

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines  
The Caterpillar Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams  
 Check if different than previously reported. (ACC)  
Peoria IL 61629 1430

2. **FEC IDENTIFICATION NUMBER** C00148031  
**3. IS THIS REPORT**  NEW (N) **OR**  AMENDED (A)

4. **TYPE OF REPORT** (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report(Q1)  
 July 15 Quarterly Report(Q2)  
 October 15 Quarterly Report(Q3)  
 January 31 Quarterly Report(YE)  
 July 31 Mid-Year Report(Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  
 Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day **PRE-Election** Report for the:  
 Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12G)  
Election on \_\_\_\_\_ in the State of \_\_\_\_\_  
(d) 30-Day **Post -Election** Report for the:  
 General (30G)  Runoff (30R)  Special (30S)  
Election on \_\_\_\_\_ in the State of \_\_\_\_\_

5. Covering Period 11 01 2007 through 11 30 2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Gary Vest

Signature of Treasurer Electronically Filed by Gary Vest Date 12 19 2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

Write or Type Committee Name  
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 

|   |   |
|---|---|
| M | M |
| 1 | 1 |

|   |   |
|---|---|
| D | D |
| 0 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 7 |

 To: 

|   |   |
|---|---|
| M | M |
| 1 | 1 |

|   |   |
|---|---|
| D | D |
| 3 | 0 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 7 |

|   | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |   |   |   |   |   |   |  |           |
|---|-------------------------|-----------------------------------|---|---|---|---|---|---|--|-----------|
| 6. (a) Cash on Hand<br>January 1 <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>0</td><td>7</td></tr></table> | Y                       | Y                                 | Y | Y | 2 | 0 | 0 | 7 |  | 125162.48 |
| Y   | Y                       | Y                                 | Y |   |   |   |   |   |  |           |
| 2   | 0                       | 0                                 | 7 |   |   |   |   |   |  |           |
| (b) Cash on Hand at<br>Beginning of Reporting Period .....  | 260055.99               |                                   |   |   |   |   |   |   |  |           |
| (c) Total Receipts (from Line 19) .....   | 37545.23                | 421243.49                         |   |   |   |   |   |   |  |           |
| (d) Subtotal (add lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B) .....  | 297601.22               | 546405.97                         |   |   |   |   |   |   |  |           |
| 7. Total Disbursements (from Line 31) .....   | 9010.00                 | 257814.75                         |   |   |   |   |   |   |  |           |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....   | 288591.22               | 288591.22                         |   |   |   |   |   |   |  |           |
| 9. Debts and Obligations owed <b>TO</b><br>the committee (Itemize all on<br>Schedule C and/or Schedule D) .....   | 0.00                    |                                   |   |   |   |   |   |   |  |           |
| 10. Debts and Obligations owed <b>BY</b><br>the committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | 0.00                    |                                   |   |   |   |   |   |   |  |           |

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

Write or Type Committee Name  
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 

|   |   |
|---|---|
| M | M |
| 1 | 1 |

|   |   |
|---|---|
| D | D |
| 0 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 7 |

 To: 

|   |   |
|---|---|
| M | M |
| 1 | 1 |

|   |   |
|---|---|
| D | D |
| 3 | 0 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 7 |

| I. Receipts  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees  | 31581.74                      | 271146.47                         |
| (i) Itemized (use Schedule A) .....  | 5922.06                       | 149722.26                         |
| (ii) Unitemized .....  | 37503.80                      | 420868.73                         |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) .....   | 0.00                          | 0.00                              |
| (b) Political Party Committees .....   | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....  | 0.00                          | 0.00                              |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....     | 37503.80                      | 420868.73                         |
| 12. Transfers From Affiliated/Other Party Committees .....   | 0.00                          | 0.00                              |
| 13. All Loans Received .....   | 0.00                          | 0.00                              |
| 14. Loan Repayments Received .....   | 0.00                          | 0.00                              |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00                          | 30.00                             |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....           | 0.00                          | 0.00                              |
| 17. Other Federal Receipts (Dividends, Interest, etc.) .....   | 41.43                         | 344.76                            |
| 18. Transfers from Non-Federal and Levin Funds   |                               |                                   |
| (a) Non-Federal Account (from Schedule H3) .....   | 0.00                          | 0.00                              |
| (b) Levin Funds (from Schedule H5) .....   | 0.00                          | 0.00                              |
| (c) Total Transfer (add 18(a) and 18(b)).  | 0.00                          | 0.00                              |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....                          | 37545.23                      | 421243.49                         |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....                                    | 37545.23                      | 421243.49                         |

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| <b>II. DISBURSEMENTS</b>  | <b>COLUMN A<br/>Total This Period</b> | <b>COLUMN B<br/>Calendar Year-to-Date</b> |
|---|---------------------------------------|---|
| 21. Operating Expenditures:   |                                       |   |
| (a) Shared Federal/Non-Federal<br>Activity (from Schedule H4)   |                                       |   |
| (i) Federal Share.....  | 0.00                                  | 0.00                                      |
| (ii) Non-Federal Share.....   | 0.00                                  | 0.00                                      |
| (b) Other Federal Operating<br>Expenditures.....  | 10.00                                 | 256.80                                    |
| (c) Total Operating Expenditures<br>(add 21(a)(i), (a)(ii) and (b))..... ▶                            | 10.00                                 | 256.80                                    |
| 22. Transfers to Affiliated/Other Party<br>Committees.....  | 0.00                                  | 0.00                                      |
| 23. Contributions to<br>Federal Candidates/Committees.....<br>and Other Political Committees.....     | 2500.00                               | 196000.00                                 |
| 24. Independent Expenditure<br>(use Schedule E) .....   | 0.00                                  | 0.00                                      |
| 25. Coordinated Expenditures Made by Party<br>Committees (2 U.S.C. 441a(d))<br>(use Schedule F).....  | 0.00                                  | 0.00                                      |
| 26. Loan Repayments Made.....   | 0.00                                  | 0.00                                      |
| 27. Loans Made.....   | 0.00                                  | 0.00                                      |
| 28. Refunds of Contributions To:  |                                       |   |
| (a) Individuals/Persons Other<br>Than Political Committees .....                                      | 0.00                                  | 257.95                                    |
| (b) Political Party Committees  | 0.00                                  | 0.00                                      |
| (c) Other Political Committees<br>(such as PACs) .....  | 0.00                                  | 0.00                                      |
| (d) Total Contribution Refunds<br>(add Lines 28(a), (b), and (c)) .....                               | 0.00                                  | 257.95                                    |
| 29. Other Disbursements.....  | 6500.00                               | 61300.00                                  |
| 30. Federal Election Activity (2 U.S.C 431(20))   |                                       |   |
| (a) Shared Federal Election Activity<br>(from Schedule H6)  |                                       |   |
| (i) Federal Share .....   | 0.00                                  | 0.00                                      |
| (ii) "Levin" Share .....  | 0.00                                  | 0.00                                      |
| (b) Federal Election Activity Paid Entirely<br>With Federal Funds .....                               | 0.00                                  | 0.00                                      |
| (c) Total Federal Election Activity (add<br>Lines 30(a)(i), 30(a)(ii) and 30(b))....                  | 0.00                                  | 0.00                                      |
| 31. Total Disbursements (add Lines 21(c), 22,<br>23, 24, 25, 26, 27, 28(d), 29 and 30(c))..           | 9010.00                               | 257814.75                                 |
| 32. Total Federal Disbursements<br>(subtract Line 21(a)(ii) from Line 30(a)(ii)<br>from Line 31)..... | 9010.00                               | 257814.75                                 |

**DETAILED SUMMARY PAGE**  
of Disbursements

| III. Net Contributions/Operating Expenditures                                       | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>from Line 11(d), page 3) .....        | 37503.80                      | 420868.73                         |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                           | 0.00                          | 257.95                            |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....   | 37503.80                      | 420610.78                         |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b))..... | 10.00                         | 256.80                            |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3) .....               | 0.00                          | 30.00                             |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....             | 10.00                         | 226.80                            |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |              |
|--|--|--------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 6 / 167 |
|  | <input type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input checked="" type="checkbox"/> 17 |              |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br>First Bank   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>1 1 / 1 5 / 2 0 0 7 |  |
| Mailing Address Peoria SW Jefferson<br>240 SW Jefferson Street  |   | Transaction ID: 14820743  |  |
| City Peoria      State IL      Zip Code 61602   | Amount of Each Receipt this Period<br>10.00 |   |  |
| FEC ID number of contributing federal political committee.      C   |   |   |  |
| Name of Employer      Occupation  |   |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   | Aggregate Year-to-Date ▼<br>323.33                              |  |
|   |   | Bank credit   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br>First Bank   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>1 1 / 3 0 / 2 0 0 7 |  |
| Mailing Address Peoria SW Jefferson<br>240 SW Jefferson Street  |   | Transaction ID: 14820744  |  |
| City Peoria      State IL      Zip Code 61602   | Amount of Each Receipt this Period<br>31.43 |   |  |
| FEC ID number of contributing federal political committee.      C   |   |   |  |
| Name of Employer      Occupation  |   |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   | Aggregate Year-to-Date ▼<br>354.76                              |  |
|   |   | Bank Interest Earned  |  |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 41.43 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | 41.43 |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |              |
|--|---|--------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 7 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |              |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Michael J ZOZ</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address P O Box 600<br>Illinois Route 29  |   | <b>Transaction ID: PR1005855519813</b>            |  |
| City State Zip Code<br>Mossville IL 61552-0600  | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Business Resource Manager           |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |
|   |   | P/R Deduction (\$20.00 Monthly)                   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Jesse W PRICE</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 27Th & Pershing Rd  |   | <b>Transaction ID: PR1005903719813</b>            |  |
| City State Zip Code<br>Decatur IL 62526   | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Communications & Empl Dev Mgr       |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |
|   |   | P/R Deduction (\$20.00 Monthly)                   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Jennifer Anne COYLE</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 2120 West End Avenue<br>P O Box 340001  |   | <b>Transaction ID: PR1039580119813</b>            |  |
| City State Zip Code<br>Nashville TN 37203-5251  | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |  |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Syndications Manager                |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |
|   |   | P/R Deduction (\$20.00 Monthly)                   |  |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>60.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 8 / 167                 |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |   |
|---|------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Eric J MINDER<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1039580719813<br>Amount of Each Receipt this Period<br>83.08 |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Stf Engr-Envrnm Ctl<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼         | Aggregate Year-to-Date ▼<br>898.13 | P/R Deduction (\$83.08 Monthly)   |

|   |                                    |   |
|---|------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Alice Marie BARBOUR<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1045685719813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Dean of 6 Sigma<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                         | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)   |

|  |                                    |   |
|--|------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Kevin Lyle BRUCH<br>Mailing Address P.O. Box 1875 Cedar Hills Dr.<br>City Mossville State IL Zip Code 61552-0875<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1045685919813<br>Amount of Each Receipt this Period<br>30.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Engine & Development Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                             | Aggregate Year-to-Date ▼<br>330.00 | P/R Deduction (\$30.00 Monthly)   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>133.08</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 9 / 167                 |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. David Erik REST</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR1045705219813</b>            |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar  | Occupation Communications Supervisor     |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Carl W SMITH</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR1045705319813</b>            |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 40.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Compensation Services Manager |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 440.00 |   |   |
|   |  | P/R Deduction (\$40.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Martin G ROLLINGER</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR1055120919813</b>            |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 65.34 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Manager of Aviation Services  |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 718.74 |   |   |
|   |  | P/R Deduction (\$65.34 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>125.34</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 10 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |   |   |
|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Carlos A Aylwin |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1083813319813 |
| Mailing Address 9250 Sky Park Court                                  |   | Amount of Each Receipt this Period<br>40.00                                 |
| City State Zip Code<br>San Diego CA 92123                            | FEC ID number of contributing federal political committee.<br>C   | P/R Deduction (\$20.00 Semi-Monthly)  |
| Name of Employer Occupation<br>Solar Turbines Manager                | Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 440.00 |   |

|   |  |   |
|---|--|---|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Laurie A Burgett |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1083813619813 |
| Mailing Address 9250 Sky Park Court                                   |  | Amount of Each Receipt this Period<br>100.00                                |
| City State Zip Code<br>San Diego CA 92123                             | FEC ID number of contributing federal political committee.<br>C  | P/R Deduction (\$50.00 Semi-Monthly)  |
| Name of Employer Occupation<br>Solar Turbines Manager                 | Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 1100.00 |   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Thomas A Hickerson |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1083814119813 |
| Mailing Address 9250 Sky Park Court                                     |   | Amount of Each Receipt this Period<br>40.00                                 |
| City State Zip Code<br>San Diego CA 92123                               | FEC ID number of contributing federal political committee.<br>C   | P/R Deduction (\$20.00 Semi-Monthly)  |
| Name of Employer Occupation<br>Solar Turbines Manager                   | Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 440.00 |   |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 180.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Kimberly D Howard

Mailing Address 9250 Sky Park Court

City State Zip Code  
San Diego CA 92123

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Solar Turbines Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR1083814219813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$10.00 Semi-Monthly)

**B.** Full Name (Last, First, Middle Initial)  
John J Kapusnik

Mailing Address 9250 Sky Park Court

City State Zip Code  
San Diego CA 92123

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Solar Turbines Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR1083814319813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$10.00 Semi-Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Frank T Lazet

Mailing Address 9250 Sky Park Court

City State Zip Code  
San Diego CA 92123

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Solar Turbines Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR1083814419813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$10.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 60.00

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 12 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Patricia A McQuater  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1083814519813 |
| Mailing Address 9250 Sky Park Court   |   | Amount of Each Receipt this Period<br>40.00                                 |
| City San Diego State CA Zip Code 92123  | FEC ID number of contributing federal political committee. <b>C</b> |   |
| Name of Employer Solar Turbines Occupation Manager  | Aggregate Year-to-Date ▼ 440.00                                     |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$20.00 Semi-Monthly)                                |   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Adam J Robinson  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1083814819813 |
| Mailing Address 9250 Sky Park Court   |   | Amount of Each Receipt this Period<br>40.00                                 |
| City San Diego State CA Zip Code 92123  | FEC ID number of contributing federal political committee. <b>C</b> |   |
| Name of Employer Solar Turbines Occupation Manager  | Aggregate Year-to-Date ▼ 440.00                                     |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$20.00 Semi-Monthly)                                |   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Gregory A Wofford  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1083814919813 |
| Mailing Address 9250 Sky Park Court   |   | Amount of Each Receipt this Period<br>20.00                                 |
| City San Diego State CA Zip Code 92123  | FEC ID number of contributing federal political committee. <b>C</b> |   |
| Name of Employer Solar Turbines Occupation Manager  | Aggregate Year-to-Date ▼ 220.00                                     |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$10.00 Semi-Monthly)                                |   |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 100.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____  |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |  |               |
|--|--|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 13 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Craig R BRABEC</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 500 N. Morton Avenue  |   | <b>Transaction ID: PR1119154819813</b>            |                                 |
| City State Zip Code<br>Morton IL 61550-1527   | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Logistics Consulting Manager        |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. David A CODEVILLA</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR1133032119813</b>            |                                 |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 25.00 |   |                                 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Attorney                            |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00          |   | P/R Deduction (\$25.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Michael D BRUCH</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR1133032219813</b>            |                                 |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Sales Operation & Marketing Mg      |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>65.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
William Ely MCNEW

Mailing Address 300 Hamilton Blvd.  
Suite 300

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Product Support Manager

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
 /  /

**Transaction ID:** PR1133032319813

Amount of Each Receipt this Period  
 20.00

P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Donald L YOUNG

Mailing Address 600 W Washington St

City East Peoria State IL Zip Code 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Manager

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 275.00

Date of Receipt  
 /  /

**Transaction ID:** PR1146600219813

Amount of Each Receipt this Period  
 25.00

P/R Deduction (\$25.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Amanda C. SCHMOLDT

Mailing Address 100 N.E. Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Inc. Occupation Manager

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 330.00

Date of Receipt  
 /  /

**Transaction ID:** PR1150538019813

Amount of Each Receipt this Period  
 30.00

P/R Deduction (\$30.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ▶  75.00

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 15 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Robert A. WISEMAN</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 905 Firethorn Drive   |  | <b>Transaction ID: PR1194468819813</b>            |   |
| City<br>Washington  | State<br>IL                              | Zip Code<br>61571-2360                            | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Technical Support Manager  |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |
|   |  | P/R Deduction (\$25.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Michael A. BLOUNT</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 240 SW Jefferson  |  | <b>Transaction ID: PR1205026919813</b>            |   |
| City<br>Peoria  | State<br>IL                              | Zip Code<br>61602-1411                            | Amount of Each Receipt this Period<br>_____ 81.09 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Supply Chain               |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 891.99 |   |   |
|   |  | P/R Deduction (\$81.09 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Barrington OWENS</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 2200 Pacific Hwy.   |  | <b>Transaction ID: PR1215743619813</b>            |   |
| City<br>San Diego   | State<br>CA                              | Zip Code<br>92101-1745                            | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Solar Turbines  | Occupation<br>Manager                    |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>126.09</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 16 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> William BECKMAN  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1215743819813 |
| Mailing Address 100 N.E. Adams Street   |   | Amount of Each Receipt this Period<br>25.00                                 |
| City Peoria      State IL      Zip Code 61629-0001  | FEC ID number of contributing federal political committee. <b>C</b> |   |
| Name of Employer Caterpillar      Occupation Manager  | Aggregate Year-to-Date ▼<br>275.00                                  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$25.00 Monthly)                                     |   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Lavona Gray RUSSELL  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1215743919813 |
| Mailing Address 2120 West End Avenue  |   | Amount of Each Receipt this Period<br>25.00                                 |
| City Nashville      State TN      Zip Code 37203-5251   | FEC ID number of contributing federal political committee. <b>C</b> |   |
| Name of Employer Caterpillar, Inc.      Occupation Chief Operator   | Aggregate Year-to-Date ▼<br>275.00                                  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$25.00 Monthly)                                     |   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> John Neidert Jr  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR1222731219813 |
| Mailing Address 9250 Sky Park Court   |   | Amount of Each Receipt this Period<br>24.00                                 |
| City San Diego      State CA      Zip Code 92123-4302   | FEC ID number of contributing federal political committee. <b>C</b> |   |
| Name of Employer Solar Turbines      Occupation Manager   | Aggregate Year-to-Date ▼<br>264.00                                  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$12.00 Semi-Monthly)                                |   |

|  |             |
|--|-------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 74.00       |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [Empty Box] |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |               |
|--|--|---------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 17 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                      |
|---|---|---|--------------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Brian Goodell</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                      |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR1222731319813</b>            |                                      |
| City State Zip Code<br>San Diego CA 92123   | Amount of Each Receipt this Period<br>_____ 50.00 |   |                                      |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                      |
| Name of Employer Occupation<br>Solar Turbines Manager   | Aggregate Year-to-Date ▼<br>_____ 550.00          |   |                                      |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   | P/R Deduction (\$25.00 Semi-Monthly) |

|   |   |   |                                      |
|---|---|---|--------------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Cecilia K Scott-Stanfel</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                      |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR1239652919813</b>            |                                      |
| City State Zip Code<br>San Diego CA 92123   | Amount of Each Receipt this Period<br>_____ 40.00 |   |                                      |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                      |
| Name of Employer Occupation<br>Caterpillar, Inc. Chief Financial Officer  | Aggregate Year-to-Date ▼<br>_____ 440.00          |   |                                      |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   | P/R Deduction (\$20.00 Semi-Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Timothy L. WILLIAMS</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 6335 Shadow Ridge Court   |   | <b>Transaction ID: PR1348749219813</b>            |                                 |
| City State Zip Code<br>Brentwood TN 37027-5647  | Amount of Each Receipt this Period<br>_____ 79.17 |   |                                 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                 |
| Name of Employer Occupation<br>Caterpillar Inc. Director, Corp Security Svcs  | Aggregate Year-to-Date ▼<br>_____ 633.36          |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   | P/R Deduction (\$79.17 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>169.17</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 167

(check only one)

|   |                              |                              |   |
|---|------------------------------|------------------------------|---|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12                             |
| <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |                                  |  |
|---|--|---|----------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Kent Meils ADAMS   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |  |
| Mailing Address 2120 West End Avenue<br>P.O. Box 340001   |  | <b>Transaction ID:</b> PR380417619813             |                                  |  |
| City Nashville State TN Zip Code 37203-5251   | Amount of Each Receipt this Period<br>_____ 347.50 |   | P/R Deduction (\$347.50 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____                                       |  | Receipt For:                                      |                                  |  |
| Name of Employer Caterpillar Occupation CFSC Executive Vice President   | Aggregate Year-to-Date ▼<br>_____ 3822.50          |   |                                  |  |
| Receipt For:  |  |   |                                  |  |
| <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |   |                                  |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Mark K ANDERSON  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID:</b> PR380422019813             |                                 |  |
| City Peoria State IL Zip Code 61629-0001  | Amount of Each Receipt this Period<br>_____ 20.00 |   | P/R Deduction (\$20.00 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____                                       |   | Receipt For:                                      |                                 |  |
| Name of Employer Caterpillar Occupation Corporate Attorney  | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |                                 |  |
| Receipt For:  |   |   |                                 |  |
| <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                 |  |

|   |  |   |                                  |  |
|---|--|---|----------------------------------|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Janet Marie ARNOLD   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |  |
| Mailing Address Chemical Compounds Facility   |  | <b>Transaction ID:</b> PR380424119813             |                                  |  |
| City Peoria State IL Zip Code 61602   | Amount of Each Receipt this Period<br>_____ 164.50 |   | P/R Deduction (\$164.50 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____                                       |  | Receipt For:                                      |                                  |  |
| Name of Employer Caterpillar, Inc. Occupation Technology Manager  | Aggregate Year-to-Date ▼<br>_____ 1809.50          |   |                                  |  |
| Receipt For:  |  |   |                                  |  |
| <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |   |                                  |  |

