REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

SEP 23 12 10 PM '97

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (In full)
The Penn Mutual Political Action committee

ADDRESS (number and street) ☐ Check if different than previously reported
600 Dresher Road C3E

CITY, STATE and ZIP CODE
Horsham, PA 19044

2. FEC IDENTIFICATION NUMBER
C00042732

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☑ September 23, 1997 report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? ☐ YES ☐ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 6/10/97 through 9/22/97		
6. (a) Cash on Hand January 1, 19 97		$ 17,746.67
(b) Cash on Hand at Beginning of Reporting Period	$ -22,394.54	
(c) Total Receipts (from Line 18)	$ 6,983.25	$ 18,166.42
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 29,377.79	$ 35,913.09
7. Total Disbursements (from Line 30)	$ 1,500.00	$ 8,035.30
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 27,877.79	$ 27,877.79
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Steven M. Herzberg

Signature of Treasurer
Steven M. Herzberg

Date
9/22/97

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

FE6AN135

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: The Penn Mutual Political Action committee

REPORT COVERING PERIOD FROM 6/10/97 TO: 9/22/97

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	3,802.54	8,516.92	11(a)(i)
ii. Unitemized	2,951.17	9,014.29	11(a)(ii)
iii. Total (add i and ii) ➤	6,753.71	17,531.21	11(a)(iii)
b. Political Party Committees	0	0	11(b)
c. Other Political Committees (such as PACs)	0	0	11(c)
d. Total Contributions (add a iii, b and c) ➤	6,753.71	17,531.21	11(d)
12. Transfers From Affiliated/Other Party Committees	0	0	12
13. All Loans Received	0	0	13
14. Loan Repayments Received	0	0	14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0	0	15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0	0	16
17. Other Federal Receipts (Dividends, Interest, etc.)	229.54	635.21	17
18. Transfers from Nonfederal Account for Joint Activity	0	0	18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	6,983.25	18,166.42	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	6,983.25	18,166.42	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0	0	21(a)(i)
ii. Non-Federal Share	0	0	21(a)(ii)
b. Other Federal Operating Expenditures	0	0	21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤	0	0	21(c)
22. Transfers to Affiliated/Other Party Committees	1,500.00	6,785.30	22
23. Contributions to Federal Candidates/Committees and Other Political Committees	0	0	23
24. Independent Expenditures (use Schedule E)	0	0	24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0	0	25
26. Loan Repayments Made	0	0	26
27. Loans Made	0	0	27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0	0	28(a)
b. Political Party Committees	0	0	28(b)
c. Other Political Committees (such as PACs)	0	0	28(c)
d. Total Contribution Refunds (add a, b and c) ➤	0	0	28(d)
29. Other Disbursements	1,500.00	1,250.00	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	1,500.00	8,035.30	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	1,500.00	8,035.30	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	6,983.25	18,166.42	32
33. Total Contribution Refunds (from line 28d)	0	0	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	6,983.25	18,166.42	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	0	0	35
36. Offsets to Operating Expenditures (from line 15)	0	0	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	0	0	37

FE5AN136

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE OF

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Penn Mutual Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Robert E. Chappell 198 Blacksmith Road Oley, PA 19547 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Chairman & CEO Aggregate Year-to-Date $ 1,356.25	6/10/97 to 9/22/97	193.75 1,356.25
B. Nina M. Mulrooney 268 Copper Beech Drive Blue Bell, PA 19422 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: General Auditor Aggregate Year-to-Date $ 255.26	6/10/97 to 9/22/97	36.47 255.26
C. Richard J. Liburdi 2 Jarrocks Lane Malvern, PA 19355 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: SVP/CAS Aggregate Year-to-Date $ 398.79	6/10/97 to 9/22/97	56.97 398.79
D. Daniel J. Toran 17 Loker Street Wayland, MA 01778 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: President & COO Aggregate Year-to-Date $ 918.22	6/10/97 to 9/22/97	131.17 918.22
E. Nancy S. Brodie 1536 Naudain Street Philadelphia, Pa 19146 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: EVP & CFO Aggregate Year-to-Date $ 528.11	6/10/97 to 9/22/97	75.44 528.11
F. John M. Albanese 3354 Mill Rd. Collegeville, PA 19426 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: VP Systems & Service Aggregate Year-to-Date $ 345.91	6/10/97 to 9/22/97	49.41 345.91
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional) .....

