ATTACHMENT 3

Janice Clarke

From: FEC Filing Server [http_db@sdrdc.com]
Sent: Wednesday, July 14, 2004 3:47 PM
To: Janice Clarke
Subject: FEC Electronic Filing Results

DISCLOSE --- FEC Financial Disclosure Filing Acknowledgement

This is to acknowledge the receipt and acceptance of your electronic filing via the DISCLOSE protocol.

Your filing was received and accepted by our system at 07/14/2004 - 15:46:59, and was assigned the Filing ID of: FEC-126419

Please make a note of this, as it will be necessary to refer to this information in the future.

Thank you for using DISCLOSE.

Do not reply to this notice. It is sent from an unattended account that cannot receive email.

For your reference, the output of the validation check was as follows:

FEC File Validator Version 5.1.2.0

Developed for the Federal Election Commission
by NIC Technologies, Inc. 1996-2004

=== Identification Section
==========

Committee ID: C00193177
Committee Name: Financial Services Roundtable PAC
Filing Type: F3XA
From/Through: 20040501 - 20040531

Software/Ver#: Vocus PAC Management / Ver# 3.00.1376

=== Results Section
==========

>>>----> FEC data file passed validation. <----<<<

Alert: 00006 warnings and/or potential missing information encountered

=== Summary Page Totals Section
==========

Cover/Summary Page Totals for Form: F3X

Line No.	Column A	Column B
6A 2004		65,318.80
6B	96,142.48	
6C	20,309.69	140,190.31
6D	116,452.17	205,509.14
7	26,500.00	115,556.97
8	89,952.17	89,952.17
9	0.00	
10	0.00	
11A1	0.00	17,100.00
11A2	300.00	1,045.00
11A3	300.00	18,145.00
11B	0.00	0.00
11C	20,000.00	121,000.00
11D	20,300.00	139,145.00
12	0.00	0.00
13	0.00	0.00
14	0.00	0.00
15	0.00	0.00
16	0.00	1,000.00
17	9.69	45.31
18A	0.00	0.00
19	20,309.69	140,190.31
20	20,309.69	140,190.31
21A1	0.00	0.00
21A2	0.00	0.00
21B	0.00	0.00
21C	0.00	0.00
22	0.00	0.00
23	26,500.00	115,070.00
24	0.00	0.00
25	0.00	0.00
26	0.00	0.00
27	0.00	0.00
28A	0.00	0.00
28B	0.00	0.00
28C	0.00	0.00
28D	0.00	0.00
29	0.00	486.97
31	26,500.00	115,556.97
32	26,500.00	115,556.97
33	20,300.00	139,145.00
34	0.00	0.00
35	20,300.00	139,145.00
36	0.00	0.00
37	0.00	0.00
38	0.00	0.00
18B	0.00	0.00
18C	0.00	0.00
30A1	0.00	0.00
30A2	0.00	0.00
30B	0.00	0.00

30C 0.00 0.00

=== Errors & Warnings Section
==========

Validation Errors & Warnings

ERROR (Level 16, 12, 8) Messages...

No Errors

WARNING (Level 4) Messages...

Form(Item): SB23 (National Republican Congressional Committee)
Field Name: #012 Election Type Code
Warning Election Code missing: ?

Form(Item): SB23 (National Republican Congressional Committee)
Field Name: #019 Candidate: Office Sought
Warning Conditionally Required field is Empty

Form(Item): SB23 (ERICPAC)
Field Name: #012 Election Type Code
Warning Election Code missing: ?

Form(Item): SB23 (ERICPAC)
Field Name: #019 Candidate: Office Sought
Warning Conditionally Required field is Empty

Form(Item): SB23 (The Pryce Project)
Field Name: #012 Election Type Code
Warning Election Code missing: ?

