214.68 Other (specify) 108.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Susan R Rendon Date of Receipt Mailing Address One Health Plaza 2013 City State Zip Code Transaction ID: A2013-4382347 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Human Resources Generalist - D Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) B. Marc B Reuss Date of Receipt Mailing Address 350 Massachusetts Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4384364 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** HR - CEG ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Margaret Riccobono Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384280 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Training - Assoc Dir Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 113.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers e name and address of any political committee to		
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee		
Full Name (Last, First, Middle Initial) A. Edward C Richards Mailing Address One Health Plaza		Date of Receipt	
City East Hanover	State Zip Code NJ 07936	11 29 2013 Transaction ID : A2013-4382383 Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	46.14	
Name of Employer Alcon Research Receipt For: Primary General Other (specify) ▼	Occupation Mftg Plant Mgmt - VP Aggregate Year-to-Date ▼ 369.12		
Full Name (Last, First, Middle Initial) Sheila A Riddell Mailing Address One Health Plaza		Date of Receipt 11 29 2013	
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2013-4383464 Amount of Each Receipt this Period 27.69	
Name of Employer Novartis Pharmaceuticals	Occupation Executive Sales Consultant		
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52		
Full Name (Last, First, Middle Initial) Keith C Riddiford Mailing Address One Health Plaza		Date of Receipt 11 29 2013	
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383385 Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	27.69	
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Marketing - Vice President Aggregate Year-to-Date ▼ 221.52		
SUBTOTAL of Receipts This Page (optional)	>	101.52	
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383559 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals CORPORATE AFFAIRS - CEG ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 2769.12 Other (specify) Full Name (Last, First, Middle Initial) B. Randi C Roberts Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383912 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Oncology Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. David J Robertson Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4382325 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 С federal political committee. Name of Employer Occupation PROCUREMENT - DEV ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 444.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Allan P Robinson Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384052 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals PC Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph A Robinson Jr. Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384112 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Laurie J Roemisch Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383655 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Oncology Sr Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Diane M Rogers Date of Receipt Mailing Address 608 Fifth Avenue 2013 City Zip Code State Transaction ID: A2013-4384360 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Sales Management-V&D - Vice Pr Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) B. Rodney M Roggow Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383259 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas M Ruggia Jr. Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4382332 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Business Devel - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 101.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Assoc Dir Account Mgmt Aggregate Year-to-Date ▼ 276.00	
Full Name (Last, First, Middle Initial) Peter Rzewnicki Mailing Address 350 Massachusetts Avenue 350 MA # 234F City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Product Marketing - Director Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4384299 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)		156.84

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Ahmad M Saad Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382382 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Alcon Research Engineering-Test - Senior Cons Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Roger F Samartino Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383573 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Leader Key Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Cristina L Santos Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384172 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation PC Sr Area Business Leader Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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\	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac									
١.	Full Name (Last, First, Middle Initial) Robert J Sardinha				Date of	Rece	eipt			
	Mailing Address One Health Plaza				M - M	/	29	/ Y	2013	Y
	City	State NJ	Zip Code 07936					2013-43		
-	East Hanover	INJ	07936		Amount	of Ea	ach Red	ceipt this	s Period	
	FEC ID number of contributing federal political committee.	С						-	27.	69
Ì	Name of Employer	Occupation								
	Novartis Pharmaceuticals	Managed Ca	are Mktg - Asoc Dir							
ı	Receipt For:	Aggregate '	Year-to-Date ▼							
	Primary General Other (specify) ▼	T	221	1.52						
	Other (specify)		7							
	Full Name (Last, First, Middle Initial) David G Sattler				Date of	Rece	eipt			
ı	Mailing Address One Health Plaza				M M	/	29	/ Y	2013	Y
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-	East Hanover	NJ	07936		Amount	of Ea	ach Red	ceipt this	s Period	
	FEC ID number of contributing federal political committee.	С				,		-,	300.	00
Ī	Name of Employer	Occupation								
1	Alcon Laboratories Inc.	Professional	Svcs-Mktg - Ex Di							
Ī	Receipt For:	Aggregate '	Year-to-Date ▼							
	Primary General Other (specify) ▼		300	0.00						
	Full Name (Last, First, Middle Initial)		,							
).	David A Schoening				Date of	Rece	eipt			
	Mailing Address One Health Plaza				11	/	29	/ Y	2013	Y
	City	State NJ	Zip Code	<u> </u>				2013-43		
-	East Hanover	INJ	07936		Amount	of Ea	ach Red	ceipt this	s Period	