TOTAL This Period (last page this line number only) 3,802.54

FE8ANI35

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE OF

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Penn Mutual Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
1997 Republican Senate-House Directors 425 Second Street, Northeast Washington, D.C. 20002	Fd Contribution Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	6/18/97	$ 1,500.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) ..... $ 1,500.00

FEBAN135

DSEB-502 (12/85)

CAMPAIGN EXPENSE REPORT

COMMONWEALTH OF PENNSYLVANIA — DEPARTMENT OF STATE
BUREAU OF COMMISSIONS, ELECTIONS AND LEGISLATION
305 NORTH OFFICE BUILDING
HARRISBURG, PA 17120

NAME/ADDRESS INFORMATION	ELECTION DATA	REPORT FILED BY	TYPE OF REPORT
NAME OF CANDIDATE, COMMITTEE OR LOBBYIST 8100230 PAC PENN MUTUAL PAC C/O TREAS: Steven M. Herzberg 600 Dresher Road C1L Horsham, PA 19044	ELECTION DATE OFFICE/DISTRICT COUNTY	☐ Candidate ☐ Committee ☐ Lobbyist	☐ 6th Tues. Pre-Election ☐ 2nd Fri. Pre-Election ☐ 30-Day Post Election ☐ Annual Report ☐ Amendment Report ☐ Termination Report
CITY/STATE/ZIP CODE			

NOTE: This report *must* be typed or prepared in blue or black ink.

SUMMARY OF RECEIPTS AND EXPENDITURES FROM 6/10/97 **TO** 9/22/97

A. Cash Balance — Beginning (See Instruction # 1)	$ 22,394.54
B. Total Receipts (From Schedule I — This Report Only)	$ 6,983.25
C. Total of Lines A and B	$ 29,377.79
D. Total Expenditures (From Schedule II — This Report Only)	$ 1,500.00
E. Cash Balance — Ending (Subtract Line D from Line C)	$ 27,877.79
F. Unpaid Debts and Obligations (From Schedule III)	$
G. In-Kind Contributions (From Schedule IV — This Report Only)	$

FOR OFFICE USE ONLY

AFFIDAVIT SECTION

PART I — TO BE COMPLETED BY THE PERSON SUBMITTING THIS REPORT
(Treasurer — If Filed By Committee; Candidate — If Filed By Candidate)

I swear (or affirm) that this report, accompanying schedules and statements are to the best of my knowledge and belief true, correct and complete.

COMMONWEALTH OF PENNSYLVANIA SEAL
BRENDA L. SARACINO, Notary Public
Horsham, Montgomery County
My Commission Expires March 7, 1998

Sworn to and subscribed before me this 22 day of Sept 19 97

Signature of Person Submitting Report

Signature — Official Title: Notary

Printed Name: Steven M. Herzberg, Treasurer

My Commission Expires 3-7-1998

Telephone Number: (215)956-8391

PART II — TO BE COMPLETED BY THE CANDIDATE IF THIS REPORT IS FILED BY THE CANDIDATE'S AUTHORIZED COMMITTEE

I swear (or affirm) that to the best of my knowledge and belief this political committee has not violated any provisions of the Act of June 3, 1937 (P.L. 1333, No. 320) as amended.

Sworn to and subscribed before me this _____ day of _____ 19____

Signature of Candidate

Signature — Official Title

Printed Name

My Commission Expires

Telephone Number

SCHEDULE I
SUMMARY OF CONTRIBUTIONS AND RECEIPTS

USE THIS SCHEDULE TO SUMMARIZE ALL CONTRIBUTIONS, RECEIPTS AND REFUNDS RECEIVED DURING THE REPORTING PERIOD.

NAME OF CANDIDATE, COMMITTEE OR LOBBYIST	REPORTING PERIOD
The Penn Mutual Political Action Committee	From 6/10/97 To 9/22/97

NOTE: THIS REPORT *MUST* BE TYPED OR PREPARED IN BLUE OR BLACK INK!