Form(Item): SB23 (The Pryce Project)
Field Name: #019 Candidate: Office Sought
Warning Conditionally Required field is Empty
MD5 checksum:
7f6994a5f1ba099c069d704eab710a60

RECEIVED
FEDERAL ELECTION
COMMISSION
PUBLIC DISCLOSURE
DIVISION

2004 JUL 17 A 10:51

(Office Use Only)

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

1. NAME OF COMMITTEE (in full) — TYPE OR PRINT ▼ — Example: If typing, type over the lines. 12FE4M5

Financial Services Roundtable PAC

ADDRESS (number and street) ▼ — 1001 Pennsylvania Avenue, NW

Suite 500 South

Check if different than previously reported. (ACC)

Washington — DC — 20004

2. FEC IDENTIFICATION NUMBER ▼ — CITY ▲ — STATE ▲ — ZIP CODE ▲

C C00198177

3. IS THIS REPORT — NEW (N) OR — AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (YE)

(c) 12-Day PRE-Election Report for the: Primary (12P) — General (12G) — Runoff (12R) — Convention (12C) — Special (12S)

Election on — in the State of

(d) 30-Day POST-Election Report for the: General (30G) — Runoff (30R) — Special (30S)

Election on — in the State of

5. Covering Period 05 01 2004 through 05 31 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard Whiting

Signature of Treasurer — Date 07 14 2004

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only							

FEC FORM 3X (Rev. 02/2003)

FE3AN03Y.PDF

24038461997

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Financial Services Roundtable PAC

Report Covering the Period: From: 05 01 2004 To: 05 31 2004

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2004		$65,518.63
(b) Cash on Hand at Beginning of Reporting Period	$96,142.48	
(c) Total Receipts (from Line 19)	$20,309.69	$140,190.31
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$116,452.17	$205,509.14
7. Total Disbursements (from Line 31)	$26,500.00	$115,556.97
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$89,952.17	$89,952.17
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	$0.00	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	$0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

24038461998

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Financial Services Roundtable PAC

Report Covering the Period: From: 05 01 2004 To: 05 31 2004

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	$0.00	
(ii) Unitemized	$300.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶	$300.00	$18,145.00
(b) Political Party Committees	$0.00	$0.00
(c) Other Political Committees (such as PACs)	$20,000.00	$121,000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ▶	$20,300.00	$139,145.00
12. Transfers From Affiliated/Other Party Committees	$0.00	$0.00
13. All Loans Received	$0.00	$0.00
14. Loan Repayments Received	$0.00	$0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	$0.00	$0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	$0.00	$1,000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	$9.69	$45.31
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	$0.00	$0.00
(b) Levin Funds (from Schedule H3)	$0.00	$0.00
(c) Total Transfers (add 18(a) and 18(b))	$0.00	$0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ▶	$20,309.69	$140,190.31
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ▶	$20,309.69	$140,190.31

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	$0.00	$0.00
(ii) Non-Federal Share	$0.00	$0.00
(b) Other Federal Operating Expenditures	$0.00	$0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	$0.00	$0.00
22. Transfers to Affiliated/Other Party Committees	$0.00	$0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	$26,500.00	$115,070.00
24. Independent Expenditures (use Schedule E)	$0.00	$0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	$0.00	$0.00
26. Loan Repayments Made	$0.00	$0.00
27. Loans Made	$0.00	$0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	$0.00	$0.00
(b) Political Party Committees	$0.00	$0.00
(c) Other Political Committees (such as PACs)	$0.00	$0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	$0.00	$0.00
29. Other Disbursements	$0.00	$486.97
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	$0.00	$0.00
(ii) "Levin" Share	$0.00	$0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	$0.00	$0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	$0.00	$0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	$26,500.00	$115,556.97
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	$26,500.00	$115,556.97

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	$20,300.00	$139,145.00
34. Total Contribution Refunds (from Line 28(d))	$0.00	$0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	$20,300.00	$139,145.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	$0.00	$0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	$0.00	$0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ▶	$0.00	$0.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 1 OF 2

