Image# 13942545968 PAGE 1 / 235

### **FEC** FORM 3X

### **REPORT OF RECEIPTS AND DISBURSEMENTS**

TOTAL FO	r Other Than An Aut	nonzea Committee	Office	e Use Only
1. NAME OF TY COMMITTEE (in full)	PE OR PRINT ▼	Example: If typing, tover the lines.	ype 12FE4M5	
The Caterpillar Inc. Emp	loyee Political Action	on Committee		
ADDRESS (number and street)	100 N.E. Adams Street			
Check if different				
than previously reported. (ACC)	Peoria		IL 61	629-1430
2. FEC IDENTIFICATION NUM	IBER ▼ CIT	<b>Y</b> ▲	STATE ▲	ZIP CODE 🛦
C C00148031		S THIS X NEW (N)	OR AMENDE (A)	ΞD
4. TYPE OF REPORT (Choose One)	Report Due On:		20 (M5) Aug 20 (M 20 (M6) Sep 20 (M	Year Only)
(a) Quarterly Reports:			20 (M6) Sep 20 (M 0 (M7) Oct 20 (M	(Non-Election Year Only)
April 15 Quarterly Report (Q1)				
July 15 Quarterly Report (Q2)	(C) 12-Day	Primary (12P)  Convention (12C	General (12G)  Special (12S)	Runoff (12R)
October 15 Quarterly Report (Q3)	·	C 3.11 3.11 (1.23	Sposia: (120)	
January 31 Year-End Report (YE)			D / Y   Y   Y   Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election	on on	D / Y = Y = Y	in the State of
5. Covering Period 10	01 / 2013	through	10 31 Y	Y
I certify that I have examined this	Report and to the best of	my knowledge and belie	f it is true, correct and com	plete.
Type or Print Name of Treasurer	Michael C. Morrison	-		
Signature of Treasurer Michael	C. Morrison	[Electronically File	d) Date 11	20 / 2013
NOTE: Submission of false, erroneou	us, or incomplete informatio	n may subject the person	signing this Report to the pen	nalties of 2 U.S.C. §437g.
Office Use Only			FI	EC FORM 3X Rev. 12/2004

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 10 01 2013 To: 10 31 2013

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2013		140972.23
	(b) Cash on Hand at Beginning of Reporting Period	342263.16	
	(c) Total Receipts (from Line 19)	52592.68	532742.90
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	394855.84	673715.13
7.	Total Disbursements (from Line 31)	10018.01	288877.30
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	384837.83	384837.83
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### The Caterpillar Inc. Employee Political Action Committee

	COLUMN A	COLUMN B			
I. Receipts  Total This Period  Calendar Year-to-Da					
. Contributions (other than loans) From:					
(a) Individuals/Persons Other					
Than Political Committees		0.47070.70			
(i) Itemized (use Schedule A)	46029.84	347678.78			
(ii) Unitemized	6559.69	182024.43			
(iii) TOTAL (add	, 0009.09	10202110			
Lines 11(a)(i) and (ii)▶	52589.53	529703.21			
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees					
(such as PACs)	0.00	0.00			
(d) Total Contributions (add Lines					
11(a)(iii), (b), and (c)) (Carry					
Totals to Line 33, page 5)▶	52589.53	529703.21			
2. Transfers From Affiliated/Other					
Party Committees	0.00	0.00			
D. All Leans Despited	0.00	0.00			
3. All Loans Received	7	0.00			
4. Loan Repayments Received	0.00	0.00			
5. Offsets To Operating Expenditures	7				
(Refunds, Rebates, etc.)					
(Carry Totals to Line 37, page 5)	0.00	0.00			
6. Refunds of Contributions Made	7				
to Federal Candidates and Other					
Political Committees	0.00	3000.00			
7. Other Federal Receipts	7				
(Dividends, Interest, etc.)	3.15	39.69			
3. Transfers from Non-Federal and Levin Funds	7				
(a) Non-Federal Account	<del> </del>				
(from Schedule H3)	0.00	0.00			
(b) Levin Funds (from Schedule H5)	0.00	0.00			
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00			
9. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	52592.68	532742.90			
O. Total Federal Receipts	50500.00	500740.00			
(subtract Line 18(c) from Line 19)▶	52592.68	532742.90			

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. (	Departing Expenditures:  a) Allocated Federal/Non-Federal	Total Tillo I Ollow	Valendal Teal-to-Date
	Activity (from Schedule H4) (i) Federal Share	0.00	0.00
	(i) Tederal Strate		
	(ii) Non-Federal Share	0.00	0.00
(	b) Other Federal Operating		
	Expenditures	18.01	277.30
(	c) Total Operating Expenditures	19.01	277.20
· .	(add 21(a)(i), (a)(ii), and (b))▶  Transfers to Affiliated/Other Party	18.01	277.30
	Committees	0.00	0.00
3. (	Contributions to		
	Federal Candidates/Committees and Other Political Committees	2500.00	238500.00
1.	ndependent Expenditures		
. !	use Schedule E)	0.00	0.00
j. (	Coordinated Party Expenditures 2 U.S.C. §441a(d)) use Schedule F)	0.00	
(	use Schedule F)	0.00	0.00
2 1	oon Pongyments Made	0.00	0.00
). I	_oan Repayments Made	3.00	0.00
7 I	_oans Made	0.00	0.00
3.	Refunds of Contributions To:	7	
(	a) Individuals/Persons Other Than Political Committees	0.00	0.00
(	b) Political Party Committees	0.00	0.00
(	c) Other Political Committees		0.00
	(such as PACs)	0.00	0.00
	d) Total Contribution Refunds		
,	(add Lines 28(a), (b), and (c))▶	0.00	0.00
	(add 2.1100 20(a), (b), and (b),		
). (	Other Disbursements	7500.00	50100.00
		7	7
	Federal Election Activity (2 U.S.C. §431(20))		
(	a) Allocated Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	b) Federal Election Activity Paid Entirely		
	With Federal Funds	0.00	0.00
(	c) Total Federal Election Activity (add		
	Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
	Total Disbursements (add Lines 21(c), 22,		
2	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	10018.01	288877.30
	Total Federal Disbursements		
	subtract Line 21(a)(ii) and Line 30(a)(ii)		
	rom Line 31)	10018.01	288877.30
		7	

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	52589.53	529703.21
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	52589.53	529703.21
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	18.01	277.30
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	18.01	277.30

1mage# 13942545973 PAGE 6 / 235

#### : 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC' 5 F9 DCF HZ G7 < 98 I @ 'CF' + H9 A = N5 H= CB

Form/Schedule: F3XN Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: **PAGE** 7 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Aardema Date of Receipt Mailing Address 9401 85Th Avenue North 2013 10 31 City Zip Code State Transaction ID: A2013-4197333 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brian Abbott Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197095 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 94.37 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 927.26 Other (specify) Full Name (Last, First, Middle Initial) c. Marylean Abney Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197766 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 144.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 8 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kent Adams Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City Zip Code State Transaction ID: A2013-4197421 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 466.08 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4067.90 Other (specify) Full Name (Last, First, Middle Initial) B. lan Aguirre Date of Receipt Mailing Address Building H Dock 13 10 31 2013 City State Zip Code Transaction ID: A2013-4197191 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 109.97 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 1053.95 Other (specify) Full Name (Last, First, Middle Initial) c. Gbadebo Akinlua Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198161 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Process Control Engineer B Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 601.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	PAGE	. !	9	OF	235				
Use separate schedule(s) for each category of the	`	(check only one)								
Detailed Summary Page	×	11a		11b		11c		12		
, 0		13		14		15		16	;	17

	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Jay Alexander  Mailing Address 369 Ann Street		Date of Receipt
City	State Zip Code	10 31 2013 Transaction ID : A2013-4197396
Brisbane FEC ID number of contributing	ZZ 00000	Amount of Each Receipt this Period
federal political committee.  Name of Employer	Occupation	100.00
Caterpillar Inc. Receipt For:	Manager Commercial Mining  Aggregate Year-to-Date ▼	-
Primary General Other (specify) ▼	1000.00	
Full Name (Last, First, Middle Initial)  Jesse Alford III  Mailing Address 2420 West Fad Avenue		Date of Receipt
Mailing Address 2120 West End Avenue  City	State Zip Code	10 31 2013 Transaction ID : A2013-4197309
Nashville	TN 37203	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Caterpillar Inc.	Occupation  Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  C. Matthew Alger		Date of Receipt
Mailing Address 12301 Old Galena Road		10 31 2013
City Mossville	State Zip Code IL 61552	Transaction ID : A2013-4197189  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer  Caterpillar Inc.	Occupation Engineering Manager-2	-
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼  250.00	-
SUBTOTAL of Receipts This Page (optional).		150.00
	er only)	

FOR LINE NUMBER: PAGE 10 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Allen Date of Receipt Mailing Address 27Th & Pershing Rd 2013 10 31 City State Zip Code Transaction ID: A2013-4197318 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 64.79 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 629.84 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Allen Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City State Zip Code Transaction ID: A2013-4197377 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Residual Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mathew Anderson Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198151 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 164.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	11 OF	235
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	] <sub>16</sub>	717

	and Statements may not be sold or used by any pers g the name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe	ee Political Action Committee	
Full Name (Last, First, Middle Initial)  A. Michael Anderson		Date of Receipt
Mailing Address 4716 86th Avenue NW		10 03 2013
City	State Zip Code	Transaction ID : A2013-4082685
Gig Harbor	WA 98335-6129	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer	Occupation	-
Progress Rail Services	Manager I, Signal Engineering	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial)  B. Michael Anderson	•	Date of Receipt
Mailing Address 4716 86th Avenue NW		10 17 2013
City	State Zip Code	Transaction ID : A2013-4126615
Gig Harbor	WA 98335-6129	Amount of Each Receipt this Period
FEC ID number of contributing	0	

Olg Harbot	30303 0123	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Progress Rail Services	Occupation  Manager I, Signal Engineering	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 525.00	
Full Name (Last, First, Middle Initial)  C. Michael Anderson		Date of Receipt
Mailing Address 4716 86th Avenue NW		10 31 2013
City	State Zip Code	Transaction ID : A2013-4194655
Gig Harbor	WA 98335-6129	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Progress Rail Services	Manager I, Signal Engineering	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
SUBTOTAL of Receipts This Page (optional).		75.00

TOTAL This Period (last page this line number only).....

	FOF	FOR LINE NUMBER:						12 OF	2	23
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Andris Date of Receipt Mailing Address 701 Waterford Way 31 2013 10 City State Zip Code Transaction ID: A2013-4197892 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Finance Mgr-Secretary/Treasur Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Armbrust Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197521 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Chris Arvin Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197352 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Org. Development Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	13 OF	235					
Use separate schedule(s)	(check only one)								
for each category of the Detailed Summary Page	X 11a 11b	11c	12						
	13 14	T <sub>15</sub>	<b>□</b> 16	17					

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Augustine Date of Receipt Mailing Address 501 SW Jefferson 31 2013 10 City State Zip Code Transaction ID: A2013-4197792 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Carlos A Aylwin Date of Receipt Mailing Address 9250 Sky Park Court 10 31 2013 City State Zip Code Transaction ID: A2013-4194683 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Bailey Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197399 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 28.90 federal political committee. Name of Employer Occupation Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 286.15 Other (specify)

SUBTOTAL of Receipts This Page (optional)	Ξ	Ξ	7		7		11	18.90	
TOTAL This Period (last page this line number only)			7		7	Ξ	<u>_</u>	_	$\Box$

FOR LINE NUMBER: PAGE 14 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Bainbridge Date of Receipt Mailing Address Route De Frontenex 76 2013 10 31 City Zip Code State Transaction ID: A2013-4197973 ZZ Geneva 6 00000 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. William Baird Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197101 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Project Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lee Baldwin Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198073 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Tech Steward Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Other (specify)

В.

	FOF	LINE	NU	MBER	:	PAGE	·	15 OI	=	235
Use separate schedule(s) for each category of the	(che	ck only	or	ne)						
Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mike Balkom Date of Receipt Mailing Address 8565 Old Marsh Way 10 03 2013 City State Zip Code Transaction ID: A2013-4082669 ΑL Montgomery 36117-5176 Amount of Each Receipt this Period FEC ID number of contributing C 45.69 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) Mike Balkom		Date of Receipt
Mailing Address 8565 Old Marsh Way		10 17 2013
City	State Zip Code	Transaction ID : A2013-4126599
Montgomery	AL 36117-5176	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.69
Name of Employer	Occupation	
Progress Rail Services	Manager, Sales	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 959.49	
Full Name (Last, First, Middle Initial)		

913.80

c. Mike Balkom Date of Receipt Mailing Address 8565 Old Marsh Way 2013 31 10 City State Zip Code Transaction ID: A2013-4194639 ALMontgomery 36117-5176 Amount of Each Receipt this Period FEC ID number of contributing C 45.69 federal political committee. Name of Employer Occupation

Manager, Sales

Aggregate Year-to-Date ▼

				_	7	_	_	7	_	12	7 07	$\overline{}$
SUBTOTAL of Receipts This Page (optional)			Ш		7	-	-	7	-	13	7.07	ш
									-		7	ш
TOTAL This Period (last page this line number	only)	•			7			7	_		(H)	

1005.18

Progress Rail Services

Other (specify)

General

Primary

Receipt For:

FOR LINE NUMBER: PAGE 16 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William Ball Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197698 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.59 federal political committee. Name of Employer Occupation Dir Corp Off of Bus Practices Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 455.90 Other (specify) Full Name (Last, First, Middle Initial) B. Mari Lou Balmer Millar Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197387 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Chester Bankes Jr. Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City Zip Code State Transaction ID: A2013-4197675 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 35.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 105.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher Barber Date of Receipt Mailing Address 100 Tractor Drive 2013 10 31 City State Zip Code Transaction ID: A2013-4197149 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 55.93 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 514.47 Other (specify) Full Name (Last, First, Middle Initial) B. Alice Barbour Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197663 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Assistant Dean Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Bartelme Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197327 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 114.11 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1070.29 Other (specify) 230.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

# SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)       X       11a       11b       11c       12       13       14       15       16       17
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  Gregory Bates  Mailing Address 7 Tractor Road		Date of Receipt  10 31 2013
City Singapore	State Zip Code ZZ 62796	Transaction ID : A2013-4198096  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	Corporate Counsel  Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)		Part of Parties
Mailing Address 100 Ne Adams		Date of Receipt  10 31 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4197174  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Caterpillar Inc.	Occupation Special Assignment	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial)  C. Kenneth Beaupre		Date of Receipt
Mailing Address 100 NE Adams		10 31 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4197940  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Marketing Manager  Aggregate Year-to-Date ▼  250.00	
SUBTOTAL of Receipts This Page (optional)		100.00
TOTAL This Period (last page this line number	only)	

	FOR LINE NUMBER:	PAGE	19 OF	235
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Chaille Becker Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197122 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Dir. Busn. Transformations Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Bell Date of Receipt Mailing Address Regency Forest II 10 31 2013 City Zip Code State Transaction ID: A2013-4197870 NC 27511 Cary Amount of Each Receipt this Period FEC ID number of contributing 475.00 federal political committee.

Caterpilla Receipt Pr		Occupation Vice President  Aggregate Year-to-Date ▼  4050.00	
c. Robe	ne (Last, First, Middle Initial) rt Beneke		Date of Receipt
	Address SBS Tower 14F	State Zip Code	10 31 2013
City Setagay	ya-ku	State Zip Code ZZ 00000	Transaction ID : A2013-4197995  Amount of Each Receipt this Period
	number of contributing political committee.	C	50.00
Name of	f Employer	Occupation	
Caterpill	ar Inc.	Regional Manager	
	For: imary General her (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

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TOTAL This Period (last page this line number only).....

575.00

	FOR LINE NUMBER:	PAGE	20 OF	235
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31

Transaction ID: A2013-4197431

Amount of Each Receipt this Period

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Bennington Date of Receipt Mailing Address 12301 Old Galena Road 31 2013 10 City State Zip Code Transaction ID: A2013-4197294 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Category Purchasing Manager B Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Craig Berger Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197600 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Dean Beutel Date of Receipt Mailing Address 12301 Old Galena Road

Zip Code

61552

CPS Americas and GFM Manager

Aggregate Year-to-Date ▼

State

IL

C

Occupation

2013

50.00

City

Mossville

FEC ID number of contributing

General

federal political committee.

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

	FOR	LINE	NU	IMBER	:	PAGE	2	21 O	F	235
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) SueAnn Billimack Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4198182 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Biltimier Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197370 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Ronald Bjerke Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197852 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Blandino Date of Receipt Mailing Address 100 Tractor Drive 2013 10 31 City State Zip Code Transaction ID: A2013-4197209 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Factory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. James Blass Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197651 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 70.12 federal political committee. Name of Employer Occupation Caterpillar Inc. TSD Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1168.93 Other (specify) Full Name (Last, First, Middle Initial) c. Mary Blichmann Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City Zip Code State Transaction ID: A2013-4197468 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 145.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	<b>MBER</b>	:	PAGE	2	23 OF	:	235
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gary Blood Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197818 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.78 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 747.80 Other (specify) Full Name (Last, First, Middle Initial) B. Michele Blubaugh Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197725 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.85 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Tech Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 528.50 Other (specify) Full Name (Last, First, Middle Initial) c. Mary Blum Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198133 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 62.56 federal political committee. Name of Employer Occupation Exec Assist to Chairman & CEO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 759.96 Other (specify) 190.19 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) J. Blumenshine Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City Zip Code State Transaction ID: A2013-4197841 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 140.21 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1376.42 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Bluth Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197957 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4166.60 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Bohl Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4198123 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 82.37 federal political committee. Name of Employer Occupation Caterpillar Inc. **Distribution Logistics Analyst** Receipt For: Aggregate Year-to-Date ▼ Primary General 818.00 Other (specify) 639.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

# SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and State or for commercial purposes, other than using the na	ments may not be sold or used by any per me and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Poli	tical Action Committee	
Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  Other (specify) ▼  A	State Zip Code IL 61629  C ccupation anager ggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197645  Amount of Each Receipt this Period  25.00
Name of Employer Caterpillar Inc. Si	State Zip Code IL 61630  C  ccupation te Purchasing Manager B ggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197118  Amount of Each Receipt this Period  25.00
Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Committee	State Zip Code IL 61629  C ccupation PS Master Black Belt ggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197259  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)		75.00
TOTAL This Period (last page this line number only	·)	

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Bowman Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4198187 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation eChannel Commercial Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Bozeman Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198210 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Craig R Brabec Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198008 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Analytics Division Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	: :	27 OI	F	235
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Walter Bradbury Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197636 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 74.52 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 745.20 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Braun Date of Receipt Mailing Address Frank Perkins Parkway 10 31 2013 City State Zip Code Transaction ID: A2013-4198078 ZZ Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name (Last, First, Middle Initial) c. Nicholas Brazis Date of Receipt Mailing Address 600 W Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4198146 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 58.05 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 574.20 Other (specify) 167.57 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Otto Breitschwerdt Date of Receipt Mailing Address Regency Forest II 31 2013 10 City State Zip Code Transaction ID: A2013-4197967 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing C 182.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1792.69 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin Brennan Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197898 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.80 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 914.63 Other (specify) Full Name (Last, First, Middle Initial) **c.** Anthony Brewster Date of Receipt Mailing Address 8826 2 Route 24 10 31 2013 City State Zip Code Transaction ID: A2013-4198156 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Labor Relations Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Donald Bridenbaugh Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197297 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation **GM** Transportation Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

Full Name (Last, First, Middle Initial)  Mark Brown		Date of Receipt
Mailing Address P.O. Box 80108		10 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4198105
Athens	GA 30608	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc.	Mach 1 Deployment Mgr 1	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

Full Name (Last, First, Middle Initial) **c.** Ricki Brown Date of Receipt Mailing Address 100 Tractor Drive 31 2013 10 City State Zip Code Transaction ID: A2013-4197970 ΙL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager

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250.00

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

В.