	FEC ID number of contributing federal political committee.	С				,		,	46.	14
Ì	Name of Employer	Occupation								
	Alcon Laboratories Inc.	QA Mgmt-P	lant - VP							
Ī	Receipt For:	Aggregate	Year-to-Date ▼							
	Primary General Other (specify) ▼		369	9.12						
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Russell E Seay Jr. Jr. Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383921 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science Liaison (Non-MD) -Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. S. M Seeland Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383713 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Sellin Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4384093 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Business Process Anlys - Sr Co Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 121.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Dawn M Shaffer Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼	State Zip Code NJ 07936 C Occupation Sr Area Sales Mgr - Oncology Aggregate Year-to-Date ▼ 221.52	Transaction ID : A2013-4383358 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Christi L Shaw Mailing Address 44 Guinea Hollow Rd City Lebanon	State Zip Code NJ 08833	Date of Receipt 11 29 2013 Transaction ID: A2013-4384176 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 553.92	69.24
Full Name (Last, First, Middle Initial) Deborah B Shertzer Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID : A2013-4383923 Amount of Each Receipt this Period 27.69
Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼	Occupation Budgeting - Director Aggregate Year-to-Date ▼ 221.52	
SUBTOTAL of Receipts This Page (optional)		124.62
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A		
Full Name (Last, First, Middle Initial) A. Sherrie L Simms		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383928
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	1
Novartis Pharmaceuticals	HR Generalist - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼]
Primary General Other (specify) ▼	276.96	
Full Name (Last, First, Middle Initial) 3. Joseph M Simon		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City	State Zip Code	Transaction ID : A2013-4383378
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	55.38
Name of Employer	Occupation	1
Novartis Pharmaceuticals	MS Executive Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 443.04	
Full Name (Last, First, Middle Initial) C. William E Simon		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383465 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Executive Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	221.52	
SUBTOTAL of Receipts This Page (optional)	>	117.69
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David P Sipe Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383432 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. John G Slick Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383680 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals PC Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Gregory R Slyfield Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383929 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383162 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 159.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1273.92 Other (specify) Full Name (Last, First, Middle Initial) B. Philip G Stehle Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382367 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Cont Process Improv Anlys - Sr Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Ashley D Stephens Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383684 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Leader Key Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 215.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any persthe name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Donald P Stevens Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt M
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Government Affairs - Director Aggregate Year-to-Date 830.88	Amount of Each Receipt this Period 103.86
Full Name (Last, First, Middle Initial) Lesley J Stickley Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID : A2013-4383338 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Sr Account Manager Aggregate Year-to-Date ▼ 276.96	34.62
Full Name (Last, First, Middle Initial) Matthew C Stillwell Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Area Leader Key Account Mgmt Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 29 2013 Transaction ID : A2013-4383287 Amount of Each Receipt this Period 27.69
SUBTOTAL of Receipts This Page (optional).	<u> </u>	166.17
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Peter N Streit Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383586 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MARKETING - DEV ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin Strickland Date of Receipt Mailing Address 701 Pennsylvania AveNW Ste 725 11 29 2013 City State Zip Code Transaction ID: A2013-4384314 DC Washington 20004 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Sales-Pharmaceutical - Special Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **c.** Mary R Strickland Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384282 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Sandoz Inc. Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 122 OF 138 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joanne Strunk-Walsh Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384351 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 5.00 federal political committee. Name of Employer Occupation Sales-Pharmaceutical - Senior Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Nirupama Subramanian Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 232A 11 29 2013 City State Zip Code Transaction ID: A2013-4384295 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Clin Rsrch - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. John Suchorsky Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383555 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Relationship Management - Dire Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify)

108.86