☐ 11a ☐ 11b ☑ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A. Full Name (Last, First, Middle Initial)
FMR Corp Federal PAC

Mailing Address
82 Devonshire Street

City: Boston | State: MA | Zip Code: 02109

FEC ID number of contributing federal political committee: C 00215046

Name of Employer | Occupation

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date: $5,000.00

Date of Receipt: 05 07 2004

Amount of Each Receipt this Period: $5,000.00

B. Full Name (Last, First, Middle Initial)
LaSalle National Corp. Community Action Committee

Mailing Address
135 South LaSalle Street Suite 327

City: Chicago | State: IL | Zip Code: 60603

FEC ID number of contributing federal political committee: C

Name of Employer | Occupation

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date: $5,000.00

Date of Receipt: 05 07 2004

Amount of Each Receipt this Period: $5,000.00

C. Full Name (Last, First, Middle Initial)
Marshall & Ilsley Corporation PAC

Mailing Address
770 N. Water Street

City: Milwaukee | State: WI | Zip Code: 53202

FEC ID number of contributing federal political committee: C 00170696

Name of Employer | Occupation

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date: $2,500.00

Date of Receipt: 05 07 2004

Amount of Each Receipt this Period: $2,500.00

SUBTOTAL of Receipts This Page (optional) ▶ $12,500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 2 OF 2

☐ 11a ☐ 11b ☑ 11c ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (in Full)
Financial Services Roundtable PAC

A. Full Name (Last, First, Middle Initial)
Marshall & Ilsley Corporation PAC

Mailing Address
770 N. Water Street

City: Milwaukee | State: WI | Zip Code: 53202

FEC ID number of contributing federal political committee: C 00170696

Name of Employer | Occupation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ $5,000.00

Date of Receipt: 05 07 2004

Amount of Each Receipt this Period: $2,500.00

B. Full Name (Last, First, Middle Initial)
SUNTRUST PAC

Mailing Address
919 EAST MAIN STREET

City: RICHMOND | State: VA | Zip Code: 23219

FEC ID number of contributing federal political committee: C

Name of Employer | Occupation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ $5,000.00

Date of Receipt: 05 07 2004

Amount of Each Receipt this Period: $5,000.00

C. Full Name (Last, First, Middle Initial)

Mailing Address

City | State | Zip Code

FEC ID number of contributing federal political committee: C

Name of Employer | Occupation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL or Receipts This Page (optional) ▶ $7,500.00

TOTAL This Period (last page this line number only) ▶ $20,000.00

24038462003

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

PAGE 1 OF 11

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): National Republican Congressional Committee
Date of Disbursement: 05 03 2004
Mailing Address: 320 First Street, SE
City: Washington State: DC Zip Code: 20003
Purpose of Disbursement:
Category/Type: 011
Amount of Each Disbursement this Period: $5,000.00
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
State: District: 0

B.
Full Name (Last, First, Middle Initial): Hooley For Congress
Date of Disbursement: 05 03 2004
Mailing Address: PO Box 2050
City: Salem State: OR Zip Code: 97308
Purpose of Disbursement:
Category/Type: 011
Amount of Each Disbursement this Period: $1,000.00
Candidate Name: Rep. Darlene Hooley
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
State: OR District: 5

C.
Full Name (Last, First, Middle Initial): Tiberi For Congress
Date of Disbursement: 05 04 2004
Mailing Address: 2021 E Dublin Granville Rd # 2000
City: Columbus State: OH Zip Code: 43229
Purpose of Disbursement:
Category/Type: 011
Amount of Each Disbursement this Period: $1,000.00
Candidate Name: Rep. Patrick Tiberi
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) 2004 General
State: OH District: 12