**SUBTOTAL** of Receipts This Page (optional) ..... ► 532.00

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 19 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |  |
|---|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>A. William H ATEN</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380424819813 |  |
| Mailing Address 600 W Washington St   |   | Amount of Each Receipt this Period<br>25.00                                |  |
| City East Peoria<br>State IL<br>Zip Code 61611-2032   | FEC ID number of contributing federal political committee. <b>C</b> |  |  |
| Name of Employer Caterpillar<br>Occupation Tech Services & Cust. Sup. Mgr   | Aggregate Year-to-Date ▼<br>275.00                                  |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$25.00 Monthly)                                     |  |  |

|   |   |  |  |
|---|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Ali M BAHAJ</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380426419813 |  |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>330.00                               |  |
| City Peoria<br>State IL<br>Zip Code 61629-0001  | FEC ID number of contributing federal political committee. <b>C</b> |  |  |
| Name of Employer Caterpillar<br>Occupation Vice President   | Aggregate Year-to-Date ▼<br>3630.00                                 |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$330.00 Monthly)                                    |  |  |

|   |   |  |  |
|---|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>C. William R BAKER</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380427319813 |  |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>20.00                                |  |
| City Peoria<br>State IL<br>Zip Code 61629-0001  | FEC ID number of contributing federal political committee. <b>C</b> |  |  |
| Name of Employer Caterpillar<br>Occupation Master Black Belt  | Aggregate Year-to-Date ▼<br>220.00                                  |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | P/R Deduction (\$20.00 Monthly)                                     |  |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 375.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 20 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |   |
|---|------------------------------------|--|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> William N BALL   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y |   |
| Mailing Address 100 NE Adams  |                                    | Transaction ID: PR380427919813           |   |
| City Peoria   | State IL                           | Zip Code 61629-0001                      | Amount of Each Receipt this Period<br>45.59 |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |   |
| Name of Employer Caterpillar, Inc.  | Occupation Audit Manager II        |  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>501.49 |  |   |
|   |                                    | P/R Deduction (\$45.59 Monthly)          |   |

|   |                                     |  |  |
|---|-------------------------------------|--|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Sidney C BANWART   |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y |  |
| Mailing Address 100 NE Adams  |                                     | Transaction ID: PR380429219813           |  |
| City Peoria   | State IL                            | Zip Code 61629-0001                      | Amount of Each Receipt this Period<br>200.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                     |  |  |
| Name of Employer Caterpillar, Inc.  | Occupation Vice President           |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>2200.00 |  |  |
|   |                                     | P/R Deduction (\$200.00 Monthly)         |  |

|   |  |  |   |
|---|--|--|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Peter J BARCLAY  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y |   |
| Mailing Address P O Box 600<br>Illinois Route 29  |  | Transaction ID: PR380429319813           |   |
| City Mossville  | State IL                               | Zip Code 61552-0600                      | Amount of Each Receipt this Period<br>25.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |  |   |
| Name of Employer Caterpillar  | Occupation Info Technology Manager-III |  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00     |  |   |
|   |  | P/R Deduction (\$25.00 Monthly)          |   |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 270.59 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |               |
|--|--|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 21 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                      |
|---|---|---|--------------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Daniel M Bauer</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y<br>_____ |                                      |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR380431419813</b>           |                                      |
| City State Zip Code<br>San Diego CA 92123   | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                      |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                      |
| Name of Employer<br>Solar Turbines  | Occupation<br>Caterpillar Solar Manager           |   |                                      |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$10.00 Semi-Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. William W BEDDOW</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR380433219813</b>           |                                 |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 40.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Corporate Communications Mgr        |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 440.00          |   | P/R Deduction (\$40.00 Monthly) |

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Mary H BELL</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y<br>_____ |                                  |
| Mailing Address 500 North Morton Avenue   |  | <b>Transaction ID: PR380434019813</b>           |                                  |
| City State Zip Code<br>Morton IL 61550-1527   | Amount of Each Receipt this Period<br>_____ 375.00 |   |                                  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                  |
| Name of Employer<br>Caterpillar   | Occupation<br>Vice President                       |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 4125.00          |   | P/R Deduction (\$375.00 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>435.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 167  
 (check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
 The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
 Ronald E BELL

Mailing Address 2120 West End Avenue  
 P O Box 340001

City Nashville State TN Zip Code 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Remarketing Sales Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 328.46

Date of Receipt  
 M M / D D / Y Y Y Y Y

**Transaction ID:** PR380434219813

Amount of Each Receipt this Period  
 29.86

P/R Deduction (\$29.86 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
 Robin David BERAN

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Director Corporate Tax

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 2589.07

Date of Receipt  
 M M / D D / Y Y Y Y Y

**Transaction ID:** PR380435519813

Amount of Each Receipt this Period  
 235.37

P/R Deduction (\$235.37 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
 Paul D BIERMA

Mailing Address Old Galena Rd  
 Cedar Hills Dr

City Mossville State IL Zip Code 61552

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Engineering Manager-1

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
 M M / D D / Y Y Y Y Y

**Transaction ID:** PR380438719813

Amount of Each Receipt this Period  
 20.00

P/R Deduction (\$20.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 285.23

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 23 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Thomas A BINNS</b>       |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____   |                                 |  |
| Mailing Address 906 Highland Ct   |   | <b>Transaction ID: PR380439019813</b>   |                                 |  |
| City State Zip Code<br>Metamora IL 61548-9056                             | Amount of Each Receipt this Period<br>_____ 64.98 |   | P/R Deduction (\$64.98 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____ |   | Aggregate Year-to-Date ▼<br>_____ 714.78  |                                 |  |
| Name of Employer<br>Caterpillar, Inc.                                     | Occupation<br>E-Business Manager                  | Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |

|  |   |   |                                 |  |
|--|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Jayne Ranae HENNEBERG</b> |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____   |                                 |  |
| Mailing Address 100 NE Adams   |   | <b>Transaction ID: PR380441019813</b>   |                                 |  |
| City State Zip Code<br>Peoria IL 61629-0001                                | Amount of Each Receipt this Period<br>_____ 20.00 |   | P/R Deduction (\$20.00 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____  |   | Aggregate Year-to-Date ▼<br>_____ 220.00  |                                 |  |
| Name of Employer<br>Caterpillar  | Occupation<br>Technology Enabled Learning Mg      | Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Michele D BLUBAUGH</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____   |                                 |  |
| Mailing Address P.O. Box 1875<br>Cedar Hills Dr.                          |   | <b>Transaction ID: PR380441119813</b>   |                                 |  |
| City State Zip Code<br>Mossville IL 61552-0875                            | Amount of Each Receipt this Period<br>_____ 52.85 |   | P/R Deduction (\$52.85 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____ |   | Aggregate Year-to-Date ▼<br>_____ 581.35  |                                 |  |
| Name of Employer<br>Caterpillar, Inc.                                     | Occupation<br>Category Purchasing Manager B       | Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>137.83</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 24 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                      |  |                                 |
|---|--------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Lois A BOAZ  |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380441519813 |                                 |
| Mailing Address Chemical Compounds Facility   |                                      | Amount of Each Receipt this Period<br>50.00                                |                                 |
| City Peoria   | State IL                             | Zip Code 61602   | P/R Deduction (\$50.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      |  |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation 6 Sigma Division Champion |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>550.00   |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> James E BOEHM  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380441719813 |                                 |
| Mailing Address 1518 Fondulac Dr  |  | Amount of Each Receipt this Period<br>50.31                                |                                 |
| City East Peoria  | State IL                                 | Zip Code 61611-2151  | P/R Deduction (\$50.31 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |  |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Distribution Services Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>553.41       |  |                                 |

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> John T BOLDEN JR   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380442619813 |                                 |
| Mailing Address 500 N Morton Ave  |                                    | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Morton   | State IL                           | Zip Code 61550-1527  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |                                 |
| Name of Employer Caterpillar  | Occupation Human Resources Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 120.31 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 25 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |  |                                 |
|---|--|--|---------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Kenneth A BOZUE  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380445719813 |                                 |
| Mailing Address P O Box 504<br>2200 Channahon Rd  |  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Joliet   | State IL                               | Zip Code 60434-0504  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar  | Occupation Sr Engineer-Development/Res |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Michael B BRENNEMANN   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380447119813 |                                 |
| Mailing Address 600 W Washington St   |  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City East Peoria  | State IL                               | Zip Code 61611-2032  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar  | Occupation Commercial Team Program Mgr |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|   |   |  |                                      |
|---|---|--|--------------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Richard S Brent  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380447219813 |                                      |
| Mailing Address 9250 Sky Park Court   |   | Amount of Each Receipt this Period<br>21.66                                |                                      |
| City San Diego  | State CA                                    | Zip Code 92123-4302  | P/R Deduction (\$10.83 Semi-Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | Aggregate Year-to-Date ▼<br>238.26   |                                      |
| Name of Employer Solar Turbines   | Occupation Mgr., Government Relations Solar |  |                                      |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |                                      |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | 61.66 |
| <b>TOTAL</b> This Period (last page this line number only) ..... |       |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 26 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Darryl L BRINKMANN

Mailing Address 600 W Washington St

City State Zip Code  
East Peoria IL 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Succession Plan/Car. Devpmt Mg

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 667.04

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380448219813

Amount of Each Receipt this Period  
60.64

P/R Deduction (\$60.64 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Ricki C BROWN

Mailing Address Old Galena Rd  
Cedar Hills Dr

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation General Facilities Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 275.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380449919813

Amount of Each Receipt this Period  
25.00

P/R Deduction (\$25.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
David Boyd BURRITT

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Vice President

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 4155.86

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380454119813

Amount of Each Receipt this Period  
389.17

P/R Deduction (\$389.17 Monthly)

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>474.81</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 27 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Richard R BURRITT</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |
| Mailing Address 600 W Washington St   |  | <b>Transaction ID: PR380454219813</b>             |                                  |
| City State Zip Code<br>East Peoria IL 61611-2032  | Amount of Each Receipt this Period<br>_____ 196.76 |   |                                  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Corp Infrast & Stds Mgr              |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 2164.36          |   | P/R Deduction (\$196.76 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. John G BUTTE</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address P O Box 0610  |   | <b>Transaction ID: PR380455419813</b>             |                                 |
| City State Zip Code<br>Mossville IL 61552-6100  | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Parts Products Program Manager      |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Herbert K BUTTERFIELD</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR380455519813</b>             |                                 |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 50.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Director, HR Shared Services        |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00          |   | P/R Deduction (\$50.00 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>266.76</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 28 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |  |
|---|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Michael A CALAMARI</b> |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380456519813 |  |
| Mailing Address 5536 Peakton Drive                                      |   | Amount of Each Receipt this Period<br>61.41                                |  |
| City Raleigh State NC Zip Code 27614-9707                               | FEC ID number of contributing federal political committee. <b>C</b>   |  |  |
| Name of Employer Caterpillar, Inc. Occupation Engineering Manager-2     | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 643.66 |  |  |
|   |   | P/R Deduction (\$61.41 Monthly)  |  |

|  |   |  |  |
|--|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Timothy D CALVERT</b> |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380456819813 |  |
| Mailing Address 27Th & Pershing Rd                                     |   | Amount of Each Receipt this Period<br>20.00                                |  |
| City Decatur State IL Zip Code 62526                                   | FEC ID number of contributing federal political committee. <b>C</b>   |  |  |
| Name of Employer Caterpillar Occupation Master Black Belt              | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00 |  |  |
|  |   | P/R Deduction (\$20.00 Monthly)  |  |

|   |  |  |  |
|---|--|--|--|
| Full Name (Last, First, Middle Initial)<br><b>C. John A CAMPBELL</b>      |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380457219813 |  |
| Mailing Address P O Box 600 Illinois Route 29                             |  | Amount of Each Receipt this Period<br>247.24                               |  |
| City Mossville State IL Zip Code 61552-0600                               | FEC ID number of contributing federal political committee. <b>C</b>  |  |  |
| Name of Employer Caterpillar, Inc. Occupation General Engineering Manager | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2609.72 |  |  |
|   |  | P/R Deduction (\$247.24 Monthly)   |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 328.65 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 29 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |  |
|--|------------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Lawrence W Campbell<br>Mailing Address 9250 Sky Park Court<br>City San Diego State CA Zip Code 92123<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380457419813<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$25.00 Semi-Monthly) |
| Name of Employer<br>Solar Turbines<br>Occupation<br>Sr Atty<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                                   | Aggregate Year-to-Date ▼<br>525.00 |  |

|  |                                    |   |
|--|------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Michael D CARRON<br>Mailing Address 3701 State Road 26 East<br>City Lafayette State IN Zip Code 47905-4808<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380460419813<br>Amount of Each Receipt this Period<br>25.00<br>P/R Deduction (\$25.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>Large High Speed Product Mgr<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                       | Aggregate Year-to-Date ▼<br>275.00 |   |

|   |                                     |   |
|---|-------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Brad S CHAMBERS<br>Mailing Address 300 Hamilton Blvd. Suite 300<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380462319813<br>Amount of Each Receipt this Period<br>215.86<br>P/R Deduction (\$215.86 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Sr. Business Resource Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                 | Aggregate Year-to-Date ▼<br>2252.26 |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>290.86</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 30 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |   |
|--|------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Barbara J CHURCH<br>Mailing Address 500 N Morton Ave<br>City Morton State IL Zip Code 61550-1527<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380464119813<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$50.00 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Purchasing Initiative Tax Mgr<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼      | Aggregate Year-to-Date ▼<br>550.00 |   |

|  |                                    |   |
|--|------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Debra E CLAY<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b>     |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380465519813<br>Amount of Each Receipt this Period<br>40.00<br>P/R Deduction (\$40.00 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Marketing Recruiting & Dev Mgr<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>440.00 |   |

|  |                                     |   |
|--|-------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Kevin E COLGAN<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380468319813<br>Amount of Each Receipt this Period<br>100.00<br>P/R Deduction (\$100.00 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Corporate Treasurer<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼          | Aggregate Year-to-Date ▼<br>1100.00 |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>190.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 31 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Benjamin Shane CORDANI

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Sr. Communications Rep

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380471419813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Douglas P CREW

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Governmental Affairs Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 880.77

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380474219813

Amount of Each Receipt this Period  
80.07

P/R Deduction (\$80.07 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Michael F CROMPTON

Mailing Address 500 N Morton Ave

City Morton State IL Zip Code 61550-1527

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Planning & Engineering Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 275.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380474719813

Amount of Each Receipt this Period  
25.00

P/R Deduction (\$25.00 Monthly)

|  |   |        |
|--|---|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 125.07 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 167  
(check only one)  
 11a  11b  11c  12  
 13  14  15  16  17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Christopher Carl CURFMAN

Mailing Address 300 Hamilton Blvd.  
Suite 300

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 4075.85

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR380476019813

Amount of Each Receipt this Period  
381.67

P/R Deduction (\$381.67 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Michael W DENNIS

Mailing Address 600 W Washington St

City East Peoria State IL Zip Code 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Labor Rel Mgr

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 693.63

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR380483419813

Amount of Each Receipt this Period  
65.70

P/R Deduction (\$65.70 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Thomas M DENNISON

Mailing Address Old Galena Rd  
Cedar Hills Dr

City Mossville State IL Zip Code 61552

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation TSD Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2263.14

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR380483519813

Amount of Each Receipt this Period  
205.74

P/R Deduction (\$205.74 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **653.11**

**TOTAL** This Period (last page this line number only) ..... ▶



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 167

(check only one)

11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Thomas J DEPAUW

Mailing Address 2120 West End Avenue  
P O Box 340001

City Nashville State TN Zip Code 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Global Program Director

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 275.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
 Transaction ID: PR380483619813

Amount of Each Receipt this Period  
 25.00

P/R Deduction (\$25.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Arthur C DE VOOHT

Mailing Address 2120 West End Avenue  
P O Box 340001

City Nashville State TN Zip Code 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Business Excellence Manager

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
 Transaction ID: PR380484619813

Amount of Each Receipt this Period  
 50.00

P/R Deduction (\$50.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Anne RICHEY

Mailing Address 605 W Richwoods Blvd

City Peoria State IL Zip Code 61604-1554

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Master Black Belt

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 631.18

Date of Receipt  
 M M / D D / Y Y Y Y Y  
 Transaction ID: PR380485419813

Amount of Each Receipt this Period  
 57.38

P/R Deduction (\$57.38 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... **132.38**

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 34 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> John T DISHAROON   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID:</b> PR380486719813             |   |
| City Peoria   | State IL                                     | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 50.00 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Director Of Public Affairs-Eur |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00     |   |   |
|   |  | P/R Deduction (\$50.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Keith Edward DONNER  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID:</b> PR380488419813             |   |
| City Peoria   | State IL                                   | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  |   |   |
| Name of Employer<br>Caterpillar   | Occupation<br>Business Admin. Services Mgr |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00   |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Michael E DUDA   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID:</b> PR380491219813             |   |
| City Peoria   | State IL                                     | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  |   |   |
| Name of Employer<br>Caterpillar   | Occupation<br>Managing Director (Production) |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00     |   |   |
|   |  | P/R Deduction (\$25.00 Monthly)                   |   |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>95.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 35 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
David W Esbeck

Mailing Address 9250 Sky Park Court

City San Diego State CA Zip Code 92123

FEC ID number of contributing federal political committee. **C**

Name of Employer Solar Turbines Occupation Vice President, Customer Svcs

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380498519813

Amount of Each Receipt this Period  
50.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Daniel R FLYNN

Mailing Address 2403 W Annamere Dr

City Dunlap State IL Zip Code 61525-9388

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation General Manager-Logistics

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380505919813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
George F FORMENTI

Mailing Address P O Box 504  
2200 Channahon Rd

City Joliet State IL Zip Code 60434-0504

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Operations Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380506519813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

|  |   |       |
|--|---|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 90.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |       |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 36 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |  |
|---|--|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Richard K FORTNER</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 600 W Washington St   |  | <b>Transaction ID: PR380506719813</b>             |  |
| City State Zip Code<br>East Peoria IL 61611-2032  | Amount of Each Receipt this Period<br>_____ 20.00            |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | Name of Employer Occupation<br>Caterpillar Master Black Belt |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00                     |   |  |
|   |  | P/R Deduction (\$20.00 Monthly)                   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Thomas A GALES</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 701 Waterford Way Suite 200   |   | <b>Transaction ID: PR380510819813</b>             |  |
| City State Zip Code<br>Miami FL 33126-4670  | Amount of Each Receipt this Period<br>_____ 200.00              |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | Name of Employer Occupation<br>Caterpillar, Inc. Vice President |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 2200.00                       |   |  |
|   |   | P/R Deduction (\$200.00 Monthly)                  |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Brian GAREAU</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 4014 W Hollow Trace Dr  |   | <b>Transaction ID: PR380511919813</b>             |  |
| City State Zip Code<br>Peoria IL 61615-2419   | Amount of Each Receipt this Period<br>_____ 25.00                     |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | Name of Employer Occupation<br>Caterpillar Inc. Corporate PMI Manager |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00                              |   |  |
|   |   | P/R Deduction (\$25.00 Monthly)                   |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>245.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 167

(check only one)

|   |                              |                              |   |
|---|------------------------------|------------------------------|---|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12                             |
| <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |  |
|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Orville F GEICK<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380514019813<br>Amount of Each Receipt this Period<br>50.00 |
| Name of Employer Caterpillar, Inc. Occupation Global Sourcing Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 550.00<br>P/R Deduction (\$50.00 Monthly) |  |  |

|  |  |  |
|--|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Daniel H GERKE<br>Mailing Address P O Box 0610<br>City Mossville State IL Zip Code 61552-6100<br>FEC ID number of contributing federal political committee. <b>C</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380514519813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer Caterpillar Occupation Engineering Manager-1<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 220.00<br>P/R Deduction (\$20.00 Monthly) |  |  |

|  |  |  |
|--|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Frank E GINGERY JR<br>Mailing Address Route 31, Montgomery II PO Box 348<br>City Aurora State IL Zip Code 60507<br>FEC ID number of contributing federal political committee. <b>C</b>                              |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380516119813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer Caterpillar Occupation Manufacturing Engineering Mgr<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 220.00<br>P/R Deduction (\$20.00 Monthly) |  |  |

|  |              |
|--|--------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>90.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |              |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 38 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                       |  |                                  |
|---|---------------------------------------|--|----------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Christopher E GLYNN  |                                       | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380517419813 |                                  |
| Mailing Address 100 NE Adams  |                                       | Amount of Each Receipt this Period<br>200.00                               |                                  |
| City Peoria   | State IL                              | Zip Code 61629-0001  | P/R Deduction (\$200.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                       |  |                                  |
| Name of Employer Caterpillar, Inc.  | Occupation Director Of Corp Human Rel |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                       | Aggregate Year-to-Date ▼<br>2200.00  |                                  |

|   |                                |  |                                  |
|---|--------------------------------|--|----------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Edward A GOODRICH  |                                | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380519419813 |                                  |
| Mailing Address 2120 West End Avenue<br>P O Box 340001  |                                | Amount of Each Receipt this Period<br>157.38                               |                                  |
| City Nashville  | State TN                       | Zip Code 37203-5251  | P/R Deduction (\$157.38 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                |  |                                  |
| Name of Employer Caterpillar, Inc.  | Occupation CFSC Vice President |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                | Aggregate Year-to-Date ▼<br>1731.18  |                                  |

|   |                           |  |                                  |
|---|---------------------------|--|----------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Stephen A GOSSELIN   |                           | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380520119813 |                                  |
| Mailing Address 100 NE Adams  |                           | Amount of Each Receipt this Period<br>408.33                               |                                  |
| City Peoria   | State IL                  | Zip Code 61629-0001  | P/R Deduction (\$408.33 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                           |  |                                  |
| Name of Employer Caterpillar  | Occupation Vice President |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                           | Aggregate Year-to-Date ▼<br>4491.63  |                                  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 765.71 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 39 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |                                 |
|---|---|--|---------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Gerald L GRAF  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380521019813 |                                 |
| Mailing Address P O Box 504<br>2200 Channahon Rd  |   | Amount of Each Receipt this Period<br>76.33                                |                                 |
| City Joliet   | State IL                                  | Zip Code 60434-0504  | P/R Deduction (\$76.33 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | Aggregate Year-to-Date ▼<br>839.63   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Implement & Control Systems Mg |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |                                 |

|   |                            |  |                                  |
|---|----------------------------|--|----------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Edward L GRAMME  |                            | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380521519813 |                                  |
| Mailing Address 623 W Savanna   |                            | Amount of Each Receipt this Period<br>100.00                               |                                  |
| City Dunlap   | State IL                   | Zip Code 61525-9498  | P/R Deduction (\$100.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                            | Aggregate Year-to-Date ▼<br>1100.00  |                                  |
| Name of Employer Caterpillar, Inc.  | Occupation General Manager |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                            |  |                                  |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>James A GREEN  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380522619813 |                                 |
| Mailing Address P O Box 600<br>Illinois Route 29  |  | Amount of Each Receipt this Period<br>45.00                                |                                 |
| City Mossville  | State IL                               | Zip Code 61552-0600  | P/R Deduction (\$45.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date ▼<br>495.00   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation General Engineering Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 221.33 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 40 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |          |  |                                 |
|---|----------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Larry C GREGORY  |          | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380523519813 |                                 |
| Mailing Address 100 NE Adams  |          | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Peoria   | State IL | Zip Code 61629-0001  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |          | Name of Employer Caterpillar   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Occupation Commercial Manager<br>Aggregate Year-to-Date ▼<br>220.00        |                                 |

|   |          |  |                                 |
|---|----------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Ronald W GRIFFITH  |          | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380524319813 |                                 |
| Mailing Address 600 W Washington St   |          | Amount of Each Receipt this Period<br>65.82                                |                                 |
| City East Peoria  | State IL | Zip Code 61611-2032  | P/R Deduction (\$65.82 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |          | Name of Employer Caterpillar, Inc.   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Occupation Operations Mgr<br>Aggregate Year-to-Date ▼<br>724.02            |                                 |

|   |          |  |                                 |
|---|----------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> William T GROFF  |          | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380524819813 |                                 |
| Mailing Address P O Box 600<br>Illinois Route 29  |          | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Mossville  | State IL | Zip Code 61552-0600  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |          | Name of Employer Caterpillar, Inc.   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Occupation Engineering Manager-1<br>Aggregate Year-to-Date ▼<br>220.00     |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 105.82 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Timothy E GRYL

Mailing Address 1401 S Missouri

City Morton State IL Zip Code 61550-9033

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Master Black Belt

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 675.51

Date of Receipt  
 M  M /  D  D /  Y  Y  Y  Y

**Transaction ID:** PR380525319813

Amount of Each Receipt this Period  
 61.41

P/R Deduction (\$61.41 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Margaret A GUTGESELL

Mailing Address 600 W Washington St

City East Peoria State IL Zip Code 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Info Technology Manager-III

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 763.18

Date of Receipt  
 M  M /  D  D /  Y  Y  Y  Y

**Transaction ID:** PR380526219813

Amount of Each Receipt this Period  
 69.38

P/R Deduction (\$69.38 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
James Gerard HAASE

Mailing Address P O Box 504  
2200 Channahon Rd

City Joliet State IL Zip Code 60434-0504

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Engineering Manager-1

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
 M  M /  D  D /  Y  Y  Y  Y

