



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

RQ-6

December 20, 2001

Duane R. Thompson, Treasurer
Financial Planning Association Political
Action Committee
1615 L Street, NW, Suite 650
Washington, DC 20036

Identification Number: C00370130

Reference: Statement of Organization, received 11/15/01

Dear Mr. Thompson:

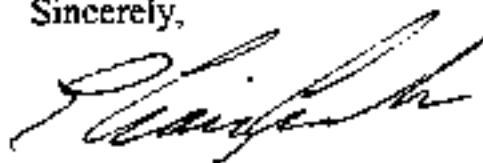
This letter is to inform you that as of December 19, 2001, the Commission has not received your response to our request for additional information, dated November 28, 2001. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

An adequate response must be received at the Commission by January 9, 2002. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter, please contact Jim Krebs on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to

reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,



John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-1

Duane R. Thompson, Treasurer
Financial Planning Association Political
Action Committee
1615 L Street, NW, Suite 650
Washington, DC 20036

NOV 28 2001

Identification Number: C00370130

Reference: Statement of Organization, received 11/15/01

Dear Mr. Thompson:

This letter is prompted by the Commission's preliminary review of your Statement of Organization. The review raised questions concerning certain information contained in the Statement. An itemization follows:

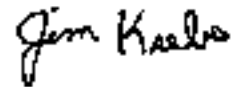
-Please clarify your relationship, if any, with the International Association of Financial Planning Political Action Committee which appears to be an affiliated committee. For further guidance on the question of affiliation, please refer to 11 CFR §100.5(g) and §110.3. If your committee is affiliated with the International Association of Financial Planning Political Action Committee, you should amend your Statement of Organization to reflect this relationship as "affiliated" on Line 6.

-Please be advised that FEC FORM 1 has been revised as of January 1, 2001. Please use the enclosed revised form when filing your amendment.

A response or amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the

Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

A handwritten signature in cursive script that reads "Jim Krebs".

Jim Krebs
Reports Analyst
Reports Analysis Division

292

Form 1 & Instructions