SUBTOTAL of Disbursements This Page (optional) ▶ $7,000.00

TOTAL This Period (last page this line number only) ▶

FEC Schedule B (Form 3X) Rev. 02/2003

FDAN017.PDF

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (in Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Max Burns For Congress
Mailing Address: P.O. Box 1965
City: Sylvania State: GA Zip Code: 30467
Purpose of Disbursement:
Candidate Name: Rep. Max Burns
Office Sought: ☑ House ☐ Senate ☐ President
State: GA District: 12
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 04 2004
Amount of Each Disbursement this Period: $1,000.00

B.
Full Name (Last, First, Middle Initial): ERICPAC
Mailing Address: 209 Pennsylvania Avenue, SE
City: Washington State: DC Zip Code: 20003
Purpose of Disbursement:
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District: 0
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 06 2004
Amount of Each Disbursement this Period: $5,000.00

C.
Full Name (Last, First, Middle Initial): Matheson For Congress
Mailing Address: 677 South 200 West Suite A
City: Salt Lake City State: UT Zip Code: 84101
Purpose of Disbursement:
Candidate Name: Rep. James Matheson
Office Sought: ☑ House ☐ Senate ☐ President
State: UT District: 2
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 11 2004
Amount of Each Disbursement this Period: $1,000.00

SUBTOTAL of Disbursements This Page (optional) ▶ $7,000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

PAGE 5 OF 11

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NAME OF COMMITTEE (in Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Matheson For Congress
Mailing Address: 677 South 200 West Suite A
City: Salt Lake City State: UT Zip Code: 84101
Purpose of Disbursement:
Category/Type: 011
Candidate Name: Rep. James Matheson
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
State: UT District: 2
Date of Disbursement: 05 11 2004
Amount of Each Disbursement this Period: $2,000.00

B.
Full Name (Last, First, Middle Initial): Friends Of Connie Mack
Mailing Address: 5100 S Cleveland Avenue Suite 318 Pmb 388
City: Fort Myers State: FL Zip Code: 33907
Purpose of Disbursement:
Category/Type: 011
Candidate Name: Connie Mack
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
State: FL District: 14
Date of Disbursement: 05 11 2004
Amount of Each Disbursement this Period: $1,000.00

C.
Full Name (Last, First, Middle Initial): Matheson For Congress
Mailing Address: 677 South 200 West Suite A
City: Salt Lake City State: UT Zip Code: 84101
Purpose of Disbursement: Void - Matheson For Congress
Category/Type: 011
Candidate Name: Rep. James Matheson
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
State: UT District: 2
Date of Disbursement: 05 12 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Matheson For Congress

SUBTOTAL of Disbursements This Page (optional) ▶ $2,000.00

TOTAL This Period (last page this line number only) ▶

FEC Schedule B (Form 3X) Rev. 02/2003

FE2N337.PDF

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER (check only one): ☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (in Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Friends Of Connie Mack
Mailing Address: 5100 S Cleveland Avenue Suite 318 Pmb 386
City: Fort Myers State: FL Zip Code: 33907
Purpose of Disbursement: Void - Friends Of Connie Mack
Candidate Name: Connie Mack
Office Sought: ☑ House ☐ Senate ☐ President
State: FL District: 14
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 12 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Friends Of Connie Mack

B.
Full Name (Last, First, Middle Initial): Castle Campaign Fund
Mailing Address: P.O Box 133
City: Wilmington State: DE Zip Code: 19899
Purpose of Disbursement:
Candidate Name: Rep. Michael Castle
Office Sought: ☑ House ☐ Senate ☐ President
State: DE District: 1
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 13 2004
Amount of Each Disbursement this Period: $1,000.00

C.
Full Name (Last, First, Middle Initial): Moore For Congress
Mailing Address: PO Box 14631
City: Shawnee Mission State: KS Zip Code: 66285
Purpose of Disbursement:
Candidate Name: Rep. Dennis Moore
Office Sought: ☑ House ☐ Senate ☐ President
State: KS District: 3
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 13 2004
Amount of Each Disbursement this Period: $500.00