**Transaction ID:** PR380526719813

Amount of Each Receipt this Period  
 20.00

P/R Deduction (\$20.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ►  150.79

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 42 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                             |  |
|---|-----------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Allen B HAGEN  |                             | Date of Receipt  |
| Mailing Address 100 NE Adams  |                             | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                       | Zip Code   |
| Peoria  | IL                          | 61629-0001   |
| FEC ID number of contributing federal political committee. <b>C</b> |                             | <b>Transaction ID:</b> PR380527519813                              |
| Name of Employer<br>Caterpillar, Inc.                               |                             | Amount of Each Receipt this Period                                 |
| Occupation<br>Federal & Payroll Tax Manager                         |                             | <input type="text"/> 75.40   |
| Receipt For:  | Aggregate Year-to-Date ▼    | P/R Deduction (\$75.40 Monthly)                                    |
| <input type="checkbox"/> Primary <input type="checkbox"/> General   | <input type="text"/> 829.40 |  |
| <input type="checkbox"/> Other (specify) ▼                          |                             |  |

|   |                             |  |
|---|-----------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> James Patrick HALLORAN |                             | Date of Receipt  |
| Mailing Address P O Box 600<br>Illinois Route 29                            |                             | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                       | Zip Code   |
| Mossville   | IL                          | 61552-0600   |
| FEC ID number of contributing federal political committee. <b>C</b>         |                             | <b>Transaction ID:</b> PR380528819813                              |
| Name of Employer<br>Caterpillar   |                             | Amount of Each Receipt this Period                                 |
| Occupation<br>Marketing Plans Supervisor                                    |                             | <input type="text"/> 20.00   |
| Receipt For:  | Aggregate Year-to-Date ▼    | P/R Deduction (\$20.00 Monthly)                                    |
| <input type="checkbox"/> Primary <input type="checkbox"/> General           | <input type="text"/> 220.00 |  |
| <input type="checkbox"/> Other (specify) ▼                                  |                             |  |

|  |                              |  |
|--|------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Danny C HANBACK |                              | Date of Receipt  |
| Mailing Address 100 NE Adams   |                              | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City   | State                        | Zip Code   |
| Peoria   | IL                           | 61629-0001   |
| FEC ID number of contributing federal political committee. <b>C</b>  |                              | <b>Transaction ID:</b> PR380529819813                              |
| Name of Employer<br>Caterpillar                                      |                              | Amount of Each Receipt this Period                                 |
| Occupation<br>Director of Purchasing                                 |                              | <input type="text"/> 234.44  |
| Receipt For:   | Aggregate Year-to-Date ▼     | P/R Deduction (\$234.44 Monthly)                                   |
| <input type="checkbox"/> Primary <input type="checkbox"/> General    | <input type="text"/> 2364.40 |  |
| <input type="checkbox"/> Other (specify) ▼                           |                              |  |

|  |                             |
|--|-----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 329.84 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 43 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                     |   |
|--|-------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Mark S HANBACK<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380529919813<br>Amount of Each Receipt this Period<br>181.60 |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Operations Director<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼          | Aggregate Year-to-Date ▼<br>1997.60 | P/R Deduction (\$181.60 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Marsha M HAUSSEER<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380534319813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Special Assignment<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                    | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>James D HAWKINS<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380534719813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Area Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                        | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>221.60</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 44 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |   |
|---|------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Paul G HEIDFELD<br>Mailing Address 600 W Washington St<br>City East Peoria State IL Zip Code 61611-2032<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>Transaction ID: PR380536519813<br>Amount of Each Receipt this Period<br>20.00<br>P/R Deduction (\$20.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>IT Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                                      | Aggregate Year-to-Date ▼<br>220.00 |   |

|   |                                     |   |
|---|-------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Gwenne A HENRICKS<br>Mailing Address 600 W Washington St<br>City East Peoria State IL Zip Code 61611-2032<br>FEC ID number of contributing federal political committee. <b>C</b> |                                     | Date of Receipt<br>Transaction ID: PR380539519813<br>Amount of Each Receipt this Period<br>175.29<br>P/R Deduction (\$175.29 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Product Manager-Undercarriage<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼               | Aggregate Year-to-Date ▼<br>1928.19 |   |

|  |                                    |   |
|--|------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Harold W HERLICH<br>Mailing Address P.O. Box 1875 Cedar Hills Dr.<br>City Mossville State IL Zip Code 61552-0875<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>Transaction ID: PR380540319813<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$50.00 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Engineering Manager-2<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                              | Aggregate Year-to-Date ▼<br>550.00 |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>245.29</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER:             | PAGE 45 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Robert David HILL</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address P O Box 504<br>2200 Channahon Rd  |  | <b>Transaction ID: PR380542719813</b>             |   |
| City Joliet   | State IL                                 | Zip Code 60434-0504                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer<br>Caterpillar   | Occupation<br>Business Resource Manager  |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |

P/R Deduction (\$25.00 Monthly)

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Michael D HINRICHSSEN</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR380543419813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 69.32 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Marketing Division Manager |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 762.52 |   |   |

P/R Deduction (\$69.32 Monthly)

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Robert Lynn HOENES</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR380544919813</b>             |   |
| City Peoria   | State IL                                   | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer<br>Caterpillar   | Occupation<br>Sr Product/Marketing Manager |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00   |   |   |

P/R Deduction (\$25.00 Monthly)

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>119.32</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 46 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                      |  |                                 |
|---|--------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Steven C HOFFMAN</b>   |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380545719813 |                                 |
| Mailing Address 100 NE Adams  |                                      | Amount of Each Receipt this Period<br>40.00                                |                                 |
| City Peoria   | State IL                             | Zip Code 61629-0001  | P/R Deduction (\$40.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      | Aggregate Year-to-Date ▼<br>440.00   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Associate General Counsel |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                      |  |                                 |

|   |                                      |  |                                 |
|---|--------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Sally A HOLLAND</b>  |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380546319813 |                                 |
| Mailing Address 2120 West End Avenue<br>P O Box 340001  |                                      | Amount of Each Receipt this Period<br>43.69                                |                                 |
| City Nashville  | State TN                             | Zip Code 37203-5251  | P/R Deduction (\$43.69 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      | Aggregate Year-to-Date ▼<br>480.59   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Remarketing Asset Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                      |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Mark A HYNES</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380552719813 |                                 |
| Mailing Address 500 N Morton Ave  |  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Morton   | State IL                                 | Zip Code 61550-1527  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar  | Occupation Mfg Logistics & Transport Mgr |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 103.69 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 47 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                      |   |
|---|--------------------------------------|---|
| Full Name (Last, First, Middle Initial)<br><b>A. J Steven IVASKA</b>  |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address 500 N. Morton Ave.  |                                      | <b>Transaction ID: PR380553519813</b>       |
| City Morton   | State IL                             | Zip Code 61550-1527                         |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      | Amount of Each Receipt this Period<br>25.00 |
| Name of Employer Caterpillar  | Occupation 6 Sigma Division Champion |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00   |   |
|   |                                      | P/R Deduction (\$25.00 Monthly)             |

|   |  |   |
|---|--|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Paulette JACKSON</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address 2120 West End Avenue<br>P.O. Box 340001   |  | <b>Transaction ID: PR380553919813</b>       |
| City Nashville  | State TN                                 | Zip Code 37203-5251                         |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Amount of Each Receipt this Period<br>35.00 |
| Name of Employer Caterpillar, Inc.  | Occupation CFSC Executive Vice President |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>385.00       |   |
|   |  | P/R Deduction (\$35.00 Monthly)             |

|   |                                    |   |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Russell A. JAEGLER</b>   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address 27Th & Pershing Road  |                                    | <b>Transaction ID: PR380554519813</b>       |
| City Decatur  | State IL                           | Zip Code 62526                              |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    | Amount of Each Receipt this Period<br>71.02 |
| Name of Employer Caterpillar, Inc.  | Occupation Manager                 |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>781.22 |   |
|   |                                    | P/R Deduction (\$71.02 Monthly)             |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>131.02</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 48 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |          |  |                                 |
|---|----------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Mark L JOHNSON   |          | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380558119813 |                                 |
| Mailing Address 1117 W Greenfield Dr  |          | Amount of Each Receipt this Period<br>25.00                                |                                 |
| City Peoria   | State IL | Zip Code 61614-1907  | P/R Deduction (\$25.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |          | Name of Employer Caterpillar Inc.  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Occupation Product Procurement Admin<br>Aggregate Year-to-Date ▼<br>275.00 |                                 |

|   |          |  |                                 |
|---|----------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Paul C. JOHNSON  |          | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380558419813 |                                 |
| Mailing Address 1007 Westgate Rd  |          | Amount of Each Receipt this Period<br>40.00                                |                                 |
| City Washington   | State IL | Zip Code 61571-1229  | P/R Deduction (\$40.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |          | Name of Employer Caterpillar, Inc.   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Occupation Engineering Manager-1<br>Aggregate Year-to-Date ▼<br>440.00     |                                 |

|   |          |  |                                 |
|---|----------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Angela S KEMP  |          | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380565719813 |                                 |
| Mailing Address 100 NE Adams  |          | Amount of Each Receipt this Period<br>76.46                                |                                 |
| City Peoria   | State IL | Zip Code 61629-0001  | P/R Deduction (\$76.46 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |          | Name of Employer Caterpillar, Inc.   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Occupation Human Resources Manager<br>Aggregate Year-to-Date ▼<br>841.06   |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 141.46 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Samuel Joseph KIEFFER

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Process Owner Manager

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M / D D / Y Y Y Y Y Y  
**Transaction ID:** PR380567419813  
 Amount of Each Receipt this Period: 20.00  
 P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Robert A KLEIBER

Mailing Address Route 31, Montgomery IL  
PO Box 348

City Aurora State IL Zip Code 60507

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Master Black Belt

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M / D D / Y Y Y Y Y Y  
**Transaction ID:** PR380569019813  
 Amount of Each Receipt this Period: 20.00  
 P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
John M KUESTER

Mailing Address 501 SW Jefferson

City Peoria State IL Zip Code 61630-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Human Resource Manager

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: M M / D D / Y Y Y Y Y Y  
**Transaction ID:** PR380575119813  
 Amount of Each Receipt this Period: 50.00  
 P/R Deduction (\$50.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 90.00

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 50 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |  |   |
|---|--|--|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> William C LANE   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y |   |
| Mailing Address 100 NE Adams  |  | Transaction ID: PR380577619813           |   |
| City Peoria   | State IL                                     | Zip Code 61629-0001                      | Amount of Each Receipt this Period<br>78.73 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |  |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Mgr-State Governmental Affairs |  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>822.00           |  |   |
|   |  | P/R Deduction (\$78.73 Monthly)          |   |

|   |                                     |  |  |
|---|-------------------------------------|--|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Stephen P LARSON   |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y |  |
| Mailing Address 500 N Morton Ave  |                                     | Transaction ID: PR380578719813           |  |
| City Morton   | State IL                            | Zip Code 61550-1527                      | Amount of Each Receipt this Period<br>217.24 |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                     |  |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>CFSC Vice President   |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>2357.44 |  |  |
|   |                                     | P/R Deduction (\$217.24 Monthly)         |  |

|   |                                     |  |  |
|---|-------------------------------------|--|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Richard P LAVIN  |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y |  |
| Mailing Address 100 NE Adams  |                                     | Transaction ID: PR380579919813           |  |
| City Peoria   | State IL                            | Zip Code 61629-0001                      | Amount of Each Receipt this Period<br>381.66 |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                     |  |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Vice President        |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>4198.26 |  |  |
|   |                                     | P/R Deduction (\$381.66 Monthly)         |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 677.63 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |
|--|---|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: <span style="float: right;">PAGE 51 / 167</span><br>(check only one)<br><input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |
|--|---|

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |
|---|--|
| <p><b>A.</b> Full Name (Last, First, Middle Initial)<br/>Alan J MARTENS</p> <p>Mailing Address Route 31, Montgomery IL<br/>PO Box 348</p> <p>City State Zip Code<br/>Aurora IL 60507</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Caterpillar, Inc. Occupation Engineering Manager-1</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br/>Aggregate Year-to-Date ▼ 588.86</p> | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR380593419813</p> <p>Amount of Each Receipt this Period<br/>53.81</p> <p>P/R Deduction (\$53.81 Monthly)</p> |
|---|--|

|   |  |
|---|--|
| <p><b>B.</b> Full Name (Last, First, Middle Initial)<br/>Edwin F MATHISON</p> <p>Mailing Address P O Box 600<br/>Illinois Route 29</p> <p>City State Zip Code<br/>Mossville IL 61552-0600</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Caterpillar Occupation Operations Manager</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br/>Aggregate Year-to-Date ▼ 275.00</p> | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR380596219813</p> <p>Amount of Each Receipt this Period<br/>25.00</p> <p>P/R Deduction (\$25.00 Monthly)</p> |
|---|--|

|  |  |
|--|--|
| <p><b>C.</b> Full Name (Last, First, Middle Initial)<br/>William Dwight MAYO</p> <p>Mailing Address 100 NE Adams</p> <p>City State Zip Code<br/>Peoria IL 61629-0001</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Caterpillar, Inc. Occupation Vice President</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br/>Aggregate Year-to-Date ▼ 550.00</p> | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR380597819813</p> <p>Amount of Each Receipt this Period<br/>50.00</p> <p>P/R Deduction (\$50.00 Monthly)</p> |
|--|--|

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>128.81</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 52 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Walter R MAZZEI

Mailing Address 607 Sanagustin  
P O Box 2609

City Laredo State TX Zip Code 78040-8128

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Facility Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380598019813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Mark D MC CLAIN

Mailing Address Route 31, Montgomery IL  
PO Box 348

City Aurora State IL Zip Code 60507

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Hydraulic Excavator Product Mgr

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380598919813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Thomas W MCDANIEL

Mailing Address Regency Forest II  
100 Regency Forest Drive - 3rd Flo

City Cary State NC Zip Code 27511

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Rgnl/Group Financial Officer

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380600919813

Amount of Each Receipt this Period  
40.00

P/R Deduction (\$40.00 Monthly)

|  |   |       |
|--|---|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 80.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |       |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |                              |                             |                             |                             |                             |                             |
|--|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:                        | PAGE 53 / 167                |                              |                             |                             |                             |                             |                             |
|  | (check only one)                        |                              |                              |                             |                             |                             |                             |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                  |  |                                 |
|---|----------------------------------|--|---------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Delos D MESSINGER  |                                  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380608219813 |                                 |
| Mailing Address P O Box 600<br>Illinois Route 29  |                                  | Amount of Each Receipt this Period<br>49.59                                |                                 |
| City<br>Mossville   | State<br>IL                      | Zip Code<br>61552-0600   | P/R Deduction (\$49.59 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                  | Aggregate Year-to-Date ▼<br>1572.04  |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Operations Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                  |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Robert L MEYER   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380608419813 |                                 |
| Mailing Address Old Galena Rd<br>Cedar Hills Dr   |  | Amount of Each Receipt this Period<br>25.00                                |                                 |
| City<br>Mossville   | State<br>IL                                  | Zip Code<br>61552  | P/R Deduction (\$25.00 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |  | Aggregate Year-to-Date ▼<br>275.00   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Sr Engineering Tech Team Leadr |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|   |                                 |  |                                 |
|---|---------------------------------|--|---------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Charles H MILES  |                                 | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380609019813 |                                 |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348   |                                 | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City<br>Aurora  | State<br>IL                     | Zip Code<br>60507  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                 | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Master Black Belt |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |                                 |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 94.59 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |       |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 54 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |  |
|---|---|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Randall L MOOBERRY |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380614119813 |  |
| Mailing Address 325 Lotus Dr  |   | Amount of Each Receipt this Period<br>61.39                                |  |
| City Morton State IL Zip Code 61550-1052                                | FEC ID number of contributing federal political committee. <b>C</b>   |  |  |
| Name of Employer Caterpillar, Inc. Occupation Engineering Manager-1     | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 649.03 |  |  |
|   |   | P/R Deduction (\$61.39 Monthly)  |  |

|  |   |  |  |
|--|---|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Michelle L WAHRENBURG |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380616419813 |  |
| Mailing Address 2120 West End Avenue P O Box 340001                        |   | Amount of Each Receipt this Period<br>20.00                                |  |
| City Nashville State TN Zip Code 37203-5251                                | FEC ID number of contributing federal political committee. <b>C</b>   |  |  |
| Name of Employer Caterpillar Occupation Strategy Integration Manager       | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00 |  |  |
|  |   | P/R Deduction (\$20.00 Monthly)  |  |

|  |  |  |  |
|--|--|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Daniel M MURPHY |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380620419813 |  |
| Mailing Address P.O. Box 0610  |  | Amount of Each Receipt this Period<br>449.17                               |  |
| City Mossville State IL Zip Code 61552-6100                          | FEC ID number of contributing federal political committee. <b>C</b>  |  |  |
| Name of Employer Caterpillar Occupation Vice President               | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4818.35 |  |  |
|  |  | P/R Deduction (\$449.17 Monthly)   |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | 530.56 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | [ ]    |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 55 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Gregory MYSZKOWSKI</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380622819813 |
| Mailing Address 1451 South 500 East   |   | Amount of Each Receipt this Period<br>20.00                                |
| City State Zip Code<br>Lafayette IN 47905-8718  | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$20.00 Monthly)  |
| Name of Employer Occupation<br>Caterpillar Logistics Center Manager   | Aggregate Year-to-Date<br>220.00                                |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Douglas R OBERHELMAN</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380629919813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>416.66                               |
| City State Zip Code<br>Peoria IL 61629-0001   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$416.66 Monthly)   |
| Name of Employer Occupation<br>Caterpillar Group President  | Aggregate Year-to-Date<br>4583.26                               |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. James W OWENS</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380633619813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>400.00                               |
| City State Zip Code<br>Peoria IL 61629-0001   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$400.00 Monthly)   |
| Name of Employer Occupation<br>Caterpillar CEO, Chairman of the Board   | Aggregate Year-to-Date<br>4400.00                               |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 836.66 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER:             | PAGE 56 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Diane M Palmer   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380634119813 |
| Mailing Address 9250 Sky Park Court   |   | Amount of Each Receipt this Period<br>30.00                                |
| City State Zip Code<br>San Diego CA 92123   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$15.00 Semi-Monthly)                                       |
| Name of Employer Occupation<br>Solar Turbines Caterpillar Solar Manager   | Aggregate Year-to-Date<br>330.00                                |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Thomas P PELGER  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380636819813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>201.29                               |
| City State Zip Code<br>Peoria IL 61629-0001   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$201.29 Monthly)   |
| Name of Employer Occupation<br>Caterpillar, Inc. Process Champion   | Aggregate Year-to-Date<br>2214.19                               |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Bruce E PHILLIPS   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380639719813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>83.24                                |
| City State Zip Code<br>Peoria IL 61629-0001   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$83.24 Monthly)  |
| Name of Employer Occupation<br>Caterpillar, Inc. International Customer Svc Mgr   | Aggregate Year-to-Date<br>840.64                                |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 314.53 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER:             | PAGE 57 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |  |
|---|------------------------------------|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Robert Vincent PIERCE  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380640319813 |  |
| Mailing Address P O Box 0610  |                                    | Amount of Each Receipt this Period<br>58.20                                |  |
| City<br>Mossville   | State<br>IL                        | Zip Code<br>61552-6100   |  |
| FEC ID number of contributing federal political committee.<br>C   |                                    | P/R Deduction (\$58.20 Monthly)  |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Master Black Belt    |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>635.88 |  |  |

|   |   |  |  |
|---|---|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Martin A POGIOLI   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380641919813 |  |
| Mailing Address P O Box 0610  |   | Amount of Each Receipt this Period<br>25.00                                |  |
| City<br>Mossville   | State<br>IL                               | Zip Code<br>61552-6100   |  |
| FEC ID number of contributing federal political committee.<br>C   |   | P/R Deduction (\$25.00 Monthly)  |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Cost Analysis&Tech Svcs Mgr |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00        |  |  |

|   |                                    |  |  |
|---|------------------------------------|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Terrence A QUINN   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380646719813 |  |
| Mailing Address 307 Elizabeth Pointe Drive  |                                    | Amount of Each Receipt this Period<br>25.00                                |  |
| City<br>Metamora  | State<br>IL                        | Zip Code<br>61548-9473   |  |
| FEC ID number of contributing federal political committee.<br>C   |                                    | P/R Deduction (\$25.00 Monthly)  |  |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Technical Manager    |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00 |  |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 108.20 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |               |
|--|--|---------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 58 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Timothy W RAINEY</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 2116 Kathleen Circle  |   | <b>Transaction ID: PR380647419813</b>             |                                 |
| City State Zip Code<br>Montgomery IL 60538-4026   | Amount of Each Receipt this Period<br>_____ 53.79 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Product Support Supervisor          |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 571.79          |   | P/R Deduction (\$53.79 Monthly) |

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. James E REEB</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |
| Mailing Address Old Galena Rd<br>Cedar Hills Dr   |  | <b>Transaction ID: PR380648719813</b>             |                                  |
| City State Zip Code<br>Mossville IL 61552   | Amount of Each Receipt this Period<br>_____ 198.01 |   |                                  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>TSD Director                         |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 2178.11          |   | P/R Deduction (\$198.01 Monthly) |

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Craig W RIEDIGER</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR380650519813</b>             |                                  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 207.02 |   |                                  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Manager                              |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 2277.22          |   | P/R Deduction (\$207.02 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>458.82</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 59 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
James W REINHART

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Engineering Manager-1

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380650719813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Steven F REYNOLDS

Mailing Address P O Box 0610

City State Zip Code  
Mossville IL 61552-6100

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Purchasing & Logistics Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 673.09

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380652119813

Amount of Each Receipt this Period  
61.19

P/R Deduction (\$61.19 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Dale B ROBERTS

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Mgr Sales Oper & Planning

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380656319813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

|  |   |        |
|--|---|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 101.19 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 167

(check only one)

|                                     |     |                          |     |                          |     |                          |    |                          |    |                          |    |                          |    |                          |    |                          |    |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

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NAME OF COMMITTEE (In Full)

The Caterpillar Employee Political Action Committee

|  |  |  |
|--|--|--|
| <p><b>A.</b> Full Name (Last, First, Middle Initial)<br/>William C ROBERTS</p> <p>Mailing Address Route 31, Montgomery IL<br/>PO Box 348</p> <p>City State Zip Code<br/>Aurora IL 60507</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Caterpillar, Inc. Occupation Product Mgr-Lg Wheel Loaders</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 550.00</p> |  | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR380656619813</p> <p>Amount of Each Receipt this Period<br/>50.00</p> <p>P/R Deduction (\$50.00 Monthly)</p>   |
| <p><b>B.</b> Full Name (Last, First, Middle Initial)<br/>Thea D ROBINSON</p> <p>Mailing Address Old Galena Rd<br/>Cedar Hills Dr</p> <p>City State Zip Code<br/>Mossville IL 61552</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Caterpillar, Inc. Occupation Manager</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 550.00</p>                           |  | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR380657219813</p> <p>Amount of Each Receipt this Period<br/>50.00</p> <p>P/R Deduction (\$50.00 Monthly)</p>   |
| <p><b>C.</b> Full Name (Last, First, Middle Initial)<br/>William J ROHNER</p> <p>Mailing Address 100 NE Adams</p> <p>City State Zip Code<br/>Peoria IL 61629-0001</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Caterpillar Occupation Vice President</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 4129.14</p>  |  | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR380658219813</p> <p>Amount of Each Receipt this Period<br/>385.83</p> <p>P/R Deduction (\$385.83 Monthly)</p> |