A. UNITEMIZED CONTRIBUTIONS AND RECEIPTS — $50.00 OR LESS

TOTAL (For the Reporting Period)	$ 1,108.08

B. CONTRIBUTIONS AND RECEIPTS — $50.01 TO $250.00 (Complete Sections 1.1 & 1.2)

ONLY CONTRIBUTIONS RECEIVED FROM POLITICAL COMMITTEES	(Section 1.1)	$ 0
ALL OTHER CONTRIBUTIONS	(Section 1.2)	$ 2,072.63
TOTAL (For the Reporting Period)		$ 2,072.63

C. CONTRIBUTIONS AND RECEIPTS — OVER $250.00 (Complete Sections 2.1 & 2.2)

ONLY CONTRIBUTIONS RECEIVED FROM POLITICAL COMMITTEES	(Section 2.1)	$ 0
ALL OTHER CONTRIBUTIONS	(Section 2.2)	$ 3,802.54
TOTAL (For the Reporting Period)		$ 3,802.54

D. REFUNDS RECEIVED (Complete Section 3)

TOTAL (For the Reporting Period)	$ 0

TOTAL THIS PERIOD — ADD AMOUNT TOTALS FROM BOXES A, B, C & D ENTER ON PAGE 1 (Face Sheet) — ITEM B	$ 6,983.25

DS28-502 (12/85)

SCHEDULE II
SUMMARY OF EXPENDITURES

USE THIS SECTION TO ITEMIZE ALL EXPENDITURES MADE DURING THE REPORTING PERIOD.

NAME OF CANDIDATE, COMMITTEE OR LOBBYIST	REPORTING PERIOD
The Penn Mutual Political Action Committee	From 6/10/97 To 9/22/97

DATE(S)	FULL NAME, MAILING ADDRESS AND ZIP CODE OF RECIPIENT	DESCRIPTION OF EXPENDITURE	AMOUNT
6/18/97	1997 Republican Senate-Hse Dirs. Fd 425 Second Street, Northeast Washington, D.C. 20002	Contribution	$ 1,500.00

Subtotal This Page (Optional) ►

Total This Period — Enter on Page 1 (Face Sheet) — Item D ► $ 1,500.00

SEE INSTRUCTIONS ON NEXT PAGE

SECTION 1.2

ALL OTHER CONTRIBUTIONS AND RECEIPTS — $50.01 TO $250.00

(Except Political Committee Contributions)

USE THIS SECTION TO ITEMIZE ALL OTHER CONTRIBUTIONS WITH AN AGGREGATE VALUE FROM $50.01 TO $250.00 FOR THE REPORTING PERIOD (Excluding Contributions Received From Political Committees Listed on Section 1.1).

NAME OF CANDIDATE, COMMITTEE OR LOBBYIST	REPORTING PERIOD
The Penn Mutual Political Action Committee	From 6/10/97 To 9/22/97

DATE(S)	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTORS	AGGREGATE VALUE
	SEE ATTACHED	

Subtotal This Page (Optional) ►	
Total This Period — Enter on Schedule I — Summary of Contributions and Receipts — Box B ►	$ 2,072.63

09/15/97 PM PAC CONTRIBUTIONS - $50.01 TO $250 PAGE 01

WALTER M WENDEL CLU 4333 CARROLLWOOD VILL RD TAMPA FL 33624	102.13	
CONNELL J TABR 122 AUTRAN AVE NO ANDOVER MA 01845	70.00	
PHILIP GARLICK JR 12660 NORTH 56TH WAY SCOTTSDALE AZ 85254	58.31	
ANTHONY W FAKOURI CLU 589 VALLEY CLUB CIRCLE LITTLE ROCK AR 72212	175.00	
BENJAMIN W TEEKELL 603A OLD FINKS HIDEAWAY MONROE LA 71203	105.00	
RICHARD C SNEBOLD JR 2623 LANSDALE DRIVE WEXFORD PA 15090	109.41	
MARSHA KOHL 304 CENTER STREET WESTMONT NJ 08108	110.53	
FREDERICK M RACKOVAN 70 BAYBERRY LANE PHOENIXVILLE PA 19460	87.50	
JAMES A CLARY 2620 SUMMIT AVENUE BROOMALL PA 19008	113.75	
PAUL L HARRINGTON 28 TICE LANE PERKASIE PA 18944	58.31	
L STOCKTON ILLOWAY RD #2 CRICKET HILL FARM PHOENIXVILLE PA 19460	175.00	
SUBTOTAL THIS PAGE ---	1,164.94	