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Xiaowei Sun Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384287 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation QC Anlys and TstSvcs - Sr Con Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas A Suter Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384125 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Sonya R Taylor Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4383939 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation **BU Sr Account Manager** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 131.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Richard Tessier Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other	State Zip Code NJ 07936 C Occupation Engineering Facilities - Direc Aggregate Year-to-Date ▼ 553.92	Transaction ID : A2013-4383622 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) Barbara A Tombros Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 29 2013 Transaction ID : A2013-4383507
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Strategic Planning - Director Aggregate Year-to-Date	Amount of Each Receipt this Period 106.59
Primary General Other (specify) ▼	850.13	
Full Name (Last, First, Middle Initial) Seth A Townsend Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	State Zip Code NY 10020 C Occupation Financial Analysis - Director	Date of Receipt 11 29 2013 Transaction ID: A2013-4384229 Amount of Each Receipt this Period 90.00
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 390.00	
SUBTOTAL of Receipts This Page (optional)	>	265.83
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christina M Tremains Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383668 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 93.63 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals BU Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 747.02 Other (specify) Full Name (Last, First, Middle Initial) **B.** Aaron L Trenary Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383651 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Neuro-Psych Executive Speciali Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas A Urban Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383163 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.23 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 368.87 Other (specify) 167.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Lisa R Utt Mailing Address One Health Plaza		Date of Receipt
-		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383249
FEC ID number of contributing federal political committee.	C 0/330	Amount of Each Receipt this Period 41.55
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Neuro-Psych Executive Speciali Aggregate Year-to-Date ▼ 332.40	
Full Name (Last, First, Middle Initial) 3. Erwin Vanhaecke Mailing Address One Health Plaza		Date of Receipt 11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4382339
FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 23.08
Name of Employer Alcon Laboratories Inc.	Occupation PRODUCTION - CEG ROLE	-
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initial) C. Russell E Veitenheimer		Date of Receipt
Mailing Address One Health Plaza		11 29 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4383272 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	-
Novartis Pharmaceuticals	PC Sr Area Business Leader	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stephanie L Visioli Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383228 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management - Senior Co Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. William W Voegtli Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4383242 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement-Sr Mgr - Senior Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew J Volante Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383445 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 430.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew M von Wellsheim Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4382303 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation IT - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Anthony Wallace Date of Receipt Mailing Address One Health Plaza Ste 725 11 29 2013 City State Zip Code Transaction ID: A2013-4384305 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Sales Mgr-Reg-V&D - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Steven A Waller Date of Receipt Mailing Address One Health Plaza 11 29 2013 City Zip Code State Transaction ID: A2013-4383653 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 29.23 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 223.06 Other (specify) 143.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) John Ward Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation General Counsel - Senior Consu Aggregate Year-to-Date 276.96	Date of Receipt 11 29 2013 Transaction ID : A2013-4384363 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Robert K Warner Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 553.92	Date of Receipt 11 29 2013 Transaction ID: A2013-4382309 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) James T Wassil Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Clin Rsrch (MD) - Ex Dir Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 29 2013 Transaction ID : A2013-4384366 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional).	<u> </u>	138.48
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward S Williams Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4383425 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. James E Williams Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4384113 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clinical Research (MD) - Princ Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) c. Kara K Williamson Date of Receipt Mailing Address One Health Plaza 29 2013 City Zip Code State Transaction ID: A2013-4384043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 130.00 С federal political committee. Name of Employer Occupation Oncology Sr Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 227.02 Other (specify) 296.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christine D Wyble Date of Receipt Mailing Address One Health Plaza 2013 City Zip Code State Transaction ID: A2013-4384162 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Information Services -Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Fan Zhang Date of Receipt Mailing Address One Health Plaza 11 29 2013 City State Zip Code Transaction ID: A2013-4382348 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Research Toxicology - Senior Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 63.48 SUBTOTAL of Receipts This Page (optional)..... 29513.51 TOTAL This Period (last page this line number only).....