**SUBTOTAL** of Receipts This Page (optional) .....

485.83

**TOTAL** This Period (last page this line number only) .....

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 61 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Donna C ROSSER</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR380659719813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar  | Occupation Special Assignment            |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Matthew R SAGER</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address Regency Forest II<br>100 Regency Forest Drive - 3rd Flo   |  | <b>Transaction ID: PR380662619813</b>             |   |
| City Cary   | State NC                                 | Zip Code 27511                                    | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar  | Occupation Regional Center Manager       |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Larry E SANDIFAR</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address P O Box 0600  |  | <b>Transaction ID: PR380663719813</b>             |   |
| City Mossville  | State IL                                 | Zip Code 61552-0600                               | Amount of Each Receipt this Period<br>_____ 57.23 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Unit Manager - Machine Shop   |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 629.53 |   |   |
|   |  | P/R Deduction (\$57.23 Monthly)                   |   |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>97.23</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 62 / 167  
 (check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
 The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
 Cheryl L SCHMIDT  
 Mailing Address Route 31, Montgomery IL  
 PO Box 348  
 City Aurora State IL Zip Code 60507  
 FEC ID number of contributing federal political committee. **C**   
 Name of Employer Caterpillar Occupation Commodity Manager-A  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date  220.00

Date of Receipt  M M /  D D /  Y Y Y Y Y Y  
**Transaction ID:** PR380667119813  
 Amount of Each Receipt this Period  20.00  
 P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
 Mark C SCHOENEMAN  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629-0001  
 FEC ID number of contributing federal political committee. **C**   
 Name of Employer Caterpillar Occupation Regional Mgr  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date  220.00

Date of Receipt  M M /  D D /  Y Y Y Y Y Y  
**Transaction ID:** PR380668819813  
 Amount of Each Receipt this Period  20.00  
 P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
 Bruce E SCHUVER  
 Mailing Address P O Box 600  
 Illinois Route 29  
 City Mossville State IL Zip Code 61552-0600  
 FEC ID number of contributing federal political committee. **C**   
 Name of Employer Caterpillar, Inc. Occupation General Manager  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date  440.00

Date of Receipt  M M /  D D /  Y Y Y Y Y Y  
**Transaction ID:** PR380670519813  
 Amount of Each Receipt this Period  40.00  
 P/R Deduction (\$40.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....  80.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 63 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. David N SCHWARTZ</b> |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380670719813 |
| Mailing Address Route 31, Montgomery Il<br>PO Box 348                 |   | Amount of Each Receipt this Period<br>20.00                                |
| City Aurora State IL Zip Code 60507                                   | FEC ID number of contributing federal political committee. <b>C</b>   | P/R Deduction (\$20.00 Monthly)  |
| Name of Employer Caterpillar Occupation Manager, Quality Resources    | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ |  |
|   | Aggregate Year-to-Date ▼ 220.00   |  |

|  |   |  |
|--|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Gary S SCHWARTZKOPF</b> |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380670819813 |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348                    |   | Amount of Each Receipt this Period<br>20.00                                |
| City Aurora State IL Zip Code 60507                                      | FEC ID number of contributing federal political committee. <b>C</b>   | P/R Deduction (\$20.00 Monthly)  |
| Name of Employer Caterpillar Occupation Technical Manager                | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ |  |
|  | Aggregate Year-to-Date ▼ 220.00   |  |

|  |   |  |
|--|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Edward J SCOTT</b>        |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380671219813 |
| Mailing Address 2120 West End Avenue<br>P O Box 340001                     |   | Amount of Each Receipt this Period<br>198.76                               |
| City Nashville State TN Zip Code 37203-5251                                | FEC ID number of contributing federal political committee. <b>C</b>   | P/R Deduction (\$198.76 Monthly)   |
| Name of Employer Caterpillar, Inc. Occupation Executive Vice President/CFO | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ |  |
|  | Aggregate Year-to-Date ▼ 2142.66  |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 238.76 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER:             | PAGE 64 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> John P SEWELL  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380673419813 |                                 |
| Mailing Address 25 Cedar Gate Cir   |                                    | Amount of Each Receipt this Period<br>25.00                                |                                 |
| City<br>Sugar Grove   | State<br>IL                        | Zip Code<br>60554-4145   | P/R Deduction (\$25.00 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                    |  |                                 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>6 Sigma Black Belt-2 |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00 |  |                                 |

|   |                                     |  |                                  |
|---|-------------------------------------|--|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Gerald L SHAHEEN   |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380674119813 |                                  |
| Mailing Address 100 NE Adams  |                                     | Amount of Each Receipt this Period<br>416.66                               |                                  |
| City<br>Peoria  | State<br>IL                         | Zip Code<br>61629-0001   | P/R Deduction (\$416.66 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                     |  |                                  |
| Name of Employer<br>Caterpillar   | Occupation<br>Group President       |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>4583.26 |  |                                  |

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Robert A SHIFFLER  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380676219813 |                                 |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348   |                                    | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City<br>Aurora  | State<br>IL                        | Zip Code<br>60507  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                    |  |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Technical Manager    |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 461.66 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 65 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/>                | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |  |
|---|---|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>James E SIBLEY   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380677619813 |  |
| Mailing Address P O Box 600<br>Illinois Route 29  |   | Amount of Each Receipt this Period<br>160.14                               |  |
| City<br>Mossville   | State<br>IL                                 | Zip Code<br>61552-0600   |  |
| FEC ID number of contributing federal political committee.<br>C   |   | P/R Deduction (\$160.14 Monthly)   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Engineering Technical Res Mgr |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>1761.54         |  |  |

|   |   |  |  |
|---|---|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Dennis C SKARVAN   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380680219813 |  |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>30.00                                |  |
| City<br>Peoria  | State<br>IL                                 | Zip Code<br>61629-0001   |  |
| FEC ID number of contributing federal political committee.<br>C   |   | P/R Deduction (\$30.00 Monthly)  |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Assistant Genl Patent Counsel |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>330.00          |  |  |

|   |                                    |  |  |
|---|------------------------------------|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Judith A SLEEZER   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380680919813 |  |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348   |                                    | Amount of Each Receipt this Period<br>20.00                                |  |
| City<br>Aurora  | State<br>IL                        | Zip Code<br>60507  |  |
| FEC ID number of contributing federal political committee.<br>C   |                                    | P/R Deduction (\$20.00 Monthly)  |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Senior IT Analyst    |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 |  |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 210.14 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 66 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Jimmy L SMITH</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address P O Box 0600  |   | <b>Transaction ID: PR380683219813</b>             |  |
| City<br><b>Mossville</b>  | State<br><b>IL</b>                              | Zip Code<br><b>61552-0600</b>                     | Amount of Each Receipt this Period<br>_____ <b>68.66</b> |
| FEC ID number of contributing federal political committee.<br><b>C</b> _____  |   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Sr Engineering Tech Steward       |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ <b>736.51</b> |   |  |
|   |   | P/R Deduction (\$68.66 Monthly)                   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Kenneth O Smith</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR380683519813</b>             |  |
| City<br><b>San Diego</b>  | State<br><b>CA</b>                              | Zip Code<br><b>92123</b>                          | Amount of Each Receipt this Period<br>_____ <b>20.00</b> |
| FEC ID number of contributing federal political committee.<br><b>C</b> _____  |   |   |  |
| Name of Employer<br>Solar Turbines  | Occupation<br>Manager                           |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ <b>220.00</b> |   |  |
|   |   | P/R Deduction (\$10.00 Semi-Monthly)              |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Brian Ronald PFAUTSCH</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR380684519813</b>             |  |
| City<br><b>Peoria</b>   | State<br><b>IL</b>                              | Zip Code<br><b>61629-0001</b>                     | Amount of Each Receipt this Period<br>_____ <b>20.00</b> |
| FEC ID number of contributing federal political committee.<br><b>C</b> _____  |   |   |  |
| Name of Employer<br>Caterpillar   | Occupation<br>NPI Manager                       |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ <b>220.00</b> |   |  |
|   |   | P/R Deduction (\$20.00 Monthly)                   |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>108.66</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 67 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |
|---|------------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Nancy SNOWDEN<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380685419813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Director, Investor Relations<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼      | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|  |                                    |  |
|--|------------------------------------|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Robin S FAHLBERG<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380686619813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Intellectual Property Analyst<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼        | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|  |                                     |   |
|--|-------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>William F SPRINGER<br>Mailing Address 501 SW Jefferson<br>City Peoria State IL Zip Code 61630-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380687519813<br>Amount of Each Receipt this Period<br>300.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Vice President<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                             | Aggregate Year-to-Date ▼<br>3300.00 | P/R Deduction (\$300.00 Monthly)  |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>340.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 68 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Glenn R STALLINGS</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address<br>Route 31, Montgomery IL<br>PO Box 348  |  | <b>Transaction ID: PR380688419813</b>             |   |
| City<br>Aurora  | State<br>IL                              | Zip Code<br>60507                                 | Amount of Each Receipt this Period<br>_____ 73.48 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Technical Manager          |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 803.32 |   |   |
|   |  | P/R Deduction (\$73.48 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Gary Alan STAMPANATO</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address<br>3701 State Road 26 East  |  | <b>Transaction ID: PR380688919813</b>             |   |
| City<br>Lafayette   | State<br>IN                              | Zip Code<br>47905-4808                            | Amount of Each Receipt this Period<br>_____ 40.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>General Manager            |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 440.00 |   |   |
|   |  | P/R Deduction (\$40.00 Monthly)                   |   |

|   |   |   |   |
|---|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Daniel A STEWART</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address<br>2120 West End Avenue<br>P O Box 340001   |   | <b>Transaction ID: PR380691619813</b>             |   |
| City<br>Nashville   | State<br>TN                               | Zip Code<br>37203-5251                            | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |   |
| Name of Employer<br>Caterpillar   | Occupation<br>Intl. Marine Credit Manager |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00  |   |   |
|   |   | P/R Deduction (\$20.00 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>133.48</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 69 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |  |
|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Sally Ann STILES   |  | Date of Receipt  |
| Mailing Address 100 NE Adams  |  | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                                  | Zip Code   |
| Peoria  | IL                                     | 61629-0001   |
| FEC ID number of contributing federal political committee.  |  | <b>C</b> <input type="text"/>                                      |
| Name of Employer<br>Caterpillar   | Occupation<br>Asia-Pacific Tax Manager | <b>Transaction ID:</b> PR380692319813                              |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼               | Amount of Each Receipt this Period                                 |
|   | <input type="text"/> 275.00            | <input type="text"/> 25.00   |
|   |  | P/R Deduction (\$25.00 Monthly)                                    |

|   |                              |  |
|---|------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Gary A STROUP  |                              | Date of Receipt  |
| Mailing Address P O Box 0600  |                              | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                        | Zip Code   |
| Mossville   | IL                           | 61552-0600   |
| FEC ID number of contributing federal political committee.  |                              | <b>C</b> <input type="text"/>                                      |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Vice President | <b>Transaction ID:</b> PR380695119813                              |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼     | Amount of Each Receipt this Period                                 |
|   | <input type="text"/> 1454.17 | <input type="text"/> 125.00  |
|   |                              | P/R Deduction (\$125.00 Monthly)                                   |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Mark A THOMPSON  |   | Date of Receipt  |
| Mailing Address 100 NE Adams  |   | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                                       | Zip Code   |
| Peoria  | IL  | 61629-0001   |
| FEC ID number of contributing federal political committee.  |   | <b>C</b> <input type="text"/>                                      |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Sr. Business Resource Manager | <b>Transaction ID:</b> PR380703719813                              |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼                    | Amount of Each Receipt this Period                                 |
|   | <input type="text"/> 550.00                 | <input type="text"/> 50.00   |
|   |   | P/R Deduction (\$50.00 Monthly)                                    |

|  |                             |
|--|-----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 200.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 70 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Sheryl A TIPTON</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 109 Arrowhead Ct  |   | <b>Transaction ID: PR380705119813</b>             |  |
| City State Zip Code<br>East Peoria IL 61611-1808  | Amount of Each Receipt this Period<br>_____ 10.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$10.00 Monthly)                   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Caterpillar University Dean         |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 470.00          |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Stephen G Turnbull</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR380707419813</b>             |  |
| City State Zip Code<br>San Diego CA 92123   | Amount of Each Receipt this Period<br>_____ 40.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$20.00 Semi-Monthly)              |   |  |
| Name of Employer<br>Solar Turbines  | Occupation<br>Caterpillar Solar Manager           |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 440.00          |   |  |

|   |  |   |  |
|---|--|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Gary L VEST</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR380712919813</b>             |  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 163.01 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$163.01 Monthly)                   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Western Hemisphere Tax Manager       |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 1755.11          |   |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>213.01</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 71 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |  |
|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Iris K Villela<br>Mailing Address 9250 Sky Park Court<br>City San Diego State CA Zip Code 92123<br>FEC ID number of contributing federal political committee. <b>C</b>          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380713419813<br>Amount of Each Receipt this Period<br>60.00<br>P/R Deduction (\$30.00 Semi-Monthly) |
| Name of Employer Solar Turbines Occupation Caterpillar Solar Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 660.00 |  |  |

|  |  |   |
|--|--|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Carl Martin VOLZ<br>Mailing Address Suite 750<br>1730 Pennsylvania Ave NW<br>City Washington State DC Zip Code 20006<br>FEC ID number of contributing federal political committee. <b>C</b> |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380713919813<br>Amount of Each Receipt this Period<br>47.90<br>P/R Deduction (\$47.90 Monthly) |
| Name of Employer Caterpillar, Inc. Occupation Governmental Affairs Rep<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 499.70           |  |   |

|  |  |   |
|--|--|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Dennis M VOSBERG<br>Mailing Address 100 N.E. Adams Street<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b>          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR380714219813<br>Amount of Each Receipt this Period<br>48.45<br>P/R Deduction (\$48.45 Monthly) |
| Name of Employer Caterpillar, Inc. Occupation Parts & Service Dept Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 532.95 |  |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>156.35</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |  |               |
|--|--|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 72 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |  |  |
|--|--|--|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Jay J WAIT          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380715419813 |  |
| Mailing Address P O Box 504<br>2200 Channahon Rd                         |  | Amount of Each Receipt this Period<br>56.31                                |  |
| City Joliet      State IL      Zip Code 60434-0504                       | FEC ID number of contributing federal political committee. <b>C</b>  |  |  |
| Name of Employer Caterpillar, Inc.      Occupation Engineering Manager-1 | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 619.41 |  |  |
|  |  | P/R Deduction (\$56.31 Monthly)  |  |

|   |   |  |  |
|---|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Thomas Paul WALTERS    |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380716719813 |  |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>100.00                               |  |
| City Peoria      State IL      Zip Code 61629-0001                          | FEC ID number of contributing federal political committee. <b>C</b>   |  |  |
| Name of Employer Caterpillar, Inc.      Occupation Governmental Affairs Rep | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 1100.00 |  |  |
|   |   | P/R Deduction (\$100.00 Monthly)   |  |

|  |  |  |  |
|--|--|--|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Byron R WEAR    |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380718619813 |  |
| Mailing Address P O Box 600<br>Illinois Route 29                     |  | Amount of Each Receipt this Period<br>57.85                                |  |
| City Mossville      State IL      Zip Code 61552-0600                | FEC ID number of contributing federal political committee. <b>C</b>  |  |  |
| Name of Employer Caterpillar, Inc.      Occupation Master Black Belt | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 636.35 |  |  |
|  |  | P/R Deduction (\$57.85 Monthly)  |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 214.16 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 73 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
|  | <input type="checkbox"/> 12  | <input type="checkbox"/> 16  |
|  |                              | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |
|---|------------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Karl E WEISS   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380720019813 |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348   |                                    | Amount of Each Receipt this Period<br>20.00                                |
| City Aurora State IL Zip Code 60507   | Transaction ID: PR380720019813     |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    | Amount of Each Receipt this Period<br>20.00                                |
| Name of Employer Caterpillar  | Occupation NPI Program Manager     |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 |  |
|   |                                    | P/R Deduction (\$20.00 Monthly)  |

|   |  |  |  |
|---|--|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Edward F WILSON  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380726119813 |  |
| Mailing Address 100 NE Adams  |  | Amount of Each Receipt this Period<br>20.00                                |  |
| City Peoria State IL Zip Code 61629-0001  |  | Transaction ID: PR380726119813   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Amount of Each Receipt this Period<br>20.00                                |  |
| Name of Employer Caterpillar  |  | Occupation Marketing Division Manager                                      |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br>220.00   |  |
|   |  | P/R Deduction (\$20.00 Monthly)  |  |

|   |  |  |  |
|---|--|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>James WINN   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380727119813 |  |
| Mailing Address 159 Illini Dr   |  | Amount of Each Receipt this Period<br>52.85                                |  |
| City Hopewell State IL Zip Code 61565-9449  |  | Transaction ID: PR380727119813   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Amount of Each Receipt this Period<br>52.85                                |  |
| Name of Employer Caterpillar, Inc.  |  | Occupation Master Black Belt   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br>571.27   |  |
|   |  | P/R Deduction (\$52.85 Monthly)  |  |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 92.85 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |       |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 74 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/>                | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |   |
|---|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Paul E WROBLEWSKI</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR380731319813</b>             |   |
| City Peoria   | State IL                                  | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 45.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Chairman Of The Management Brd |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 495.00  |   |   |
|   |   | P/R Deduction (\$45.00 Monthly)                   |   |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Steven H WUNNING</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR380731419813</b>             |  |
| City Peoria   | State IL                                  | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 416.66 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |  |
| Name of Employer Caterpillar  | Occupation Group President                |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 4583.26 |   |  |
|   |   | P/R Deduction (\$416.66 Monthly)                  |  |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Margie Ann York</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 9250 Sky Park Court   |  | <b>Transaction ID: PR380732019813</b>             |   |
| City San Diego  | State CA                                 | Zip Code 92123                                    | Amount of Each Receipt this Period<br>_____ 50.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Solar Turbines   | Occupation WAREHOUSE ASSOCIATE           |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00 |   |   |
|   |  | P/R Deduction (\$25.00 Semi-Monthly)              |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>511.66</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 75 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                      |  |                                 |
|---|--------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Matthew R PENDERGRASS</b>  |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR380735519813 |                                 |
| Mailing Address 600 W Washington St   |                                      | Amount of Each Receipt this Period<br>30.00                                |                                 |
| City East Peoria  | State IL                             | Zip Code 61611-2032  | P/R Deduction (\$30.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      | Aggregate Year-to-Date ▼<br>330.00   |                                 |
| Name of Employer Caterpillar  | Occupation Communications Supervisor |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                      |  |                                 |

|   |                              |  |                                 |
|---|------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. James AARDEMA</b>  |                              | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR497757219813 |                                 |
| Mailing Address 24055 Simo Dr   |                              | Amount of Each Receipt this Period<br>25.00                                |                                 |
| City Plainfield   | State IL                     | Zip Code 60586-8290  | P/R Deduction (\$25.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                              | Aggregate Year-to-Date ▼<br>275.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Master Black Belt |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                              |  |                                 |

|   |                                     |  |                                 |
|---|-------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Andrew A ABERLE</b>  |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR497759419813 |                                 |
| Mailing Address 330 SW Adams  |                                     | Amount of Each Receipt this Period<br>62.60                                |                                 |
| City Peoria   | State IL                            | Zip Code 61602-1502  | P/R Deduction (\$62.60 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                     | Aggregate Year-to-Date ▼<br>688.60   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Business Support Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                     |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 117.60 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 76 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                             |  |
|--|-----------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Amy Ann ALEXANDER |                             | Date of Receipt  |
| Mailing Address 100 NE Adams   |                             | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City   | State                       | Zip Code   |
| Peoria   | IL                          | 61629-0001   |
| FEC ID number of contributing federal political committee. <b>C</b>    |                             | <b>Transaction ID:</b> PR497784919813                              |
| Name of Employer<br>Caterpillar  |                             | Amount of Each Receipt this Period                                 |
| Occupation<br>Senior Staff Accountant II                               |                             | <input type="text"/> 20.00   |
| Receipt For:   | Aggregate Year-to-Date ▼    | P/R Deduction (\$20.00 Monthly)                                    |
| <input type="checkbox"/> Primary <input type="checkbox"/> General      | <input type="text"/> 220.00 |  |
| <input type="checkbox"/> Other (specify) ▼                             |                             |  |

|  |                             |  |
|--|-----------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Jesse F ALFORD, III |                             | Date of Receipt  |
| Mailing Address 100 NE Adams   |                             | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City   | State                       | Zip Code   |
| Peoria   | IL                          | 61629-0001   |
| FEC ID number of contributing federal political committee. <b>C</b>      |                             | <b>Transaction ID:</b> PR497787319813                              |
| Name of Employer<br>Caterpillar  |                             | Amount of Each Receipt this Period                                 |
| Occupation<br>Sr. Communications Rep                                     |                             | <input type="text"/> 25.00   |
| Receipt For:   | Aggregate Year-to-Date ▼    | P/R Deduction (\$25.00 Monthly)                                    |
| <input type="checkbox"/> Primary <input type="checkbox"/> General        | <input type="text"/> 275.00 |  |
| <input type="checkbox"/> Other (specify) ▼                               |                             |  |

|   |                              |  |
|---|------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> John K AMDALL  |                              | Date of Receipt  |
| Mailing Address Old Galena Road<br>Cedar Hills Drive                |                              | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                        | Zip Code   |
| Mossville   | IL                           | 61552  |
| FEC ID number of contributing federal political committee. <b>C</b> |                              | <b>Transaction ID:</b> PR497805919813                              |
| Name of Employer<br>Caterpillar, Inc.                               |                              | Amount of Each Receipt this Period                                 |
| Occupation<br>TSD Director  |                              | <input type="text"/> 196.18  |
| Receipt For:  | Aggregate Year-to-Date ▼     | P/R Deduction (\$196.18 Monthly)                                   |
| <input type="checkbox"/> Primary <input type="checkbox"/> General   | <input type="text"/> 2157.98 |  |
| <input type="checkbox"/> Other (specify) ▼                          |                              |  |

|  |                             |
|--|-----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 241.18 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 77 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                              |  |
|--|------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Sharon B AMDALL |                              | Date of Receipt  |
| Mailing Address 100 N.E. Adams Street                                |                              | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City   | State                        | Zip Code   |
| Peoria   | IL                           | 61629-0001   |
| FEC ID number of contributing federal political committee. <b>C</b>  |                              | <b>Transaction ID:</b> PR497806019813                              |
| Name of Employer<br>Caterpillar Inc.                                 |                              | Amount of Each Receipt this Period                                 |
| Occupation<br>Manager, E-Business Process                            |                              | <input type="text"/> 131.64  |
| Receipt For:   | Aggregate Year-to-Date ▼     |  |
| <input type="checkbox"/> Primary <input type="checkbox"/> General    | <input type="text"/> 1448.04 | P/R Deduction (\$131.64 Monthly)                                   |
| <input type="checkbox"/> Other (specify) ▼                           |                              |  |

|   |                             |  |
|---|-----------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> James B AMY    |                             | Date of Receipt  |
| Mailing Address 110 Clubstone Ln                                    |                             | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State                       | Zip Code   |
| Cary  | NC                          | 27518-8905   |
| FEC ID number of contributing federal political committee. <b>C</b> |                             | <b>Transaction ID:</b> PR497809419813                              |
| Name of Employer<br>Caterpillar, Inc.                               |                             | Amount of Each Receipt this Period                                 |
| Occupation<br>Engineering Manager-1                                 |                             | <input type="text"/> 43.67   |
| Receipt For:  | Aggregate Year-to-Date ▼    |  |
| <input type="checkbox"/> Primary <input type="checkbox"/> General   | <input type="text"/> 480.37 | P/R Deduction (\$43.67 Monthly)                                    |
| <input type="checkbox"/> Other (specify) ▼                          |                             |  |

|  |                             |  |
|--|-----------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Donald M ANDERSON |                             | Date of Receipt  |
| Mailing Address 100 NE Adams   |                             | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City   | State                       | Zip Code   |
| Peoria   | IL                          | 61629-0001   |
| FEC ID number of contributing federal political committee. <b>C</b>    |                             | <b>Transaction ID:</b> PR497812519813                              |
| Name of Employer<br>Caterpillar, Inc.                                  |                             | Amount of Each Receipt this Period                                 |
| Occupation<br>Human Resources Manager                                  |                             | <input type="text"/> 65.64   |
| Receipt For:   | Aggregate Year-to-Date ▼    |  |
| <input type="checkbox"/> Primary <input type="checkbox"/> General      | <input type="text"/> 722.04 | P/R Deduction (\$65.64 Monthly)                                    |
| <input type="checkbox"/> Other (specify) ▼                             |                             |  |

|  |                             |
|--|-----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 240.95 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 78 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |                                 |
|---|---|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> William Keith ANDREW   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR497821619813 |                                 |
| Mailing Address 201 Regal Lane  |   | Amount of Each Receipt this Period<br>56.31                                |                                 |
| City<br>East Peoria   | State<br>IL                                 | Zip Code<br>61611-1540   | P/R Deduction (\$56.31 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |   | Aggregate Year-to-Date ▼<br>619.41   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Marketing Development Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Curtis Wesley APGAR  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR497828519813 |                                 |
| Mailing Address 330 Sw Adams  |  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City<br>Peoria  | State<br>IL                            | Zip Code<br>61602-1502   | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |  | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Technical Representative |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Eric R ARNOLD  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR497838819813 |                                 |
| Mailing Address P O Box 0610  |  | Amount of Each Receipt this Period<br>49.55                                |                                 |
| City<br>Mossville   | State<br>IL                              | Zip Code<br>61552-6100   | P/R Deduction (\$49.55 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |  | Aggregate Year-to-Date ▼<br>545.05   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Special Assignment-6 Sigma |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 125.86 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |               |
|--|--|---------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 79 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Chris ARVIN

