

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

RECEIVED... OFFICE OF THE CLERK... DEC 15 A 10 03

Office Use Only

1. NAME OF COMMITTEE (in full) USE FEC MAILING LABEL OR TYPE OR PRINT Austin, Nichols and Co., Incorporated / Period Record USA Political Action Commi-

ADDRESS (number and street) 777 Westchester Ave White Plains NY 10604

2. FEC IDENTIFICATION NUMBER 003380527 3. IS THIS REPORT NEW OR AMENDED

Table with columns for report type (Quarterly, 12-Day, 30-Day), due dates, and election types (Primary, General, Runoff, Special).

5. Covering Period 07 01 2002 through 09 30 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Alan Balbat Signature of Treasurer Date 10 06 2002

NOTE - Submission of false, erroneous, or inconsistent information may subject the person signing this Report to the penalties of 2 U.S.C. 437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/2001)

Page 2

Write or Type Committee Name:

Austin, Nichols and Co., Incorporated / Fernand Ricard USA Political Action Commi-  
tee

Report Covering the Period: From: **07** **01** **2002** To: **09** **30** **2002**

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. (a) Cash on Hand January 1 <b>2002</b>		0.00
(b) Cash on Hand at Beginning of Reporting Period	0.00	
(c) Total Receipts (from Line 19)	2750.00	2750.00
(d) Subtotal (add lines 5(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	2750.00	2750.00
7. Total Disbursements (from Line 20)	0.00	0.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	2750.00	2750.00
9. Debts and Obligations owed <b>TO</b> the committee (itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (itemize all on Schedule C and/or Schedule D)	0.00	

NY

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-426-9550  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

FEC Form 3X (Revised 1/2004)

Page 2

Write or Type Committee Name

Austin, Nichols and Co., Incorporated / Permed Ricard USA Political Action Commit-  
tee

Report Covering the Period: From: 07 01 2002 To: 08 30 2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	2750.00	
(i) Itemized (see Schedule A)	0.00	
(ii) Unitemized	2750.00	2750.00
(iii) TOTAL (add Lines 11(a)(i) and (ii))	2750.00	2750.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 32, page 4)	2750.00	2750.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 30, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Nonfederal Accounts for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18)	2750.00	2750.00
20. Total Federal Receipts (subtract Line 18 from Line 19)	2750.00	2750.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Revised 1/2011)

Page 4

<b>II. DISBURSEMENTS</b>		<b>COLUMN A</b>	<b>COLUMN B</b>
		<b>Total This Period</b>	<b>Calendar Year-to-Date</b>
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4):			
(i) Federal Share.....	0.00	0.00	
(ii) Non-Federal Share.....	0.00	0.00	
(b) Other Federal Operating Expenditures.....	0.00	0.00	
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....	0.00	0.00	
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00	
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00	
24. Independent Expenditure (use Schedule F).....	0.00	0.00	
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00	
26. Loan Repayments Made.....	0.00	0.00	
27. Loans Made.....	0.00	0.00	
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees.....	0.00	0.00	
(b) Political Party Committees.....	0.00	0.00	
(c) Other Political Committees (such as PACs).....	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00	
29. Other Disbursements.....	0.00	0.00	
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29).....	0.00	0.00	
31. Total Federal Disbursements (subtract Line 21(a)(i) from Line 30).....	0.00	0.00	
<b>III. Net Contributions/Operating Expenditures</b>			
32. Total Contributions (other than loans) From Line 11(d), page 3).....	2750.00	2750.00	
33. Total Contribution Refunds (from Line 28(d)).....	0.00	0.00	
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....	2750.00	2750.00	
35. Total Federal Operating Expenditures (add Line 21(c) and Line 21(b)).....	0.00	0.00	
36. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00	
37. Net Operating Expenditures (subtract Line 36 from Line 35).....	0.00	0.00	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:		PAGE 5/8	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
13	14	15	16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
Austin, Nichols and Co., Incorporated / Period Record USA Political Action Commi-  
ttee

Full Name (Last, First, Middle Initial) A. Thomas Lutz		Date of Receipt 08 05 2002
Mailing Address 777 Westchester Ave City West Harrison State NY Zip Code 10604		Amount of Each Receipt this Period 250.00
FEC ID number of contributing federal political committee.		Receipt
Name of Employer Period Record USA Occupation Vice President		Transaction ID: 100820020C11
Receipt For: Primary General Other (specify) ▼	Election Year-to-Date 250.00	

Full Name (Last, First, Middle Initial) B. Mark Orr		Date of Receipt 08 25 2002
Mailing Address 777 Westchester Ave City West Harrison State NY Zip Code 10604		Amount of Each Receipt this Period 250.00
FEC ID number of contributing federal political committee.		Receipt
Name of Employer Period Record USA Occupation Vice President		Transaction ID: 100820020C22
Receipt For: Primary General Other (specify) ▼	Election Year-to-Date 250.00	

C.

SUBTOTAL of Receipts This Page (optional)	2750.00
TOTAL This Period (last page this line number only)	2750.00


20020805 100820020C11



Federal Election Commission

## ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

<input checked="" type="checkbox"/> Hand Delivered	Date of Receipt <u>10/15/02</u>
<input type="checkbox"/> First Class Mail	POSTMARKED
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED (R/C)
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other (Specify):	Postmarked _____ and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
 PREPARER	<u>10/15/02</u> DATE PREPARED