Name of Employer

Primary

General

Caterpillar Inc.

Receipt For:

### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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		13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kevin Bruch Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City State Zip Code Transaction ID: A2013-4197786 IN 47905 Lafayette Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee.

Occupation

TOTAL This Period (last page this line number only).....

**Engineering Manager-3** 

Aggregate Year-to-Date ▼

	Other (specify) ▼	300.00	
В.	Full Name (Last, First, Middle Initial) Richard Bryant		Date of Receipt
	Mailing Address 2120 West End Avenue		10 31 2013
	City	State Zip Code	Transaction ID : A2013-4198016
	Nashville	TN 37203	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	25.00
	Name of Employer	Occupation	_
	Caterpillar Inc.	Master 6 Sigma Black Belt	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
c.	Full Name (Last, First, Middle Initial) James Buda		Date of Receipt
	Mailing Address 100 NE Adams		10 31 2013
	City	State Zip Code	Transaction ID : A2013-4197650
	Peoria	IL 61629	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	100.00
	Name of Employer	Occupation	_
	Caterpillar Inc.	Exec. VP Law & Public Policy	
		T '	$\dashv$
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  1000.00	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Budzinski Date of Receipt Mailing Address 501 SW Jefferson 2013 10 31 City State Zip Code Transaction ID: A2013-4198132 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 31.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Business Process Analyst III Receipt For: Aggregate Year-to-Date ▼ Primary General 309.62 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Bulfer Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4198093 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 71.92 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior IT Analyst Receipt For: Aggregate Year-to-Date ▼ Primary General 537.93 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Bullard Date of Receipt Mailing Address 2000 Hoff Road 10 31 2013 City Zip Code State Transaction ID: A2013-4197145 TN Dyersburg 38024 Amount of Each Receipt this Period FEC ID number of contributing 57.75 С federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 571.62 Other (specify) 160.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Nathaniel Buncher Date of Receipt Mailing Address 3408 Wild Prairie Lane 03 2013 10 City State Zip Code Transaction ID: A2013-4082687 Geneva IL 60134-3963 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Name of Employer Occupation VP, Global Aftermarket Parts **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 865.20 Other (specify) Full Name (Last, First, Middle Initial) B. Nathaniel Buncher Date of Receipt Mailing Address 3408 Wild Prairie Lane 10 17 2013 City State Zip Code Transaction ID: A2013-4126617 IL Geneva 60134-3963 Amount of Each Receipt this Period FEC ID number of contributing 43.26 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Global Aftermarket Parts Receipt For: Aggregate Year-to-Date ▼ Primary General 908.46 Other (specify) Full Name (Last, First, Middle Initial) c. Nathaniel Buncher Date of Receipt

Mailing Address 3408 Wild Prairie Lane 10 31 2013 City State Zip Code Transaction ID: A2013-4194657 IL Geneva 60134-3963 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Name of Employer Occupation VP, Global Aftermarket Parts Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Buob Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197812 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.83 federal political committee. Name of Employer Occupation Sr. Procurement Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 208.30 Other (specify) Full Name (Last, First, Middle Initial) B. Laurie A Burgett Date of Receipt Mailing Address 9250 Sky Park Court 10 31 2013 City State Zip Code Transaction ID: A2013-4194709 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Richard Burritt Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197598 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 196.76 federal political committee. Name of Employer Occupation Caterpillar Inc. **Technology Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1967.60 Other (specify) 317.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

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Any information copied from such Reports and State or for commercial purposes, other than using the na		
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Pol	itical Action Committee	
Name of Employer  Caterpillar Inc.  Receipt For:  Primary  Other (specify) ▼	State Zip Code IL 61629  C Decupation Senior IS Specialist Aggregate Year-to-Date  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197208  Amount of Each Receipt this Period  25.00
Name of Employer Caterpillar Inc.	State Zip Code TN 37203  C  Occupation  at Insurance Marketing Mgr  Aggregate Year-to-Date ▼  1454.59	Date of Receipt  10 31 2013  Transaction ID: A2013-4198035  Amount of Each Receipt this Period  146.32
Name of Employer  Caterpillar Inc.  Separate For:	State Zip Code IL 61629  C  Decupation  Sr. Business Resource Manager  Aggregate Year-to-Date   500.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197934  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line number only	<u></u>	221.32

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or for commercial purposes, other than usin NAME OF COMMITTEE (In Full)	ig the name and address of any political committee to	
, , , , , , , , , , , , , , , , , , , ,	ee Political Action Committee	
Full Name (Last, First, Middle Initial)  Michelle Campbell-Castaline  Mailing Address 100 NE Adams		Date of Receipt
		10 31 2013
City	State Zip Code	Transaction ID : A2013-4198043
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Business Support Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  Marci Carballido	· · · · · · · · · · · · · · · · · · ·	Date of Receipt
Mailing Address 100 NE Adams		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197471
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc.	Lead Human Resource Mgr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial)  Sheila Cardines	<u>'</u>	Date of Receipt
Mailing Address 501 SW Jefferson		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197532
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Warranty Process Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Carlberg Date of Receipt Mailing Address Building H Dock 13 31 2013 10 City State Zip Code Transaction ID: A2013-4197686 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 171.02 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1670.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Carney III Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198165 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Timothy J Caron Date of Receipt Mailing Address 100 N.E. Adams Street 10 31 2013 City State Zip Code Transaction ID: A2013-4194728 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

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Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Carpenter Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197635 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Carr Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197886 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 233.58 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2322.06 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Castle Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197788 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 51.09 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Tech Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 634.77 Other (specify)

FEC Schedule A (Form 3X) Rev. 02/2003

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Date of Receipt

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher Chadwick Date of Receipt Mailing Address 300 Hamilton Blvd. 31 2013 10 City State Zip Code Transaction ID: A2013-4197106 Peoria IL 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation OEM Business Unit Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Xiao Chen Date of Receipt Mailing Address No.5. Xin Qing South Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197305 ZZ Wuxi 21402 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial)

Transaction ID: A2013-4197709 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Caterpillar Inc. Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

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125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Mailing Address 300 Hamilton Blvd.

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Clark Date of Receipt Mailing Address 14009 Old Galena Rd. 31 2013 10 City State Zip Code Transaction ID: A2013-4197634 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Governmental Industry Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Cobo Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197480 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Wayne Cochran Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City Zip Code State Transaction ID: A2013-4198158 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Facility Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Marcia Colburn Date of Receipt Mailing Address 2120 West End Avenue 31 2013 10 City State Zip Code Transaction ID: A2013-4197293 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Corporate Communications Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Martin Collier Date of Receipt Mailing Address 100 Ne Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197591 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global RE & Facilities Dev Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Patrick Conley Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197910 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Coon Date of Receipt Mailing Address The Phoenix Building 31 2013 10 City State Zip Code Transaction ID: A2013-4197984 ZZ Solihull 00000 Amount of Each Receipt this Period FEC ID number of contributing C 86.05 federal political committee. Name of Employer Occupation Caterpillar Inc. CPF Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 855.46 Other (specify) Full Name (Last, First, Middle Initial) B. Jananne Copeland Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197774 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Accounting Officer Receipt For: Aggregate Year-to-Date ▼ Primary General

500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Benjamin Cordani Date of Receipt Mailing Address 6744 S Howell Ave 10 31 2013 City Zip Code State Transaction ID: A2013-4197194 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General

500.00

Other (specify)

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kristen Covey Date of Receipt Mailing Address 7 Tractor Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197231 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Regional Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dale Cox Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197801 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) John Cox Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197722 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 03 2013 10 City State Zip Code Transaction ID: A2013-4082672 Boaz AL 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Railcar Sales & Leasing **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 10 17 2013 City State Zip Code Transaction ID: A2013-4126602 ΑL Boaz 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4194642 AL Boaz 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Railcar Sales & Leasing Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify)

60.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Creed Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197557 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant 10 2013 11 City State Zip Code Transaction ID: A2013-4196704 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant 25 10 2013 City State Zip Code Transaction ID: A2013-4311570 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Creviston Date of Receipt Mailing Address 27Th & Pershing Rd 2013 10 31 City State Zip Code Transaction ID: A2013-4198083 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Curfman Date of Receipt Mailing Address 300 Hamilton Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197987 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3100.00 Other (specify) Full Name (Last, First, Middle Initial) c. Teresa Cushing Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197531 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 475.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Report or for commercial purposes, other than u	ts and Statements may not be sold or used by any persusing the name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
,	yee Political Action Committee	
Full Name (Last, First, Middle Initial)  A. David Damerell		Date of Receipt
Mailing Address 100 NE Adams		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197714
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	_
Caterpillar Inc.	Engineering Service Suppt Mgr	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	500.00	
Full Name (Last, First, Middle Initial)  3. Patricia Damm	<u>'</u>	Date of Receipt
Mailing Address 6744 S Howell Ave		M M / D D / Y Y Y Y
00		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197362
Oak Creek	WI 53154	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	-
Caterpillar Inc.	Human Resources Manager	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General		
Other (specify) ▼	250.00	
Full Name (Last, First, Middle Initial)  2. Jerry Datzman	<u>'</u>	Date of Receipt
Mailing Address 14009 Old Galena Ro	1	M M / D D / Y Y Y Y Y
3 3 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	••	10 31 2013
City	State Zip Code	Transaction ID : A2013-4197707
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing		25.00
federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Marketing & Contracts Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	250.00	
Other (specify) ▼	230.00	
SUBTOTAL of Receipts This Page (opti	ional)	100.00
	<u> </u>	
TOTAL This Period (last page this line	number only)	9 9 9

## SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 47 OF 235 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee P	Political Action Committee	
Full Name (Last, First, Middle Initial)  Tracy Daugherty  Mailing Address Route 29 & Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify)	State Zip Code IL 61552  C  Occupation Special Assignment  Aggregate Year-to-Date ▼  500.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197504  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Janet Davidson  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary General Other (specify)	State Zip Code IL 61629  C  Occupation Import/Export Services Supv  Aggregate Year-to-Date ▼  360.28	Date of Receipt  10 31 2013  Transaction ID: A2013-4198184  Amount of Each Receipt this Period  36.70
Full Name (Last, First, Middle Initial)  Ryan Davis  Mailing Address 715 Shasta Drive  City Albertville  FEC ID number of contributing federal political committee.  Name of Employer  Progress Rail Services  Receipt For:  Primary General Other (specify)	State Zip Code AL 35950-5803  C  Occupation VP, Opers Wheel Axle Bearings  Aggregate Year-to-Date ▼  400.00	Date of Receipt  10 03 2013  Transaction ID : A2013-4082666  Amount of Each Receipt this Period  20.00
SUBTOTAL of Receipts This Page (optional)		106.70
TOTAL This Period (last page this line number	only)	

	FOR LINE NUMBER:	PAGE	48 OF	23
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	16	$\Box_{17}$

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan Davis Date of Receipt Mailing Address 715 Shasta Drive 17 2013 10 City State Zip Code Transaction ID: A2013-4126596 35950-5803 Albertville AL Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Opers Wheel Axle Bearings **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Ryan Davis Date of Receipt Mailing Address 715 Shasta Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4194636 ΑL 35950-5803 Albertville Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert De Camp Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197972 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

SUBTOTAL of Receipts This Page (optional)			,	I	Ξ	7	Ξ	_	65.0	0
TOTAL This Period (last page this line number only)	Ξ	Ξ	7	Ξ	Ξ	7	Ξ	_	_	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael De Walt Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197114 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Corporate Controller Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. David DeFreitas Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197115 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Anthony Deluca Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197545 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 105.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	50 OF	235
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Dennis Date of Receipt Mailing Address 600 W Washington St 31 2013 10 City State Zip Code Transaction ID: A2013-4197844 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 68.68 federal political committee. Name of Employer Occupation Labor Relations Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 682.78 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph Derk Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4198026 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Shankar Desai Date of Receipt Mailing Address 812 W. Washington St 10 31 2013

SUBTOTAL of Receipts This Page (optional)	Ī	Ī	7	Ī	Ī	7	Ī	1	32.87	
TOTAL This Period (last page this line number only)	Ξ	Ξ	7	Ξ	Ξ	7			·	

845.37

Zip Code

61630

State

IL

C

Occupation

Strategy & Architecture Dir.

Aggregate Year-to-Date ▼

89.19

Transaction ID: A2013-4197956

Amount of Each Receipt this Period

City

East Peoria

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.
Receipt For:

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Deselem Date of Receipt Mailing Address Unit 3 31 2013 10 City Zip Code State Transaction ID: A2013-4197328 WA High Wycombe 00000 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bertali C Devine Date of Receipt Mailing Address 100 N.E. Adams Street 10 31 2013 City State Zip Code Transaction ID: A2013-4194724 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brian Devine Date of Receipt Mailing Address 500 N. Morton Ave 10 31 2013

Primary General Other (specify) ▼	866.63										
SUBTOTAL of Receipts This Page (optional)						Ξ	7	Ξ	137	'.92	
TOTAL This Period (last page this line number	only)	Е.	I	_	Ţ	Ξ		Ξ			

Zip Code

61550

Info Technology Manager-III

Aggregate Year-to-Date ▼

State

IL

C

Occupation

87.92

Transaction ID: A2013-4197475

Amount of Each Receipt this Period

City

Morton

FEC ID number of contributing

federal political committee.

Name of Employer

Caterpillar Inc.
Receipt For:

	FO	R LINE	NU	IMBER	:	PAGE	:	52 OF	235
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	statements may not be sold or used by any person name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
The Caterpillar Inc. Employee F	rollical Action Committee	
Full Name (Last, First, Middle Initial)  Michelle Dhaemers-Needham		Date of Receipt
Mailing Address 501 SW Jefferson		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197172
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Lead Human Resource Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	
Full Name (Last, First, Middle Initial)  Amory Diccion		Date of Receipt
Mailing Address Attn: Administrative Assistant		10 31 2013
City	State Zip Code	Transaction ID : A2013-4198060
Aurora	IL 60507	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Senior Engineer-Design	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  Douglas Dickerson		Date of Receipt
Mailing Address 100 Tractor Drive		M = M / D = D / Y = Y = Y = Y = 10
City	State Zip Code	Transaction ID : A2013-4198046
East Peoria	IL 61630	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.53
Name of Employer	Occupation	
Caterpillar Inc.	Group Manager 1	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	363.12	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	90.53
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 53 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kyle Diekhoff Date of Receipt Mailing Address 100 Tractor Drive 2013 10 31 City State Zip Code Transaction ID: A2013-4198059 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Disharoon Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197096 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Relations Director Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Dana Ditman Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197547 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any per name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial)  Kevin Doglio  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61629  C  Occupation Business Support Manager  Aggregate Year-to-Date ▼  500.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197861  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Suzette Doligale  Mailing Address 16106 Kensington Ave  City  Tinley Park  FEC ID number of contributing federal political committee.  Name of Employer ElectroMotive Diesel  Receipt For:  Primary General Other (specify)	State Zip Code IL 60477-6720  C  Occupation Manager  Aggregate Year-to-Date ▼  420.00	Date of Receipt  10 03 2013  Transaction ID: A2013-4082689  Amount of Each Receipt this Period  20.00
Full Name (Last, First, Middle Initial)  Suzette Doligale  Mailing Address 16106 Kensington Ave  City Tinley Park  FEC ID number of contributing federal political committee.  Name of Employer  ElectroMotive Diesel  Receipt For:  Primary General Other (specify)	State Zip Code IL 60477-6720  C  Occupation Manager  Aggregate Year-to-Date ▼  440.00	Date of Receipt  10 17 2013  Transaction ID: A2013-4126619  Amount of Each Receipt this Period  20.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	90.00
TOTAL This Period (last page this line number of	only)	

	FOR LINE NUMBER:   PAG	iE 55 OF 2
Use separate schedule(s)	(check only one)	
for each category of the Detailed Summary Page	X 11a 11b 11c	12
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35 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Suzette Doligale Date of Receipt Mailing Address 16106 Kensington Ave 2013 10 31 City State Zip Code Transaction ID: A2013-4194601 Tinley Park IL 60477-6720 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Doolittle Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City State Zip Code Transaction ID: A2013-4197544 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Gene Doubleday Date of Receipt Mailing Address 100 NE Adams 10 31 2013 Zip Code City State Transaction ID: A2013-4197213 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Project Manager Caterpillar Inc.

