

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (In full)

USE FEC MAILING LABEL OR TYPE OR PRINT

Example: If typing, type over the lines

Securities Industry Association Political Action Committee

ADDRESS (number and street)

1425 K Street, NW

7th Floor

Check if different than previously reported. (ACC)

Washington

DC

20005

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

C00067504

3. IS THIS REPORT

x

NEW (N)

OR

AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

X January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)

May 20 (M5)

Aug 20 (M8)

Nov 20 (M11) (Non-Election Year Only)

Mar 20 (M3)

Jun 20 (M6)

Sep 20 (M9)

Dec 20 (M12) (Non-Election Year Only)

Apr 20 (M4)

Jul 20 (M7)

Oct 20 (M10)

Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

in the State of

(d) 30-Day Post-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

in the State of

5. Covering Period

11

29

2004

through

12

31

2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

J. Steven Judge

Signature of Treasurer

Electronically Filed by J. Steven Judge

Date

01

31

2005

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only

FEC FORM 3X
(Rev. 02/2003)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Securities Industry Association Political Action Committee

Report Covering the Period: From: ^M11 ^D23 ^Y2004 To: ^M12 ^D31 ^Y2004

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 ^Y 2004 ^M ^D		110395.79
(b) Cash on Hand at Beginning of Reporting Period	63725.35	
(c) Total Receipts (from Line 19)	19947.32	434606.75
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	83672.67	545002.54
<hr/>		
7. Total Disbursements (from Line 31)	3001.00	464330.87
<hr/>		
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	80671.67	80671.67
<hr/>		
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D)	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Securities Industry Association Political Action Committee

Report Covering the Period: From: ^M11 ^D23 ^Y2004 To: ^M12 ^D31 ^Y2004

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	19774.42	
(ii) Unitemized	172.90	
(iii) TOTAL (add Lines 11(a)(i) and (ii))	19947.32	369606.75
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	65000.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	19947.32	434606.75
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	19947.32	434606.75
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	19947.32	434606.75

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	1.00	1330.87
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	1.00	1330.87
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	3000.00	457000.00
24. Independent Expenditure (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees.....	0.00	1000.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶	0.00	1000.00
29. Other Disbursements.....	0.00	5000.00
30. Federal Election Activity (2 U.S.C. 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share.....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds.....	0.00	0.00
(c) Total Federal Election ActMty (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	3001.00	464330.87
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 31).....	3001.00	464330.87

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	19947.32	434606.75
34. Total Contribution Refunds (from Line 28(d))	0.00	1000.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19947.32	433606.75
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	1.00	1330.87
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	1.00	1330.87

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 12

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 17
13	14	15	16	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Rob Gannon		Date of Receipt M / D / Y 11 / 24 / 2004
Mailing Address 3 Higgins Road		Transaction ID: 10449399
City Old Bridge	State NJ	Zip Code 08857-2749
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 10.86
Name of Employer Securities Industry Association	Occupation Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

Full Name (Last, First, Middle Initial) B. Ms Rachel Robinson		Date of Receipt M / D / Y 11 / 24 / 2004
Mailing Address 3319 Alabama Avenue		Transaction ID: 10449401
City Alexandria	State VA	Zip Code 22305-1735
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 113.56
Name of Employer Securities Industry Association	Occupation VP Congr. Affrs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2500.00	

Full Name (Last, First, Middle Initial) C. Mr. Thomas F Price		Date of Receipt M / D / Y 11 / 24 / 2004
Mailing Address 585 Farview Avenue		Transaction ID: 10449400
City Wyckoff	State NJ	Zip Code 07481
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer Securities Industry Association	Occupation VP	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1199.38	

SUBTOTAL of Receipts This Page (optional)	▶	174.42
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 12

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Robert N Gordon		Date of Receipt M / D / Y 11 / 30 / 2004
Mailing Address 205 East 82th Street PH-A		Transaction ID: 10441711
City New York	State NY	Zip Code 10021-5735
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Twenty-First Securities Corporation	Occupation President	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) B. Mr. Thomas F Price		Date of Receipt M / D / Y 12 / 08 / 2004
Mailing Address 595 Farview Avenue		Transaction ID: 10464076
City Wyckoff	State NJ	Zip Code 07481
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer Securities Industry Association	Occupation VP	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1249.38	

Full Name (Last, First, Middle Initial) C. Mrs. Jessica M. Blilowicz		Date of Receipt M / D / Y 12 / 10 / 2004
Mailing Address 21 Stratford Road		Transaction ID: 10464120
City Harrison	State NY	Zip Code 10528
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer National Financial Partners	Occupation President and CEO	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	2050.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 12

(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
 Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial) A. E. David Coofedge III		Date of Receipt M / D / Y 12 / 21 / 2004
Mailing Address 323 Kenilworth Avenue		Transaction ID: 10515551
City Kenilworth	State IL	Zip Code 60043
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer William Blair & Company, L.L.C.	Occupation Vice Chairman	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) B. Mr. Robert N Dooney		Date of Receipt M / D / Y 12 / 21 / 2004
Mailing Address 755 Park Avenue Apt. 8AB		Transaction ID: 10515555
City New York	State NY	Zip Code 10021-4255
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Goldman Sachs Group, L.P., The	Occupation Limited Partner	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) C. Mr. Thomas F Price		Date of Receipt M / D / Y 12 / 22 / 2004
Mailing Address 585 Farview Avenue		Transaction ID: 10515558
City Wyckoff	State NJ	Zip Code 07481
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer Securities Industry Assoc- iation	Occupation VP	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1299.38	