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/	Novartis Corporation Political Actio	n Committee													
_	Full Name (Last, First, Middle Initial)									_	_				
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	Mailing Address 701 Pennsylvania Ave. NW						11		2	26	_		2013		
	City	State Zip Code													
	Washington	DC 20004					Tran	sac	tion IE) :	B48	3809			
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	City	State Zip Code													
	Purpose of Disbursement					-									
							Amou	nt of	Each	ı [Disbu	ırseme	nt this	Per	riod
	Candidate Name		Cate	egoi	ry/			-	-	÷	_	-	_	-	
				ype					7	L		7		-	
	Office Sought: House Disbursement For: Senate Primary General														
		Primary General Other (specify) ▼													
	State: District:	(op-on-j/ •													
Г								-	-		_	-	_	-	_
s	SUBTOTAL of Disbursements This Page (optional)								7			7	4	7.62	2
H										f		-		7.62	
T	OTAL This Period (last page this line number only).								7	Ĺ		,	4	7.02	

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 135 OF 138	<u>, </u>
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only		
	for each category of the Detailed Summary Page	21b	22 🗙 23 🗌 24 📗 25 🔲 26	
		27	28a 28b 28c 29 30	b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Action	n Committee			
Full Name (Last, First, Middle Initial)				
A. Defend America PAC			Date of Disbursement	
Mailing Address 499 S. Capitol St. SW Suite 420			11 07 2013	
,	tate Zip Code		Transaction ID : B481140	
Washington Purpose of Disbursement	DC 20003		Transaction is 12 to 11 to	
Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	1000.00	
Office Sought: House Disbursem	nent For: 2013	Туре		
	Primary General			
	Other (specify) ▼			
State: District:	Not Applicable			
Full Name (Last, First, Middle Initial)				
B. Scott Peters for Congress			Date of Disbursement	
Mailing Address 412 First St. SE Suite 100			11 07 2013	
Washington	tate Zip Code DC 20003		Transaction ID : B481141	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	4500.00	
Scott Peters		Type	1500.00	
Senate	ent For: 2014 Primary General Other (specify)			
State: CA District: 52				
Full Name (Last, First, Middle Initial) C. The Markey Committee			Date of Disbursement	
The Markey Committee			M M / D D / Y Y Y Y	
Mailing Address 236 Massachusetts Ave. NE Ste. 20)7		11 07 2013	
,	itate Zip Code DC 20002		Transaction ID : B481145	
Purpose of Disbursement Contribution		011		
Candidate Name			Amount of Each Disbursement this Period	
Edward J Markey		Category/ Type	1000.00	
Office Sought: House Disbursem	nent For: 2014 Primary General	.,,,,,		
President	Other (specify)			
State: MA District:				_
SUBTOTAL of Disbursements This Page (optional)		·····•	3500.00	
TOTAL This Period (last page this line number only).		·····		

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 136 OF 138
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b	22 🗙 23 24 25 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
A. Rogers for Congress			Date of Disbursement
Mailing Address 499 S. Capitol St. SW Suite 420			11 07 2013
City	state Zip Code		Transaction ID : B481139
Washington	DC 20003		Transaction iD . 6401139
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Mike J Rogers	. =	Туре	1000.00
Senate	nent For: 2014 Primary General Other (specify)		
State: MI District: 08			
Full Name (Last, First, Middle Initial)			
B. Renee Ellmers for Congress			Date of Disbursement
Mailing Address 499 S. Capitol St. SW Suite 420			11 21 2013
Washington	State Zip Code DC 20003		Transaction ID : B481292
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	4000.00
Renee Ellmers		Type	1000.00
Senate	nent For: 2014 Primary General Other (specify)		
Full Name (Last, First, Middle Initial)			
C. Frelinghuysen for Congress			Date of Disbursement
Mailing Address P.O. Box 29576			11 21 2013
,	State Zip Code DC 20017		Transaction ID : B482097
Washington Purpose of Disbursement Contribution	DC 20017		
Candidate Name		011	Amount of Each Disbursement this Period
Rodney P Frelinghuysen		Category/	1000.00
	nent For: 2014	Туре	
Senate President	Primary General Other (specify) ▼		
State: NJ District: 11			
SUBTOTAL of Disbursements This Page (optional)		·····•	3000.00
TOTAL This Period (last page this line number only)		·····•	

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S	CHEDULE B (FEC Form 3X)			FOR LINE N	NUMBER:		PAGI	137 ()F 138	
IT	EMIZED DISBURSEMENTS	Use separate schedule for each category of the		(check only	one)	ne)				