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TOTAL This Period (last page this line number only)	_	_	7	_		7	_	Ξ	_	$\Box$

250.00

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

Other (specify)

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rebecca Doubleday Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197164 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Marketing Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Nola Douglas Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City Zip Code State Transaction ID: A2013-4197372 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 73.51 federal political committee. Name of Employer Occupation Caterpillar Inc. N. A. Financial Accounting Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial)  C. Robert Downey		Date of Receipt
Mailing Address 2120 West End Avenue		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197308
Nashville	TN 37203	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.0
Name of Employer	Occupation	
Caterpillar Inc.	Senior Corporate Counsel	
Receipt For:	Aggregate Year-to-Date ▼	

724.60

250.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Dubois Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197401 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Quality Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Duda Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197668 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) James Duensing Date of Receipt

- Carrio Baorionig	Date of Heocipt	
Mailing Address 2120 West End Avenue		10 31 2013
City	State Zip Code TN 37203	Transaction ID : A2013-4197685
Nashville	Amount of Each Receipt this Perio	
FEC ID number of contributing federal political committee.	C	13
Name of Employer	Occupation	
Caterpillar Inc.	Executive VP / CFO	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	1040.00	

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0.00

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Kevin Duffy  Mailing Address 14009 Old Galena Rd.  City	State Zip Code	Date of Receipt  10 31 2013  Transaction ID : A2013-4197411
Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Engineering Manager-3  Aggregate Year-to-Date ▼  250.00	Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial)  James Dugan  Mailing Address 100 NE Adams  City Peoria	State Zip Code IL 61629	Date of Receipt  10 31 2013  Transaction ID : A2013-4198084  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify) ▼	Occupation Corporate Media Manager  Aggregate Year-to-Date ▼  250.00	25.00
Full Name (Last, First, Middle Initial)  Jerome Duggan  Mailing Address 100 NE Adams  City	State Zip Code	Date of Receipt  10 31 2013  Transaction ID : A2013-4197981
Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Business Resource Manager  Aggregate Year-to-Date ▼  1442.77	Amount of Each Receipt this Period  148.38
SUBTOTAL of Receipts This Page (optional)		198.38
TOTAL This Period (last page this line number	er only)	

	FOF	LINE	NU	MBER	:	PAGE	59 OF	235
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for each category of the Detailed Summary Page	X	11a		11b		11c	12	
		13		14		15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Harold Dulaney Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197924 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Duncan Date of Receipt Mailing Address 100 S. L. White Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197808 GΑ La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Dunlevy Date of Receipt Mailing Address 13105 Northwest Freeway 10 31 2013 City Zip Code State Transaction ID: A2013-4198014 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing C 25.00

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250.00

Occupation

General Manager

Aggregate Year-to-Date ▼

federal political committee.

Other (specify)

General

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

	FOR	LINE	NU	MBER	:	PAGE	. (	60 OF	235
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Shannon Duvendack Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197256 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.36 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Procurement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 836.41 Other (specify) Full Name (Last, First, Middle Initial) B. Debra Duzinskas Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197939 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Reman BU Mgr - Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Timothy Earle Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197618 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee.

156.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

250.00

Occupation

**Factory Manager** 

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc.

Receipt For:

FOR LINE NUMBER: PAGE 61 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Patricia Eastwood Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City Zip Code State Transaction ID: A2013-4197185 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. James Eddy Date of Receipt Mailing Address 1720 Kingsbury 10 31 2013 City State Zip Code Transaction ID: A2013-4198057 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 57.07 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 563.32 Other (specify) Full Name (Last, First, Middle Initial) c. Anthony Edmonds Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198115 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 157.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

### SCHEDULE A (FEC Form 3X)

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Detailed Summary Page	>	11a		11b		11c		12		
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Lori Edwards Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4198039 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Evans Date of Receipt Mailing Address 1100 Milwaukee Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197435 South Milwaukee WI 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 47.24 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 472.40 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew Ferguson Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 Zip Code City State Transaction ID: A2013-4197464 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 79.74 federal political committee. Name of Employer Occupation Caterpillar Inc. C. I. & Dlr. Lrng. Dept. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 797.40 Other (specify)

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Detailed Summary Page	<b>&gt;</b>	<b>1</b> 1a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gregory Ferguson Date of Receipt Mailing Address P O Box 504 2013 10 31 City State Zip Code Transaction ID: A2013-4197335 Joliet IL 60436 Amount of Each Receipt this Period FEC ID number of contributing C 114.90 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1157.59 Other (specify) Full Name (Last, First, Middle Initial) B. Bonnie Fetch Date of Receipt Mailing Address Building H Dock 13 10 31 2013 City State Zip Code Transaction ID: A2013-4197912 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 203.54 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Unit Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1987.55 Other (specify) Full Name (Last, First, Middle Initial) c. Joel Feucht Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197820 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 89.21 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment

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892.10

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and Stor for commercial purposes, other than using the		son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial)  John Fields  Mailing Address 2600 Camino Entrada  City Santa Fe  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code NM 87507  C  Occupation Group Manager 2  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197313  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Bart Finn  Mailing Address 1106 - 4 Shimizu  City  Akashi  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code ZZ 00000  C  Occupation NPI Program Manager  Aggregate Year-to-Date ▼  244.80	Date of Receipt  10 31 2013  Transaction ID: A2013-4197443  Amount of Each Receipt this Period  24.48
Full Name (Last, First, Middle Initial)  Steven Fisher  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61552  C  Occupation Vice President  Aggregate Year-to-Date ▼  5000.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197676  Amount of Each Receipt this Period  488.09
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	537.57
TOTAL This Period (last page this line number of	only)	

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		117

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Flannery Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198044 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 67.12 federal political committee. Name of Employer Occupation Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 646.55 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Flinn Date of Receipt Mailing Address 100 Ne Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197787 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Gregory Folley Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197583 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4166.60 Other (specify) 508.78 SUBTOTAL of Receipts This Page (optional).....

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Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Frederick Date of Receipt Mailing Address 812 W. Washington St 31 2013 10 City State Zip Code Transaction ID: A2013-4197692 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation IS Strategist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Alan Frese Date of Receipt Mailing Address 6744 S Howell Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197640 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 183.99 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 2172.30 Other (specify) Full Name (Last, First, Middle Initial) c. David Frohberg Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City Zip Code State Transaction ID: A2013-4197826 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify)

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FEC Schedule A (Form 3X) Rev. 02/2003

263.99

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Richard Frow Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197613 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Global Technical Team Leader Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Fuelberth Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197596 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 99.23 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1089.71 Other (specify) Full Name (Last, First, Middle Initial) **c.** Billy Funk Date of Receipt Mailing Address 1100 Milwaukee Ave 10 31 2013 City Zip Code State Transaction ID: A2013-4198185 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Checking Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 164.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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	Statements may not be sold or used by any perse e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Robert Gaddis  Mailing Address 2120 West End Avenue  City  Nashville  FEC ID number of contributing federal political committee.	State Zip Code TN 37203	Date of Receipt  10 31 2013  Transaction ID: A2013-4197764  Amount of Each Receipt this Period  58.40
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Risk Management Manager  Aggregate Year-to-Date ▼  584.00	
Full Name (Last, First, Middle Initial)  Paul Gaeto  Mailing Address 100 NE Adams  City	State Zip Code	Date of Receipt  10 31 2013  Transaction ID: A2013-4197424
Peoria  FEC ID number of contributing federal political committee.	IL 61629	Amount of Each Receipt this Period
Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify) ▼	Occupation Dir. Perform & Group/Reg HR  Aggregate Year-to-Date ▼  1000.00	
Full Name (Last, First, Middle Initial)  Philip Gardner  Mailing Address 100 Tractor Drive		Date of Receipt  10 31 2013
City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  □ Primary □ General □ Other (specify) ▼	State Zip Code IL 61630  C  Occupation Lead Human Resource Mgr  Aggregate Year-to-Date ▼	Transaction ID : A2013-4197735  Amount of Each Receipt this Period  73.03
SUBTOTAL of Receipts This Page (optional)		231.43
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 03 2013 10 City State Zip Code Transaction ID: A2013-4082688 60302-2877 Oak Park IL Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name (Last, First, Middle Initial) B. Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 10 17 2013 City State Zip Code Transaction ID: A2013-4126618 Oak Park IL 60302-2877 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 10 31 2013 City State Zip Code Transaction ID: A2013-4194600 IL Oak Park 60302-2877 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

575.00

- 9

75.00

Other (specify)

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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe	e Political Action Committee	
Full Name (Last, First, Middle Initial)  Donna Gatza  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For:  Primary General Other (specify)	State Zip Code IL 61629  C  Occupation 6 Sigma Black Belt-2  Aggregate Year-to-Date ▼  416.82	Date of Receipt  10 31 2013  Transaction ID: A2013-4197160  Amount of Each Receipt this Period  44.05
Full Name (Last, First, Middle Initial)  Gregory Gauger  Mailing Address Route 29 & Old Galena Route  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  Other (specify)	State Zip Code IL 61552  C  Occupation General Engineering Manager  Aggregate Year-to-Date ▼  1905.70	Date of Receipt  10 31 2013  Transaction ID : A2013-4197649  Amount of Each Receipt this Period
Full Name (Last, First, Middle Initial)  Orville Geick  Mailing Address 100 Tractor Drive  City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61630  C  Occupation Supply Chain Performance Mgr4  Aggregate Year-to-Date ▼  500.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197758  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional	l) <b>&gt;</b>	284.62
TOTAL This Period (last page this line num	ber only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Justin Gibbons Date of Receipt Mailing Address Suite 750 2013 10 31 City Zip Code State Transaction ID: A2013-4197183 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 52.58 federal political committee. Name of Employer Occupation Caterpillar Inc. Washington Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 525.80 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel Gilbert Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197218 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jonathan Ginzel Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197568 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 98.64 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 972.18 Other (specify) 176.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas Giunta Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197864 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Regg Glawe Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197890 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.28 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 552.80 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Glynn Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197422 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 300.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Project Director Receipt For: Aggregate Year-to-Date ▼ Primary General 3000.00 Other (specify) 380.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Goddard Date of Receipt Mailing Address 100 Ne Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197496 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Pankaj Goel Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197225 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Dir. Busn. Transformations Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) Terry Goff Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197983 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 79.13 С federal political committee. Name of Employer Occupation Caterpillar Inc. Dir Emissions Regs & Conform Receipt For: Aggregate Year-to-Date ▼ Primary General 791.30 Other (specify) 154.13 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Darin Goode Date of Receipt Mailing Address 600 W Washington St 2013 10 31 City State Zip Code Transaction ID: A2013-4197245 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Category Purchasing Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brian Goodell Date of Receipt Mailing Address 9250 Sky Park Court 10 31 2013 City State Zip Code Transaction ID: A2013-4194722 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Edward Goodrich Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City Zip Code State Transaction ID: A2013-4197821 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 157.38 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 1573.80 Other (specify) 232.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  Gerald Gorman  Mailing Address 2120 West End Avenue  City  Nashville  FEC ID number of contributing federal political committee.	State Zip Code TN 37203	Date of Receipt  10 31 2013  Transaction ID: A2013-4197734  Amount of Each Receipt this Period  210.00
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Chief Information Officer  Aggregate Year-to-Date ▼  2100.00	
Full Name (Last, First, Middle Initial)  Stephen Gosselin  Mailing Address 501 SW Jefferson  City	State Zip Code	Date of Receipt  10 31 2013  Transaction ID : A2013-4197661
Peoria  FEC ID number of contributing federal political committee.	IL 61629	Amount of Each Receipt this Period 422.63
Name of Employer Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Vice President  Aggregate Year-to-Date ▼  4154.80	
Full Name (Last, First, Middle Initial)  Daniel Gove  Mailing Address 12301 Old Galena Road		Date of Receipt  10 31 2013
City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61552  C  Occupation Global Process Manager  Aggregate Year-to-Date ▼  591.60	Transaction ID : A2013-4197744  Amount of Each Receipt this Period  59.16
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	691.79
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 76 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kenneth Gray Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197648 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 196.35 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1558.89 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Grgurich Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4198177 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 57.94 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Process Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 684.50 Other (specify) Full Name (Last, First, Middle Initial) c. Oren Griffin Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City Zip Code State Transaction ID: A2013-4197143 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 81.18 С federal political committee. Name of Employer Occupation Caterpillar Inc. Technology Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 773.99 Other (specify) 335.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

### SCHEDULE A (FEC Form 3X)

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Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Griffith Date of Receipt Mailing Address 812 W. Washington St 31 2013 10 City State Zip Code Transaction ID: A2013-4198092 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 21.35 federal political committee. Name of Employer Occupation Business Process Analyst II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 247.96 Other (specify) Full Name (Last, First, Middle Initial) B. Jon Gruebele Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197104 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Timothy Gryl Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197484 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 87.31 federal political committee. Name of Employer Occupation Caterpillar Inc. Caterpillar Service Parts Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 865.45 Other (specify)

133.66

SUBTOTAL of Receipts This Page (optional).....

	FC	R	LINE	NU	<b>MBER</b>	:	PAGE	7	78 OI	=	235
Use separate schedule(s)	(ch	ie	ck only	or	ne)						
for each category of the Detailed Summary Page		<	11a		11b		11c		12		
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Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may not be sold or used by any person the name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
The Caterpillar Inc. Employe	e Political Action Committee	
Full Name (Last, First, Middle Initial)  1. Jerome Guilford Jr		Date of Receipt
Mailing Address 100 NE Adams		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197485
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	-
Caterpillar Inc.	Manager	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	250.00	
Full Name (Last, First, Middle Initial)  3. Steven Guse	<u>'</u>	Date of Receipt
Mailing Address 100 NE Adams		M M / D D / Y Y Y Y
Maining Addition Too NE Addition		10 312013
City	State Zip Code	Transaction ID : A2013-4197627
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	255.12
Name of Employer	Occupation	-
Caterpillar Inc.	Sr. Business Resource Manager	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	2435.00	
Full Name (Last, First, Middle Initial)  C. Margaret Gutgesell		Date of Receipt
Mailing Address 812 W. Washington St		M M / D D / Y Y Y Y Y
		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197737
East Peoria	IL 61630	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.38
Name of Employer	Occupation	-
Caterpillar Inc.	IS Strategist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	602.00	
Other (specify) ▼	693.80	
SUBTOTAL of Receipts This Page (optional	l) <b>&gt;</b>	349.50
TOTAL TI: D : 1 (1 :		
IUIAL This Period (last page this line num	ber only)	

	FOF	R LINE	NU	<b>MBER</b>	:	PAGE	. 7	79 OF	:	235
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rhonda Haffner Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197832 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** John Hall Date of Receipt Mailing Address 2-8 Hopkinson Street 10 31 2013 City State Zip Code Transaction ID: A2013-4197181 ZZ Burnie 00000 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 486.20 Other (specify)

Full Name (Last, First, Middle Initial) c. James Halloran Date of Receipt Mailing Address 980 Ninth Street 10 31 2013 Zip Code City State Transaction ID: A2013-4197903 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General

SUBTOTAL of Receipts This Page (optional)		Ξ	7	Ξ	Ξ	7		123	3.62	
TOTAL This Period (last page this line number only)		Ξ	7	Ξ		7	Ξ			

500.00

Other (specify)

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for each category of the Detailed Summary Page	×	11a		11b		11c		12	
		13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bradley Halverson Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197806 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 579.42 federal political committee. Name of Employer Occupation Group President & CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3841.20 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas Hampton Date of Receipt Mailing Address Route 7 10 31 2013 City State Zip Code Transaction ID: A2013-4197287 GA Toccoa 30577 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Danny Hanback Date of Receipt Mailing Address 6600 River Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197824 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 280.36 federal political committee. Name of Employer Occupation Sr.VP & Chief Ops. Officer-EMD Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2787.10 Other (specify) 884.78 SUBTOTAL of Receipts This Page (optional).....

	FOR	LINE	NU	<b>MBER</b>	:	PAGE	. 8	31 OI	F	235
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Hanback Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197728 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 181.60 federal political committee. Name of Employer Occupation Director of CPS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1816.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jon Harayda Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197701 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Revenue Mgmt Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Harding Date of Receipt Mailing Address 100 Caterpillar Way 10 31 2013 City State Zip Code Transaction ID: A2013-4197537 GA Thomasville 31792 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 231.60 SUBTOTAL of Receipts This Page (optional).....

## SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 82 OF 235 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the  Detailed Summary Page	(check only one)    X   11a
Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any per ename and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial)  Keith Hargis  Mailing Address 2120 West End Avenue  City Nashville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code TN 37203  C  Occupation Global Facilities Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4198226  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  David Harn  Mailing Address 9401 85Th Avenue North  City  Brooklyn Park  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code MN 55445  C  Occupation Factory Manager 1  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID : A2013-4198082  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial) Andrea Hart  Mailing Address 100 Tractor Drive  City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For:  Primary General Other (specify)	State Zip Code IL 61630  C  Occupation Human Resources Manager  Aggregate Year-to-Date ▼  315.10	Date of Receipt  10 31 2013  Transaction ID : A2013-4197240  Amount of Each Receipt this Period  31.51
SUBTOTAL of Receipts This Page (optional)	•	81.51
TOTAL This Period (last page this line number	only)	

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Use separate schedule(s)	(check only one)	
for each category of the Detailed Summary Page	X 11a 11b 11c 12	
	13 14 15 16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 03 2013 10 City State Zip Code Transaction ID: A2013-4082679 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 504.40 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 10 17 2013 City State Zip Code Transaction ID: A2013-4126609 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing 25.22 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 529.62 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 10 31 2013 City Zip Code State Transaction ID: A2013-4194649 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Name of Employer Occupation Progress Rail Services Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 554.84

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TOTAL This Period (last page this line number only)		_	7	_	_	7	_	_		

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Lawrence Hartnett Date of Receipt Mailing Address 500 N. Morton Ave. 2013 10 31 City State Zip Code Transaction ID: A2013-4197199 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jennifer Hartsock Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4197193 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 21.03 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Dir. Busn. Transformations Receipt For: Aggregate Year-to-Date ▼ Primary General 210.30 Other (specify) Full Name (Last, First, Middle Initial) c. Sylvia Hasinger Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197196 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Group/Regional HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 96.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the	(che	ck only	or	ne)						
Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

John Hassiepen

Mailing Address 100 Ne Adams

Date of Receipt

Full Name (Last, First, Middle Initial)  1. John Hassiepen		Date of Receipt
Mailing Address 100 Ne Adams		10 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4197795
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	-
Caterpillar Inc.	Senior Corporate Counsel	
Receipt For:	Aggregate Veer to Date W	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  3. Kimberly S Hauer		Date of Receipt
Mailing Address 100 NE Adams		10 31 _2013 _
City	State Zip Code	Transaction ID : A2013-4197958
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	833.34
Name of Employer	Occupation	_
Caterpillar Inc.	Vice President	
Receipt For:		_
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	3333.36	
Full Name (Last, First, Middle Initial)  Dennis Hausman		Date of Receipt
Mailing Address Route 29 & Old Galena F	Road	10 31 2013
City	State Zip Code	Transaction ID : A2013-4197621
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	+
Caterpillar Inc.	Engineering Manager-2	
Receipt For:		-
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	300.00	
SUBTOTAL of Receipts This Page (optional	al)	888.34
1 3 (4)	<u> </u>	
TOTAL This Period (last page this line num	nber only)	

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Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b 1	11c	12
	13 14 1	15	16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 10 03 2013 City State Zip Code Transaction ID: A2013-4082665 Albertville AL 35950-2690 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation VP/CMO, LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 10 17 2013 City State Zip Code Transaction ID: A2013-4126595 ΑL 35950-2690 Albertville Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP/CMO, LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 10 31 2013 City State Zip Code Transaction ID: A2013-4194635 AL Albertville 35950-2690 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP/CMO, LRS Sales Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional).....