GEORGE J LIMBACH 200 MERION AVE NARBERTH PA 19072	105.00	
HAROLD E MAUDE JR 23 HIGHVIEW DR RADNOR PA 19087	140.00	
PETER M SHERMAN 1082 KENYON DR FT WASHINGTON PA 19034	70.00	
WILLIAM H PENTZ 661 BAIR ROAD BERWYN PA 19312	56.00	
SUSAN T DEAKINS 1297 FARM RD BERWYN PA 19312	58.38	
THOMAS A TOY 5 KILGOUR COURT MARLTON NJ 08053	58.31	
MARY ANN RIECK 2015 E MADISON ST PHILA PA 19134	70.00	
HARVEY ALTER 1409 PATRICK CT AMBLER PA 19002	87.50	
ROSLYN S ROMANOSKI 1008 PINETOWN ROAD FT WASHINGTON PA 19034	58.31	
JOHN M SCHULTZ 6 CARRIAGE DRIVE HORSHAM PA 19044	58.31	
YVONNE Y WONG 165 RIDINGS WAY BROAD AXE PA 19002	58.38	
SUBTOTAL THIS PAGE ---	820.19	

JOHN J LETTER 87.50
711 RAYNHAM ROAD
COLLEGEVILLE PA 19426

SUBTOTAL THIS PAGE --- 87.50

TOTAL CONTRIBUTIONS --- 2,072.63

SECTION 2.2

ALL OTHER CONTRIBUTIONS AND RECEIPTS — OVER $250.00

(Except Political Committee Contributions)

USE THIS SECTION TO ITEMIZE ALL OTHER CONTRIBUTIONS WITH AN AGGREGATE VALUE OVER $250.00 FOR THE REPORTING PERIOD.

(Excluding Contributions Received From Political Committees Listed on Section 2.1).

NAME OF CANDIDATE, COMMITTEE OR LOBBYIST	REPORTING PERIOD
The Penn Mutual Political Action Committee	From 6/10/97 To 9/22/97

Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
SEE ATTACHED		
Employer Name And Address or Principal Place of Business	Date Received	
Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
Employer Name And Address or Principal Place of Business	Date Received	
Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
Employer Name And Address or Principal Place of Business	Date Received	
Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
Employer Name And Address or Principal Place of Business	Date Received	
Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
Employer Name And Address or Principal Place of Business	Date Received	
Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
Employer Name And Address or Principal Place of Business	Date Received	
Full Name, Mailing Address and Zip Code of Contributor	Occupation	Aggregate Value
Employer Name And Address or Principal Place of Business	Date Received	
Subtotal This Page (Optional)	►	
Total This Period — Enter on Schedule I — Summary of Contributions and Receipts — Box C ►		$ 3,802.54

09/15/97 PM PAC CONTRIBUTIONS - $250 AND ABOVE PAGE 01

6-6-97 - 9-12-97 for PA

RICHARD J LIBURDI 12 NORROCKS LANE MALVERN PA 19355	998.79	66.97	
NINA M MULROONEY 288 COPPER BEECH DRIVE BLUE BELL PA 19422	255.26	36.47	
DANIEL J TORAN 17 LOKER STREET WAYLAND MA 01778	918.22	131.17	
ROBERT F CHAPPELL 198 BLACKSMITH ROAD DLEY PA 19547	1,356.25	193.75	
NANCY S BRODIE 1536 NAUDAIN STREET PHILA PA 19146	528.11	75.44	
JOHN M ALBANESE 3354 MILL ROAD COLLEGEVILLE PA 19426	345.91	49.41	

SUBTOTAL THIS PAGE --- 9,802.54

TOTAL CONTRIBUTIONS --- 9,802.54

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	DATE OF RECEIPT 9-23-97
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

SES — PREPARER

9-23-97 — DATE PREPARED

FEC FORM 70-2 (12/92)