SUBTOTAL of Disbursements This Page (optional) ▶ $500.00

TOTAL This Period (last page this line number only) ▶

FE3AH07.PDF

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Pryce For Congress
Mailing Address: 145 E Rich Street
City: Columbus State: OH Zip Code: 43215
Purpose of Disbursement:
Candidate Name: Rep. Deborah Pryce
Office Sought: ☑ House ☐ Senate ☐ President
State: OH District: 15
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 13 2004
Amount of Each Disbursement this Period: $1,000.00

B.
Full Name (Last, First, Middle Initial): Pryce For Congress
Mailing Address: 145 E Rich Street
City: Columbus State: OH Zip Code: 43215
Purpose of Disbursement: Void - Pryce For Congress
Candidate Name: Rep. Deborah Pryce
Office Sought: ☑ House ☐ Senate ☐ President
State: OH District: 15
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 13 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Pryce For Congress

C.
Full Name (Last, First, Middle Initial): Oxley For Congress
Mailing Address: Box 2000
City: Findlay State: OH Zip Code: 45839
Purpose of Disbursement:
Candidate Name: Rep. Michael Oxley
Office Sought: ☑ House ☐ Senate ☐ President
State: OH District: 4
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 13 2004
Amount of Each Disbursement this Period: $1,000.00

SUBTOTAL of Disbursements This Page (optional) ▶ $1,000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 26 ☐ 29
☐ 27 ☐ 28a ☐ 30b ☐ 28c ☐ 28 ☐ 30b

PAGE 6 OF 11

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): The Pryce Project
Date of Disbursement: 05 13 2004
Mailing Address: 1155 21st Street, NW Suite 300
City: Washington State: DC Zip Code: 20036
Purpose of Disbursement:
Category/Type: 011
Amount of Each Disbursement this Period: $1,000.00
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
State: District: 0

B.
Full Name (Last, First, Middle Initial): Ed Royce For Congress
Date of Disbursement: 05 13 2004
Mailing Address: P.O. Box 6765
City: Fullerton State: CA Zip Code: 92834
Purpose of Disbursement:
Category/Type: 011
Amount of Each Disbursement this Period: $1,000.00
Candidate Name: Rep. Edward Royce
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
State: CA District: 39

C.
Full Name (Last, First, Middle Initial): Conaway For Congress
Date of Disbursement: 05 13 2004
Mailing Address: PO Box 51272
City: Midland State: TX Zip Code: 79710
Purpose of Disbursement:
Category/Type: 011
Amount of Each Disbursement this Period: $1,000.00
Candidate Name: Mr. K Michael Conaway
Office Sought: ☑ House ☐ Senate ☐ President
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) 2004 General
State: TX District: 11

SUBTOTAL of Disbursements This Page (optional) ▶ $3,000.00

TOTAL This Period (last page this line number only) ▶

24038462009

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

PAGE 1 OF 1

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Oxley For Congress
Mailing Address: Box 2000
City: Findlay State: OH Zip Code: 45839
Purpose of Disbursement: Void - Oxley For Congress
Candidate Name: Rep. Michael Oxley
Office Sought: ☑ House ☐ Senate ☐ President
State: OH District: 4
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 14 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Oxley For Congress

B.
Full Name (Last, First, Middle Initial): Ed Royce For Congress
Mailing Address: P.O. Box 6785
City: Fullerton State: CA Zip Code: 92834
Purpose of Disbursement: Void - Ed Royce For Congress
Candidate Name: Rep. Edward Royce
Office Sought: ☑ House ☐ Senate ☐ President
State: CA District: 39
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 14 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Ed Royce For Congress

C.
Full Name (Last, First, Middle Initial): Conaway For Congress
Mailing Address: PO Box 51272
City: Midland State: TX Zip Code: 79710
Purpose of Disbursement: Void - Conaway For Congress
Candidate Name: Mr. K Michael Conaway
Office Sought: ☑ House ☐ Senate ☐ President
State: TX District: 11
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) 2004 General
Category/Type: 011
Date of Disbursement: 05 14 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Conaway For Congress