	FOF	R LINE	IMBER	PAGE	: 8	37	OF	235		
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using t	he name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Katherine Hays		Date of Receipt
Mailing Address Suite 750	7.0	10 31 2013
City Washington	State Zip Code DC 20006	Transaction ID : A2013-4198223  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer Caterpillar Inc.	Occupation  Manager Governmental Affairs	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial)  Brent Heider  Mailing Address 400 NF Adams	•	Date of Receipt
Mailing Address 100 NE Adams  City	State Zip Code	10 31 2013 Transaction ID : A2013-4198200
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	64.49
Name of Employer Caterpillar Inc.	Occupation Service Operations Rep	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  595.22	
Full Name (Last, First, Middle Initial)  Paul Heidfeld		Date of Receipt
Mailing Address 812 W. Washington St		10 31 2013
City East Peoria	State Zip Code IL 61630	Transaction ID : A2013-4197790  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer Caterpillar Inc.	Occupation Portfolio Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
SUBTOTAL of Receipts This Page (optional)		194.49
TOTAL This Period (last page this line number	er only)	

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Use separate schedule(s) for each category of the	(check only one)	_		
Detailed Summary Page	X 11a 11b	11c	12	
,	13 14	15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

/			
۱	Full Name (Last, First, Middle Initial) Robert Heitzman Mailing Address 3701 State Road 26 East		Date of Receipt
F f	City Lafayette FEC ID number of contributing ederal political committee.  Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IN 47905  C  Occupation General Manager  Aggregate Year-to-Date ▼  500.00	Transaction ID : A2013-4197727  Amount of Each Receipt this Period  50.00
3	Full Name (Last, First, Middle Initial)  Christopher Hekel  Mailing Address 1100 Milwaukee Ave		Date of Receipt
- - - F	City South Milwaukee FEC ID number of contributing ederal political committee.	State Zip Code WI 53172-0500	10 31 2013  Transaction ID : A2013-4197509  Amount of Each Receipt this Period  125.01
C	Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	Occupation Engineering Manager-2 Aggregate Year-to-Date ▼  1434.01	
).    -	Full Name (Last, First, Middle Initial)  Gary Hendrickson  Mailing Address 500/76 Moo 3 Tambol Tasit  Dity	State Zip Code	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
- F	Rayong FEC ID number of contributing ederal political committee.	ZZ 21140	Transaction ID : A2013-4197207  Amount of Each Receipt this Period  124.46
(	Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify) ▼	Occupation Logistics Center Manager  Aggregate Year-to-Date ▼  1228.52	
su	IBTOTAL of Receipts This Page (optional)	<u> </u>	299.47
то	TAL This Period (last page this line number o	nly)	

	FOF	R LINE	NU	<b>MBER</b>	PAGE	: 8	39 OI	F	235	
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gwenne Henricks Date of Receipt Mailing Address 14009 Old Galena Rd. 31 2013 10 City State Zip Code Transaction ID: A2013-4197712 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4166.70 Other (specify) Full Name (Last, First, Middle Initial) **B.** David Herbert Date of Receipt Mailing Address 300 Hamilton Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197108 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jason Herman Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4198120 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 87.53 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼

840.29

Primary

Other (specify)

General

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Gerald Herrman  Mailing Address 501 SW Jefferson		Date of Receipt
City	State Zip Code IL 61629	10 31 2013 Transaction ID : A2013-4197158
Peoria  FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  30.00
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify) ▼	Occupation Logistics Consulting Manager  Aggregate Year-to-Date ▼  300.00	
Full Name (Last, First, Middle Initial)  Roy Heussner  Mailing Address 9401 85Th Avenue North		Date of Receipt  10 31 2013
City Brooklyn Park	State Zip Code MN 55445	Transaction ID : A2013-4197814  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.61
Name of Employer Caterpillar Inc.	Occupation Operations Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 696.10	
Full Name (Last, First, Middle Initial)  C. Mark Hexum		Date of Receipt
Mailing Address 100 NE Adams	Chaha Zin Coda	10 31 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4197267  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Caterpillar Inc.	Occupation Industry Prod Application Mgr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	124.61
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas A Hickerson Date of Receipt Mailing Address 9250 Sky Park Court 2013 10 31 City State Zip Code Transaction ID: A2013-4194671 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Shelley Hickman Date of Receipt Mailing Address 1100 Milwaukee Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4198225 South Milwaukee WI 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **CPS BU Deployment Champion** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lance High Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197962 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General

1000.00

Other (specify)

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for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Mark Hill  Mailing Address 4-H Park Road  City Pontiac  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61764  C  Occupation Section Mgr 4  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197235  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Robert Hill  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61552  C  Occupation Sr. Business Resource Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197126  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Michael Hinrichsen  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61629  C  Occupation Marketing Division Manager  Aggregate Year-to-Date ▼  693.20	Date of Receipt  10 31 2013  Transaction ID: A2013-4197571  Amount of Each Receipt this Period  69.32
SUBTOTAL of Receipts This Page (optional)	•	119.32
TOTAL This Period (last page this line number	r only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rex Hockaday Date of Receipt Mailing Address 6744 S Howell Ave 31 2013 10 City State Zip Code Transaction ID: A2013-4197386 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Hodel Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197434 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 88.06 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 880.60 Other (specify) Full Name (Last, First, Middle Initial) c. Susan Hodges Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197356 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 238.06 SUBTOTAL of Receipts This Page (optional).....

## SCHEDULE A (FEC Form 3X)

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Any information copied from such Reports and sor for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial)  Robert Hoenes  Mailing Address 17th Floor China Life Tower  City Beijing  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code ZZ 10002  C  Occupation Product Manager  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197347  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Douglas Hoerr  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary Other (specify)	State Zip Code IL 61629  C  Occupation Rgnl/Group Financial Officer  Aggregate Year-to-Date ▼  500.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197237  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  William Hohulin  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61629  C  Occupation Business Resource Manager  Aggregate Year-to-Date ▼  1000.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197717  Amount of Each Receipt this Period  100.00
SUBTOTAL of Receipts This Page (optional)		175.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sally Holland Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City State Zip Code Transaction ID: A2013-4197452 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 43.69 federal political committee. Name of Employer Occupation Portfolio Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 436.90 Other (specify) Full Name (Last, First, Middle Initial) B. James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 10 03 2013 City State Zip Code Transaction ID: A2013-4082686 KY 42003-8895 Paducah Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 17 10 2013 City State Zip Code Transaction ID: A2013-4126616 KY Paducah 42003-8895 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Progress Rail Services Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) 103.69 SUBTOTAL of Receipts This Page (optional).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 2013 10 31 City State Zip Code Transaction ID: A2013-4194656 KY Paducah 42003-8895 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name (Last, First, Middle Initial) B. James Horton Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197858 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name (Last, First, Middle Initial) c. William Howard Date of Receipt Mailing Address Building H Dock 13 10 31 2013 City State Zip Code Transaction ID: A2013-4198197 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jerry Hubbard Date of Receipt Mailing Address 501 SW Jefferson 31 2013 10 City State Zip Code Transaction ID: A2013-4197252 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Senior Project Engineer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dennis Huber Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197573 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randall Huber Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197665 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 59.81 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** 

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598.10

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Other (specify)

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Delma Hume Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197677 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Beverly Hungate Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4197608 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Hunter Date of Receipt Mailing Address 600 Balderson Blvd 10 31 2013 City State Zip Code Transaction ID: A2013-4198020 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Russell Hunter Date of Receipt Mailing Address 1720 Kingsbury 2013 10 31 City State Zip Code Transaction ID: A2013-4198204 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Walter Hupe Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4197666 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name (Last, First, Middle Initial) c. Daniel Ibrahim Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197936 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.83 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 208.30 Other (specify) 145.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 100 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ronald Ingram Date of Receipt Mailing Address 27Th & Pershing Rd 2013 10 31 City State Zip Code Transaction ID: A2013-4197217 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Janda Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197440 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) John Janes Date of Receipt Mailing Address 12740 W. Gran Bay Parkway 10 31 2013 City State Zip Code Transaction ID: A2013-4198149 FL Jacksonville 32258 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gregory Janssen Date of Receipt Mailing Address 7 Tractor Road 31 2013 10 City State Zip Code Transaction ID: A2013-4197881 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Global Logistics Netwk Ops Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Jasinski Jr Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197436 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brian Jensen Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197778 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Tech Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bradley Johnson Date of Receipt Mailing Address KM 8.5 Presa De La Amistad 31 2013 10 City Zip Code State Transaction ID: A2013-4197137 ZZ Acuna 26220 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Denise Johnson Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4198222 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4000.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Scott Johnson Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197098 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 600.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William Johnson Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197625 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 79.16 federal political committee. Name of Employer Occupation Marketing Division Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 784.67 Other (specify) Full Name (Last, First, Middle Initial) B. Don Jones Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197827 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. GM Global Parts Supply Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify)

Full Name (Last, First, Middle Initial) c. Eric Jordan Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197116 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation District Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

SUBTOTAL of Receipts This Page (optional)	_	_	7		7	_	15	54.16	3
TOTAL This Period (last page this line number only)	_	_	7	_	7	_	_		

250.00

Primary

Other (specify)

General

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Pamela Just Date of Receipt Mailing Address 1710 Walnut Street 03 2013 10 City State Zip Code Transaction ID: A2013-4082667 Albertville AL 35950-2853 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Name of Employer Occupation Controller, Business Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 495.00 Other (specify) Full Name (Last, First, Middle Initial) B. Pamela Just Date of Receipt Mailing Address 1710 Walnut Street 10 17 2013 City State Zip Code Transaction ID: A2013-4126597 AL 35950-2853 Albertville Amount of Each Receipt this Period FEC ID number of contributing 24.75 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 519.75 Other (specify) Full Name (Last, First, Middle Initial) c. Pamela Just Date of Receipt Mailing Address 1710 Walnut Street 10 31 2013 City State Zip Code Transaction ID: A2013-4194637 AL Albertville 35950-2853 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Name of Employer Occupation Controller, Business Unit Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 544.50 Other (specify) 74.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  David Kacynski  Mailing Address 2120 West End Avenue  City Nashville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code TN 37203  C  Occupation Treasurer  Aggregate Year-to-Date ▼  750.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197726  Amount of Each Receipt this Period  75.00
Full Name (Last, First, Middle Initial)  John Kaiser  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)   Other (specify)	State Zip Code IL 61552  C  Occupation Regional Site Purchasing Mgr  Aggregate Year-to-Date ▼  1000.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197341  Amount of Each Receipt this Period  100.00
Full Name (Last, First, Middle Initial)  Kathryn Karol  Mailing Address Suite 750  City  Washington  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code DC 20006  C Occupation Vice President Aggregate Year-to-Date ▼ 3888.92	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
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Any information copied from such Reports and Statements may not be sold or or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Zachary Kauk Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197473 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 182.30 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1804.49 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Keeley Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197518 IL 60507 Aurora Amount of Each Receipt this Period FEC ID number of contributing 66.85 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 668.50 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Kees Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197904 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 274.15 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Terry Keith Date of Receipt Mailing Address 812 W. Washington St 2013 10 31 City State Zip Code Transaction ID: A2013-4197413 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Business Process Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin Kempher Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197775 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Health Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Colleen Keough Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197502 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mohammed Khan Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197927 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Name of Employer Occupation NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name (Last, First, Middle Initial) B. Farzin Khorasanizadeh Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197842 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Customer & Industry Suppt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 698.97 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Kibby Date of Receipt Mailing Address 17th Floor China Life Tower 10 31 2013 City Zip Code State Transaction ID: A2013-4197594 ZZ Beijing 10002 Amount of Each Receipt this Period FEC ID number of contributing C 102.64 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For:

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1007.62

Aggregate Year-to-Date ▼

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Charlie Kim Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198117 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Russell Kirkpatrick Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198175 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 27.81 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Service Analyst** Receipt For: Aggregate Year-to-Date ▼ Primary General 268.62 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Klabunde Date of Receipt Mailing Address 1029 Baldwin Lane 03 10 2013 City State Zip Code Transaction ID: A2013-4082683 IL Oak Park 60302-4445 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Name of Employer Occupation Progress Rail Services Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 65.31 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Klabunde Date of Receipt Mailing Address 1029 Baldwin Lane 2013 10 City State Zip Code Transaction ID: A2013-4126613 Oak Park IL 60302-4445 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 262.50 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Klabunde Date of Receipt Mailing Address 1029 Baldwin Lane 10 31 2013 City State Zip Code Transaction ID: A2013-4194653 Oak Park IL 60302-4445 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Wayne Klopfenstein Date of Receipt Mailing Address Building H Dock 13 10 31 2013 City State Zip Code Transaction ID: A2013-4197607 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation

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390.16

**Engineering Manager-2** 

Aggregate Year-to-Date ▼

Caterpillar Inc.

Primary

Other (specify)

General

Receipt For:

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Beth Knepp Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197409 61629 IL Peoria Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Talent Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Wesley Knepp Date of Receipt Mailing Address 100 NE Adams 10 2013 31 City State Zip Code Transaction ID: A2013-4197192 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 133.74 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Labor Relations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General

	Other (specify) ▼		1250.39	
C.	Full Name (Last, First, Middle Initial) Paul Knollmaier  Mailing Address 701 Waterford Way			Date of Receipt  10 31 2013
	City Miami	State FL	Zip Code 33126	Transaction ID : A2013-4197281  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		106.8
	Name of Employer	Occupation		
	Caterpillar Inc.	Prod Suppo	rt & Sales Ops Mgr	
	Receipt For:  Primary General		Year-to-Date ▼	

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1068.50

Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Travis Knox Date of Receipt Mailing Address 2950 Temple School Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4197154 NC Winston-Salem 27107 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Engineering Project Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Koch Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City Zip Code State Transaction ID: A2013-4197855 IL 60507 Aurora Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

Full Name (Last, First, Middle Initial) Christopher Kopinski		Date of Receipt
Mailing Address 500 N Morton Ave		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197414
Morton	IL 61550	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	-
Caterpillar Inc.	Sr Inventory Mgmt Supervisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	

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75.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mary Kreuser Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198007 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Global Org. Development Mgr. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Craig Kroeger Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197658 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Large High Spd Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randy Krotowski Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198228 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Name of Employer Occupation

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4000.00

Vice President

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen Larson Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197662 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4166.60 Other (specify) Full Name (Last, First, Middle Initial) B. Brett Lashlee Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City State Zip Code Transaction ID: A2013-4198135 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Process Coordinator** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Scott Lautzenheiser Date of Receipt Mailing Address 124 SW Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197406 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General

250.00

Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael LeCrone Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4198172 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Brand Protect. & Invest. Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Lee Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197926 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Procurement Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

Full Name (Last, First, Middle Initial) c. Betty Lehman Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198045 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Human Resources Consultant** Receipt For:

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250.00

Aggregate Year-to-Date ▼

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sean Leuba Date of Receipt Mailing Address 300 Hamilton Blvd. 2013 10 31 City State Zip Code Transaction ID: A2013-4197586 Peoria IL 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir Corp. Business Developmnt Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Leustek Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198061 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Gary LeVar Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197548 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 155.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Stuart Levenick  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61629  C  Occupation Group President  Aggregate Year-to-Date ▼  4166.60	Date of Receipt  10 31 2013  Transaction ID: A2013-4197999  Amount of Each Receipt this Period  416.66
Full Name (Last, First, Middle Initial)  Teresa Lewis  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)   Other (specify)	State Zip Code IL 61552  C  Occupation Special Assignment  Aggregate Year-to-Date ▼  350.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197966  Amount of Each Receipt this Period  35.00
Full Name (Last, First, Middle Initial) Chih Liang  Mailing Address 100 Tractor Drive  City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630  C  Occupation 6 Sigma Strategy Champion  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197201  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	476.66
TOTAL This Period (last page this line number	er only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Monique Liard Date of Receipt Mailing Address Carl-Benz-Strasse 1 10 31 2013 City State Zip Code Transaction ID: A2013-4198167 ZZ Mannheim 00000 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kenneth Lindblom Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197813 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Terrah Linden Date of Receipt Mailing Address Olympian Generators Sets 10 31 2013 City Zip Code State Transaction ID: A2013-4198025 SC Newberry 29108 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Communication Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Lindner Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197487 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ulf Lindqwister Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198040 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Gregory Loftness Date of Receipt Mailing Address 9401 85Th Avenue North 10 31 2013 City Zip Code State Transaction ID: A2013-4198102 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Suzette Long Date of Receipt Mailing Address 6744 S Howell Ave 2013 10 31 City Zip Code State Transaction ID: A2013-4198079 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lloyd Longer Date of Receipt Mailing Address 13105 Northwest Freeway 10 31 2013 City State Zip Code Transaction ID: A2013-4198140 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Charles Looney Date of Receipt Mailing Address 100 Caterpillar Way 10 31 2013 City State Zip Code Transaction ID: A2013-4197854 GA Thomasville 31792 Amount of Each Receipt this Period FEC ID number of contributing 65.80 С federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 394.80 Other (specify) 115.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Patricia Ludewig Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197916 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Tax Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bradley Luttrell Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197150 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Buyer A Receipt For: Aggregate Year-to-Date ▼ Primary General 392.80 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jason Lynn Date of Receipt Mailing Address Suite 750 10 31 2013 City Zip Code State Transaction ID: A2013-4198160 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 89.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William MacLeod Date of Receipt Mailing Address 27Th & Pershing Rd 2013 10 31 City State Zip Code Transaction ID: A2013-4198109 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 88.91 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 852.57 Other (specify) Full Name (Last, First, Middle Initial) **B.** Scott Magner Date of Receipt Mailing Address 40 10 31 2013 City State Zip Code Transaction ID: A2013-4197125 ZZ Grenoble Cedex 38041 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Supervisor-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald Mahannah Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197696 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 163.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 126 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Manning Date of Receipt Mailing Address 7 Tractor Road 2013 10 31 City Zip Code State Transaction ID: A2013-4197755 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Manning Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198063 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Comp Administration Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Josh Maguet Date of Receipt Mailing Address 4-H Park Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197530 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rodolfo Martinez Jr Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197392 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Deborah Massengill Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197298 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.94 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 598.24 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Mathes Date of Receipt Mailing Address 111 Southchase Blvd 10 31 2013 City State Zip Code Transaction ID: A2013-4197859 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing 86.81 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 836.46 Other (specify) 172.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sean Matheson Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198157 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Procurement Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dennis Matousek Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4198207 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Glenn Matson Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4197617 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Matteo Date of Receipt Mailing Address 1106 - 4 Shimizu 10 31 2013 City State Zip Code Transaction ID: A2013-4197991 ZZ Akashi 00000 Amount of Each Receipt this Period FEC ID number of contributing C 107.53 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1068.97 Other (specify) Full Name (Last, First, Middle Initial) B. Jason Mattox Date of Receipt Mailing Address 560 Rehoboth Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197171 Griffin GΑ 30224 Amount of Each Receipt this Period FEC ID number of contributing 28.04 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Designer/Modeler Receipt For: Aggregate Year-to-Date ▼ Primary General 265.08 Other (specify) Full Name (Last, First, Middle Initial)

c. Phillip Maxheimer Date of Receipt Mailing Address No.18 Zhusong Road 10 31 2013 Zip Code City State Transaction ID: A2013-4197529 77 Nantong 22631 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General