SUBTOTAL of Receipts This Page (optional)	2050.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 12

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. James M. Cracchiolo		Date of Receipt M / D / Y 12 / 27 / 2004
Mailing Address 7 Harvest Lane		Transaction ID: 10515573
City Tinton Falls	State NY	Zip Code 07724
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer American Express Financial Advisors In Receipt For: Primary General Other (specify) ▼	Occupation CEO Aggregate Year-to-Date ▼ 1000.00	contribution

Full Name (Last, First, Middle Initial) B. Ms. Eska Eger Stecher		Date of Receipt M / D / Y 12 / 27 / 2004
Mailing Address 1021 Park Avenue 10A		Transaction ID: 10515572
City New York	State NY	Zip Code 10028
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 2500.00
Name of Employer Goldman Sachs Group, L.P., The Receipt For: Primary General Other (specify) ▼	Occupation General Counsel Aggregate Year-to-Date ▼ 2500.00	Contribution

Full Name (Last, First, Middle Initial) C. Mr. James T. Donlay, Jr.		Date of Receipt M / D / Y 12 / 29 / 2004
Mailing Address 3 Buck Branch Drive		Transaction ID: 10552089
City Richmond	State VA	Zip Code 23233
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 3000.00
Name of Employer Wachovia Securities, Inc. Receipt For: Primary General Other (specify) ▼	Occupation President, Private Client Group Aggregate Year-to-Date ▼ 3000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	▶	6500.00
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 12
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Dwight C. Moody		Date of Receipt M / D / Y Y Y Y 12 / 31 / 2004
Mailing Address 5200 Downing Creek		Transaction ID: 10515759
City	State	Zip Code
Charlotte	NC	28269
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 3000.00
Name of Employer Wachovia Securities, Inc.	Occupation President, Investment Services Group	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 3000.00	

Full Name (Last, First, Middle Initial) B. Mr. Paul Costello		Date of Receipt M / D / Y Y Y Y 12 / 31 / 2004
Mailing Address 9711 Cragmont Drive		Transaction ID: 10552068
City	State	Zip Code
Richmond	VA	23233
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 3000.00
Name of Employer Wachovia Securities, Inc.	Occupation President, Business Services Group	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 3000.00	

Full Name (Last, First, Middle Initial) C. Mr. Brand F. Meyer		Date of Receipt M / D / Y Y Y Y 12 / 31 / 2004
Mailing Address 951 East Byrd Street		Transaction ID: 10552070
City	State	Zip Code
Richmond	VA	23219
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 3000.00
Name of Employer Wachovia Securities, Inc.	Occupation President, Financial Services Group	Contribution
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 3000.00	

SUBTOTAL of Receipts This Page (optional)	▶	9000.00
TOTAL This Period (last page this line number only)	▶	19774.42

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 / 12

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
	27		28a		28b		28c		29		30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Riggs Bank

Mailing Address P.O. Box 96758

City Washington State DC Zip Code 20090-8758

Purpose of Disbursement
Bank Fee

Candidate Name

Office Sought: House
Senate
President
State: District

Disbursement For:
Primary General
Other (specify) ▼

001
Category/
Type

Transaction ID: 10569184

Date of Disbursement

12 / 03 / 2004

Amount of Each Disbursement this Period

1.00

Bank Fee

SUBTOTAL of Disbursements This Page (optional) ▶ 1.00

TOTAL This Period (last page this line number only) ▶ 1.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 / 12

<input type="checkbox"/> 21b 27	<input type="checkbox"/> 22 28a	<input checked="" type="checkbox"/> 23 28b	<input type="checkbox"/> 24 28c	<input type="checkbox"/> 25 29	<input type="checkbox"/> 26 30b
------------------------------------	------------------------------------	---	------------------------------------	-----------------------------------	------------------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
Securities Industry Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Re-Elect Harold Ford Jr

Mailing Address 5120 Barry Road
Suite 1300

City Memphis State TN Zip Code 38117

Purpose of Disbursement
contribution

Candidate Name
Rep Harold E. Ford, Jr.

Office Sought: House
 Senate
 President
State: TN District 9

Disbursement For: 2006
 Primary General
Other (specify) ▼

011
Category/
Type

Transaction ID: 10472217

Date of Disbursement

12 / 15 / 2004

Amount of Each Disbursement this Period

1000.00

contribution

Full Name (Last, First, Middle Initial)

B. Friends Of Kent Conrad

Mailing Address 301 North 4th Street

City Bismarck State ND Zip Code 58501

Purpose of Disbursement
Contribution

Candidate Name
Kent Conrad

Office Sought: House
 Senate
 President
State: ND District 1

Disbursement For: 2006
 Primary General
Other (specify) ▼

011
Category/
Type

Transaction ID: 10477037

Date of Disbursement

12 / 17 / 2004

Amount of Each Disbursement this Period

2000.00

Contribution

SUBTOTAL of Disbursements This Page (optional) ▶

3000.00

TOTAL This Period (last page this line number only) ▶

3000.00