SUBTOTAL of Disbursements This Page (optional) ▶ ($3,000.00)

TOTAL This Period (last page this line number only) ▶

FEC Schedule B (Form 3X) Rev. 02/2003

H23AN03T.PDF

24038462010

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

PAGE 8 OF 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Putnam For Congress
Mailing Address: Post Office Box 2257
City: Bartow State: FL Zip Code: 33831
Purpose of Disbursement:
Candidate Name: Rep. Adam Putnam
Office Sought: ☑ House ☐ Senate ☐ President
State: FL District: 12
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 17 2004
Amount of Each Disbursement this Period: $1,000.00

B.
Full Name (Last, First, Middle Initial): Friends Of Rahm Emanuel
Mailing Address: PO Box 64415
City: Chicago State: IL Zip Code: 60664
Purpose of Disbursement:
Candidate Name: Rahm Emanuel
Office Sought: ☑ House ☐ Senate ☐ President
State: IL District: 5
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) 2004 General
Category/Type: 011
Date of Disbursement: 05 17 2004
Amount of Each Disbursement this Period: $1,000.00

C.
Full Name (Last, First, Middle Initial): Lucas For Congress
Mailing Address: PO Box 1728
City: Oklahoma City State: OK Zip Code: 73101
Purpose of Disbursement:
Candidate Name: Rep. Frank Lucas
Office Sought: ☑ House ☐ Senate ☐ President
State: OK District: 6
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 17 2004
Amount of Each Disbursement this Period: $1,000.00

SUBTOTAL of Disbursements This Page (optional) ▶ $3,000.00

TOTAL This Period (last page this line number only) ▶

FEC Schedule B (Form 3X) Rev. 02/2003

FEB4H03.PDF

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Demint For Congress Committee
Mailing Address: P.O. Box 10407 P.O. Box 10407
City: Greenville State: SC Zip Code: 29603
Purpose of Disbursement:
Candidate Name: Rep. Jim DeMint
Office Sought: ☑ House ☐ Senate ☐ President
State: SC District: 4
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 17 2004
Amount of Each Disbursement this Period: $1,000.00

B.
Full Name (Last, First, Middle Initial): Demint For Congress Committee
Mailing Address: P.O. Box 10407 P.O. Box 10407
City: Greenville State: SC Zip Code: 29603
Purpose of Disbursement: Void - Demint For Congress Committee
Candidate Name: Rep. Jim DeMint
Office Sought: ☑ House ☐ Senate ☐ President
State: SC District: 4
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 17 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Demint For Congress Committee

C.
Full Name (Last, First, Middle Initial): Demint For Senate Committee Inc
Mailing Address: 701 Gervais Street Suite 150-178
City: Columbia State: SC Zip Code: 29201
Purpose of Disbursement:
Candidate Name: Mr. James Demint
Office Sought: ☐ House ☑ Senate ☐ President
State: SC District: 2
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 17 2004
Amount of Each Disbursement this Period: $1,000.00

SUBTOTAL of Disbursements This Page (optional) ▶ $1,000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Citizens For Bunning
Mailing Address: 1717 Dixie Highway Suite 180
City: Ft Wright State: KY Zip Code: 41011
Purpose of Disbursement:
Candidate Name: Sen. Jim Bunning
Office Sought: ☐ House ☑ Senate ☐ President
State: KY District: 1
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) ▼ 2004 General
Category/Type: 011
Date of Disbursement: 05 18 2004
Amount of Each Disbursement this Period: $2,000.00