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Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Mc Kneight Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197751 Aurora IL 60507 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas McCallen Date of Receipt Mailing Address Regency Forest II 10 31 2013 City State Zip Code Transaction ID: A2013-4197102 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing 252.27 federal political committee. Name of Employer Occupation Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 2486.64 Other (specify) Full Name (Last, First, Middle Initial) **c.** Patrick McCartan Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197933 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Funding Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 327.27 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sandra McCartney Date of Receipt Mailing Address Route De Frontenex 76 2013 10 31 City Zip Code State Transaction ID: A2013-4197538 ZZ Geneva 6 00000 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas McCauley Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197798 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** John McClain Date of Receipt Mailing Address 11915 Briarleaf Way 10 31 2013 City State Zip Code Transaction ID: A2013-4194705 CA San Diego 92128-5254 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Solar Turbines Caterpillar Solar Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew McDonnough Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4198015 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Scott McDougall Date of Receipt Mailing Address 100 S. L. White Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197320 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 118.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1155.74 Other (specify) Full Name (Last, First, Middle Initial) c. Ryan McKenna Date of Receipt Mailing Address 233 E. Wacker Drive Apt. 3802 03 10 2013 City State Zip Code Transaction ID: A2013-4082691 IL Chicago 60601-5115 Amount of Each Receipt this Period FEC ID number of contributing 10.00 С federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 153.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan McKenna Date of Receipt Mailing Address 233 E. Wacker Drive Apt. 3802 2013 10 City Zip Code State Transaction ID: A2013-4126621 IL Chicago 60601-5115 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ryan McKenna Date of Receipt Mailing Address 233 E. Wacker Drive Apt. 3802 10 31 2013 City State Zip Code Transaction ID: A2013-4194603 IL Chicago 60601-5115 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.00 Other (specify) Full Name (Last, First, Middle Initial) c. Karen McMullen Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197430 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 68.47 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 678.70 Other (specify) 88.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Charles Menke Date of Receipt Mailing Address State Rt. 116 E. 31 2013 10 City State Zip Code Transaction ID: A2013-4197512 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing C 45.70 federal political committee. Name of Employer Occupation Machine Development Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.46 Other (specify) Full Name (Last, First, Middle Initial) B. Chris Mettler Date of Receipt Mailing Address 6744 S Howell Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197943 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald Meyers Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 Zip Code City State Transaction ID: A2013-4197949

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840.00

37203

TN

Occupation

Senior Corporate Counsel

Aggregate Year-to-Date ▼

C

84.00

Amount of Each Receipt this Period

Nashville

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Miceli Date of Receipt Mailing Address P.O. Box 80108 31 2013 10 City Zip Code State Transaction ID: A2013-4197224 GA Athens 30608 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sonya Miles Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197382 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Miller Date of Receipt Mailing Address 600 W Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4198143 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

100.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Larry Miller Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197681 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Special Assignment Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Miller Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197945 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Asia-Pacific Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial)  Michael Miller		Date of Receipt
Mailing Address 501 SW Jefferson		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197947
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50
Name of Employer	Occupation	-
Caterpillar Inc.	Product & Tech Training Supv	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	

500.00

500.00

50.00

Other (specify)

Other (specify)

FOR LINE NUMBER: PAGE 137 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Wayne Miller Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197730 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Minder Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4198064 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 109.41 federal political committee. Name of Employer Occupation Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 1024.23 Other (specify) Full Name (Last, First, Middle Initial) c. Marsha Mitts Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197429 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Tax Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 159.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUI	MBER:	PAGE	138 OF	235
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	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Kathleen Mock  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61629  C  Occupation Account Mgr  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197505  Amount of Each Receipt this Period  100.00
Full Name (Last, First, Middle Initial)  Patrick Mohrman  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61552  C  Occupation Customer Services Manager  Aggregate Year-to-Date ▼  661.35	Date of Receipt  10 31 2013  Transaction ID: A2013-4197103  Amount of Each Receipt this Period  70.39
Full Name (Last, First, Middle Initial)  Arnold Monteleone  Mailing Address 1000 Moringside Cir  City  Denison  FEC ID number of contributing federal political committee.  Name of Employer  Progress Rail Services  Receipt For:  Primary  General  Other (specify)	State Zip Code TX 75020-4154  C  Occupation VP, Trackwork Division  Aggregate Year-to-Date ▼  500.00	Date of Receipt  10 03 2013  Transaction ID: A2013-4082668  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	195.39
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Arnold Monteleone Date of Receipt Mailing Address 1000 Moringside Cir 10 2013 City State Zip Code Transaction ID: A2013-4126598 TX 75020-4154 Denison Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation VP, Trackwork Division **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name (Last, First, Middle Initial) B. Arnold Monteleone Date of Receipt Mailing Address 1000 Moringside Cir 10 31 2013 City State Zip Code Transaction ID: A2013-4194638 TX 75020-4154 Denison Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Trackwork Division

Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  550.00	
Full Name (Last, First, Middle Initial)  C. Djuana Montgomery		Date of Receipt
Mailing Address Avenue des Etats Unis		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197442
Gosselies	ZZ 00000	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	127.64
Name of Employer	Occupation	
Caterpillar Inc.	Senior IT Supervisor	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1254.23	

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177.64

FOR LINE NUMBER: PAGE 140 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Erec Montgomery Date of Receipt Mailing Address Avenue des Etats Unis 2013 10 31 City Zip Code State Transaction ID: A2013-4197361 ZZ Gosselies 00000 Amount of Each Receipt this Period FEC ID number of contributing C 144.16 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-II Receipt For: Aggregate Year-to-Date ▼ Primary General 1433.11 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kimberly Montgomery Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City State Zip Code Transaction ID: A2013-4197302 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Process Owner II Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randall Mooberry Date of Receipt Mailing Address State Rt. 116 E. 10 31 2013 City State Zip Code Transaction ID: A2013-4197746 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 73.35 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 729.18 Other (specify) 242.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Martin Mooney Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4197261 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Facilities Engineering Mgr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey Moore Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197460 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 73.45 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 798.53 Other (specify) Full Name (Last, First, Middle Initial) **c.** Phillip Moore Date of Receipt Mailing Address Precision Sealing Products 10 31 2013 City Zip Code State Transaction ID: A2013-4197290 NC Franklin 28734 Amount of Each Receipt this Period FEC ID number of contributing 113.32 С federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1071.17 Other (specify) 286.77 SUBTOTAL of Receipts This Page (optional).....

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	Detailed Summary Page	X   11a
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NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Political A	ction Committee	
Possint For:	Zip Code 61629 vestor Relations Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID : A2013-4197765  Amount of Each Receipt this Period  200.79
Possint For:	Zip Code 61629  Dorate Counsel  Year-to-Date ▼  952.65	Date of Receipt  10 31 2013  Transaction ID : A2013-4197326  Amount of Each Receipt this Period  79.30
Full Name (Last, First, Middle Initial)  Eric Morr  Mailing Address 27Th & Pershing Rd  City State Decatur IL  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary General Other (specify)   General		Date of Receipt  10 31 2013  Transaction ID: A2013-4197329  Amount of Each Receipt this Period  117.55
SUBTOTAL of Receipts This Page (optional)	•	397.64

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
	Statements may not be sold or used by any pene name and address of any political committee	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Alec Morris  Mailing Address 500 N Morton Ave  City  Morton  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61550  C  Occupation 6 Sigma Black Belt-2  Aggregate Year-to-Date ▼  420.98	Date of Receipt  10 31 2013  Transaction ID: A2013-4197400  Amount of Each Receipt this Period  42.65
Full Name (Last, First, Middle Initial)  Michael Morrison  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary Other (specify)   Other (specify)	State Zip Code IL 61629  C  Occupation Director U.S. Domestic Tax  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID : A2013-4198192  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Michael Mosley  Mailing Address 5350 Hunter Road  City Atlanta  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  Other (specify)	State Zip Code GA 30336-2321  C  Occupation Engineering Technical Steward  Aggregate Year-to-Date ▼  649.67	Date of Receipt  10 31 2013  Transaction ID: A2013-4197601  Amount of Each Receipt this Period  65.54
SUBTOTAL of Receipts This Page (optional)		158.19
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	I Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Jarrod Moss  Mailing Address 501 Cardinal Drive  City Corinth  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code MS 38834  C  Occupation Engineering Manager-1  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197215  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Sherri Mowbray  Mailing Address 501 SW Jefferson  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary  General	State Zip Code IL 61629  C  Occupation Business Resource Manager  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197242  Amount of Each Receipt this Period  77.76
Tull Name (Last, First, Middle Initial) Thomas Muir Mailing Address 1720 Kingsbury  City Seguin  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code TX 78155  C  Occupation CPS Master Black Belt  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197107  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional).		127.76
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Casey Murphy Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4198180 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Sr. Communications Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 265.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Myers Date of Receipt Mailing Address Suite 750 10 31 2013 City State Zip Code Transaction ID: A2013-4198130 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 170.48 federal political committee. Name of Employer Occupation Caterpillar Inc. Mgr. Federal Gov. Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1641.69 Other (specify) Full Name (Last, First, Middle Initial) c. Jaime Myers Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198186 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 235.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Myers Date of Receipt Mailing Address 100 Tractor Drive 31 2013 10 City State Zip Code Transaction ID: A2013-4197271 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Mfg Engrg Superintendent Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bhaskar Nagaranthal Date of Receipt Mailing Address PO Box 504 10 31 2013 City State Zip Code Transaction ID: A2013-4197546 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randy Nash Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197794 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 71.84 federal political committee. Name of Employer Occupation

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708.11

**Engineering Technical Steward** 

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Needham Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197155 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Logistics Manager 5 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bruce Nelson Date of Receipt Mailing Address 9401 85Th Avenue North 10 31 2013 City State Zip Code Transaction ID: A2013-4198101 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 79.85 federal political committee. Name of Employer Occupation Caterpillar Inc.

Buyer C Receipt For: Aggregate Year-to-Date ▼ Primary General 773.83 Other (specify) Full Name (Last, First, Middle Initial) c. Walter Neubauer Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197968 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Competitive Analysis Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify)

144.85

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Chau Nguyen Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197815 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 90.45 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 876.23 Other (specify) Full Name (Last, First, Middle Initial) B. David Nicoll Date of Receipt Mailing Address Frank Perkins Parkway 10 31 2013 City State Zip Code Transaction ID: A2013-4197292 Peterborough ZZ 00000 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director Bus Proc Improvement Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Niemeier Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197097 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 82.64 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General

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TOTAL This Period (last page this line number only)		7		- 7	Ξ		

795.92

Other (specify)

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Noe Date of Receipt Mailing Address Global Bank Building 2013 10 31 City State Zip Code Transaction ID: A2013-4197367 ZZ Panama 00000 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation HR Shared Services Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dale Noel Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197823 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director of Purchasing Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kenneth K Nolen Date of Receipt Mailing Address 9250 Sky Park Court 10 31 2013 City State Zip Code Transaction ID: A2013-4194664 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Vice President Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports a or for commercial purposes, other than using			erson for	the p	urpos	se of s	soliciting	contribut	ions
NAME OF COMMITTEE (In Full)									
The Caterpillar Inc. Employe	e Political A	ction Committee							
Full Name (Last, First, Middle Initial)  John Norlin			Dat	e of	Rece	eipt			
Mailing Address 100 Tractor Drive				- м 1.0	/	31	/ Y	2013	Y
City	State	Zip Code	Tr	ansa	ctior	1D : A	\2013-4°	197355	
East Peoria	IL	61630	Am	ount	of Ea	ach Re	eceipt thi	is Period	
FEC ID number of contributing federal political committee.	С				7		-	25	.00
Name of Employer	Occupation								
Caterpillar Inc.	Engineering	Manager-1							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General		250.00	1						
Other (specify) ▼		250.00	4						
Full Name (Last, First, Middle Initial)  3. Kurt Norris	<u> </u>		Dat	e of	Rece	eipt			
Mailing Address Attn: Administrative Assis	stant			10	/	31	/ Y	2013	Y
City	State	Zip Code	Tr	ansa	ction	ID : A	2013-41	197695	
Aurora	IL	60507	Am	ount	of Ea	ach Re	eceipt thi	is Period	
FEC ID number of contributing federal political committee.	C				7		7	68.	.12
Name of Employer	Occupation								
Caterpillar Inc.	Product Ma	nager							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General		702 70	1						
Other (specify) ▼		793.79	4						
Full Name (Last, First, Middle Initial)  • Patricia O Connell	'		Dat	e of	Rece	eipt			
Mailing Address 27Th & Pershing Rd			M	10		31	/ Y	2013	Υ
City	State	Zip Code		_	ction		A2013-4		
Decatur	IL	62526						is Period	
FEC ID number of contributing federal political committee.	С				7		7	50	.00
Name of Employer	Occupation								
Caterpillar Inc.	Manager								
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General Other (specify) ▼		500.00	]						
SUBTOTAL of Receipts This Page (optional	ıl)							143.	12
TOTAL This Period (last page this line num	nher only)								

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Edwin O Neil Jr Date of Receipt Mailing Address 500 N Morton Ave 31 2013 10 City State Zip Code Transaction ID: A2013-4197615 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 114.58 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1131.66 Other (specify) Full Name (Last, First, Middle Initial) B. Deborah O'Fallon Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198168 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Category Purchasing Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Oberhelman Date of Receipt Mailing Address 100 NE Adams 10 31 2013

SUBTOTAL of Receipts This Page (optional)		Ξ	7	Ξ	Ξ	7	Ξ	10	64.58	
TOTAL This Period (last page this line number only)		Ξ	- 7		Ξ	7	_	Ξ		

5000.00

Zip Code

61629

CEO Chairman of the Board

Aggregate Year-to-Date ▼

State

IL

C

Occupation

0.00

Transaction ID: A2013-4197579

Amount of Each Receipt this Period

City

Peoria

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.
Receipt For:

FOR LINE NUMBER: PAGE 152 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Wayne Ocker Date of Receipt Mailing Address 444 Birch Street 2013 10 31 City Zip Code State Transaction ID: A2013-4198124 WI Prentice 54556 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Service Technical Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Carey Oden Date of Receipt Mailing Address 1012 4th Plaza 10 17 2013 City State Zip Code Transaction ID: A2013-4126591 AL Pleasant Grove 35127-2023 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager II, Plant Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) c. Carey Oden Date of Receipt Mailing Address 1012 4th Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4194631 AL Pleasant Grove 35127-2023 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation Progress Rail Services Manager II, Plant Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 45.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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NAME OF COMMITTEE (In Full)									
The Caterpillar Inc. Employee	e Political A	ction Committee							
Full Name (Last, First, Middle Initial)  Bradley Olson			Di	ate of	Re	ceipt			
Mailing Address 13105 Northwest Freeway			Т	M = M	/	31	/ Y	2013	Y
City	State	Zip Code		Trans	acti	on ID :	A2013-4	197716	
Houston	TX	77040	Ar	mount	of	Each R	eceipt th	is Period	
FEC ID number of contributing federal political committee.	C			_	_	,	7	88	.12
Name of Employer	Occupation								
Caterpillar Inc.	Marketing N	/lanager							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General		876.07	1						
Other (specify) ▼		070.07	4						
Full Name (Last, First, Middle Initial)  3. Dennis ORourke			D	ate of	Re	ceipt			
Mailing Address 1106 - 4 Shimizu				10	/	31	/ Y	2013	Y
City	State	Zip Code		Γrans	acti	on ID :	A2013-4	197206	
Akashi	ZZ	00000	Ar	mount	of	Each R	eceipt th	is Period	
FEC ID number of contributing federal political committee.	C					,	7	25.	.00
Name of Employer	Occupation								
Caterpillar Inc.	NPI Prograi	m Manager							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General		250.00	1						
Other (specify) ▼		250.00	4						
Full Name (Last, First, Middle Initial)  C. Kelly Orr	·		D	ate of	Re	ceipt			
Mailing Address 3701 State Road 26 East			_	M = M		D   D	/ Y	YYY	Υ
			_  L	10		31	┚┖	2013	
City	State	Zip Code		Trans	acti	ion ID :	A2013-4	197286	
Lafayette	IN	47905	1A	nount	of	Each R	eceipt th	is Period	
FEC ID number of contributing federal political committee.	C					,	7	25	.00
Name of Employer	Occupation								
Caterpillar Inc.	General Ma	nager of Operations							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General  Other (specify) ▼		250.00							
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FOR LINE NUMBER: PAGE 154 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Roberto Ortega Date of Receipt Mailing Address 501 Cardinal Drive 2013 10 31 City Zip Code State Transaction ID: A2013-4198220 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Denver M Osborn Date of Receipt Mailing Address 100 N.E. Adams Street 10 31 2013 City State Zip Code Transaction ID: A2013-4194690 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Edward Ostrowski Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4198215 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER	: PA	GE 1	155 OF	235
Use separate schedule(s) for each category of the	(check only	one)				
Detailed Summary Page	X 11a	11b	11c		12	
	13	14	15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Ouart Date of Receipt Mailing Address 100 Tractor Drive 31 2013 10 City State Zip Code Transaction ID: A2013-4197960 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 62.32 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 615.13 Other (specify) Full Name (Last, First, Middle Initial) B. Sue Papenhause Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197907 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Demand Mgr & Mktg Svcs Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Stanley Parker Date of Receipt Mailing Address 4-H Park Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197249 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation

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250.00

6Sigma Black Belt 2-Supervisor

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Paternoga Date of Receipt Mailing Address 12301 Old Galena Road 31 2013 10 City State Zip Code Transaction ID: A2013-4198003 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Reman BU Mgr - Engines Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Leslie Paulson Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197425 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Tech Info Solutions Dept Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial)

c. Randy Pedigo Date of Receipt Mailing Address 751 International Dr 10 31 2013 City State Zip Code Transaction ID: A2013-4198173 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General

500.00

Other (specify)

	FOF	R LINE	NU	IMBER	:	PAGE	: 1	57 OF	Ξ.	23
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas Pellette Date of Receipt Mailing Address 2200 Pacific Hwy 10 31 2013 City State Zip Code Transaction ID: A2013-4198019 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 625.00 federal political committee. Name of Employer Occupation Vice President Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Matthew Pendergrass Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197169 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Talent Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Audie Penn Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198194 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

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	Statements may not be sold or used by any personal particles and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial)  A. Marco Perez		Date of Receipt
Mailing Address 100 N.E. Adams Street		10 31 2013
City Peoria	State Zip Code IL 61629-0001	Transaction ID : A2013-4194726  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	20.84
Name of Employer Solar Turbines	Occupation Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 208.40	
Full Name (Last, First, Middle Initial)  3. Douglas Petterson		Date of Receipt
Mailing Address P O Box 999		10 31 2013
City Clayton	State Zip Code NC 27528	Transaction ID : A2013-4197543  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Caterpillar Inc.	Occupation General Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
Full Name (Last, First, Middle Initial)  C. Brian Pfautsch		Date of Receipt
Mailing Address 12301 Old Galena Road		10 31 2013
City Mossville	State Zip Code IL 61552	Transaction ID : A2013-4197757  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc. Receipt For:	Reman BU Mgr - Engine Systems  Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)		120.84
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 159 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Todd Philbee Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City Zip Code State Transaction ID: A2013-4198004 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 89.93 federal political committee. Name of Employer Occupation Mktg Center of Excellence Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 886.43 Other (specify) Full Name (Last, First, Middle Initial) B. David Phipps Date of Receipt Mailing Address 82 Sadovnicheskaya Street 10 31 2013 City State Zip Code Transaction ID: A2013-4197736 ZZ Moscow 11503 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Pierce Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197479 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 56.52 С federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 684.19 Other (specify) 171.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	1	60 OF	- :	23
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Melissa Pladers Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4197394 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Business Analyst II Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph Poole Date of Receipt Mailing Address 3535 Factoria Blvd. SE 10 31 2013 City State Zip Code Transaction ID: A2013-4197339 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing 139.95 federal political committee. Name of Employer Occupation Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1377.63 Other (specify) Full Name (Last, First, Middle Initial) **c.** Crystal Potthoff Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197354 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

199.95

SUBTOTAL of Receipts This Page (optional).....

