

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

ADDRESS (number and street)
 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲

3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day PRE-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
Election on / / in the State of
(d) 30-Day POST-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on / / in the State of

5. Covering Period / / through / /

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Paul Stolbof

Signature of Treasurer Paul Stolbof [Electronically Filed] Date / /

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

Report Covering the Period: From: / / To: / /

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|---------------------------------------|---------------------------------------|
| 6. (a) Cash on Hand January 1, <input type="text" value="2016"/> | <input type="text" value="68358.08"/> | <input type="text" value="68358.08"/> |
| (b) Cash on Hand at Beginning of Reporting Period..... | <input type="text" value="73132.70"/> | |
| (c) Total Receipts (from Line 19) | <input type="text" value="4691.29"/> | <input type="text" value="9465.91"/> |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | <input type="text" value="77823.99"/> | <input type="text" value="77823.99"/> |
| 7. Total Disbursements (from Line 31)..... | <input type="text" value="1500.00"/> | <input type="text" value="1500.00"/> |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..... | <input type="text" value="76323.99"/> | <input type="text" value="76323.99"/> |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | <input type="text" value="0.00"/> | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | <input type="text" value="0.00"/> | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

Report Covering the Period: From: / / To: / /

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A)..... | 4541.38 | 8791.15 |
| (ii) Unitemized | 149.91 | 674.76 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶ | 4691.29 | 9465.91 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) | 4691.29 | 9465.91 |
| 12. Transfers From Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3)..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfers (add 18(a) and 18(b)).. | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶ | 4691.29 | 9465.91 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶ | 4691.29 | 9465.91 |

DETAILED SUMMARY PAGE
of Disbursements

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 1500.00 | 1500.00 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) .. | 1500.00 | 1500.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 1500.00 | 1500.00 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 4691.29 | 9465.91 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 4691.29 | 9465.91 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3)..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 7 OF 11 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | | <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Nancy Gleason
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.96

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4329

Amount of Each Receipt this Period
124.98

Memo Item
Semi-monthly payroll deduction - \$20.83

B. Daniel Godbey
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation CFO-Energy

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.65

Date of Receipt
05 / 25 / 2016
Transaction ID : SA11AI.4321

Amount of Each Receipt this Period
166.66

Memo Item
Monthly payroll deduction - \$83.33

C. Christopher Hayden
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.96

Date of Receipt
06 / 24 / 2016
Transaction ID : SA11AI.4319

Amount of Each Receipt this Period
124.98

Memo Item
Monthly payroll deduction - \$41.66

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 416.62 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 8 OF 11 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Bruce Jaeger
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation F/X Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.96

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4317

Amount of Each Receipt this Period
124.98

Memo Item
Semi-monthly payroll deduction - \$20.83

B. Richard Kerschner
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Chief Corporate Development Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
499.92

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4331

Amount of Each Receipt this Period
249.96

Memo Item
Semi-monthly payroll deduction - \$41.66

C. Daniel Lago
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Head of Equities

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1249.92

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4320

Amount of Each Receipt this Period
624.96

Memo Item
Semi-monthly payroll deduction - \$104.16

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 999.90 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 9 OF 11 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Fred Quinto
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
999.96

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4324

Amount of Each Receipt this Period
499.96

Memo Item
Semi-monthly payroll deduction - \$83.33

B. Christina Sanchez Hall
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1249.92

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4318

Amount of Each Receipt this Period
624.96

Memo Item
Semi-monthly payroll deduction - \$104.16

C. Eisso VanderMuelen
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Product Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
499.92

Date of Receipt
06 / 30 / 2016
Transaction ID : SA11AI.4323

Amount of Each Receipt this Period
249.96

Memo Item
Semi-monthly payroll deduction - \$41.66

SUBTOTAL of Receipts This Page (optional)..... ▶ 1374.90

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

Full Name (Last, First, Middle Initial)

A. SHELBY FOR U S SENATE

Mailing Address PO BOX 1091

City TUSCALOOSA State AL Zip Code 35403

Purpose of Disbursement
Contribution

011

Category/
Type

Candidate Name

RICHARD C SHELBY

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: AL District: 00

Date of Disbursement

M M / D D / Y Y Y Y Y Y
06 / 16 / 2016

Transaction ID : SB23.4332

Amount of Each Disbursement this Period

1500.00

Memo Item

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

1500.00

TOTAL This Period (last page this line number only)..... ▶

1500.00