B.
Full Name (Last, First, Middle Initial): Kaczala For Congress
Mailing Address: 4841 Monroe St Suite 350
City: Toledo State: OH Zip Code: 43623
Purpose of Disbursement:
Candidate Name: Mr. Larry Kaczala
Office Sought: ☑ House ☐ Senate ☐ President
State: OH District: 9
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) ▼ 2004 General
Category/Type: 011
Date of Disbursement: 05 19 2004
Amount of Each Disbursement this Period: $1,000.00

C.
Full Name (Last, First, Middle Initial): Charlie Dent For Congress
Mailing Address: PO Box 442
City: Allentown State: PA Zip Code: 18105
Purpose of Disbursement:
Candidate Name: Mr. Charles Dent
Office Sought: ☑ House ☐ Senate ☐ President
State: PA District: 15
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify) ▼
Category/Type: 011
Date of Disbursement: 05 20 2004
Amount of Each Disbursement this Period: $1,000.00

SUBTOTAL of Disbursements This Page (optional) ▶ $4,000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21a ☐ 22 ☑ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

PAGE 11 OF 11

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial): Charlie Dent For Congress
Mailing Address: PO Box 442
City: Allentown State: PA Zip Code: 18105
Purpose of Disbursement:
Candidate Name: Mr. Charles Dent
Office Sought: ☑ House ☐ Senate ☐ President
State: PA District: 15
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 20 2004
Amount of Each Disbursement this Period: $1,000.00

B.
Full Name (Last, First, Middle Initial): Charlie Dent For Congress
Mailing Address: PO Box 442
City: Allentown State: PA Zip Code: 18105
Purpose of Disbursement: Void - Charlie Dent For Congress
Candidate Name: Mr. Charles Dent
Office Sought: ☑ House ☐ Senate ☐ President
State: PA District: 16
Disbursement For: 2004 ☑ Primary ☐ General ☐ Other (specify)
Category/Type: 011
Date of Disbursement: 05 20 2004
Amount of Each Disbursement this Period: ($1,000.00)
Void - Charlie Dent For Congress

C.
Full Name (Last, First, Middle Initial): Oxley For Congress
Mailing Address: Box 2000
City: Findlay State: OH Zip Code: 45839
Purpose of Disbursement:
Candidate Name: Rep. Michael Oxley
Office Sought: ☑ House ☐ Senate ☐ President
State: OH District: 4
Disbursement For: 2004 ☐ Primary ☐ General ☑ Other (specify) 2004 General
Category/Type: 011
Date of Disbursement: 05 24 2004
Amount of Each Disbursement this Period: $1,000.00

SUBTOTAL of Disbursements This Page (optional) ▶ $1,000.00
TOTAL This Period (last page this line number only) ▶ $26,500.00

FEC Schedule B (Form 3X) Rev 02/2003

FE3XW97.PDF

24038462014

FEC File Validator Version 5.1.1.0

Developed for the Federal Election Commission
by NIC Technologies, Inc. 1996-2003

=== Identification Section ==========

Committee ID: C00193177
Committee Name: Financial Services Roundtable PAC
Filing Type: F3XN
From/Through: 20040201 - 20040331