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Powell Date of Receipt Mailing Address 10830 Hwy 174 10 03 2013 City State Zip Code Transaction ID: A2013-4082681 Odenville AL 35120 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP and Controller **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Powell Date of Receipt Mailing Address 10830 Hwy 174 10 17 2013 City State Zip Code Transaction ID: A2013-4126611 Odenville ΑL 35120 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP and Controller Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Robert Powell Date of Receipt Mailing Address 10830 Hwy 174 10 31 2013 City State Zip Code Transaction ID: A2013-4194651 AL Odenville 35120 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP and Controller Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional).....

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	13 14 15	16 17								

	Statements may not be sold or used by any persole name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial)  A. Michael Powers  Mailing Address 12301 Old Galena Road		Date of Receipt
City Mossville	State Zip Code IL 61552	10 31 2013  Transaction ID : A2013-4197743  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Platform Purchasing Manager A  Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  Richard Prendergast  Mailing Address Attn: Administrative Assistan	t	Date of Receipt  10 31 2013
City Aurora	State Zip Code IL 60507	Transaction ID : A2013-4197134  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Caterpillar Inc. Receipt For:	Occupation Quality Manager 4	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  C. Jonathan Pressler		Date of Receipt
Mailing Address Building H Dock 13		10 31 2013
City Mossville	State Zip Code IL 61552	Transaction ID : A2013-4197559  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Caterpillar Inc.	Occupation Product Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional)		75.00
TOTAL This Period (last page this line number	only)	

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17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Pridgen Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City Zip Code State Transaction ID: A2013-4197197 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Underwriting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Marc Proud Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197951 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 76.62 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 758.55 Other (specify) Full Name (Last, First, Middle Initial) c. William Purnell Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198209 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify)

126.62

SUBTOTAL of Receipts This Page (optional).....

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NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial) James Quigg Jr  Mailing Address Route 29 & Old Galena Road  City Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary General Other (specify)	State Zip Code IL 61552  C Occupation Manager  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4197463  Amount of Each Receipt this Period
Full Name (Last, First, Middle Initial)  Jamero Rainey  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)   Other (specify)	State Zip Code IL 61552  C  Occupation Special Assignment  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4198193  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Timothy Rainey  Mailing Address Attn: Administrative Assistant  City    Aurora  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 60507  C  Occupation NPI Program Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197885  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b></b>	154.37
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federal political committee.

Other (specify)

Name of Employer

Caterpillar Inc.

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ennodio Ramos Date of Receipt Mailing Address Frank Perkins Parkway 10 31 2013 City State Zip Code Transaction ID: A2013-4194729 00000 ZZ Peterborough Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director IPS Mktg & Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1620.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edward Rapp Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197099 ZZ 62796 Singapore Amount of Each Receipt this Period FEC ID number of contributing C 416.66

Receipt i	ror: mary General	Aggregate `	Year-to-Date ▼	
Oth	her (specify) ▼		4166.60	
	ne (Last, First, Middle Initial) ony Rassi			Date of Receipt
Mailing A	Address 7 Tractor Road			10 31 2013
City		State	Zip Code	Transaction ID : A2013-4198047
Singapo	re	ZZ	62796	Amount of Each Receipt this Period
	number of contributing political committee.	C		50
Name of	Employer	Occupation		1
Caterpilla	ar Inc.	Product Pur	chasing Manager	
Receipt I	For:	Aggregate `	Year-to-Date ▼	

Occupation

Group President

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ca, . ag.		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Carol Rathman Date of Receipt Mailing Address 12301 Old Galena Road 31 2013 10 City State Zip Code Transaction ID: A2013-4197929 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Keith Reber Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197572 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jeffrey Redmon Date of Receipt

Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197687 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General

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250.00

25.00

Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Davis Reese Date of Receipt Mailing Address Route De Frontenex 76 31 2013 10 City State Zip Code Transaction ID: A2013-4197228 ZZ Geneva 6 00000 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Reiners Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197492 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Reinhart Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 Zip Code City State Transaction ID: A2013-4197865 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional).....

	FOF	R LINE	NU	IMBER	:	PAGE	168	OF	23
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for com	nmercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
\	of COMMITTEE (In Full) Caterpillar Inc. Employee Po	olitical Action Committee	
A. Micha	me (Last, First, Middle Initial) ael Reinsma		Date of Receipt
	Address Western Canada District		10 31 2013
City		State Zip Code	Transaction ID : A2013-4197989
Edmon		ZZ 00000	Amount of Each Receipt this Period
	number of contributing political committee.	C	50.00
Name o	of Employer	Occupation	
Caterpil		Mining Regional Manager	
Receipt	t For:	Aggregate Year-to-Date ▼	
	Other (specify)	500.00	
B. Chris	me (Last, First, Middle Initial) topher Reitz		Date of Receipt
Mailing	Address 100 NE Adams		10 31 2013
City		State Zip Code	Transaction ID : A2013-4198218
Peoria		IL 61629	Amount of Each Receipt this Period
	number of contributing political committee.	C	25.00
	of Employer	Occupation	
Caterpil		Senior Corporate Counsel	
	t For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
	me (Last, First, Middle Initial) ren Reneau		Date of Receipt
Mailing	Address 1100 Milwaukee Ave		10 31 2013
City South	Milwaukee	State Zip Code WI 53172-0500	Transaction ID : A2013-4197811 Amount of Each Receipt this Period
	number of contributing political committee.	C	94.04
Name o	of Employer	Occupation	
Caterpi		NPI Mfg Strategy Mgr	
Receipt		Aggregate Year-to-Date ▼	
	rrimary ☐ General Other (specify) ▼	885.13	
SUBTOTA	AL of Receipts This Page (optional)		169.04
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Name of Employer

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Rich Date of Receipt Mailing Address 17th Floor China Life Tower 10 31 2013 City State Zip Code Transaction ID: A2013-4197830 ZZ 10002 Beijing Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Richards Date of Receipt Mailing Address 701 Waterford Way 10 31 2013 City Zip Code State Transaction ID: A2013-4197212 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee.

Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Manager Commercial Mining  Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  C. Kenneth J Ridler		Date of Receipt
Mailing Address 9250 Sky Park Court		10 31 2013
City San Diego	State Zip Code CA 92123-4302	Transaction ID : A2013-4194703  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Solar Turbines	Caterpillar Solar Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	

Occupation

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75.00

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35 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Rieker Date of Receipt Mailing Address 300 Hamilton Blvd. 31 2013 10 City State Zip Code Transaction ID: A2013-4197453 Peoria IL 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Product Support Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gary Ringenberg Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197660 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 91.59 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 907.95 Other (specify) Full Name (Last, First, Middle Initial) c. James Rink Date of Receipt Mailing Address PO Box 504 10 31 2013 City State Zip Code Transaction ID: A2013-4197802 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 166.59 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page	<u> ×</u>	11a		11b		11c		12	г		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Keith Roberson Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197552 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. William Roberts Date of Receipt Mailing Address 7 Tractor Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197550 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Robertson Date of Receipt Mailing Address 600 W Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4198190 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Process Control Engineer A Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page	X 11a 11b 11c 12	
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17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Adam J Robinson Date of Receipt Mailing Address 9250 Sky Park Court 2013 10 31 City State Zip Code Transaction ID: A2013-4194715 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Rocke Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197268 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Engrg & Planning Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 03 10 2013 City State Zip Code Transaction ID: A2013-4082673 AL Albertville 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation SVP Eng & Track Serv Bus Unit Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 2013 10 City State Zip Code Transaction ID: A2013-4126603 Albertville AL 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation SVP Eng & Track Serv Bus Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 10 31 2013 City State Zip Code Transaction ID: A2013-4194643 ΑL Albertville 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** SVP Eng & Track Serv Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) c. Heather Roeschley Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198125 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Org. Development Consultant

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Receipt For:

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General

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	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  Mary Roethler  Mailing Address 501 SW Jefferson  City Peoria	State Zip Code IL 61629	Date of Receipt  10 31 2013  Transaction ID: A2013-4197472
FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	Occupation TIS Manager 3  Aggregate Year-to-Date ▼	Amount of Each Receipt this Period  81.21
Full Name (Last, First, Middle Initial)  William Rohner  Mailing Address 300 Hamilton Blvd.  City  Peoria  FEC ID number of contributing	State Zip Code IL 61629-3800	Date of Receipt  10 31 2013  Transaction ID : A2013-4197998  Amount of Each Receipt this Period  395.27
federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  □ Primary □ General □ Other (specify) ▼	Occupation Vice President  Aggregate Year-to-Date ▼  5000.00	
Full Name (Last, First, Middle Initial)  Jeffery Rohr  Mailing Address 107 South Chase Blvd  City Fountain Inn  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.	State Zip Code SC 29644  C Occupation Facility Manager	Date of Receipt  10 31 2013  Transaction ID : A2013-4197278  Amount of Each Receipt this Period  35.00
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  350.00	
SUBTOTAL of Receipts This Page (optional)	<u> </u>	511.48
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Rooney Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197306 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Deputy General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Glenn Ross Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4197638 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 49.82 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 498.20 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jeffrey Roszell Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197771 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 274.82 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and State or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial)  James Rous  Mailing Address 500 N Morton Ave  City  Morton  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61550  C  Occupation Inventory & Logistics Manager  Aggregate Year-to-Date ▼  638.60	Date of Receipt  10 31 2013  Transaction ID: A2013-4197699  Amount of Each Receipt this Period  63.86
Full Name (Last, First, Middle Initial)  Thomas Ruffner  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61552  C  Occupation Technology Project Team Leader  Aggregate Year-to-Date ▼  504.14	Date of Receipt  10 31 2013  Transaction ID: A2013-4197391  Amount of Each Receipt this Period  50.93
Full Name (Last, First, Middle Initial)  Lavona Russell  Mailing Address 2120 West End Avenue  City Nashville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code TN 37203  C  Occupation Business Excellence Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4198076  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	139.79
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ITEMIZED RECEIPTS 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Russell Date of Receipt Mailing Address 1595 Northridge Trace 2013 10 City State Zip Code Transaction ID: A2013-4126594 Albertville AL 35951-4266 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation VP, ETS Administration **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Russell Date of Receipt Mailing Address 1595 Northridge Trace 10 31 2013 City State Zip Code Transaction ID: A2013-4194634 ΑL Albertville 35951-4266 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, ETS Administration Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Russell Date of Receipt Mailing Address 6627 Butler Oaks Ct. 03 10 2013 City State Zip Code Transaction ID: A2013-4082678 TX Spring 77389-2921 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Progress Rail Services Director, Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Thomas Russell  Mailing Address 6627 Butler Oaks Ct.  City Spring  FEC ID number of contributing federal political committee.  Name of Employer Progress Rail Services  Receipt For:  Primary General Other (specify)	State Zip Code TX 77389-2921  C  Occupation Director, Business Development  Aggregate Year-to-Date ▼  1050.00	Date of Receipt  10 17 2013  Transaction ID : A2013-4126608  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Thomas Russell  Mailing Address 6627 Butler Oaks Ct.  City  Spring  FEC ID number of contributing federal political committee.  Name of Employer  Progress Rail Services  Receipt For:  Primary  General  Other (specify)	State Zip Code TX 77389-2921  C  Occupation Director, Business Development  Aggregate Year-to-Date ▼  1100.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4194648  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Brent Ruth  Mailing Address 100 Tractor Drive  City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61630  C  Occupation Process Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4198163  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)		125.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Ryan Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4198219 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brian Sage Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198205 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 99.96 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 973.83 Other (specify) Full Name (Last, First, Middle Initial) c. Jason Sage Date of Receipt

Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197376 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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50.00

Other (specify)

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	Statements may not be sold or used by any personant and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  Matthew Sager		Date of Receipt
Mailing Address 12301 Old Galena Road		10 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4197533
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.00
Name of Employer	Occupation	
Caterpillar Inc.	Lead Human Resource Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	400.00	
Full Name (Last, First, Middle Initial)  3. Genda Saletzki		Date of Receipt
Mailing Address 501 SW Jefferson		M = M / D = D / Y = Y = Y
City	State Zip Code	10 31 2013 Transaction ID : A2013-4197762
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	143.65
Name of Employer	Occupation	
Caterpillar Inc.	General Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	1423.93	
Full Name (Last, First, Middle Initial)  C. Marcos Sallowicz		Date of Receipt
Mailing Address 701 Waterford Way		10 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Miami	State Zip Code FL 33126	Transaction ID : A2013-4197969
	33120	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Distribution Svcs Reg Mgr	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	250.00	
SUBTOTAL of Receipts This Page (optional)		208.65
TOTAL This Period (last page this line number	only)	

# SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 181 OF 235 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  Jeffrey Sampson  Mailing Address 2120 West End Avenue  City Nashville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code TN 37203  C  Occupation Remarketing Sales Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197262  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Evelyn Savage  Mailing Address 2471 S. Broad Street  City  Albertville  FEC ID number of contributing federal political committee.  Name of Employer Progress Rail Services  Receipt For:  Primary  General  Other (specify)	State Zip Code AL 35950-8809  C  Occupation VP/COO Loco & Railcar Service  Aggregate Year-to-Date ▼  400.00	Date of Receipt  10 03 2013  Transaction ID: A2013-4082677  Amount of Each Receipt this Period  20.00
Full Name (Last, First, Middle Initial)  Evelyn Savage  Mailing Address 2471 S. Broad Street  City Albertville  FEC ID number of contributing federal political committee.  Name of Employer  Progress Rail Services  Receipt For:  Primary General Other (specify)	State Zip Code AL 35950-8809  C  Occupation VP/COO Loco & Railcar Service  Aggregate Year-to-Date ▼  420.00	Date of Receipt  10 17 2013  Transaction ID : A2013-4126607  Amount of Each Receipt this Period  20.00
SUBTOTAL of Receipts This Page (optional)		65.00
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any per- the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Evelyn Savage		Date of Receipt
Mailing Address 2471 S. Broad Street		10 31 2013
City	State Zip Code	Transaction ID : A2013-4194647
Albertville	AL 35950-8809	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Progress Rail Services	VP/COO Loco & Railcar Service	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	440.00	
Full Name (Last, First, Middle Initial)  Corey Schaer		Date of Receipt
Mailing Address 330 Sw Adams		10 31 2013
City	State Zip Code	Transaction ID : A2013-4198213
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	1
Caterpillar Inc.	6 Sigma Black Belt-1	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	
Full Name (Last, First, Middle Initial)  2. Jack Schebo		Date of Receipt
Mailing Address 1325 Wellington Way		10 03 2013
City	State Zip Code	Transaction ID : A2013-4082670
Liberty	MO 64068-3221	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.59
Name of Employer	Occupation	
Progress Rail Services	Manager I, Project	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	611.80	
SUBTOTAL of Receipts This Page (optional).	· · · · · · · · · · · · · · · · · · ·	75.59
TOTAL This Period (last page this line numb	er only)	

FOR LINE NUMBER: PAGE 183 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jack Schebo Date of Receipt Mailing Address 1325 Wellington Way 2013 10 City Zip Code State Transaction ID: A2013-4126600 MO Liberty 64068-3221 Amount of Each Receipt this Period FEC ID number of contributing C 30.59 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 642.39 Other (specify) Full Name (Last, First, Middle Initial) B. Jack Schebo Date of Receipt Mailing Address 1325 Wellington Way 10 31 2013 City State Zip Code Transaction ID: A2013-4194640 MO Liberty 64068-3221 Amount of Each Receipt this Period FEC ID number of contributing 30.59 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 672.98 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew Schneider Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197269 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global EHS Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 86.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kyle Scholl Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197659 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Scott Schryver Date of Receipt Mailing Address 5801 N. Smith Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197257 IL Edwards 61528 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Gary Schwartzkopf Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197750 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional).....

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Other (specify)

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	FOR LINE NUMBER: PAGE 185 (	OF 235
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **Edward Scott** Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197763 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 274.77 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) Cecilia K Scott-Stanfel		Date of Receipt
Mailing Address 9250 Sky Park Court		10 31 2013
City	State Zip Code	Transaction ID : A2013-4194720
San Diego	CA 92123-4302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.00
Name of Employer	Occupation	
Solar Turbines	Chief Financial Officer	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
Full Name (Last, First, Middle Initial)		

2712.18

c. Mary Seely Date of Receipt Mailing Address 100 NE Adams 2013 31 10 City State Zip Code Transaction ID: A2013-4197105 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Lead Human Resources Mgr Caterpillar Inc.