Mailing Address 534 N Missouri St

City Morton State IL Zip Code 61550-1711

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Inc. Occupation Caterpillar University Dean

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 330.00

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR497843719813

Amount of Each Receipt this Period  
30.00

P/R Deduction (\$30.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
David B AUGUSTINE

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Regional Mining Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR497852319813

Amount of Each Receipt this Period  
50.00

P/R Deduction (\$50.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Kent C BATES

Mailing Address P O Box 0610

City Mossville State IL Zip Code 61552-6100

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Engineering Manager-3

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 763.84

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR497919919813

Amount of Each Receipt this Period  
69.44

P/R Deduction (\$69.44 Monthly)

|  |   |        |
|--|---|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 149.44 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |               |
|--|--|---------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 80 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Michael BAUER</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 924 Hastings Street   |   | <b>Transaction ID: PR497922819813</b>             |                                 |
| City State Zip Code<br>Park Ridge IL 60068-3338   | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Business Support Manager            |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Rodney Charles BEELER</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR497942419813</b>             |                                  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 310.00 |   |                                  |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |                                  |
| Name of Employer<br>Caterpillar   | Occupation<br>Vice President                       |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 3410.00          |   | P/R Deduction (\$310.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. David William BERNER</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address Old Galena Rd<br>Cedar Hills Dr   |   | <b>Transaction ID: PR497972519813</b>             |                                 |
| City State Zip Code<br>Mossville IL 61552   | Amount of Each Receipt this Period<br>_____ 79.02 |   |                                 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Global Segment Manger B             |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 869.22          |   | P/R Deduction (\$79.02 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>409.02</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |                              |                             |                             |                             |                             |                             |
|--|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:                        | PAGE 81 / 167                |                              |                             |                             |                             |                             |                             |
|  | (check only one)                        |                              |                              |                             |                             |                             |                             |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Jonathan W BETTNER</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address P O Box 999   |  | <b>Transaction ID: PR497980419813</b>             |   |
| City<br>Clayton   | State<br>NC                              | Zip Code<br>27528-0999                            | Amount of Each Receipt this Period<br>_____ 50.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Manager                    |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00 |   |   |
|   |  | P/R Deduction (\$50.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. James A BIELENBERG JR</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 13105 Northwest Freeway Suite 980   |  | <b>Transaction ID: PR497985019813</b>             |   |
| City<br>Houston   | State<br>TX                                  | Zip Code<br>77040-6312                            | Amount of Each Receipt this Period<br>_____ 91.88 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>General Manager-Global Petrole |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 1010.68    |   |   |
|   |  | P/R Deduction (\$91.88 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Gary L BILTGEN</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 600 W Washington St   |  | <b>Transaction ID: PR497988319813</b>             |   |
| City<br>East Peoria   | State<br>IL                              | Zip Code<br>61611-2032                            | Amount of Each Receipt this Period<br>_____ 78.47 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Engineering Manager-3      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 863.17 |   |   |
|   |  | P/R Deduction (\$78.47 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>220.35</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 167

(check only one)

|  |     |                          |     |                          |     |                          |    |                          |    |
|--|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|
| <input checked="checked" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 |                          |    |
| <input type="checkbox"/>                   | 13  | <input type="checkbox"/> | 14  | <input type="checkbox"/> | 15  | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Michael J. BILTIMIER

Mailing Address 312 Park Leaf

City State Zip Code  
Peachtree City GA 30269-2143

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Engineering Manager-2

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 330.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR497988419813

Amount of Each Receipt this Period  
30.00

P/R Deduction (\$30.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
John A BIXBY

Mailing Address Route 31, Montgomery IL  
PO Box 348

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation 6 Sigma Black Belt-2

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR497997219813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Randall C BLANTON

Mailing Address P O Box 0610

City State Zip Code  
Mossville IL 61552-6100

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Director, Adv. Eng. Electronics

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR498009519813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 83 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                 |  |                                 |
|---|---------------------------------|--|---------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>David W BLESSIN  |                                 | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498011519813 |                                 |
| Mailing Address 100 NE Adams  |                                 | Amount of Each Receipt this Period<br>50.00                                |                                 |
| City Peoria   | State IL                        | Zip Code 61629-0001  | P/R Deduction (\$50.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                 | Aggregate Year-to-Date ▼<br>550.00   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Market Sales Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |                                 |

|   |                              |  |                                 |
|---|------------------------------|--|---------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Gary L. BLOOD  |                              | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498015719813 |                                 |
| Mailing Address 11606 N. Bristol Drive  |                              | Amount of Each Receipt this Period<br>74.78                                |                                 |
| City Dunlap   | State IL                     | Zip Code 61525-8808  | P/R Deduction (\$74.78 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                              | Aggregate Year-to-Date ▼<br>822.58   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation OEM Sales Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                              |  |                                 |

|   |                                  |  |                                 |
|---|----------------------------------|--|---------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Paul BLOUGH  |                                  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498017219813 |                                 |
| Mailing Address 1300 Hickory Hills Rd.  |                                  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Metamora   | State IL                         | Zip Code 61548-8881  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                  | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Technology Specialist |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                  |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 144.78 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |                              |                             |                             |                             |                             |                             |
|--|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:                        | PAGE 84 / 167                |                              |                             |                             |                             |                             |                             |
|  | (check only one)                        |                              |                              |                             |                             |                             |                             |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
William Stanley BORN

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Director, Social Responsibility

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 953.81

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR498043619813

Amount of Each Receipt this Period 86.71

P/R Deduction (\$86.71 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Walter J BRADBURY

Mailing Address P O Box 0610

City Mossville State IL Zip Code 61552-6100

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Sales Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 819.72

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR498062619813

Amount of Each Receipt this Period 74.52

P/R Deduction (\$74.52 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Bruce W BRINEMAN

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Inc. Occupation Facility Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR498093619813

Amount of Each Receipt this Period 20.00

P/R Deduction (\$20.00 Monthly)

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>181.23</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 85 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Edwin E BROCKWAY   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498099619813 |
| Mailing Address Old Galena Rd<br>Cedar Hills Dr   |   | Amount of Each Receipt this Period<br>25.00                                |
| City State Zip Code<br>Mossville IL 61552   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$25.00 Monthly)  |
| Name of Employer Caterpillar<br>Occupation Machine Products Division Mgr  | Aggregate Year-to-Date<br>275.00                                |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|   |   |  |
|---|---|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>James P BROPHY   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498105919813 |
| Mailing Address 112 Midden Way  |   | Amount of Each Receipt this Period<br>91.13                                |
| City State Zip Code<br>Holly Springs NC 27540-6804  | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$91.13 Monthly)  |
| Name of Employer Caterpillar, Inc.<br>Occupation Dir.-SSL, Wt, Mktg & EBusiness   | Aggregate Year-to-Date<br>1002.43                               |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|   |   |  |
|---|---|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>James Steve BROWN  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498116519813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>145.84                               |
| City State Zip Code<br>Peoria IL 61629-0001   | FEC ID number of contributing federal political committee.<br>C | P/R Deduction (\$145.84 Monthly)   |
| Name of Employer Caterpillar, Inc.<br>Occupation Global Sourcing Manager  | Aggregate Year-to-Date<br>1604.24                               |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 261.97 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 86 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/>                | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Elizabeth F BRUNE  |   | Date of Receipt  |
| Mailing Address 4003 N Saymore Ct   |   | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State   | Zip Code   |
| Peoria  | IL  | 61615-3936   |
| FEC ID number of contributing federal political committee.  |   | <b>Transaction ID:</b> PR498132219813                              |
| C <input type="text"/>  |   | Amount of Each Receipt this Period                                 |
|   |   | <input type="text"/> 50.00   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>IT Manager                                | P/R Deduction (\$50.00 Monthly)                                    |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> 550.00 |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Thomas Emmett BUCKLAR  |   | Date of Receipt  |
| Mailing Address 100 NE Adams  |   | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State   | Zip Code   |
| Peoria  | IL  | 61629-0001   |
| FEC ID number of contributing federal political committee.  |   | <b>Transaction ID:</b> PR498141619813                              |
| C <input type="text"/>  |   | Amount of Each Receipt this Period                                 |
|   |   | <input type="text"/> 20.00   |
| Name of Employer<br>Caterpillar   | Occupation<br>Product Support Supervisor                | P/R Deduction (\$20.00 Monthly)                                    |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> 220.00 |  |

|   |  |  |
|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> James B BUDA   |  | Date of Receipt  |
| Mailing Address 100 NE Adams  |  | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State  | Zip Code   |
| Peoria  | IL   | 61629-0001   |
| FEC ID number of contributing federal political committee.  |  | <b>Transaction ID:</b> PR498144319813                              |
| C <input type="text"/>  |  | Amount of Each Receipt this Period                                 |
|   |  | <input type="text"/> 100.00  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Vice President                             | P/R Deduction (\$100.00 Monthly)                                   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> 1100.00 |  |

|  |                             |
|--|-----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 170.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 87 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |
|---|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Paul S BUOB<br>Mailing Address 610 Marcus Ct.<br>City State Zip Code<br>Chillicothe IL 61523-1300<br>FEC ID number of contributing federal political committee. <b>C</b>             |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR498154619813</b><br>Amount of Each Receipt this Period<br>105.87<br>P/R Deduction (\$105.87 Monthly) |
| Name of Employer Occupation<br>Caterpillar, Inc. 6 Sigma Black Belt-3<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 1164.57 |  |   |

|  |  |   |
|--|--|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Jim BUSCH<br>Mailing Address 407 Devonshire Rd<br>City State Zip Code<br>Washington IL 61571-1317<br>FEC ID number of contributing federal political committee. <b>C</b>                |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR498173719813</b><br>Amount of Each Receipt this Period<br>57.30<br>P/R Deduction (\$57.30 Monthly) |
| Name of Employer Occupation<br>Caterpillar, Inc. Engineering Supervisor-2<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 608.46 |  |   |

|   |  |   |
|---|--|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>James CARLBERG<br>Mailing Address 6205 N Black Oak Ct<br>City State Zip Code<br>Peoria IL 61615-2257<br>FEC ID number of contributing federal political committee. <b>C</b>      |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR498213719813</b><br>Amount of Each Receipt this Period<br>128.73<br>P/R Deduction (\$128.73 Monthly) |
| Name of Employer Occupation<br>Caterpillar Inc. Master Black Belt<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 1299.56 |  |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>291.90</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 88 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |   |
|---|------------------------------------|--|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> John T CARPENTER   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y |   |
| Mailing Address 100 NE Adams  |                                    | Transaction ID: PR498220919813           |   |
| City Peoria   | State IL                           | Zip Code 61629-0001                      | Amount of Each Receipt this Period<br>40.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |   |
| Name of Employer Caterpillar, Inc.  | Occupation Regional Mgr            |  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>440.00 |  |   |
|   |                                    | P/R Deduction (\$40.00 Monthly)          |   |

|   |  |  |   |
|---|--|--|---|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Gary L CARVER  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y |   |
| Mailing Address 116 Fleur de Lis  |  | Transaction ID: PR498235119813           |   |
| City E. Peoria  | State IL                               | Zip Code 61611                           | Amount of Each Receipt this Period<br>77.87 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |  |   |
| Name of Employer Caterpillar, Inc.  | Occupation Service Information Manager |  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>855.53     |  |   |
|   |  | P/R Deduction (\$77.87 Monthly)          |   |

|   |                                    |  |   |
|---|------------------------------------|--|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Robert R CASTLE  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y |   |
| Mailing Address Old Galena Rd<br>Cedar Hills Dr   |                                    | Transaction ID: PR498239919813           |   |
| City Mossville  | State IL                           | Zip Code 61552                           | Amount of Each Receipt this Period<br>54.18 |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |   |
| Name of Employer Caterpillar, Inc.  | Occupation Engineering Manager-1   |  |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>589.84 |  |   |
|   |                                    | P/R Deduction (\$54.18 Monthly)          |   |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 172.05 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 89 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Richard L CAUDLE</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 N.E. Adams Street   |   | <b>Transaction ID: PR498242619813</b>             |                                 |
| City Peoria      State IL      Zip Code 61629-0001  | Amount of Each Receipt this Period<br>_____ 72.41 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Data Center Division Manager           |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 799.41          |   | P/R Deduction (\$72.41 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. James Ray CHESKO</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 501 SW Jefferson  |   | <b>Transaction ID: PR498263219813</b>             |                                 |
| City Peoria      State IL      Zip Code 61630-0001  | Amount of Each Receipt this Period<br>_____ 25.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Division Manager                       |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00          |   | P/R Deduction (\$25.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Richard M CLOYD</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address P O Box 0610  |   | <b>Transaction ID: PR498301919813</b>             |                                 |
| City Mossville      State IL      Zip Code 61552-6100   | Amount of Each Receipt this Period<br>_____ 25.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar  | Occupation Marketing Manager                      |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00          |   | P/R Deduction (\$25.00 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>122.41</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 90 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Gerald Nelson COLEMAN  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498315119813 |                                 |
| Mailing Address 100 NE Adams  |                                    | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Peoria   | State IL                           | Zip Code 61629-0001  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Engineering Manager-1   |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 |  |                                 |

|   |                                      |  |                                 |
|---|--------------------------------------|--|---------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Bruce A CONRAD   |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498333019813 |                                 |
| Mailing Address 100 NE Adams  |                                      | Amount of Each Receipt this Period<br>35.00                                |                                 |
| City Peoria   | State IL                             | Zip Code 61629-0001  | P/R Deduction (\$35.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      |  |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Business Resource Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>385.00   |  |                                 |

|   |   |  |                                  |
|---|---|--|----------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Bill D COOK  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498335719813 |                                  |
| Mailing Address 100 N.E. Adams Street   |   | Amount of Each Receipt this Period<br>112.22                               |                                  |
| City Peoria   | State IL                                  | Zip Code 61629-0001  | P/R Deduction (\$112.22 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |  |                                  |
| Name of Employer Caterpillar, Inc.  | Occupation Manag Dir-Co Genl Mgr-Mktg-SCM |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>1166.32       |  |                                  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 167.22 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER:             | PAGE 91 / 167                |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |  |
|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Robert COON<br>Mailing Address 103 Glendower Court<br>City State Zip Code<br>Nashville TN 37204-4154<br>FEC ID number of contributing federal political committee. <b>C</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498342519813<br>Amount of Each Receipt this Period<br>74.65<br>P/R Deduction (\$74.65 Monthly) |
| Name of Employer Occupation<br>Caterpillar, Inc. Region Manager<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 855.26 |  |  |

|  |  |  |
|--|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Mark W CRAIG<br>Mailing Address 2418 West Chase Drive<br>City State Zip Code<br>Dunlap IL 61525-9489<br>FEC ID number of contributing federal political committee. <b>C</b>                 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498377519813<br>Amount of Each Receipt this Period<br>82.08<br>P/R Deduction (\$82.08 Monthly) |
| Name of Employer Occupation<br>Caterpillar, Inc. Engine & Development Manager<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 888.93 |  |  |

|  |  |  |
|--|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Lisa A CREGER<br>Mailing Address Route 31, Montgomery IL<br>PO Box 348<br>City State Zip Code<br>Aurora IL 60507<br>FEC ID number of contributing federal political committee. <b>C</b> |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498387319813<br>Amount of Each Receipt this Period<br>20.00<br>P/R Deduction (\$20.00 Monthly) |
| Name of Employer Occupation<br>Caterpillar Master Black Belt<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ 220.00              |  |  |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>176.73</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 92 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. David L DAMERELL</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 4100 E Deer Hart Dr   |   | <b>Transaction ID: PR498428019813</b>             |                                 |
| City State Zip Code<br>Chillicothe IL 61523-9331  | Amount of Each Receipt this Period<br>_____ 50.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>NPI Program Manager                 |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00          |   | P/R Deduction (\$50.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Dennis M DAY</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 2204 Haniman Park Drive   |   | <b>Transaction ID: PR498463919813</b>             |                                 |
| City State Zip Code<br>Cary NC 27513-8353   | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Engineering Manager-3               |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Thomas Edward DAY</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 600 W Washington St   |   | <b>Transaction ID: PR498465719813</b>             |                                 |
| City State Zip Code<br>East Peoria IL 61611-2032  | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Business Resource Manager           |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>90.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 93 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. David G DEFREITAS</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 330 SW Adams  |  | <b>Transaction ID: PR498480419813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61602-1502                               | Amount of Each Receipt this Period<br>_____ 30.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar Inc.   | Occupation Sr. Business Resource Manager |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 330.00 |   |   |
|   |  | P/R Deduction (\$30.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Shankar V DESAI</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 11101 N Northtrail  |  | <b>Transaction ID: PR498494519813</b>             |   |
| City Dunlap   | State IL                                 | Zip Code 61525-9138                               | Amount of Each Receipt this Period<br>_____ 59.06 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Info Technology Manager-II    |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 601.33 |   |   |
|   |  | P/R Deduction (\$59.06 Monthly)                   |   |

|   |   |   |   |
|---|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Brian F DEVINE</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 10089 Birkmeier Rd  |   | <b>Transaction ID: PR498498119813</b>             |   |
| City Pekin  | State IL                                  | Zip Code 61554-8451                               | Amount of Each Receipt this Period<br>_____ 65.70 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Computer Svcs Applications Mgr |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 692.50  |   |   |
|   |   | P/R Deduction (\$65.70 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>154.76</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Roger L. DEVORE  
 Mailing Address 11617 North Glenshire Drive  
 City State Zip Code  
 Dunlap IL 61525-8810  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Caterpillar Inc. Occupation Business Resource Manager  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 330.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
**Transaction ID:** PR498499019813  
 Amount of Each Receipt this Period 30.00  
 P/R Deduction (\$30.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Thomas M DOOLITTLE  
 Mailing Address 1 Diamond Pt  
 City State Zip Code  
 Morton IL 61550-1186  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Caterpillar, Inc. Occupation Human Resources Manager  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
**Transaction ID:** PR498534419813  
 Amount of Each Receipt this Period 50.00  
 P/R Deduction (\$50.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Debra L DUZINSKAS  
 Mailing Address Regency Forest II  
 100 Regency Forest Drive - 3rd Flo  
 City State Zip Code  
 Cary NC 27511  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Caterpillar, Inc. Occupation Human Resources Manager  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 495.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
**Transaction ID:** PR498578819813  
 Amount of Each Receipt this Period 45.00  
 P/R Deduction (\$45.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 125.00  
**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 95 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |   |   |
|--|---|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Donald N ELDER<br>Mailing Address 15550 Nw 59Th Ave<br>City Miami Lakes State FL Zip Code 33014-6712<br>FEC ID number of contributing federal political committee. <b>C</b> |   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/><br><b>Transaction ID:</b> PR498609519813<br>Amount of Each Receipt this Period<br><input type="text"/> 25.00<br>P/R Deduction (\$25.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>Technical Training Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                   | Aggregate Year-to-Date ▼<br><input type="text"/> 275.00 |   |

|  |   |   |
|--|---|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Roger L ERICSSON<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/><br><b>Transaction ID:</b> PR498635819813<br>Amount of Each Receipt this Period<br><input type="text"/> 20.00<br>P/R Deduction (\$20.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>Security Systems Project Mgr<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼         | Aggregate Year-to-Date ▼<br><input type="text"/> 220.00 |   |

|  |   |   |
|--|---|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Michael EVANS<br>Mailing Address 1005 Dogwood Dr<br>City Washington State IL Zip Code 61571-2394<br>FEC ID number of contributing federal political committee. <b>C</b> |   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/><br><b>Transaction ID:</b> PR498649319813<br>Amount of Each Receipt this Period<br><input type="text"/> 47.24<br>P/R Deduction (\$47.24 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Marketing Recruiting/Dev Supv<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼      | Aggregate Year-to-Date ▼<br><input type="text"/> 519.64 |   |

|  |                            |
|--|----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 92.24 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>       |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |               |
|--|--|---------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 96 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |
|---|--|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Glen M FAUNTLEROY</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |
| Mailing Address 5116 Primrose Court   |  | <b>Transaction ID: PR498670519813</b>             |
| City State Zip Code<br>Peoria IL 61615-8920   | Amount of Each Receipt this Period<br>_____ 169.89 |   |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>CSTG General Manager                 |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 3224.64          | P/R Deduction (\$169.89 Monthly)                  |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Andrew Cameron FERGUSON</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |
| Mailing Address 330 SW Adams  |   | <b>Transaction ID: PR498678619813</b>             |
| City State Zip Code<br>Peoria IL 61602-1502   | Amount of Each Receipt this Period<br>_____ 79.74 |   |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Dealer Capability Manager           |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 877.14          | P/R Deduction (\$79.74 Monthly)                   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Joel D FEUCHT</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |
| Mailing Address 100 N.E. Adams Street   |   | <b>Transaction ID: PR498684619813</b>             |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 89.21 |   |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Strategic Sourcing Manager          |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 981.31          | P/R Deduction (\$89.21 Monthly)                   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>338.84</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 97 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |  |
|---|---|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Bart FINN  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498692319813 |  |
| Mailing Address 2104 Brookstone Drive   |   | Amount of Each Receipt this Period<br>24.48                                |  |
| City State Zip Code<br>Mount Juliet TN 37122-3280   | FEC ID number of contributing federal political committee.<br>C |  |  |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Sales/Rental/Used Opers Rep                       |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>269.28                              |  |  |
|   |   | P/R Deduction (\$24.48 Monthly)  |  |

|   |   |  |  |
|---|---|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Michael R FIRMAND  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498693919813 |  |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348   |   | Amount of Each Receipt this Period<br>20.00                                |  |
| City State Zip Code<br>Aurora IL 60507  | FEC ID number of contributing federal political committee.<br>C |  |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Master Black Belt                                 |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00                              |  |  |
|   |   | P/R Deduction (\$20.00 Monthly)  |  |

|   |   |  |  |
|---|---|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Gregory Scott FOLLEY   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498716719813 |  |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>228.47                               |  |
| City State Zip Code<br>Peoria IL 61629-0001   | FEC ID number of contributing federal political committee.<br>C |  |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Director of Comp + Benefits                       |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>2513.17                             |  |  |
|   |   | P/R Deduction (\$228.47 Monthly)   |  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 272.95 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 98 / 167                |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Thomas C FRAUTSCHY   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498744819813 |                                 |
| Mailing Address 100 NE Adams  |                                    | Amount of Each Receipt this Period<br>50.00                                |                                 |
| City Peoria   | State IL                           | Zip Code 61629-0001  | P/R Deduction (\$50.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Regional Mgr            |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>550.00 |  |                                 |