		Detailed Summary Pag		21b	22	X 23	24	25 29	26	
·				27	28a	28b	28c		30b	
	ny information copied from such Reports and Statem for commercial purposes, other than using the name									
	NAME OF COMMITTEE (In Full)	_								
$ \rangle$	Novartis Corporation Political Action	n Committee								
_	Full Name (Last, First, Middle Initial)				_					
Α.			Date of Disbursement							
	Mailing Address 499 S. Capitol St. SW Suite 420				11	07		2013		
	•	State Zip Code DC 20003			Trans	action ID :	B481146			
	Washington Purpose of Disbursement	DC 20003								
	Contribution Candidate Name		_[011	Amount	of Each [Disburseme	ent this I	Period	
	Jim Gerlach			Category/				1000	.00	
	<u> </u>	nent For: 2014		Туре		,	,			
	Senate	Primary General Other (specify) ▼	al							
	State: PA District: 06									
_	Full Name (Last, First, Middle Initial)									
В.	The Reed Committee					Disburser		Y Y	Y	
	Mailing Address 328 Massachusetts Ave. NE					07		2013		
	Washington	State Zip Code DC 20002			Trans	action ID	B481147			
	Purpose of Disbursement Contribution		Г	011	Amoun	of Each [Disburseme	ent this I	Period	
	Candidate Name Jack Reed		7.	Category/ Type				1000	0.00	
		nent For: 2014		1,700		,				
		Primary Genera	al							
	President State: RI District:	Other (specify) ▼								
_	Full Name (Last, First, Middle Initial)				Data of	Disburser	nont			
O.	Alexander for Senate Inc.				M M			Y	V	
	Mailing Address 1015 Stonebridge Park Dr.					21		2013	Y	
	,	State Zip Code TN 37069			Trans	action ID :	B481293			
	Purpose of Disbursement	31003								
	Contribution	011	Amount	of Each	Disburseme	ent this I	Period			
	Candidate Name								-	
	Lamar Alexander	Туре		-		1000	.00			
	X Senate	nent For: 2014 Primary Genera Other (specify) ▼	al							
_	State: TN District:									
s	SUBTOTAL of Disbursements This Page (optional)			······		,	-	3000	.00	
Т	OTAL This Period (last page this line number only).			·····•						

SCHEDULE B (FEC Form 3X)		EOD LINE	NUMBER: PAGE 138 OF 138							
ITEMIZED DISBURSEMENTS	Use separate schedule(s	s) check only	TYOMBETT.							
	for each category of the Detailed Summary Page	21h	22 🗙 23 24 25 26							
	Dotalica Guillinary i age	27	28a 28b 28c 29 30							
Any information copied from such Reports and St										
or for commercial purposes, other than using the	name and address of any poli	tical committee to	solicit contributions from such committee.							
NAME OF COMMITTEE (In Full)										
Novartis Corporation Political Ac	tion Committee									
Full Name (Last, First, Middle Initial)										
A. Gene Green Congressional Can	npaign		Date of Disbursement							
			M M / D D / Y Y Y Y							
Mailing Address PO Box 16128			11 21 2013							
City	State Zip Code									
Houston	TX 77222		Transaction ID: B481933							
Purpose of Disbursement										
Contribution		011	Amount of Each Disbursement this Period							
Candidate Name		Category/	500.00							
Raymond Gene Green		Туре	300.00							
	rsement For: 2014									
Senate President	Primary General Other (specify) ▼									
State: TX District: 29	Other (specify)									
Full Name (Last, First, Middle Initial)										
B. Cantor for Congress			Date of Disbursement							
			M = M / D = D / Y = Y = Y							
Mailing Address P.O. Box 21027	Mailing Address P.O. Box 21027									
0"										
City Washington	State Zip Code DC 20009		Transaction ID : B481931							
Purpose of Disbursement	20000									
Contribution		011	Amount of Each Disbursement this Period							
Candidate Name		Category/	2500.00							
Eric I Cantor		Type	2300.00							
	rsement For: 2014									
Senate President	Primary General Other (specify)									
State: VA District: 07	Other (specify)									
Full Name (Last, First, Middle Initial)										
C. Gerry Connolly for Congress			Date of Disbursement							
			M M / D D / Y Y Y Y							
Mailing Address P.O. Box 563			11 21 2013							
City	State Zip Code									
Merrifield	VA 22116		Transaction ID : B481932							
Purpose of Disbursement										
Contribution		011	Amount of Each Disbursement this Period							
Candidate Name		Category/	1000.00							
Gerry Connolly		Type	1000.00							
Office Sought: House Disbu	rsement For: 2014									
President	Primary General Other (specify)									
State: VA District: 11	outer (apoonly) ▼									
3										
SUBTOTAL of Disbursements This Page (option	al)		4000.00							
	,									
TOTAL This Period (last page this line number of	only)		13500.00							