Aggregate Year-to-Date ▼

SUBTOTAL of Receipts This Page (optional)						-,	Ξ	414.77	
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Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any per- he name and address of any political committee to	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Brent Selburg  Mailing Address 100 Ne Adams		Date of Receipt
City Peoria  FEC ID number of contributing federal political committee.  Name of Employer	State Zip Code IL 61629  C Occupation Parts Operations Rep.	Transaction ID : A2013-4197363  Amount of Each Receipt this Period  25.00
Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Parts Operations Rep  Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial)  Scott Shaffer  Mailing Address 27Th & Pershing Rd  City  Decatur	State Zip Code	Date of Receipt  10 31 2013  Transaction ID: A2013-4197803  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	C Occupation Engineering Manager-1 Aggregate Year-to-Date ▼	Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Phillip Shankwitz  Mailing Address 27Th & Pershing Rd  City Decatur	State Zip Code IL 62526	Date of Receipt  10 31 2013  Transaction ID : A2013-4197623  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Quality Manager 4  Aggregate Year-to-Date ▼  1511.62	156.70
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	206.70
TOTAL This Period (last page this line number	er only)	

Name of Employer

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Shannon Date of Receipt Mailing Address 111 Southchase Blvd 10 31 2013 City State Zip Code Transaction ID: A2013-4197153 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brad Shapland Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City Zip Code State Transaction ID: A2013-4197465 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 69.65 federal political committee.

Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	IT Manager  Aggregate Year-to-Date ▼  658.69	
Full Name (Last, First, Middle Initial) Robert Sharp  Mailing Address 501 Cardinal Drive		Date of Receipt  10 31 2013
City Corinth	State Zip Code MS 38834	Transaction ID : A2013-4197935  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	73.33
Name of Employer	Occupation	
Caterpillar Inc.	Manufacturing Project Engr 1	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 725.86	

Occupation

SUBTOTAL of Receipts This Page (optional).....

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192.98

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **Ernest Shaw** Date of Receipt Mailing Address 501 SW Jefferson 2013 10 31 City State Zip Code Transaction ID: A2013-4197200 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Parts Information Project Ldr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Scott Shepherd Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198229 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director of Purchasing Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Barry Shook Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4197300 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 41.66 С federal political committee. Name of Employer Occupation Caterpillar Inc. Solution Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General 416.60 Other (specify) 141.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 189 OF 235 Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paula Shook Date of Receipt Mailing Address 812 W. Washington St 2013 10 31 City State Zip Code Transaction ID: A2013-4197576 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 169.89 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 1549.55 Other (specify) Full Name (Last, First, Middle Initial) B. Rodney Shurman Date of Receipt Mailing Address 560 Rehoboth Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197470 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing 193.74 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1857.24 Other (specify) Full Name (Last, First, Middle Initial) c. James Sibley Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197703 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.19 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1936.72 Other (specify) 563.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Chad Siebenaler  Mailing Address 600 W Washington St		Date of Receipt
City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For:  □ Primary □ General Other (specify) ▼	State Zip Code IL 61630  C  Occupation Engineering Manager-1  Aggregate Year-to-Date ▼  350.00	Transaction ID : A2013-4197285  Amount of Each Receipt this Period  35.00
Full Name (Last, First, Middle Initial)  Thomas Simmons  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61552  C  Occupation Quality Manager 3  Aggregate Year-to-Date ▼  400.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197410  Amount of Each Receipt this Period  40.00
Full Name (Last, First, Middle Initial) Chidambaram Singanallur  Mailing Address 100 Tractor Drive  City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary General Other (specify)	State Zip Code IL 61630  C  Occupation Senior IT Supervisor  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID : A2013-4197170  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	100.00
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# SCHEDULE A (FEC Form 3X)

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Any information copied from such Reports and St or for commercial purposes, other than using the	atements may not be sold or used by any pe name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial)  Dennis Skarvan  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61629  C  Occupation Deputy General Counsel  Aggregate Year-to-Date ▼  2610.94	Date of Receipt  10 31 2013  Transaction ID: A2013-4197270  Amount of Each Receipt this Period  216.77
Full Name (Last, First, Middle Initial)  Maureen Sluga  Mailing Address 560 Rehoboth Road  City Griffin  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary General Other (specify)	State Zip Code GA 30224  C  Occupation Marketing Manager  Aggregate Year-to-Date ▼  610.33	Date of Receipt  10 31 2013  Transaction ID: A2013-4197567  Amount of Each Receipt this Period  64.75
Full Name (Last, First, Middle Initial) Richard Smathers  Mailing Address 100 S. L. White Blvd.  City La Grange  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary General Other (specify)	State Zip Code GA 30241  C  Occupation NPI Program Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197507  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b></b>	306.52
TOTAL This Period (last page this line number of	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Adam Smith Date of Receipt Mailing Address 2120 West End Avenue 2013 10 31 City State Zip Code Transaction ID: A2013-4197566 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 10 03 2013 City State Zip Code Transaction ID: A2013-4082680 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing 38.06 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Recycling Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 761.20 Other (specify) Full Name (Last, First, Middle Initial) c. H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 17 10 2013 City Zip Code State Transaction ID: A2013-4126610 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing 38.06 С federal political committee. Name of Employer Occupation Progress Rail Services VP, Recycling Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 799.26 Other (specify) 126.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

### SCHEDULE A (FEC Form 3X)

	FOF	R LINE	NU	MBER	:	PAGE	: 1	93 OF	235
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 31 2013 10 City Zip Code State Transaction ID: A2013-4194650 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing C 38.06 federal political committee. Name of Employer Occupation VP, Recycling Operations **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 837.32 Other (specify) Full Name (Last, First, Middle Initial) B. Ronald Smith Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 City State Zip Code Transaction ID: A2013-4197515 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Solberg Date of Receipt Mailing Address 27Th & Pershing Rd 10 31 2013 Zip Code City State Transaction ID: A2013-4197415 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jose Soriano Date of Receipt Mailing Address 701 Lonesome Trail 03 2013 10 City State Zip Code Transaction ID: A2013-4082682 TX Haslet 76052-5130 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Intermodal Operation **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jose Soriano Date of Receipt Mailing Address 701 Lonesome Trail 10 17 2013 City State Zip Code Transaction ID: A2013-4126612 TX Haslet 76052-5130 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Intermodal Operation Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jose Soriano Date of Receipt Mailing Address 701 Lonesome Trail 10 31 2013 City State Zip Code Transaction ID: A2013-4194652 TX Haslet 76052-5130 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Intermodal Operation Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kristine Spencer Date of Receipt Mailing Address Rodovia Luiz De Queiroz Km 157 10 31 2013 City State Zip Code Transaction ID: A2013-4197690 ZZ Piracicaba 13420 Amount of Each Receipt this Period FEC ID number of contributing C 85.96 federal political committee. Name of Employer Occupation Info Technology Manager-III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 850.87 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Spielman Date of Receipt Mailing Address Attn: Administrative Assistant 10 31 2013 City State Zip Code Transaction ID: A2013-4197187 IL 60507 Aurora Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Spitznagle Date of Receipt Mailing Address 560 Rehoboth Road 10 31 2013 Zip Code

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317.61

30224

State

GA

Occupation Sales Manager

Aggregate Year-to-Date ▼

C

33.64

Transaction ID: A2013-4197899

Amount of Each Receipt this Period

City

Griffin

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc. Receipt For:

	FOR LINE	NUMBER	: PAG	E 196 OF	235
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Detailed Summary Page	<b>X</b> 11a	11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Sposato Date of Receipt Mailing Address 100 Ne Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197255 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Deputy General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 482.08 Other (specify) Full Name (Last, First, Middle Initial) **B.** Laine Spruiell Date of Receipt Mailing Address 313 Copeland Dr. 10 03 2013 City State Zip Code Transaction ID: A2013-4082674 ΑL 35956-5449 Boaz Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Laine Spruiell Date of Receipt Mailing Address 313 Copeland Dr. 17 10 2013 City State Zip Code Transaction ID: A2013-4126604 AL Boaz 35956-5449 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Controller, International Progress Rail Services Receipt For: Aggregate Year-to-Date ▼

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420.00

Primary

Other (specify)

General

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Laine Spruiell Date of Receipt Mailing Address 313 Copeland Dr. 2013 10 31 City State Zip Code Transaction ID: A2013-4194644 Boaz AL 35956-5449 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Stanbary Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197536 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 56.90 federal political committee. Name of Employer Occupation Caterpillar Inc. **Employee Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 549.87 Other (specify) Full Name (Last, First, Middle Initial) c. James Stanley Date of Receipt Mailing Address Route 29 & Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198121 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Quality Supervisor 5** Receipt For: Aggregate Year-to-Date ▼ Primary General 848.87 Other (specify) 162.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

Other (specify)

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Frank Starke Date of Receipt Mailing Address 3701 State Road 26 East 31 2013 10 City Zip Code State Transaction ID: A2013-4198018 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 73.04 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 904.64 Other (specify) Full Name (Last, First, Middle Initial) B. James Starr Date of Receipt Mailing Address 6744 S Howell Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197316 Oak Creek WI 53154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) c. Jeffrey Steele Date of Receipt Mailing Address 3501 South FM Hwy 1417 10 31 2013 Zip Code City State Transaction ID: A2013-4198230 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 53.78 federal political committee. Name of Employer Occupation Caterpillar Inc. Material Req Plng Supv 2 Receipt For: Aggregate Year-to-Date ▼

500.00

652.24

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FOR LINE NUMBER: PAGE 199 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Theodore Steidinger Date of Receipt Mailing Address 4-H Park Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197277 Pontiac IL 61764 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation 6 Sigma Black Belt-1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Scott Sterton Date of Receipt Mailing Address 3535 Factoria Blvd. SE 10 31 2013 City State Zip Code Transaction ID: A2013-4197323 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Regional Insurance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brad Stevenson Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197584 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 90.31 С federal political committee. Name of Employer Occupation Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 1086.52 Other (specify) 140.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sally Stiles Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4197900 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 244.31 federal political committee. Name of Employer Occupation Dir. of Tax & Trade Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1992.84 Other (specify) Full Name (Last, First, Middle Initial) B. Jennifer Stokes Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198062 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Planning Engr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin Stoller Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197419

Primary General 250.00 Other (specify) 294.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

61629

IL

C

Occupation

Corporate Cash Manager

Aggregate Year-to-Date ▼

25.00

Amount of Each Receipt this Period

Peoria

FEC ID number of contributing

federal political committee.

Name of Employer

Caterpillar Inc.

Receipt For:

FOR LINE NUMBER: PAGE 201 OF 235 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Brian Stone Date of Receipt Mailing Address 1100 Milwaukee Ave 2013 10 31 City Zip Code State Transaction ID: A2013-4198051 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 96.58 federal political committee. Name of Employer Occupation Group Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 913.04 Other (specify) Full Name (Last, First, Middle Initial) B. Casey Stone Date of Receipt Mailing Address 1100 Milwaukee Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4198181 South Milwaukee WI 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Supervisor 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Janet Storms Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197784 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.90 federal political committee. Name of Employer Occupation Caterpillar Inc. Change Management Coach Receipt For: Aggregate Year-to-Date ▼ Primary General 710.55 Other (specify) 196.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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not be sold or used by any person for the purpose of soliciting contributions											

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Stratton Date of Receipt Mailing Address Avenida Transformacion #545 10 31 2013 City State Zip Code Transaction ID: A2013-4197828 ZZ Nuevo Laredo 88275 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation General Manager Reman Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Strong Date of Receipt Mailing Address Rodovia Luiz De Queiroz Km 157 10 31 2013 City State Zip Code Transaction ID: A2013-4197549 Piracicaba ZZ 13420 Amount of Each Receipt this Period FEC ID number of contributing 201.85 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 1900.45 Other (specify) Full Name (Last, First, Middle Initial) **c.** Robert Stuart Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198153 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Benefit Vndr Mgr w/ Plan Desig Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 276.85 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Name of Employer

Caterpillar Inc.

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Xiaobo Sun Date of Receipt Mailing Address No.5. Xin Qing South Road 10 31 2013 City State Zip Code Transaction ID: A2013-4198188 ZZ Wuxi 21402 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation T&SD Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel Sundell Date of Receipt Mailing Address Falkensteiner Strasse 2 10 31 2013 City State Zip Code Transaction ID: A2013-4197807 ZZ Kiel 24159 Amount of Each Receipt this Period FEC ID number of contributing 112.91 federal political committee.

Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  1074.35	
Full Name (Last, First, Middle Initial)  C. Robert E Sutherland  Mailing Address 100 N.E. Adams Street  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Solar Turbines Receipt For:  Primary Other (specify)	State Zip Code IL 61629-0001  C  Occupation Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4194691  Amount of Each Receipt this Period  25.00
CUPTOTAL of Describe This Describe (astisses)		187.91

Occupation

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Power Systems Manager

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for each category of the Detailed Summary Page	X	11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Janice S Sutton Date of Receipt Mailing Address 9250 Sky Park Court 31 2013 10 City State Zip Code Transaction ID: A2013-4194667 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines General Mgr, Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lynn Sutton Date of Receipt Mailing Address 101 S W Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197772 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 134.36 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1241.43 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Swanson Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197523 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.23 federal political committee. Name of Employer Occupation Senior IT Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 538.85 Other (specify) 231.59 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 205 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rodney Swenson Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4197700 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Johnny Tackett Date of Receipt Mailing Address 1720 Kingsbury 10 31 2013 City State Zip Code Transaction ID: A2013-4197923 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Taphorn Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198091 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 98.26 С federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 965.83 Other (specify) 148.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial)  Larry Tate  Mailing Address 100 Tractor Drive  City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61630  C  Occupation Product Manager  Aggregate Year-to-Date ▼  4000.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197719  Amount of Each Receipt this Period  500.00
Full Name (Last, First, Middle Initial)  Stephen Taylor  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61552  C Occupation Engineering Specialist-Design  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4198214  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Kenneth terHorst  Mailing Address 100 N.E. Adams Street  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Solar Turbines  Receipt For:  Primary  General  Other (specify)	State Zip Code IL 61629-0001  C  Occupation Manager  Aggregate Year-to-Date ▼	Date of Receipt  10 31 2013  Transaction ID: A2013-4194712  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	550.00
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Daniel Terpstra Date of Receipt Mailing Address 500 N Morton Ave 2013 10 31 City State Zip Code Transaction ID: A2013-4197488 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kristn Theisen Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197357 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Kevin Thieneman Date of Receipt Mailing Address 100 S. L. White Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197190 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **Heather Thomas** Date of Receipt Mailing Address 12301 Old Galena Road 31 2013 10 City State Zip Code Transaction ID: A2013-4197141 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Rep - 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Thompson Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197461 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Thompson Date of Receipt Mailing Address 2120 West End Avenue 10 31 2013 City Zip Code State Transaction ID: A2013-4197986 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 325.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General 3250.00 Other (specify) 400.00 SUBTOTAL of Receipts This Page (optional).....

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Name of Employer

Primary

General

Caterpillar Inc.

Receipt For:

#### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Tillotson Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197503 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 150.11 federal political committee. Name of Employer Occupation CPGS Projects Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1384.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bradley Tilly Date of Receipt Mailing Address 300 Hamilton Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197176 IL 61629-3800 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 72.93 federal political committee.

	Other (specify) ▼		648.65		
<u>с</u> .	Full Name (Last, First, Middle Initial) Cindy Tinsley			Date of	Receipt
	Mailing Address 2120 West End Avenue			10	31 2013
	City	State	Zip Code	Transa	action ID : A2013-4197540
	Nashville	TN	37203	Amount	of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C			30
	Name of Employer	Occupation			
	Caterpillar Inc.	Special Acc	ounts Spt Mgr II		
	Receipt For:	A = = = = 1	Veer to Date =		

Manager Commercial Mining

Aggregate Year-to-Date ▼

Occupation

TOTAL This Period (last page this line number only).....

Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  300.00	
SUBTOTAL of Receipts This Page (optional)	253.04	

648.65

30.00

FOR LINE NUMBER: PAGE 210 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Trenton Tippey Date of Receipt Mailing Address 1100 Milwaukee Ave 2013 10 31 City Zip Code State Transaction ID: A2013-4197251 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kurt Tisdale Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197702 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Market Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Charles Tobias Date of Receipt Mailing Address Golden Lough Villa 130 Ji Chang Lu 10 31 2013 City Zip Code State Transaction ID: A2013-4197516 77 Suzhou 21502 Amount of Each Receipt this Period FEC ID number of contributing 76.25 С federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 755.81 Other (specify) 126.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR	R LINE	NU	<b>MBER</b>	:	PAGE 211 OF 2						
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for each category of the Detailed Summary Page	×	11a		11b		11c		12				
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Susan Toher Date of Receipt Mailing Address 4-H Park Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197246 Pontiac IL 61764 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Name of Employer Occupation Supply Chain Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gregory Towles Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197587 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Market Professional Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Andrew Trent Date of Receipt Mailing Address Level 22 300 Adelaide Street 10 31 2013 Zip Code City State Transaction ID: A2013-4197239 ZZ Brisbane 00000 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation

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300.00

Commercial Manager

Aggregate Year-to-Date ▼

Caterpillar Inc.

Primary

Other (specify)

General

Receipt For:

	FOR	LINE I	ΝU	MBER	:	PAGE	2	12 OF	:	23
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Tull Date of Receipt Mailing Address 3701 State Road 26 East 31 2013 10 City State Zip Code Transaction ID: A2013-4197871 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 55.04 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 493.53 Other (specify) Full Name (Last, First, Middle Initial) B. Trent Turner Date of Receipt Mailing Address 444 Birch Street 10 31 2013 City State Zip Code Transaction ID: A2013-4198195 WI Prentice 54556 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Beth Tyre Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197931 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 105.04 SUBTOTAL of Receipts This Page (optional).....

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	FOR	LINE	NU	MBER	:	PAGE	2	13 OF	. 2	23
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Tyson Date of Receipt Mailing Address 13105 Northwest Freeway 31 2013 10 City State Zip Code Transaction ID: A2013-4197384 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing C 72.03 federal political committee. Name of Employer Occupation Technical Support Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 716.10 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Umholtz Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198196 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 33.96 federal political committee. Name of Employer Occupation Caterpillar Inc. Paralegal II Receipt For: Aggregate Year-to-Date ▼ Primary General 408.82 Other (specify) Full Name (Last, First, Middle Initial) c. Donald Umpleby III Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197273 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 625.00 federal political committee.