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>David FREDERICK  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498746819813 |                                 |
| Mailing Address 3426 W Capitol Dr   |                                    | Amount of Each Receipt this Period<br>30.00                                |                                 |
| City Peoria   | State IL                           | Zip Code 61614-2353  | P/R Deduction (\$30.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |                                 |
| Name of Employer Caterpillar Inc.   | Occupation IT Manager              |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>330.00 |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Richard FROW   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498761619813 |                                 |
| Mailing Address 1201 S. Fourth Ave  |  | Amount of Each Receipt this Period<br>40.00                                |                                 |
| City Morton   | State IL                               | Zip Code 61550-2860  | P/R Deduction (\$40.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |  |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Info Technology Manager-III |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>440.00     |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 120.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |               |
|--|---|---------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 99 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |               |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |               |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |                                 |
|---|--|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. David L FUELBERTH</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address P O Box 600<br>Illinois Route 29  |  | <b>Transaction ID: PR498764919813</b>             |                                 |
| City State Zip Code<br><b>Mossville IL 61552-0600</b>   | Amount of Each Receipt this Period<br>_____ <b>83.32</b> |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Technical Services Manager                 |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ <b>916.52</b>          |   | P/R Deduction (\$83.32 Monthly) |

|   |  |   |                                 |
|---|--|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Robert W GADDIS</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR498776619813</b>             |                                 |
| City State Zip Code<br><b>Peoria IL 61629-0001</b>  | Amount of Each Receipt this Period<br>_____ <b>58.40</b> |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Country Manager                            |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ <b>642.40</b>          |   | P/R Deduction (\$58.40 Monthly) |

|   |  |   |                                 |
|---|--|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Lawrence W GASS</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 4416 Jewelwood  |  | <b>Transaction ID: PR498800419813</b>             |                                 |
| City State Zip Code<br><b>Peoria IL 61615-8932</b>  | Amount of Each Receipt this Period<br>_____ <b>25.00</b> |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Master Black Belt                          |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ <b>275.00</b>          |   | P/R Deduction (\$25.00 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>166.72</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 167  
(check only one)

|                                     |     |                          |     |                          |     |                          |    |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 |
| <input type="checkbox"/>            | 13  | <input type="checkbox"/> | 14  | <input type="checkbox"/> | 15  | <input type="checkbox"/> | 16 |
|                                     |     |                          |     |                          |     |                          | 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Gregory S GAUGER

Mailing Address P O Box 600  
Illinois Route 29

City State Zip Code  
Mossville IL 61552-0600

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Product Manager - 3400

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 2096.27

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR498802619813

Amount of Each Receipt this Period  
190.57

P/R Deduction (\$190.57 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Justin Scott GIBBONS

Mailing Address 1730 Pennsylvania Ave NW, Suite 75

City State Zip Code  
Washington DC 20006-4706

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Washington Operations Manager

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 578.38

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR498822219813

Amount of Each Receipt this Period  
52.58

P/R Deduction (\$52.58 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Steven James GIBSON

Mailing Address P O Box 0610

City State Zip Code  
Mossville IL 61552-6100

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Indirect/Direct Manager

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 777.81

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR498825619813

Amount of Each Receipt this Period  
70.71

P/R Deduction (\$70.71 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **313.86**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |                              |                             |                             |                             |                             |                             |
|--|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:                        | PAGE 101 / 167               |                              |                             |                             |                             |                             |                             |
|  | (check only one)                        |                              |                              |                             |                             |                             |                             |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                        |  |                                 |
|---|------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Jonathan D GINZEL  |                        | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498838819813 |                                 |
| Mailing Address 100 NE Adams  |                        | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Peoria   | State IL               | Zip Code 61629-0001  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                        | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar  | Occupation Sr Attorney |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                        |  |                                 |

|   |                                  |  |                                 |
|---|----------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Regg A GLAWE   |                                  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498842319813 |                                 |
| Mailing Address P O Box 600<br>Illinois Route 29  |                                  | Amount of Each Receipt this Period<br>55.28                                |                                 |
| City Mossville  | State IL                         | Zip Code 61552-0600  | P/R Deduction (\$55.28 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                  | Aggregate Year-to-Date ▼<br>608.08   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Engineering Manager-1 |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                  |  |                                 |

|   |                               |  |                                 |
|---|-------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> James P GLENNON  |                               | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR498844019813 |                                 |
| Mailing Address 3537 Layton Ridge Rd  |                               | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Apex   | State NC                      | Zip Code 27539-6837  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                               | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Commercial Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                               |  |                                 |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 95.28 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]   |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 102 / 167               |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/>   | <input type="checkbox"/>     | <input type="checkbox"/>     |
| <input type="checkbox"/>   | <input type="checkbox"/>     | <input type="checkbox"/>     |
| <input type="checkbox"/>   | <input type="checkbox"/>     | <input type="checkbox"/>     |
| <input type="checkbox"/>   | <input type="checkbox"/>     | <input type="checkbox"/>     |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |          |   |  |
|---|----------|---|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Terry Allen GOFF   |          | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/> |  |
| Mailing Address 1730 Pennsylvania Ave NW<br>Suite 750   |          | <b>Transaction ID:</b> PR498852719813   |  |
| City Washington   | State DC | Amount of Each Receipt this Period<br><input type="text"/> 79.13                      |  |
| Zip Code 20006-4709   |          |   |  |
| FEC ID number of contributing federal political committee. <b>C</b> <input type="text"/>  |          |   |  |
| Name of Employer Caterpillar, Inc.  |          | Occupation Special Assignment   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Aggregate Year-to-Date ▼<br><input type="text"/> 870.43                               |  |
|   |          | P/R Deduction (\$79.13 Monthly)   |  |

|   |          |   |  |
|---|----------|---|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Stephen Lewis GOLDMAN  |          | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/> |  |
| Mailing Address 100 NE Adams  |          | <b>Transaction ID:</b> PR498856519813   |  |
| City Peoria   | State IL | Amount of Each Receipt this Period<br><input type="text"/> 40.00                      |  |
| Zip Code 61629-0001   |          |   |  |
| FEC ID number of contributing federal political committee. <b>C</b> <input type="text"/>  |          |   |  |
| Name of Employer Caterpillar, Inc.  |          | Occupation Medical Director   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Aggregate Year-to-Date ▼<br><input type="text"/> 440.00                               |  |
|   |          | P/R Deduction (\$40.00 Monthly)   |  |

|   |          |   |  |
|---|----------|---|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Daniel S GOVE  |          | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/> |  |
| Mailing Address Old Galena Rd<br>Cedar Hills Dr   |          | <b>Transaction ID:</b> PR498873919813   |  |
| City Mossville  | State IL | Amount of Each Receipt this Period<br><input type="text"/> 59.16                      |  |
| Zip Code 61552  |          |   |  |
| FEC ID number of contributing federal political committee. <b>C</b> <input type="text"/>  |          |   |  |
| Name of Employer Caterpillar, Inc.  |          | Occupation Commercial Manager   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |          | Aggregate Year-to-Date ▼<br><input type="text"/> 650.76                               |  |
|   |          | P/R Deduction (\$59.16 Monthly)   |  |

|  |                             |
|--|-----------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> 178.29 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 103 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |  |
|--|------------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Margaret L GREER<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR498902519813</b><br>Amount of Each Receipt this Period<br>25.00 |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Regional Center Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼         | Aggregate Year-to-Date ▼<br>275.00 | P/R Deduction (\$25.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Jeffrey J GUTZWILLER<br>Mailing Address P O Box 0610<br>City Mossville State IL Zip Code 61552-6100<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR498937219813</b><br>Amount of Each Receipt this Period<br>18.98 |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Engineering Manager-1<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                  | Aggregate Year-to-Date ▼<br>208.78 | P/R Deduction (\$18.98 Monthly)  |

|  |                                    |  |
|--|------------------------------------|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>John M HADANK<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b>  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR498942919813</b><br>Amount of Each Receipt this Period<br>30.00 |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Engineering Technical Steward<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>255.00 | P/R Deduction (\$30.00 Monthly)  |

|  |              |
|--|--------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>73.98</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |              |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
John P HALL

Mailing Address 137 Bobby Dr

City State Zip Code  
Garner NC 27529-7959

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation NPI Manager-Non-Supv

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 534.82

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR49895019813

Amount of Each Receipt this Period  
48.62

P/R Deduction (\$48.62 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Bradley M HALVERSON

Mailing Address 1456 Ne Windermere Dr

City State Zip Code  
Tremont IL 61568-9782

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Corp Business Development Mgr

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 2793.12

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR498961819813

Amount of Each Receipt this Period  
253.92

P/R Deduction (\$253.92 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Corey B HANBACK

Mailing Address P O Box 600  
Illinois Route 29

City State Zip Code  
Mossville IL 61552-0600

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Sales Manager

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR498972119813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **322.54**

**TOTAL** This Period (last page this line number only) ..... ►



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 105 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Andrea HART</b>  |   | Date of Receipt  |
| Mailing Address 102 Cedar Dr  |   | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State   | Zip Code   |
| Clinton   | IL  | 61727-2493   |
| FEC ID number of contributing federal political committee.  |   | <b>Transaction ID:</b> PR499012919813                              |
| C <input type="text"/>  |   | Amount of Each Receipt this Period                                 |
|   |   | <input type="text"/> 31.51   |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Operations Supervisor                     | P/R Deduction (\$31.51 Monthly)                                    |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> 346.61 |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Jennifer HARTSOCK</b>  |   | Date of Receipt  |
| Mailing Address 317 Hickory Turn  |   | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State   | Zip Code   |
| Goodfield   | IL  | 61742-9703   |
| FEC ID number of contributing federal political committee.  |   | <b>Transaction ID:</b> PR499017919813                              |
| C <input type="text"/>  |   | Amount of Each Receipt this Period                                 |
|   |   | <input type="text"/> 21.03   |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>6 Sigma Black Belt-1                      | P/R Deduction (\$21.03 Monthly)                                    |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> 231.33 |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Guy HATHAWAY</b>   |   | Date of Receipt  |
| Mailing Address 1641 Glenview Drive   |   | <input type="text"/> / <input type="text"/> / <input type="text"/> |
| City  | State   | Zip Code   |
| East Peoria   | IL  | 61611-9743   |
| FEC ID number of contributing federal political committee.  |   | <b>Transaction ID:</b> PR499028019813                              |
| C <input type="text"/>  |   | Amount of Each Receipt this Period                                 |
|   |   | <input type="text"/> 56.31   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Unit Manager - Assembly                   | P/R Deduction (\$56.31 Monthly)                                    |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> 619.41 |  |

|  |                                    |
|--|------------------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <input type="text"/> <b>108.85</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... | <input type="text"/>               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 106 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Dennis HAUSMAN</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 24639 Lincolnway St.  |  | <b>Transaction ID: PR499030819813</b>             |   |
| City<br>Plainfield  | State<br>IL                              | Zip Code<br>60544-2413                            | Amount of Each Receipt this Period<br>_____ 30.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Engineering Manager-1      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 330.00 |   |   |
|   |  | P/R Deduction (\$30.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Robert HEITZMAN</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 504 Carriage Hill   |  | <b>Transaction ID: PR499058719813</b>             |   |
| City<br>Simpsonville  | State<br>SC                              | Zip Code<br>29681-5279                            | Amount of Each Receipt this Period<br>_____ 50.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Facility Manager           |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00 |   |   |
|   |  | P/R Deduction (\$50.00 Monthly)                   |   |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. John S HELLER</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR499060219813</b>             |  |
| City<br>Peoria  | State<br>IL                               | Zip Code<br>61629-0001                            | Amount of Each Receipt this Period<br>_____ 200.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Vice President              |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 2200.00 |   |  |
|   |   | P/R Deduction (\$200.00 Monthly)                  |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>280.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 107 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |                                 |
|---|---|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Susan I HEUN</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499088419813 |                                 |
| Mailing Address 500 N Morton Ave  |   | Amount of Each Receipt this Period<br>50.00                                |                                 |
| City Morton   | State IL                                | Zip Code 61550-1527  | P/R Deduction (\$50.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | Aggregate Year-to-Date<br>550.00   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Cat Distribution Services GM |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>550.00      |  |                                 |

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Roy HEUSSNER</b>   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499088619813 |                                 |
| Mailing Address 121 Dunbar Ave  |                                    | Amount of Each Receipt this Period<br>69.61                                |                                 |
| City Corinth  | State MS                           | Zip Code 38834-8651  | P/R Deduction (\$69.61 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    | Aggregate Year-to-Date<br>765.71   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Facility Manager        |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>765.71 |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. James W HIGDON</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499095219813 |                                 |
| Mailing Address 70 Winnebago Court  |  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Sparland   | State IL                                 | Zip Code 61565-9465  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date<br>220.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Mgr, JVS/Mergers/Acquisitions |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00       |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 139.61 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 108 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Kathryn Suzanne HIMES</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR499107419813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 45.59 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Governmental Affairs Rep      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 501.49 |   |   |
|   |  | P/R Deduction (\$45.59 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. ERIC HINTON</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 5512 N WESTERN AVE  |  | <b>Transaction ID: PR499112819813</b>             |   |
| City PEORIA   | State IL                                 | Zip Code 61614-4036                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation ATTORNEY                      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |
|   |  | P/R Deduction (\$25.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Barbara Jean HODEL</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 500 N. Morton Ave.  |  | <b>Transaction ID: PR499121019813</b>             |   |
| City Morton   | State IL                                 | Zip Code 61550-1527                               | Amount of Each Receipt this Period<br>_____ 88.06 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Software Alliance Manager     |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 968.66 |   |   |
|   |  | P/R Deduction (\$88.06 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | _____ <b>158.65</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Arthur B HOLLAND</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 600 W Washington St   |   | <b>Transaction ID: PR499137619813</b>             |  |
| City State Zip Code<br>East Peoria IL 61611-2032  | Amount of Each Receipt this Period<br>_____ 52.64 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$52.64 Monthly)                   |   |  |
| Name of Employer Occupation<br>Caterpillar, Inc. Engineering Manager-1  | Aggregate Year-to-Date ▼<br>_____ 579.04          |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Sandra L HOSKINS</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 27Th & Pershing Rd  |   | <b>Transaction ID: PR499167219813</b>             |  |
| City State Zip Code<br>Decatur IL 62526   | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$20.00 Monthly)                   |   |  |
| Name of Employer Occupation<br>Caterpillar NPI Program Manager  | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Dennis H HUBER</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 2201 W Leyna Dr   |   | <b>Transaction ID: PR499181919813</b>             |  |
| City State Zip Code<br>Dunlap IL 61525-9598   | Amount of Each Receipt this Period<br>_____ 30.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$30.00 Monthly)                   |   |  |
| Name of Employer Occupation<br>Caterpillar Inc. Business Resource Manager   | Aggregate Year-to-Date ▼<br>_____ 330.00          |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>102.64</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 110 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Randall HUBER

Mailing Address 5616 Dorita Ln

City State Zip Code  
Dunlap IL 61525-9728

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Technical Manager - 3300

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 657.91

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499182819813

Amount of Each Receipt this Period  
59.81

P/R Deduction (\$59.81 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Dave C HUDSON

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Manager, Commercial Mining

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 578.27

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499184819813

Amount of Each Receipt this Period  
52.57

P/R Deduction (\$52.57 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Joseph HUTT

Mailing Address 112 Fawn Ct.

City State Zip Code  
Washington IL 61571-1084

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Inc. Occupation 6 Sigma Black Belt-2

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499215019813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>132.38</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 112 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                       |  |                                 |
|---|---------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> David A KACYNSKI   |                                       | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499327319813 |                                 |
| Mailing Address 100 NE Adams  |                                       | Amount of Each Receipt this Period<br>50.00                                |                                 |
| City Peoria   | State IL                              | Zip Code 61629-0001  | P/R Deduction (\$50.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                       | Aggregate Year-to-Date ▼<br>550.00   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Managing Dir Multi-Country |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                       |  |                                 |

|   |                                  |  |                                 |
|---|----------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> John J KAISER  |                                  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499330419813 |                                 |
| Mailing Address 500 N Morton Ave  |                                  | Amount of Each Receipt this Period<br>25.00                                |                                 |
| City Morton   | State IL                         | Zip Code 61550-1527  | P/R Deduction (\$25.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                  | Aggregate Year-to-Date ▼<br>275.00   |                                 |
| Name of Employer Caterpillar  | Occupation Commercial Supervisor |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                  |  |                                 |

|   |                       |  |                                 |
|---|-----------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Ramesh KARUMANCHI  |                       | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499337119813 |                                 |
| Mailing Address 111 Raphael Drive   |                       | Amount of Each Receipt this Period<br>30.00                                |                                 |
| City Cary   | State NC              | Zip Code 27511-6015  | P/R Deduction (\$30.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                       | Aggregate Year-to-Date ▼<br>330.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation IT Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                       |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 105.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

|                                     |     |                          |     |
|-------------------------------------|-----|--------------------------|-----|
| FOR LINE NUMBER: PAGE 113 / 167     |     |                          |     |
| (check only one)                    |     |                          |     |
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b |
| <input type="checkbox"/>            | 13  | <input type="checkbox"/> | 14  |
| <input type="checkbox"/>            |     | <input type="checkbox"/> | 11c |
| <input type="checkbox"/>            |     | <input type="checkbox"/> | 12  |
| <input type="checkbox"/>            |     | <input type="checkbox"/> | 16  |
| <input type="checkbox"/>            |     | <input type="checkbox"/> | 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Matthew T KEELEY

Mailing Address Route 31, Montgomery IL  
PO Box 348

City Aurora State IL Zip Code 60507

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Technical Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
735.35

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499345919813

Amount of Each Receipt this Period  
66.85

P/R Deduction (\$66.85 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Richard M KEITH

Mailing Address 100 Ne Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Systems Development Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499350519813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Keith Douglas KINSER

Mailing Address 2120 West End Avenue  
P O Box 340001

City Nashville State TN Zip Code 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Power Ventures Tax Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
518.21

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499399919813

Amount of Each Receipt this Period  
47.11

P/R Deduction (\$47.11 Monthly)

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>133.96</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |                              |                             |
|--|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 114 / 167               |                              |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Pamela S KNOLLENBERG</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 330 SW Adams  |  | <b>Transaction ID: PR499423119813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61602-1502                               | Amount of Each Receipt this Period<br>_____ 48.65 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  | P/R Deduction (\$48.65 Monthly)                   |   |
| Name of Employer Caterpillar, Inc.  | Occupation 6 Sigma Black Belt-2          |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 535.15 |   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Travis Roy KNOX</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 307 n willis st #a  |  | <b>Transaction ID: PR499425319813</b>             |   |
| City Heyworth   | State IL                                 | Zip Code 61745-8963                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  | P/R Deduction (\$25.00 Monthly)                   |   |
| Name of Employer Caterpillar  | Occupation Operations Supervisor         |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Linda K KRAFTZENK</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR499445219813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  | P/R Deduction (\$20.00 Monthly)                   |   |
| Name of Employer Caterpillar Inc.   | Occupation Special Assignment            |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>93.65</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 115 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
John KREUSER

Mailing Address 10521 N. Osage Court

City Peoria State IL Zip Code 61615-8805

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Sr. Business Resource Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 987.80

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499451019813

Amount of Each Receipt this Period  
89.80

P/R Deduction (\$89.80 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Craig Allan KROEGER

Mailing Address P O Box 600 Illinois Route 29

City Mossville State IL Zip Code 61552-0600

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Technical Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499452619813

Amount of Each Receipt this Period  
40.00

P/R Deduction (\$40.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Steven M KRONE

Mailing Address 5016 Checkers Lane

City Lafayette State IN Zip Code 47905-7827

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Inc. Occupation Facilities Engineering Mgr

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 275.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499454119813

Amount of Each Receipt this Period  
25.00

P/R Deduction (\$25.00 Monthly)

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>154.80</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 116 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Mark A KUMPF</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 125 Woodcrest Dr  |   | <b>Transaction ID: PR499461919813</b>             |  |
| City Morton State IL Zip Code 61550-3105  | Amount of Each Receipt this Period<br>_____ 59.77 |   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   | P/R Deduction (\$59.77 Monthly)                   |   |  |
| Name of Employer Caterpillar, Inc. Occupation Pckg & Proc Cntl Mgr  | Aggregate Year-to-Date ▼<br>_____ 657.47          |   |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ |   |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Julie LAGACY</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 732 N Oklahoma Ave  |   | <b>Transaction ID: PR499474819813</b>             |  |
| City Morton State IL Zip Code 61550-2333  | Amount of Each Receipt this Period<br>_____ 70.08 |   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   | P/R Deduction (\$70.08 Monthly)                   |   |  |
| Name of Employer Caterpillar, Inc. Occupation Human Resources Manager   | Aggregate Year-to-Date ▼<br>_____ 770.88          |   |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ |   |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Thomas S LANE</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 4 Roth Ct   |   | <b>Transaction ID: PR499493019813</b>             |  |
| City Metamora State IL Zip Code 61548-9216  | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   | P/R Deduction (\$20.00 Monthly)                   |   |  |
| Name of Employer Caterpillar Inc. Occupation Engineering Technical Steward  | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ |   |   |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>149.85</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 117 / 167               |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 12  |
|  |                              | <input type="checkbox"/> 16  |
|  |                              | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Charles D LAURENTI</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 10419 N. Harvest Ct.  |  | <b>Transaction ID: PR499509019813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61615-8842                               | Amount of Each Receipt this Period<br>_____ 40.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Process Champion              |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 440.00 |   |   |
|   |  | P/R Deduction (\$40.00 Monthly)                   |   |

|   |   |   |   |
|---|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Bryan A LAVEZZI</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address P. O. Box 1875<br>Old Galena Rd   |   | <b>Transaction ID: PR499510319813</b>             |   |
| City Mossville  | State IL                                  | Zip Code 61552-0875                               | Amount of Each Receipt this Period<br>_____ 53.59 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Sr Engineering Proj Team Leadr |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 586.02  |   |   |
|   |   | P/R Deduction (\$53.59 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Chasel P LAWRENCE</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 1709 Renolda Ct   |  | <b>Transaction ID: PR499512019813</b>             |   |
| City Raleigh  | State NC                                 | Zip Code 27603-9347                               | Amount of Each Receipt this Period<br>_____ 69.53 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Information Services Manager  |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 744.49 |   |   |
|   |  | P/R Deduction (\$69.53 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>163.12</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |                |
|--|--|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 118 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Sean P LEUBA</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 Ne Adams  |   | <b>Transaction ID: PR499545619813</b>             |                                 |
| City Peoria      State IL      Zip Code 61629-0001  | Amount of Each Receipt this Period<br>_____ 50.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Sr Mgr, Strategic Investments          |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00          |   | P/R Deduction (\$50.00 Monthly) |