Software/Ver#. Focus PAC Management / Vers 3.00 1376

=== Results Section ==========

>>>------> FEC data file passed validation. <------<<<

Alert: 00006 warnings and/or potential missing information encountered

=== Summary Page Totals Section ==========

Cover/Summary Page Totals for Form: F3X

Line No.	Column A	Column B
6A 2004		65,918.03
6B	98,142.49	
6C	20,305.89	140,190.31
6D	115,452.17	205,509.14
7	26,500.00	115,556.97
8	89,952.17	89,952.17
9	0.00	
10	0.00	
11A1	0.00	17,100.00
11A2	300.00	1,065.20
11A3	300.00	38,245.00
11B	0.00	0.00
11C	20,000.00	221,000.00
11D	20,300.00	139,245.00
12	0.00	0.00
13	0.00	0.00
14	0.00	0.00
15	0.00	0.00
16	0.00	1,000.00
17	0.69	49.31
18A	0.00	0.50
19	20,309.69	140,190.31
20	20,309.69	140,190.31
21A1	0.00	0.00
21A2	0.00	0.00
21B	0.00	0.00
21C	0.00	0.00
22	0.00	0.00
23	26,500.00	115,070.00
24	0.00	0.00
25	0.00	0.00
26	0.00	0.00
27	0.00	0.00
28A	0.00	0.00
28B	0.00	0.00
28C	0.00	0.00
28D	0.00	486.97
29	0.00	0.00
31	26,500.00	115,556.97
32	26,500.00	115,556.97
33	20,300.00	119,165.00
34	0.00	0.00

37	0.00	0.00
38	0.00	0.00
16B	0.00	0.00
16C	0.00	0.00
30A1	0.00	0.00
30A2	0.00	0.00
30B	0.00	0.00
20C	0.00	0.00

--- Errors & Warnings Section ---

Validation Errors & Warnings

ERROR (Level 16, 12, 8) Messages...

No Errors

WARNING (Level 4) Messages...

Form(Item): SB23 (National Republican Congressional Committee)
Field Name: #012 Election Type Code
Warning Election Code missing: 7

Form(Item): SB23 [National Republican Congressional Committee]
Field Name: #019 Candidate: Office Sought
Warning Conditionally Required field is empty

Form(Item): SB23 (BRICPAC)
Field Name: #012 Election Type Code
Warning Election Code missing: 7

Form(Item): SB23 (BRICPAC)
Field Name: #019 Candidate: Office Sought
Warning Conditionally Required field is empty

Form(Item): SB23 (The Bryce Project)
Field Name: 40A2 Election Type Code
Warning Election Code missing: 7

Form(Item): SB23 (The Bryce Project)
Field Name: #019 Candidate: Office Sought

24038462016

-COMM. JOURNAL- DATE JUN-17-2004 TIME 18:06

MODE = MEMORY TRANSMISSION START=JUN-17 18:05 END=JUN-17 18:06

FILE NO.=329

STN NO.	COMM.	ONE-TOUCH/ ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	#	15583416462	001/001	00:00:27

-FINANCIAL SVCS ROUNDTABLE-

-FSR - 2027573536-

THE FINANCIAL SERVICES ROUNDTABLE

1001 PENNSYLVANIA AVENUE, NW
SUITE 500-SOUTH
WASHINGTON, DC 20004
TEL 202-289-4322
FAX 202-289-1909
Email: info@fsround.org
www.fsround.org

LISA S. MCGREEVY
SENIOR VICE PRESIDENT
STATE GOVERNMENT RELATIONS

June 17, 2004

Citizens for Bunning
1717 Dixie Highway, Suite 180
Ft. Wright, Kentucky 41011
(859) 341-6480

On May 18, 2004, check #1911 was written to the Bunning for Senate.

This check designated towards the primary campaign, but was apparently not deposited before the primary.

On behalf of the Financial Services Roundtable, I wish to designate the check towards the general election campaign.

Sincerely,

Lisa McGreevy

Lisa McGreevy

24038462017

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The FEC added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered	Date of Receipt
☐ USPS First Class Mail	Postmarked
☑ USPS Registered/Certified	Postmarked (R/C) 7-15-04
☐ USPS Priority Mail	Postmarked Delivery Confirmation ™ Label ☐
☐ USPS Express Mail	Postmarked
☐ Postmark Illegible	
☐ No Postmark	
☐ Overnight Delivery Service (Specify):	Shipping Date
☐ Received from House Records & Registration Office	Date of Receipt
☐ Received from Senate Public Records Office	Date of Receipt
☐ Received from Electronic Filing Office	Date of Receipt
☐ Other (Specify):	Date of Receipt or Postmarked
JMU PREPARER	7-17-04 DATE PREPARED

(5/2004)