

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

ADDRESS (number and street)

7700 Mills Civic Parkway

☐ Check if different than previously reported. (ACC)

West Des Moines

IA

50266

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00180901

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☒ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
03 01 2012

through

M M M / D D D / Y Y Y Y Y Y
03 31 2012

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard C. Cohan, Jr.

Signature of Treasurer

Richard C. Cohan, Jr.

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
04 12 2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Report Covering the Period: From: / / To: / /

03 01 2012 03 31 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, YYYY YYYY YYYY YYYY 2012		11654.83
(b) Cash on Hand at Beginning of Reporting Period.....	12454.15	
(c) Total Receipts (from Line 19)	3109.66	11408.98
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	15563.81	23063.81
7. Total Disbursements (from Line 31)	1000.00	8500.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	14563.81	14563.81
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y
03 01 2012

To:

M M / D D / Y Y Y Y Y
03 31 2012

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

2480.00

8840.00

(ii) Unitemized

629.66

2568.98

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

3109.66

11408.98

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

3109.66

11408.98

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

3109.66

11408.98

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

3109.66

11408.98

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	1000.00	5000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	3500.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	1000.00	8500.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	1000.00	8500.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	3109.66	11408.98
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	3109.66	11408.98
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 9

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Kathy J Bauer

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

SVP Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A1C61440715514A85B9B

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Pay Period

Full Name (Last, First, Middle Initial)

B. Richard C Cohan

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP, General Counsel & Secretary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A683750550865417ABCB

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Pay Period

Full Name (Last, First, Middle Initial)

C. John D Currier

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP and Chief Actuary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A40575FF0742749AAACB

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Pay Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

600.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 OF 9

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Brenda J Cushing

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 EVP,CFO & Treasurer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A11B941F469764428878

Amount of Each Receipt this Period

400.00

Payroll Deduction: \$200.00/Pay Period

Full Name (Last, First, Middle Initial)

B. Jeffery Allen Fitch

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 SVP-Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

03 / 23 / 2012

Transaction ID : AC0ADE1A03880474D8FE

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Pay Period

Full Name (Last, First, Middle Initial)

c. Christopher J Littlefield

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A92E73E21BF594910A6F

Amount of Each Receipt this Period

400.00

Payroll Deduction: \$200.00/Pay Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 8 OF 9

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Michael H Miller

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 EVP, Sales & Distribution

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A60E91DED8B5642BF96E

Amount of Each Receipt this Period

400.00

Payroll Deduction: \$200.00/Pay Period

Full Name (Last, First, Middle Initial)

B. Christopher R Welp

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 EVP Insurance Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A0FD9A71C5BBA4E7DAE0

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Pay Period

Full Name (Last, First, Middle Initial)

C. Joseph W Wittrock

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 Chief Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

03 / 23 / 2012

Transaction ID : A7B6C6D6E2E0B453795E

Amount of Each Receipt this Period

230.00

Payroll Deduction: \$115.00/Pay Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

880.00

2480.00

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

A. KING FOR CONGRESS

Mailing Address 116 N MAIN ST
PO BOX 400

City	State	Zip Code
Early	IA	50535

Purpose of Disbursement
IA-US HOUSE

Candidate Name

Rep. Steve A. King

Office Sought:	☒	House
	☐	Senate
	☐	President

Disbursement For: 2012

☒ Primary ☐ General

☐ Other (specify) ▼

Category/
Type

Transaction ID : BE2D06C7E1939492FBE7

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	

Office Sought:	☐	House
	☐	Senate
	☐	President

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

Category/
Type

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought:	☐	House
	☐	Senate
	☐	President

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

Category/
Type

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional).....

1000.00

TOTAL This Period (last page this line number only).....

1000.00