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Stuart L LEVENICK</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR499546719813</b>             |                                  |
| City Peoria      State IL      Zip Code 61629-0001  | Amount of Each Receipt this Period<br>_____ 400.00 |   |                                  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  |   |                                  |
| Name of Employer Caterpillar  | Occupation Group President                         |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 4400.00          |   | P/R Deduction (\$400.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Terri LEWIS</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address P O Box 600<br>Illinois Route 29  |   | <b>Transaction ID: PR499553319813</b>             |                                 |
| City Mossville      State IL      Zip Code 61552-0600   | Amount of Each Receipt this Period<br>_____ 35.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation NPI Program Manager                    |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 385.00          |   | P/R Deduction (\$35.00 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>485.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 167

(check only one)

|   |                              |                              |   |
|---|------------------------------|------------------------------|---|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12                             |
| <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)

The Caterpillar Employee Political Action Committee

|  |  |  |
|--|--|--|
| <p><b>A.</b> Full Name (Last, First, Middle Initial)<br/>Alan H LONN</p> <p>Mailing Address 600 W Washington St</p> <p>City State Zip Code<br/>East Peoria IL 61611-2032</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer<br/>Caterpillar Inc.</p> <p>Occupation<br/>Engineering Manager-2</p> <p>Receipt For:<br/><input type="checkbox"/> Primary <input type="checkbox"/> General<br/><input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼<br/>330.00</p> |  | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR499588619813</p> <p>Amount of Each Receipt this Period<br/>30.00</p> <p>P/R Deduction (\$30.00 Monthly)</p> |
|--|--|--|

|  |  |  |
|--|--|--|
| <p><b>B.</b> Full Name (Last, First, Middle Initial)<br/>Edward D LUKE</p> <p>Mailing Address 2120 West End Avenue<br/>P O Box 340001</p> <p>City State Zip Code<br/>Nashville TN 37203-5251</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer<br/>Caterpillar</p> <p>Occupation<br/>Sr Information Tech Specialist</p> <p>Receipt For:<br/><input type="checkbox"/> Primary <input type="checkbox"/> General<br/><input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼<br/>220.00</p> |  | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR499608119813</p> <p>Amount of Each Receipt this Period<br/>20.00</p> <p>P/R Deduction (\$20.00 Monthly)</p> |
|--|--|--|

|   |  |  |
|---|--|--|
| <p><b>C.</b> Full Name (Last, First, Middle Initial)<br/>Bradley LUTTRELL</p> <p>Mailing Address 6207 Stafford Street</p> <p>City State Zip Code<br/>Plainfield IL 60586-1763</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer<br/>Caterpillar, Inc.</p> <p>Occupation<br/>Manufacturing Trainee II</p> <p>Receipt For:<br/><input type="checkbox"/> Primary <input type="checkbox"/> General<br/><input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼<br/>407.66</p> |  | <p>Date of Receipt<br/>M M / D D / Y Y Y Y Y</p> <p><b>Transaction ID:</b> PR499612819813</p> <p>Amount of Each Receipt this Period<br/>37.06</p> <p>P/R Deduction (\$37.06 Monthly)</p> |
|---|--|--|

**SUBTOTAL** of Receipts This Page (optional) ..... ►

**87.06**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 120 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Donald J MAHANNAH

Mailing Address 100 Ne Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Engineering Manager-3

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499629819813

Amount of Each Receipt this Period  
50.00

P/R Deduction (\$50.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Mark MANNING

Mailing Address 2656 Golf Island Road

City Ellicott City State MD Zip Code 21042-2287

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Customer Business Center Mgr

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499643519813

Amount of Each Receipt this Period  
50.00

P/R Deduction (\$50.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Dennis E MARSH

Mailing Address P O Box 0610

City Mossville State IL Zip Code 61552-6100

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Business Support Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR499657719813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **120.00**

**TOTAL** This Period (last page this line number only) ..... ►



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |  |                |
|--|--|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 121 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. David MASTERSON</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address c/o Cat Financial<br>Attn: HR 3rd floor   |   | <b>Transaction ID: PR499684919813</b>             |                                 |
| City Nashville      State TN      Zip Code 37203  | Amount of Each Receipt this Period<br>_____ 20.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Senior Corporate Attorney              |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   | P/R Deduction (\$20.00 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Paul MATHES</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 10430 N Osage Court   |   | <b>Transaction ID: PR499686419813</b>             |                                 |
| City Peoria      State IL      Zip Code 61615-8802  | Amount of Each Receipt this Period<br>_____ 66.15 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Special Assignment-6 Sigma             |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 693.23          |   | P/R Deduction (\$66.15 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. James P MATTEO</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 100 N.E. Adams Street   |   | <b>Transaction ID: PR499691019813</b>             |                                 |
| City Peoria      State IL      Zip Code 61629-0001  | Amount of Each Receipt this Period<br>_____ 93.14 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Product Manager                        |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 992.86          |   | P/R Deduction (\$93.14 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>179.29</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |                              |                             |
|--|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 122 / 167               |                              |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Thomas A MCCAULEY</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 600 W Washington St   |   | <b>Transaction ID: PR499712119813</b>             |  |
| City State Zip Code<br>East Peoria IL 61611-2032  | Amount of Each Receipt this Period<br>_____ 25.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$25.00 Monthly)                   |   |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Engineering Manager-1               |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00          |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Lisa MCGONAGLE</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 1641 Glenview Dr  |   | <b>Transaction ID: PR499732119813</b>             |  |
| City State Zip Code<br>East Peoria IL 61611-9743  | Amount of Each Receipt this Period<br>_____ 31.10 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$31.10 Monthly)                   |   |  |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Advnd Sched Anl                     |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 333.16          |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Brett A MCQUERN</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 107 South Chase Blvd  |   | <b>Transaction ID: PR499772419813</b>             |  |
| City State Zip Code<br>Fountain Inn SC 29644-9019   | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee.<br>C _____   | P/R Deduction (\$20.00 Monthly)                   |   |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Regional Center Manager             |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>76.10</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 123 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |  |
|---|---|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Ronald C MICHAELSON  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y |  |
| Mailing Address Route 31, Montgomery IL<br>PO Box 348   |   | <b>Transaction ID:</b> PR499808319813    |  |
| City Aurora State IL Zip Code 60507   | Amount of Each Receipt this Period<br>20.00 |  |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | P/R Deduction (\$20.00 Monthly)          |  |
| Name of Employer Caterpillar Occupation IT Manager  | Aggregate Year-to-Date ▼<br>220.00          |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |  |

|   |   |  |  |
|---|---|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Eric James MICKEL  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID:</b> PR499809419813    |  |
| City Peoria State IL Zip Code 61629-0001  | Amount of Each Receipt this Period<br>20.00 |  |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | P/R Deduction (\$20.00 Monthly)          |  |
| Name of Employer Caterpillar Occupation Business Resource Manager   | Aggregate Year-to-Date ▼<br>220.00          |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |  |

|   |   |  |  |
|---|---|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Sonya MILES  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y |  |
| Mailing Address 2208 W Newman Pkwy  |   | <b>Transaction ID:</b> PR499815319813    |  |
| City Peoria State IL Zip Code 61604-2308  | Amount of Each Receipt this Period<br>50.00 |  |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | P/R Deduction (\$50.00 Monthly)          |  |
| Name of Employer Caterpillar Inc. Occupation Information Services Manager   | Aggregate Year-to-Date ▼<br>250.00          |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |  |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 90.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |       |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Anthony Duane MILLER

Mailing Address 501 Cardinal Drive

City State Zip Code  
Corinth MS 38834-6303

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Facility Manager

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 775.96

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR499817219813

Amount of Each Receipt this Period  
70.76

P/R Deduction (\$70.76 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Kelsey L MILMAN

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Intellectual Property Attorney

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR499840119813

Amount of Each Receipt this Period  
20.00

P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Patrick F MOHRMAN

Mailing Address P O Box 600  
Illinois Route 29

City State Zip Code  
Mossville IL 61552-0600

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation NPI Manager

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 603.18

Date of Receipt  
M M / D D / Y Y Y Y Y  
Transaction ID: PR499859719813

Amount of Each Receipt this Period  
57.35

P/R Deduction (\$57.35 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **148.11**

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 125 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Michael T MOLL</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 137 Knollcrest Ave  |  | <b>Transaction ID: PR499860419813</b>             |   |
| City Morton   | State IL                                 | Zip Code 61550-3115                               | Amount of Each Receipt this Period<br>_____ 59.39 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Senior Buyer                  |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 641.62 |   |   |
|   |  | P/R Deduction (\$59.39 Monthly)                   |   |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Erec MONTGOMERY</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 4706 W Pendleton Pl   |   | <b>Transaction ID: PR499867419813</b>             |  |
| City Peoria   | State IL                                  | Zip Code 61615-2841                               | Amount of Each Receipt this Period<br>_____ 105.11 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |  |
| Name of Employer Caterpillar Inc.   | Occupation Senior IT Supervisor           |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 1093.89 |   |  |
|   |   | P/R Deduction (\$105.11 Monthly)                  |  |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Kimberly Dean MONTGOMERY</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 2120 West End Avenue<br>P O Box 340001  |  | <b>Transaction ID: PR499867919813</b>             |   |
| City Nashville  | State TN                                 | Zip Code 37203-5251                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar Inc.   | Occupation Business Systems Analyst      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |
|   |  | P/R Deduction (\$25.00 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>189.50</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                |
|--|---|----------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 126 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Jeffrey A MOORE</b>  |   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/> |  |
| Mailing Address P O Box 600<br>Illinois Route 29  |   | <b>Transaction ID: PR499876919813</b>   |  |
| City State Zip Code<br><b>Mossville IL 61552-0600</b>   | Amount of Each Receipt this Period<br><input type="text"/> <b>59.35</b> |   |  |
| FEC ID number of contributing federal political committee.<br><input type="text"/> <b>C</b>                                     |   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Engineering Manager-2                                     |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> <b>632.85</b>          |   |  |
|   |   | P/R Deduction (\$59.35 Monthly)   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. William Charles MORRIS</b>   |   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/> |  |
| Mailing Address P O Box 600<br>Illinois Route 29  |   | <b>Transaction ID: PR499898619813</b>   |  |
| City State Zip Code<br><b>Mossville IL 61552-0600</b>   | Amount of Each Receipt this Period<br><input type="text"/> <b>74.41</b> |   |  |
| FEC ID number of contributing federal political committee.<br><input type="text"/> <b>C</b>                                     |   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Technical Manager - 3400                                  |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> <b>788.97</b>          |   |  |
|   |   | P/R Deduction (\$74.41 Monthly)   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Michael E MOSLEY</b>   |   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/> |  |
| Mailing Address 69 Ashford Way  |   | <b>Transaction ID: PR499906819813</b>   |  |
| City State Zip Code<br><b>Griffin GA 30224-8847</b>   | Amount of Each Receipt this Period<br><input type="text"/> <b>56.85</b> |   |  |
| FEC ID number of contributing federal political committee.<br><input type="text"/> <b>C</b>                                     |   |   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Human Resources Manager                                   |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br><input type="text"/> <b>598.25</b>          |   |  |
|   |   | P/R Deduction (\$56.85 Monthly)   |  |

|  |                                    |
|--|------------------------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | <input type="text"/> <b>190.61</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | <input type="text"/>               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 127 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Benny J MOTEN

Mailing Address 12909 N Georgetowne Rd

City Dunlap State IL Zip Code 61525-9469

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Procurement Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 624.69

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID: PR499909319813**

Amount of Each Receipt this Period  
56.79

P/R Deduction (\$56.79 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Sherri R MOWBRAY

Mailing Address 9921 W Buckhaven Ct

City Mapleton State IL Zip Code 61547-9542

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Business Support Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 546.12

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID: PR499912819813**

Amount of Each Receipt this Period  
50.91

P/R Deduction (\$50.91 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Richard V MUNDAY

Mailing Address 701 Waterford Way Suite 200

City Miami State FL Zip Code 33126-4670

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Regional Mining Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 916.63

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID: PR499921119813**

Amount of Each Receipt this Period  
83.33

P/R Deduction (\$83.33 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **191.03**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 128 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Randy NASH</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 510 Hampton Ct.   |   | <b>Transaction ID: PR499945219813</b>             |                                 |  |
| City State Zip Code<br>Metamora IL 61548-9485   | Amount of Each Receipt this Period<br>_____ 56.31 |   | P/R Deduction (\$56.31 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | _____   |                                 |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>On-Site Technical Services Mgr      | Aggregate Year-to-Date ▼<br>_____ 617.17          |                                 |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                 |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Kimberly S NEIBLE</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR499953819813</b>             |                                 |  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 47.00 |   | P/R Deduction (\$47.00 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | _____   |                                 |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Marketing & Brand Mgmt Manager      | Aggregate Year-to-Date ▼<br>_____ 492.64          |                                 |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                 |  |

|   |  |   |                                  |  |
|---|--|---|----------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Tamera J NELSON</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |  |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR499961819813</b>             |                                  |  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 100.00 |   | P/R Deduction (\$100.00 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | _____   |                                  |  |
| Name of Employer<br>Caterpillar   | Occupation<br>Engineering & Tech Res Mgr           | Aggregate Year-to-Date ▼<br>_____ 1100.00         |                                  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |   |                                  |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>203.31</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 129 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                       |  |  |
|---|---------------------------------------|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>David A NEWMAN   |                                       | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499971219813 |  |
| Mailing Address 1919 Chestnut Ln  |                                       | Amount of Each Receipt this Period<br>57.38                                |  |
| City Washington   | State IL                              | Zip Code 61571-9684  |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                       | P/R Deduction (\$57.38 Monthly)  |  |
| Name of Employer Caterpillar, Inc.  | Occupation Ast Mgr-Contracts&Controls |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>631.18    |  |  |

|   |   |  |  |
|---|---|--|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>John J NICHOLS, Jr.  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499978419813 |  |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>20.00                                |  |
| City Peoria   | State IL                                  | Zip Code 61629-0001  |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | P/R Deduction (\$20.00 Monthly)  |  |
| Name of Employer Caterpillar  | Occupation Managing Director - Operations |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00        |  |  |

|   |  |  |  |
|---|--|--|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Robert Neal NIEMEIER   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR499981919813 |  |
| Mailing Address 100 NE Adams  |  | Amount of Each Receipt this Period<br>62.44                                |  |
| City Peoria   | State IL                                 | Zip Code 61629-0001  |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | P/R Deduction (\$62.44 Monthly)  |  |
| Name of Employer Caterpillar, Inc.  | Occupation Engineering Technical Steward |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>668.32       |  |  |

|  |             |
|--|-------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 139.82      |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [Empty Box] |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |  |                |
|--|--|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 131 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Kurt A NORRIS</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 1034 Stillwater Court   |   | <b>Transaction ID: PR499995919813</b>             |                                 |
| City Yorkville      State IL      Zip Code 60560-1769   | Amount of Each Receipt this Period<br>_____ 62.30 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Commercial Manager                     |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 679.36          |   | P/R Deduction (\$62.30 Monthly) |

|   |   |   |                                 |
|---|---|---|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Patricia O CONNELL</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |
| Mailing Address 2312 Western Dr   |   | <b>Transaction ID: PR500007019813</b>             |                                 |
| City Decatur      State IL      Zip Code 62521-4657   | Amount of Each Receipt this Period<br>_____ 50.00 |   |                                 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Commercial Systems & Svcs Mgr          |   |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 550.00          |   | P/R Deduction (\$50.00 Monthly) |

|   |  |   |                                  |
|---|--|---|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. James J PARKER</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |
| Mailing Address P.O. Box 0610   |  | <b>Transaction ID: PR500063019813</b>             |                                  |
| City Mossville      State IL      Zip Code 61552-6100   | Amount of Each Receipt this Period<br>_____ 416.66 |   |                                  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |                                  |
| Name of Employer Caterpillar  | Occupation Vice President                          |   |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 4583.26          |   | P/R Deduction (\$416.66 Monthly) |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>528.96</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 132 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                     |  |                                 |
|---|-------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Robert PATERNOGA   |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500078419813 |                                 |
| Mailing Address 501 N. Magnolia   |                                     | Amount of Each Receipt this Period<br>25.00                                |                                 |
| City Elmwood  | State IL                            | Zip Code 61529-9630  | P/R Deduction (\$25.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                     |  |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Engineering Supervisor-2 |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00  |  |                                 |

|   |                                      |  |                                 |
|---|--------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Rodney J PERKINS   |                                      | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500109319813 |                                 |
| Mailing Address 100 NE Adams  |                                      | Amount of Each Receipt this Period<br>89.08                                |                                 |
| City Peoria   | State IL                             | Zip Code 61629-0001  | P/R Deduction (\$89.08 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                      |  |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation International Tax Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>959.08   |  |                                 |

|   |                                     |  |                                  |
|---|-------------------------------------|--|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Mark R PFLEDERER   |                                     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500129119813 |                                  |
| Mailing Address 100 NE Adams  |                                     | Amount of Each Receipt this Period<br>397.50                               |                                  |
| City Peoria   | State IL                            | Zip Code 61629-0001  | P/R Deduction (\$397.50 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                     |  |                                  |
| Name of Employer Caterpillar  | Occupation Vice President           |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>4280.01 |  |                                  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 511.58 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 133 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Robert M PFOHL

Mailing Address 11747 N. Bristol Dr.

City State Zip Code  
Dunlap IL 61525-8806

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. IT Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 550.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500129419813

Amount of Each Receipt this Period  
50.00

P/R Deduction (\$50.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Melvin N POHLMAN

Mailing Address 330 SW Adams

City State Zip Code  
Peoria IL 61602-1502

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar Inc. Parts Authorizations Div Mgr

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 275.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500158219813

Amount of Each Receipt this Period  
25.00

P/R Deduction (\$25.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
William PULLMAN

Mailing Address 3315 Aspen Ct

City State Zip Code  
Joliet IL 60431-4903

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar Inc. Master Black Belt

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 275.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500199619813

Amount of Each Receipt this Period  
25.00

P/R Deduction (\$25.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **100.00**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 134 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Claire Ann PUTMAN  
Mailing Address 600 W Washington St  
City East Peoria State IL Zip Code 61611-2032  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Caterpillar Inc. Occupation Regional Center Manager  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
Transaction ID: PR500203319813  
Amount of Each Receipt this Period 20.00  
P/R Deduction (\$20.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Henry K QUAN  
Mailing Address 100 NE Adams  
City Peoria State IL Zip Code 61629-0001  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Caterpillar Occupation Sr Product/Marketing Manager  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 220.00

Date of Receipt  
Transaction ID: PR500206119813  
Amount of Each Receipt this Period 20.00  
P/R Deduction (\$20.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
James QUIGG JR  
Mailing Address 1927 CHESTNUT LANE  
City WASHINGTON State IL Zip Code 61571-9684  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Caterpillar, Inc. Occupation Senior Audit Manager  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 877.83

Date of Receipt  
Transaction ID: PR500207819813  
Amount of Each Receipt this Period 81.45  
P/R Deduction (\$81.45 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 121.45  
**TOTAL** This Period (last page this line number only) ..... ►



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |                              |                             |                             |                             |                             |                             |
|--|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:                        | PAGE 136 / 167               |                              |                             |                             |                             |                             |                             |
|  | (check only one)                        |                              |                              |                             |                             |                             |                             |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |  |
|--|------------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Karen Roberta MCMULLEN<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR500272019813</b><br>Amount of Each Receipt this Period<br>58.11 |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Master Black Belt<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                    | Aggregate Year-to-Date ▼<br>616.71 | P/R Deduction (\$58.11 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Steven D RICH<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR500278919813</b><br>Amount of Each Receipt this Period<br>25.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>6 Sigma Black Belt-3<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼              | Aggregate Year-to-Date ▼<br>275.00 | P/R Deduction (\$25.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Steven RIEKER<br>Mailing Address 10723 N Lauraline Ct<br>City Peoria State IL Zip Code 61615-1169<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID: PR500291619813</b><br>Amount of Each Receipt this Period<br>25.00 |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Marketing Consultant<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                 | Aggregate Year-to-Date ▼<br>275.00 | P/R Deduction (\$25.00 Monthly)  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 108.11 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page | FOR LINE NUMBER:             | PAGE 137 / 167               |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Gary L RINGENBERG

Mailing Address 5000 Wormack Rd

City Sanford State NC Zip Code 27330-9594

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Commercial Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 748.03

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500298019813

Amount of Each Receipt this Period  
69.53

P/R Deduction (\$69.53 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
James R RINK

Mailing Address 600 W Washington St

City East Peoria State IL Zip Code 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Technical Services Mgr

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500298719813

Amount of Each Receipt this Period  
50.00

P/R Deduction (\$50.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Tom E ROBINSON

Mailing Address Old Galena Rd  
Cedar Hills Dr

City Mossville State IL Zip Code 61552

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Quality Reliability&6Sigma Mgr

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 958.25

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500324319813

Amount of Each Receipt this Period  
91.63

P/R Deduction (\$91.63 Monthly)

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>211.16</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |  |
|--|--|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: <span style="float: right;">PAGE 139 / 167</span><br>(check only one)<br><input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |
|--|--|