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TOTAL This Period (last page this line number only)	_	_	7	_	_	7	_			

3750.00

Occupation **Group President** 

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc. Receipt For:

FOR LINE NUMBER: PAGE 214 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jonathon Underwood Date of Receipt Mailing Address 100 Tractor Drive 2013 10 31 City State Zip Code Transaction ID: A2013-4198134 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Unes Date of Receipt Mailing Address 300 Hamilton Blvd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197682 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mining Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Tana Utley Date of Receipt Mailing Address Frank Perkins Parkway 10 31 2013 City State Zip Code Transaction ID: A2013-4197710 77 Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing 454.55 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4507.95 Other (specify) 529.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	: 2	.15 OF	=	23
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		117

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full)

	The Caterpillar Inc. Employee	Political Action Committee	
Α.	Full Name (Last, First, Middle Initial) Thomas Van Kley		Date of Receipt
	Mailing Address 500 N Morton Ave		10 31 2013
	City	State Zip Code	Transaction ID : A2013-4197159
	Morton	IL 61550	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	25.00
	Name of Employer	Occupation	
	Caterpillar Inc.	Manager	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	
— В.	Full Name (Last, First, Middle Initial) Joseph Van Wassenhove		Date of Receipt
	Mailing Address 501 SW Jefferson		10 31 2013
	City	State Zip Code	Transaction ID : A2013-4197574
	Peoria	IL 61629	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	87.17
	Name of Employer	Occupation	
	Caterpillar Inc.	Manager	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  859.22	
— С.	Full Name (Last, First, Middle Initial) Timothy Vande Schraaf		Date of Receipt
	Mailing Address 100 NE Adams		10 31 2013
	City	State Zip Code	Transaction ID : A2013-4197417
	Peoria	IL 61629	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	25.00
	Name of Employer	Occupation	
	Caterpillar Inc.	Manager	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	Aggregate real to bate ¥	
	Other (specify) ▼	250.00	
H		<u> </u>	137.17
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	d Statements may not be sold or used by any per- the name and address of any political committee t	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  A. Darren Vandermolen  Mailing Address Prestige Solitaire  City  Bangalore  FEC ID number of contributing federal political committee.  Name of Employer	State Zip Code ZZ 56002  C	Date of Receipt  10 31 2013  Transaction ID : A2013-4197337  Amount of Each Receipt this Period  50.00
Caterpillar Inc.  Receipt For:  Primary General  Other (specify)	Info Technology Manager-III  Aggregate Year-to-Date ▼  500.00	
Full Name (Last, First, Middle Initial)  B. Mark Vannette  Mailing Address 100 Tractor Drive  City	State Zip Code	Date of Receipt  10 31 2013  Transaction ID : A2013-4198001
East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General	Occupation Product Manager  Aggregate Year-to-Date ▼	Amount of Each Receipt this Period  25.00
Tull Name (Last, First, Middle Initial)  C. Gina Vassallo	250.00	Date of Receipt
Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼	State Zip Code IL 61552  C  Occupation Process Manager  Aggregate Year-to-Date ▼  250.00	Transaction ID : A2013-4197254  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line numb	<u> </u>	100.00

# SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)         X       11a       11b       11c       12         13       14       15       16       17
Any information copied from such Reports and or for commercial purposes, other than using			
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Ac	ction Committee	
Full Name (Last, First, Middle Initial)  A. Dennis Veigelt  Mailing Address 202 Cardinal Dr			Date of Receipt  10 31 2013
City Corinth	State MS	Zip Code 38834	Transaction ID : A2013-4197395  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		25.00
Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼		n Manager 1 Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial)  Sheryl Velasquez  Mailing Address 100 NE Adams			Date of Receipt
City Peoria	State IL	Zip Code 61629	Transaction ID : A2013-4197959  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		25.00
Name of Employer Caterpillar Inc.	Occupation Human Reso	ources Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate `	Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial)  C. Brandon Velde			Date of Receipt
Mailing Address 500 N. Morton Ave.  City	State	Zip Code	10 31 2013
Morton	IL	61550	Transaction ID : A2013-4197288  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		26.02
Name of Employer	Occupation	0 184	
Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼		gy Specialist Year-to-Date ▼ 256.81	
SUBTOTAL of Receipts This Page (optional).		·····	76.02
TOTAL This Period (last page this line number	er only)		

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial)  Michael Verheyen  Mailing Address 12301 Old Galena Road  City	State Zip Code	Date of Receipt  10 31 2013  Transaction ID : A2013-4197620
Mossville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	Occupation General Manager  Aggregate Year-to-Date ▼  250.00	Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Iris K Villela  Mailing Address 9250 Sky Park Court  City  Can Diaga	State Zip Code CA 92123-4302	Date of Receipt  10 31 2013  Transaction ID: A2013-4194686
San Diego  FEC ID number of contributing federal political committee.  Name of Employer Solar Turbines  Receipt For:	Occupation Caterpillar Solar Manager	Amount of Each Receipt this Period  60.00
Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 600.00	
Full Name (Last, First, Middle Initial)  James Voller  Mailing Address 600 Balderson Blvd		Date of Receipt  10 31 2013
City Wamego  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code KS 66547  C  Occupation Business Resource Manager  Aggregate Year-to-Date ▼  250.00	Transaction ID : A2013-4197553  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)		110.00
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 219 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Carl Volz Date of Receipt Mailing Address 100 NE Adams 2013 10 31 City State Zip Code Transaction ID: A2013-4197906 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 46.64 federal political committee. Name of Employer Occupation Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 563.43 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas Wagener Date of Receipt Mailing Address 4060 Mound Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197330 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Proj Engr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Reid Waitt Date of Receipt Mailing Address 5000 Wormack Rd 10 31 2013 City State Zip Code Transaction ID: A2013-4197831 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 232.65 С federal political committee. Name of Employer Occupation Caterpillar Inc. **GM** Compact Construction Equip Receipt For: Aggregate Year-to-Date ▼ Primary General 2271.81 Other (specify) 304.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR	R LINE	NU	MBER	:	PAGE	2	20 OF	2	235
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Walenta Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197669 61630 IL East Peoria Amount of Each Receipt this Period FEC ID number of contributing C 88.59 federal political committee. Name of Employer Occupation Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 830.96 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas Walters Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197314 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 140.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼	, 1400.00	
Full Name (Last, First, Middle Initial) David Walton		Date of Receipt
Mailing Address 100 NE Adams		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197451
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	211.56
Name of Employer	Occupation	
Caterpillar Inc.	Vice President CFSC	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	2076.84	

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FEC Schedule A (Form 3X) Rev. 02/2003

440.15

C.

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Ward Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4198198 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Director Supply Chain Planning Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Warner Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197833 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. WW Supply Stream Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Watson Date of Receipt Mailing Address 501 SW Jefferson 10 31 2013 City State Zip Code Transaction ID: A2013-4197720 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

## SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Karl Weiss Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197094 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas Weston Date of Receipt Mailing Address 12301 Old Galena Road 10 31 2013 City State Zip Code Transaction ID: A2013-4197767 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Segment Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher White Date of Receipt Mailing Address 3701 State Road 26 East 10 31 2013 Zip Code City State Transaction ID: A2013-4197310 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Product Purchasing Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify)

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TOTAL This Period (last page this line number only)		_	7	_	_	7	Ξ	_		_	]

FOR LINE NUMBER: PAGE 223 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Wiebmer Date of Receipt Mailing Address 6744 S Howell Ave 2013 10 31 City Zip Code State Transaction ID: A2013-4197526 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly Wienzierl Date of Receipt Mailing Address 812 W. Washington St 10 31 2013 City State Zip Code Transaction ID: A2013-4197783 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 54.29 federal political committee. Name of Employer Occupation Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 641.68 Other (specify) Full Name (Last, First, Middle Initial) **c.** Valerie Wiest Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197917 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 57.12 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 704.58 Other (specify) 161.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR	R LINE	NU	IMBER	:	PAGE	2	24 OF	235
Use separate schedule(s)	(che	eck only	or or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
		13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Laurence Wilken Date of Receipt Mailing Address 100 Ne Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4198017 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Martin Willi Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197742 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 57.02 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 708.47 Other (specify) Full Name (Last, First, Middle Initial) **c.** Timothy Williams Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198166 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 102.00 federal political committee. Name of Employer Occupation Director Global Security Svcs Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

TOTAL This Period (last page this line number only)	SUBTOTAL of Receipts This Page (optional)		Ξ	7	I	Ξ	7		25	9.02	
	TOTAL This Period (last page this line number only)		_	7	_	_	7	_	_	_	

987.87

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 225 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Williams Date of Receipt Mailing Address 100 Tractor Drive 2013 10 31 City State Zip Code Transaction ID: A2013-4197878 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name (Last, First, Middle Initial) B. Charles Wills Date of Receipt Mailing Address 1600 Progress Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4197578 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. VP Int'l Mkt Dev - Rail Div Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Christopher Winkel Date of Receipt Mailing Address 500 N Morton Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4197706 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 52.41 federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Strategy Champion Receipt For: Aggregate Year-to-Date ▼ Primary General 524.10 Other (specify) 112.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: F	PAGE 226 OF 235
Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b 11	c 12
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	Statements may not be sold or used by any perse name and address of any political committee to	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial)  Jessica Wisher  Mailing Address 100 Tractor Drive		Date of Receipt
City East Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630  C  Occupation Account Manager  Aggregate Year-to-Date ▼	Transaction ID: A2013-4198080  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  David Wisley  Mailing Address 12301 Old Galena Road  City  Mossville  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code IL 61552  C  Occupation Sr Engineering Specialist-Des  Aggregate Year-to-Date ▼  983.85	Date of Receipt  10 31 2013  Transaction ID: A2013-4198154  Amount of Each Receipt this Period  104.82
Full Name (Last, First, Middle Initial)  Mark Woith  Mailing Address 6744 S Howell Ave  City Oak Creek  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify)	State Zip Code WI 53154  C  Occupation Product Purchasing Manager  Aggregate Year-to-Date ▼  250.00	Date of Receipt  10 31 2013  Transaction ID: A2013-4197593  Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	154.82
TOTAL This Period (last page this line number	only)	

## SCHEDULE A (FEC Form 3X)

	FO	R LINE	NU	MBER	:	PAGE	2	27 OF	2	235
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Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kelly Wojda Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197433 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Director of Talent Attraction Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy Wolfe Date of Receipt Mailing Address 14009 Old Galena Rd. 10 31 2013 City State Zip Code Transaction ID: A2013-4197276 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Prod Dev Proc & Deployment Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Michael Wright Date of Receipt Mailing Address 6744 S Howell Ave 10 31 2013 City Zip Code State Transaction ID: A2013-4197605 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Treasury Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	<b>MBER</b>	:	PAGE	2	28 OF	2	35
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
,g.		13		14		15		16	П-	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Wroblewski Date of Receipt Mailing Address Frank Perkins Parkway 10 31 2013 City State Zip Code Transaction ID: A2013-4197673 00000 ZZ Peterborough Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Name of Employer Occupation General Manager IPSD Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Wunning Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197581 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼

Full Name (Last, First, Middle Initial)  Cary Yerby		Date of Receipt
Mailing Address 124 SW Adams		10 31 2013
City	State Zip Code	Transaction ID : A2013-4197993
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Engineering Manager-2	
Receipt For:	Aggregate Year-to-Date ▼	

4166.60

250.00

SUBTOTAL of Receipts This Page (optional)		Ξ	7		7	Ξ	486	6.66	
TOTAL This Period (last page this line number only)			7	Ξ	7				

Primary

Primary

Other (specify)

Other (specify)

General

General

	FOR LINE NUMBER: PAGE 229 C	F 235
Use separate schedule(s)	(check only one)	
for each category of the Detailed Summary Page	X 11a 11b 11c 12	
,g.	13 14 15 16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christine Yordy Date of Receipt Mailing Address 100 NE Adams 31 2013 10 City State Zip Code Transaction ID: A2013-4198150 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 29.13 federal political committee. Name of Employer Occupation Communications Rep - 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 284.14 Other (specify) Full Name (Last, First, Middle Initial) B. Derrick York Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4198216 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir Corp. Business Developmnt Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald Young Date of Receipt Mailing Address 100 Tractor Drive 10 31 2013 City State Zip Code Transaction ID: A2013-4198097 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 79.13 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 230 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Young Date of Receipt Mailing Address 12301 Old Galena Road 2013 10 31 City State Zip Code Transaction ID: A2013-4198128 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. Strategic Suppt/6 Sigma Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy Young Date of Receipt Mailing Address 1100 Milwaukee Ave 10 31 2013 City State Zip Code Transaction ID: A2013-4198087 South Milwaukee WI 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Zachman Date of Receipt Mailing Address 100 NE Adams 10 31 2013 City State Zip Code Transaction ID: A2013-4197100 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Gnl Security Prg & Consult Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 231 OF 235 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alexander Zaydel Date of Receipt Mailing Address 1449 Marilyn 03 2013 10 City Zip Code State Transaction ID: A2013-4082684 Ludington MI 49431-9652 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Alexander Zaydel Date of Receipt Mailing Address 1449 Marilyn 10 17 2013 City State Zip Code Transaction ID: A2013-4126614 MI Ludington 49431-9652 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) c. Alexander Zaydel Date of Receipt Mailing Address 1449 Marilyn 10 31 2013 City State Zip Code Transaction ID: A2013-4194654 MI Ludington 49431-9652 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Managing Dir International Bus Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 232 OF 235 Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Zempel Date of Receipt Mailing Address 3535 Factoria Blvd SE 2013 10 31 City Zip Code State Transaction ID: A2013-4197745 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Erik G Zentmyer Date of Receipt Mailing Address 100 N.E. Adams Street 10 31 2013 City State Zip Code Transaction ID: A2013-4194723 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) Jurg Zundel Date of Receipt Mailing Address 701 Waterford Way 10 31 2013 City State Zip Code Transaction ID: A2013-4197541 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... 46029.84 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE	233 OF 23				
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27		25 26 29 30				
Any information copied from such Reports and Staten or for commercial purposes, other than using the name								
NAME OF COMMITTEE (In Full)								
The Caterpillar Inc. Employee Polit	ical Action Committe	ee						
Full Name (Last, First, Middle Initial)								
A. Byrne for Congress			Date of Disbursement					
Mailing Address P.O. 2743			10 21	2013				
	State Zip Code		Transaction ID : B476092					
Mobile Purpose of Disbursement	AL 36652							
Contribution		011	Amount of Each Disburseme	nt this Period				
Candidate Name Bradley R Byrne		Category/		2500.00				
Office Sought: House Disbursen	nent For: 2013  Primary General  Other (specify)	Туре						
State: AL District: 01	Special Run-o	ff						
Full Name (Last, First, Middle Initial)  B.	e (Last, First, Middle Initial)							
Mailing Address			M = M / D = D / Y =	Y Y Y Y				
City	State Zip Code							
Purpose of Disbursement			Amount of Each Disburseme	ent this Period				
Candidate Name		Category/ Type						
	nent For: Primary General Other (specify)	.,,,,,						
Full Name (Last, First, Middle Initial) C.			Date of Disbursement					
Mailing Address			M M / D D / Y	Y Y Y				
City	State Zip Code							
Purpose of Disbursement								
Candidate Name		Category/ Type	Amount of Each Disburseme	ent this Period				
	nent For: Primary General Other (specify)	1,500						
				2500.00				
SUBTOTAL of Disbursements This Page (optional)		·····•		2500.00				
TOTAL This Period (last page this line number only)				2500.00				

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	CHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one)  22
	y information copied from such Reports and Statem for commercial purposes, other than using the name			
	NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Polit	ical Action Commit	tee	
_	Full Name (Last, First, Middle Initial)			
Α.	Cannella for Senate 2014  Mailing Address 2624 Parkway			Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Ceres	State Zip Code CA 95307		Transaction ID: B475163
	Purpose of Disbursement P-2014 State Senate 12 CA		011	Amount of Each Disbursement this Period
	Candidate Name Anthony Cannella		Category/ Type	1500.00
	Senate	nent For: 2014  Primary General  Other (specify)		
В.	Full Name (Last, First, Middle Initial)  Jean Fuller for Senate 2014			Date of Disbursement
	Mailing Address 9321 Silverbend Lane			10 04 2013
	Elk Grove	State Zip Code CA 95624		Transaction ID : B475164
	Purpose of Disbursement P-2014 State Senate 18 CA		011	Amount of Each Disbursement this Period
	Candidate Name Jean Fuller		Category/ Type	1500.00
	Senate	nent For: 2014  Primary General  Other (specify)		
C.	Full Name (Last, First, Middle Initial)  Galgiani for Senate			Date of Disbursement
	Mailing Address 827 Melrose Ave. Ste. 105			10 04 2013
	City S Los Angeles		Transaction ID : B475162	
	Purpose of Disbursement P-2016 State Senate 5 CA	Amount of Each Disbursement this Period		
	Candidate Name Cathleen Galgiani	Category/ Type	1500.00	
	Senate	nent For: 2016  Primary General  Other (specify)		
H	UBTOTAL of Disbursements This Page (optional)  OTAL This Period (last page this line number only)			4500.00

SCHEDULE B (FEC Form 3X)		EOD LINE	NUMBER: PAGE 235 OF 235					
ITEMIZED DISBURSEMENTS	Use separate schedule(s	FOR LINE (check only	INOMBER.					
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	Detailed Sulfilliary Page	27	28a 28b 28c X 29 30					
Any information copied from such Reports and State								
or for commercial purposes, other than using the na								
NAME OF COMMITTEE (In Full)								
The Caterpillar Inc. Employee Pol	itical Action Commit	tee						
/ Full Name /Look First Middle 1:22:10								
Full Name (Last, First, Middle Initial)  A. Bonnie Lowenthal for Assembly			Date of Disbursement					
A. Bonnie Lowenthal for Assembly								
Mailing Address 4647 Long Beach Blvd. B3			10 04 2013					
<u> </u>								
City	State Zip Code		Transaction ID : B475161					
Long Beach	CA 90805		Transaction ib . b473101					
Purpose of Disbursement P-2014 State House 70 CA		011	Amount of Fook Diskursons at this David					
Candidate Name		011	Amount of Each Disbursement this Period					
Bonnie Lowenthal		Category/ Type	1500.00					
	ement For: 2014	Турс						
Senate	Primary General							
President	Other (specify) ▼							
State: District:								
Full Name (Last, First, Middle Initial)								
B. Major Gen. Richard Roth for Sena	ate 2016		Date of Disbursement					
			M = M / D = D / Y = Y = Y					
Mailing Address 1005 12th Street Suite H			10 04 2013					
City	State Zip Code							
Sacramento	CA 95814		Transaction ID : B475165					
Purpose of Disbursement								
P-2016 State Senate 31 CA		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	1500.00					
Richard Roth		Type	1300.00					
	ement For: 2016							
Senate President	Primary General							
State: District:	Other (specify) ▼							
Full Name (Last, First, Middle Initial)								
C.			Date of Disbursement					
-			M M / D D / Y Y Y Y					
Mailing Address								
City	State Zip Code							
Purpose of Disbursement								
ruipose oi Dispuisement								
Candidate Name			Amount of Each Disbursement this Period					
		Category/ Type						
Office Sought: House Disburse	ement For:	.,,,,	7					
Senate	Primary General							
President	Other (specify) ▼							
State: District:								
SUBTOTAL of Disbursements This Page (optional)			3000.00					
<u>`</u>								
TOTAL This Period (last page this line number only	/)		7500.00					