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |
|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Jeffrey Alan ROSZELL<br>Mailing Address Route 31, Montgomery IL<br>PO Box 348<br>City Aurora State IL Zip Code 60507<br>FEC ID number of contributing federal political committee. <b>C</b> | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR500357419813<br>Amount of Each Receipt this Period<br>56.24 |
| Name of Employer Caterpillar, Inc. Occupation Commercial Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 618.64                 | P/R Deduction (\$56.24 Monthly)  |

|   |  |
|---|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>James Phillip ROUS<br>Mailing Address 416 W Wolf Rd<br>City Peoria State IL Zip Code 61614-2067<br>FEC ID number of contributing federal political committee. <b>C</b>       | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR500360819813<br>Amount of Each Receipt this Period<br>63.86 |
| Name of Employer Caterpillar, Inc. Occupation IM Services Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 702.46 | P/R Deduction (\$63.86 Monthly)  |

|   |  |
|---|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Mark SCHELLENBERG<br>Mailing Address 810 W Northcrest Ave<br>City Peoria State IL Zip Code 61614-7029<br>FEC ID number of contributing federal political committee. <b>C</b>     | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR500425119813<br>Amount of Each Receipt this Period<br>25.00 |
| Name of Employer Caterpillar Inc. Occupation Business Support Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 275.00 | P/R Deduction (\$25.00 Monthly)  |

|  |             |
|--|-------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 145.10      |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [Empty Box] |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 140 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Avery SCHICK</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 1625 Hall Blvd  |  | <b>Transaction ID: PR500427619813</b>             |   |
| City<br>Garner  | State<br>NC                              | Zip Code<br>27529-4354                            | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>6 Sigma Black Belt-2       |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Andrew Clifford SCHNEIDER</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR500439519813</b>             |   |
| City<br>Peoria  | State<br>IL                              | Zip Code<br>61629-0001                            | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar   | Occupation<br>Ind Hyg Mgr                |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |
|   |  | P/R Deduction (\$25.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Martin G SCHRADER</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address P O Box 0610  |  | <b>Transaction ID: PR500447719813</b>             |   |
| City<br>Mossville   | State<br>IL                              | Zip Code<br>61552-6100                            | Amount of Each Receipt this Period<br>_____ 49.51 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Master Black Belt          |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 516.61 |   |   |
|   |  | P/R Deduction (\$49.51 Monthly)                   |   |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>94.51</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 141 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Brad SHAPLAND</b>  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500501319813 |                                 |
| Mailing Address 1280 N Hickory Hills  |                                    | Amount of Each Receipt this Period<br>56.74                                |                                 |
| City<br>Metamora  | State<br>IL                        | Zip Code<br>61548-8606   | P/R Deduction (\$56.74 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                    |  |                                 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Senior IT Supervisor |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>590.54 |  |                                 |

|   |   |  |                                 |
|---|---|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Dale R SHATTERS</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500503319813 |                                 |
| Mailing Address 4250 Sandy Bluff Rd   |   | Amount of Each Receipt this Period<br>22.54                                |                                 |
| City<br>Plano   | State<br>IL                                 | Zip Code<br>60545-9663   | P/R Deduction (\$22.54 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |   |  |                                 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Engineering Specialist-Design |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>239.59          |  |                                 |

|   |   |  |                                  |
|---|---|--|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Paula P SHOOK</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500525819813 |                                  |
| Mailing Address 2905 S. Hanna City-Glasford Rd  |   | Amount of Each Receipt this Period<br>136.15                               |                                  |
| City<br>Hanna City  | State<br>IL                               | Zip Code<br>61536-9762   | P/R Deduction (\$136.15 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |   |  |                                  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Info Technology Manager-III |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>1406.84       |  |                                  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 215.43 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 143 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |  |
|--|------------------------------------|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Richard SMATHERS<br>Mailing Address 112 Birklands Dr<br>City Cary State NC Zip Code 27518-8203<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR500576219813<br>Amount of Each Receipt this Period<br>25.00 |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Engineering Manager-3<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼             | Aggregate Year-to-Date ▼<br>275.00 | P/R Deduction (\$25.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Aaron L SMITH<br>Mailing Address 100 NE Adams<br>City Peoria State IL Zip Code 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR500577619813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar<br>Occupation<br>Purchasing & Logistics Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼    | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Adam SMITH<br>Mailing Address 515 W. Northgate Road<br>City Peoria State IL Zip Code 61614-2041<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR500577719813<br>Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Attorney<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                           | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|  |              |
|--|--------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>65.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |              |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |                              |                             |
|--|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 144 / 167               |                              |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. John S SOLLNER</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 308 Astor Way   |   | <b>Transaction ID: PR500625719813</b>             |  |
| City State Zip Code<br>Franklin TN 37064-4213   | Amount of Each Receipt this Period<br>_____ 20.00 |   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | P/R Deduction (\$20.00 Monthly)                   |  |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Project Governance Manager          |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00          |   |  |

|   |  |   |  |
|---|--|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Daniel E SPELLMAN</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 4808 Weaverridge Blvd.  |  | <b>Transaction ID: PR500638619813</b>             |  |
| City State Zip Code<br>Peoria IL 61615-8946   | Amount of Each Receipt this Period<br>_____ 176.49 |   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | P/R Deduction (\$176.49 Monthly)                  |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>General Manager-Ford Account         |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 1941.39          |   |  |

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Kristine L SPENCER</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR500641019813</b>             |  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 63.23 |   |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |   | P/R Deduction (\$63.23 Monthly)                   |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Engineering Manager-1               |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 659.73          |   |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>259.72</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |                              |                             |
|--|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 145 / 167               |                              |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |                                 |
|---|---|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. Kathryn D SPITZNAGLE</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500645119813 |                                 |
| Mailing Address 600 W Washington St   |   | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City<br>East Peoria   | State<br>IL                                 | Zip Code<br>61611-2032   | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |   | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer<br>Caterpillar   | Occupation<br>Communications & Empl Dev Mgr |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |                                 |

|   |                                    |  |                                 |
|---|------------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Matthew SPITZNAGLE</b>   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500645219813 |                                 |
| Mailing Address 709 Trellis Ct  |                                    | Amount of Each Receipt this Period<br>24.89                                |                                 |
| City<br>McDonough   | State<br>GA                        | Zip Code<br>30253-7279   | P/R Deduction (\$24.89 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |                                    | Aggregate Year-to-Date ▼<br>272.29   |                                 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>6 Sigma Black Belt-2 |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                    |  |                                 |

|   |   |  |                                  |
|---|---|--|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Michael SPOSATO</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500647019813 |                                  |
| Mailing Address 100 N.E. Adams Street   |   | Amount of Each Receipt this Period<br>205.35                               |                                  |
| City<br>Peoria  | State<br>IL                             | Zip Code<br>61629-0001   | P/R Deduction (\$205.35 Monthly) |
| FEC ID number of contributing federal political committee.<br>C   |   | Aggregate Year-to-Date ▼<br>2230.92  |                                  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Associate General Counsel |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |  |                                  |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 250.24 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 146 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A. James R STARR</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500669419813 |                                 |
| Mailing Address 100 NE Adams  |  | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Peoria   | State IL                                 | Zip Code 61629-0001  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar  | Occupation Field Representative - Mining |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|   |                                 |  |                                  |
|---|---------------------------------|--|----------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B. Robert J. STRONG</b>   |                                 | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500725219813 |                                  |
| Mailing Address 2444 N. Florian Ave.<br>Apt. 12   |                                 | Amount of Each Receipt this Period<br>126.57                               |                                  |
| City Decatur  | State IL                        | Zip Code 62526-3076  | P/R Deduction (\$126.57 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                 | Aggregate Year-to-Date ▼<br>1311.70  |                                  |
| Name of Employer Caterpillar, Inc.  | Occupation 6 Sigma Black Belt-2 |  |                                  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |                                  |

|   |                                 |  |                                 |
|---|---------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C. Michael Todd SWANSON</b>   |                                 | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500748319813 |                                 |
| Mailing Address 100 NE Adams  |                                 | Amount of Each Receipt this Period<br>39.17                                |                                 |
| City Peoria   | State IL                        | Zip Code 61629-0001  | P/R Deduction (\$39.17 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                 | Aggregate Year-to-Date ▼<br>430.87   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation 6 Sigma Black Belt-1 |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                                 |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 185.74 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | [ ]    |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 147 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Rodney A SWENSON</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 205 Morganford Place  |   | <b>Transaction ID: PR500752919813</b>             |                                 |  |
| City State Zip Code<br>Cary NC 27518-5786   | Amount of Each Receipt this Period<br>_____ 67.05 |   | P/R Deduction (\$67.05 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   | Aggregate Year-to-Date ▼<br>_____ 697.79          |                                 |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Business Resource Manager           |   |                                 |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                 |  |

|   |  |   |                                  |  |
|---|--|---|----------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Larry C TATE</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                  |  |
| Mailing Address 9401 85Th Avenue North  |  | <b>Transaction ID: PR500767919813</b>             |                                  |  |
| City State Zip Code<br>Brooklyn Park MN 55445-2154  | Amount of Each Receipt this Period<br>_____ 223.92 |   | P/R Deduction (\$223.92 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |  | Aggregate Year-to-Date ▼<br>_____ 2413.35         |                                  |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>President, Gbl Paving Prd Inc        |   |                                  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |   |                                  |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. George H. TAYLOR, Jr.</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 100 N.E. Adams Street   |   | <b>Transaction ID: PR500772119813</b>             |                                 |  |
| City State Zip Code<br>Peoria IL 61629-0001   | Amount of Each Receipt this Period<br>_____ 89.71 |   | P/R Deduction (\$89.71 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   | Aggregate Year-to-Date ▼<br>_____ 956.33          |                                 |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Marketing Manager                   |   |                                 |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                 |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>380.68</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 148 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Michael L TAYLOR</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR500776019813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 75.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Assistant Medical Director    |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 825.00 |   |   |
|   |  | P/R Deduction (\$75.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Robert C THOMPSON</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 100 NE Adams  |  | <b>Transaction ID: PR500814919813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 20.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar  | Occupation Special Assignment            |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 220.00 |   |   |
|   |  | P/R Deduction (\$20.00 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Bradley P TILLY</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 6126 N Oak Leaf Ct  |  | <b>Transaction ID: PR500825919813</b>             |   |
| City Peoria   | State IL                                 | Zip Code 61615-2240                               | Amount of Each Receipt this Period<br>_____ 55.25 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Master Black Belt             |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 562.57 |   |   |
|   |  | P/R Deduction (\$55.25 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>150.25</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 149 / 167

(check only one)

|   |                              |                              |   |
|---|------------------------------|------------------------------|---|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12                             |
| <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Michael John TITZER  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address<br>Regency Forest II<br>100 Regency Forest Drive - 3rd Flo  |   | <b>Transaction ID:</b> PR500831119813       |
| City<br>Cary  | State<br>NC                             | Zip Code<br>27511                           |
| FEC ID number of contributing federal political committee.<br><b>C</b>  |   | Amount of Each Receipt this Period<br>65.52 |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Business Resource Manager | P/R Deduction (\$65.52 Monthly)             |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>716.33      |   |

|   |   |   |
|---|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> Randal G TOWLES  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address<br>100 NE Adams   |   | <b>Transaction ID:</b> PR500843819813       |
| City<br>Peoria  | State<br>IL                               | Zip Code<br>61629-0001                      |
| FEC ID number of contributing federal political committee.<br><b>C</b>  |   | Amount of Each Receipt this Period<br>20.00 |
| Name of Employer<br>Caterpillar   | Occupation<br>Manager, Exec. Compensation | P/R Deduction (\$20.00 Monthly)             |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00        |   |

|   |                                    |   |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Vernon H TRANEL  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address<br>100 NE Adams   |                                    | <b>Transaction ID:</b> PR500847219813       |
| City<br>Peoria  | State<br>IL                        | Zip Code<br>61629-0001                      |
| FEC ID number of contributing federal political committee.<br><b>C</b>  |                                    | Amount of Each Receipt this Period<br>25.00 |
| Name of Employer<br>Caterpillar Inc.  | Occupation<br>Process Manager      | P/R Deduction (\$25.00 Monthly)             |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00 |   |

|  |   |        |
|--|---|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 110.52 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |        |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 150 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Andrew Sanders TRENT</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500850219813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>30.00                                |
| City Peoria State IL Zip Code 61629-0001  | FEC ID number of contributing federal political committee. <b>C</b> | P/R Deduction (\$30.00 Monthly)  |
| Name of Employer Caterpillar Occupation Senior Account Manager  | Aggregate Year-to-Date 330.00                                       |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) |   |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Henry TRUITT</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500858719813 |
| Mailing Address 100 Ne Adams  |   | Amount of Each Receipt this Period<br>40.00                                |
| City Peoria State IL Zip Code 61629-0001  | FEC ID number of contributing federal political committee. <b>C</b> | P/R Deduction (\$40.00 Monthly)  |
| Name of Employer Caterpillar, Inc. Occupation Engineering Manager-3   | Aggregate Year-to-Date 440.00                                       |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) |   |  |

|   |   |  |
|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>C. W Eric TURNER</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500872219813 |
| Mailing Address 100 NE Adams  |   | Amount of Each Receipt this Period<br>20.00                                |
| City Peoria State IL Zip Code 61629-0001  | FEC ID number of contributing federal political committee. <b>C</b> | P/R Deduction (\$20.00 Monthly)  |
| Name of Employer Caterpillar Occupation Marketing Division Manager  | Aggregate Year-to-Date 220.00                                       |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) |   |  |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | 90.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | [ ]   |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |  |                |
|--|--|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)  | PAGE 151 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12<br><input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |
|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Robert Roy TYSON<br>Mailing Address 13105 Northwest Freeway Suite 980<br>City State Zip Code<br>Houston TX 77040-6312<br>FEC ID number of contributing federal political committee. <b>C</b>  | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/><br><b>Transaction ID:</b> PR500876419813<br>Amount of Each Receipt this Period<br><div style="border: 1px solid black; padding: 2px; text-align: right;">55.96</div> |
| Name of Employer Occupation<br>Caterpillar, Inc. Sales Manager<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ <div style="border: 1px solid black; padding: 2px; text-align: right;">615.56</div> | <b>P/R Deduction (\$55.96 Monthly)</b>   |

|   |  |
|---|--|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>John Wayne USHERWOOD<br>Mailing Address 100 NE Adams<br>City State Zip Code<br>Peoria IL 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b>   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/><br><b>Transaction ID:</b> PR500887519813<br>Amount of Each Receipt this Period<br><div style="border: 1px solid black; padding: 2px; text-align: right;">20.00</div> |
| Name of Employer Occupation<br>Caterpillar Web Services Manager<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ <div style="border: 1px solid black; padding: 2px; text-align: right;">220.00</div> | <b>P/R Deduction (\$20.00 Monthly)</b>   |

|   |  |
|---|--|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Thomas J VAN KLEY<br>Mailing Address 833 Fairway Park Drive<br>City State Zip Code<br>Ann Arbor MI 48103-8965<br>FEC ID number of contributing federal political committee. <b>C</b>   | Date of Receipt<br><input type="text"/> / <input type="text"/> / <input type="text"/><br><b>Transaction ID:</b> PR500894019813<br>Amount of Each Receipt this Period<br><div style="border: 1px solid black; padding: 2px; text-align: right;">25.00</div> |
| Name of Employer Occupation<br>Caterpillar Inc. Sr Logistics Management Cnslt<br>Receipt For: Aggregate Year-to-Date ▼<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ <div style="border: 1px solid black; padding: 2px; text-align: right;">275.00</div> | <b>P/R Deduction (\$25.00 Monthly)</b>   |

|  |  |
|--|--|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <div style="border: 1px solid black; padding: 2px;">100.96</div> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |  |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 152 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |  |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Terry VANCE</b>  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500897619813 |
| Mailing Address 100 NE Adams Street   |                                    | Amount of Each Receipt this Period<br>20.00                                |
| City Peoria State IL Zip Code 61629-0001  |                                    |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |
| Name of Employer Caterpillar Inc. Occupation Dealer Systems Supervisor  |                                    |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 | P/R Deduction (\$20.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Michael A VANEK</b>  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500900319813 |
| Mailing Address 100 NE Adams Street   |                                    | Amount of Each Receipt this Period<br>35.00                                |
| City Peoria State IL Zip Code 61629-0001  |                                    |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |
| Name of Employer Caterpillar, Inc. Occupation Region Finance Mgr  |                                    |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>385.00 | P/R Deduction (\$35.00 Monthly)  |

|   |                                    |  |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Brandon VELDE</b>  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR500909019813 |
| Mailing Address 224 W Stonegate Rd  |                                    | Amount of Each Receipt this Period<br>20.90                                |
| City Peoria State IL Zip Code 61614-6033  |                                    |  |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    |  |
| Name of Employer Caterpillar Inc. Occupation IS Specialist  |                                    |  |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.38 | P/R Deduction (\$20.90 Monthly)  |

|  |       |
|--|-------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 75.90 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |       |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 153 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>A. John B WALENTA</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 200 Corporate Dr  |  | <b>Transaction ID: PR500946219813</b>             |   |
| City<br>Danville  | State<br>KY                              | Zip Code<br>40422-9690                            | Amount of Each Receipt this Period<br>_____ 68.10 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Operations Mgr             |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 729.85 |   |   |
|   |  | P/R Deduction (\$68.10 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Susan C WALKER</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 12117 Whispering Woods  |  | <b>Transaction ID: PR500953619813</b>             |   |
| City<br>Dunlap  | State<br>IL                              | Zip Code<br>61525-9531                            | Amount of Each Receipt this Period<br>_____ 62.11 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>IT Manager                 |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 651.53 |   |   |
|   |  | P/R Deduction (\$62.11 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Rita R WALLER</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address P. O. Box 1875<br>Old Galena Rd   |  | <b>Transaction ID: PR500957919813</b>             |   |
| City<br>Mossville   | State<br>IL                              | Zip Code<br>61552-0875                            | Amount of Each Receipt this Period<br>_____ 57.46 |
| FEC ID number of contributing federal political committee.<br>C _____   |  |   |   |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Engineering Manager-1      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 632.06 |   |   |
|   |  | P/R Deduction (\$57.46 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>187.67</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 154 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |  |
|---|---|---|--|
| Full Name (Last, First, Middle Initial)<br><b>A. David T WALTON</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |  |
| Mailing Address 100 NE Adams  |   | <b>Transaction ID: PR500963919813</b>             |  |
| City Peoria   | State IL                                  | Zip Code 61629-0001                               | Amount of Each Receipt this Period<br>_____ 148.61 |
| FEC ID number of contributing federal political committee. <b>C</b>   |   |   |  |
| Name of Employer Caterpillar, Inc.  | Occupation Managing Dir Multi-Country     |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 1609.48 |   |  |
|   |   | P/R Deduction (\$148.61 Monthly)                  |  |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>B. Gerald A WAPPELHORST</b>   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 1012 Ranchwood Dr   |  | <b>Transaction ID: PR500967619813</b>             |   |
| City Shorewood  | State IL                                 | Zip Code 60404-9113                               | Amount of Each Receipt this Period<br>_____ 76.42 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar, Inc.  | Occupation Global Segment Manger B       |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 840.62 |   |   |
|   |  | P/R Deduction (\$76.42 Monthly)                   |   |

|   |  |   |   |
|---|--|---|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Kristina A WATSON</b>  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |   |
| Mailing Address 38 Maple Ridge Dr   |  | <b>Transaction ID: PR500985319813</b>             |   |
| City Morton   | State IL                                 | Zip Code 61550-1152                               | Amount of Each Receipt this Period<br>_____ 25.00 |
| FEC ID number of contributing federal political committee. <b>C</b>   |  |   |   |
| Name of Employer Caterpillar Inc.   | Occupation Business Support Manager      |   |   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>_____ 275.00 |   |   |
|   |  | P/R Deduction (\$25.00 Monthly)                   |   |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>250.03</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |                              |                             |
|--|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 155 / 167               |                              |                             |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Mary J WICKHAM</b>       |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 1010 W Scottwood Dr                                       |   | <b>Transaction ID: PR501056719813</b>             |                                 |  |
| City Peoria State IL Zip Code 61615-1057                                  | Amount of Each Receipt this Period<br>_____ 64.37   |   | P/R Deduction (\$64.37 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____ |   | Aggregate Year-to-Date ▼<br>_____ 675.61          |                                 |  |
| Name of Employer Caterpillar, Inc. Occupation Engineering Manager-1       | Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |                                 |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. John Donald WIEBMER</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 300 Hamilton Blvd. Suite 300                              |   | <b>Transaction ID: PR501058419813</b>             |                                 |  |
| City Peoria State IL Zip Code 61629-0001                                  | Amount of Each Receipt this Period<br>_____ 20.00   |   | P/R Deduction (\$20.00 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____ |   | Aggregate Year-to-Date ▼<br>_____ 220.00          |                                 |  |
| Name of Employer Caterpillar Occupation Marketing Division Manager        | Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |                                 |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Kimberly WIENZIERL</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 24503 Spring Creek Rd                                     |   | <b>Transaction ID: PR501060619813</b>             |                                 |  |
| City Washington State IL Zip Code 61571-9656                              | Amount of Each Receipt this Period<br>_____ 56.27   |   | P/R Deduction (\$56.27 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____ |   | Aggregate Year-to-Date ▼<br>_____ 577.27          |                                 |  |
| Name of Employer Caterpillar, Inc. Occupation Senior IT Supervisor        | Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |                                 |  |

|  |                     |
|--|---------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>140.64</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 156 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
David P WILL

Mailing Address Rt 116 East Spring Creek Rd

City State Zip Code  
Washington IL 61571

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Machine Development Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 884.39

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR501075419813

Amount of Each Receipt this Period  
82.09

P/R Deduction (\$82.09 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Robert J WILLE

Mailing Address 11200 N Daniel Ct

City State Zip Code  
Dunlap IL 61525-9576

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Marketing Development Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 350.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR501076019813

Amount of Each Receipt this Period  
25.00

P/R Deduction (\$25.00 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Martin L WILLI

Mailing Address 2300 W Brooklyn Pl

City State Zip Code  
Dunlap IL 61525-9476

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Engineering Manager-1

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 681.76

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR501077119813

Amount of Each Receipt this Period  
63.28

P/R Deduction (\$63.28 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **170.37**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 157 / 167  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Timothy R WILLIAMS

Mailing Address 600 W Washington St

City State Zip Code  
East Peoria IL 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Factory Manager

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 385.00

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR501096019813

Amount of Each Receipt this Period  
35.00

P/R Deduction (\$35.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Christopher B WINKEL

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Master 6 Sigma Black Belt

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 576.51

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR501119419813

Amount of Each Receipt this Period  
52.41

P/R Deduction (\$52.41 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Kelly G WOJDA

Mailing Address 100 N.E. Adams Street

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar Communications Supervisor

Receipt For: Aggregate Year-to-Date ▼  
 Primary    General  
 Other (specify) ▼ 330.00

Date of Receipt  
M M / D D / Y Y Y Y Y Y

**Transaction ID:** PR501130419813

Amount of Each Receipt this Period  
30.00

P/R Deduction (\$30.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **117.41**

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 158 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 16  |
|  | <input type="checkbox"/> 17             |                              |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |   |
|--|------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Michael W WRIGHT<br>Mailing Address P O Box 0610<br>City State Zip Code<br>Mossville IL 61552-6100<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR501155019813<br>Amount of Each Receipt this Period<br>25.00<br>P/R Deduction (\$25.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>Business Support Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                   | Aggregate Year-to-Date ▼<br>275.00 |   |

|  |                                    |   |
|--|------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Patricia WRIGHT<br>Mailing Address 825 Michael Dr<br>City State Zip Code<br>Morris IL 60450-3004<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR501155119813<br>Amount of Each Receipt this Period<br>57.96<br>P/R Deduction (\$57.96 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>6 Sigma Black Belt-3<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼               | Aggregate Year-to-Date ▼<br>611.32 |   |

|  |                                    |   |
|--|------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Michael G ZACHMAN<br>Mailing Address 100 NE Adams<br>City State Zip Code<br>Peoria IL 61629-0001<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR501184319813<br>Amount of Each Receipt this Period<br>25.00<br>P/R Deduction (\$25.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>Senior Audit Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                     | Aggregate Year-to-Date ▼<br>275.00 |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>107.96</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                |
|--|---|----------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)   | PAGE 159 / 167 |
|  | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12               |                |
|  | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 |                |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |  |   |
|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>David ZEMPEL<br>Mailing Address 11816 N Deerfield Dr<br>City State Zip Code<br>Dunlap IL 61525-9668<br>FEC ID number of contributing federal political committee. <b>C</b>          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR501190719813<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$50.00 Monthly) |
| Name of Employer Caterpillar, Inc. Occupation Parts & Service Manager<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>550.00 |  |   |

|   |  |   |
|---|--|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Mark L ZIELINSKI<br>Mailing Address 7211 N Park Edge Ct<br>City State Zip Code<br>Peoria IL 61614-2256<br>FEC ID number of contributing federal political committee. <b>C</b>    |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR501194319813<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$50.00 Monthly) |
| Name of Employer Caterpillar, Inc. Occupation Senior IT Supervisor<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>550.00 |  |   |

|   |  |   |
|---|--|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>David Lowell HOLT<br>Mailing Address 2120 West End Avenue<br>P O Box 340001<br>City State Zip Code<br>Nashville TN 37203-5251<br>FEC ID number of contributing federal political committee. <b>C</b> |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR501224019813<br>Amount of Each Receipt this Period<br>20.00<br>P/R Deduction (\$20.00 Monthly) |
| Name of Employer Caterpillar Occupation Managing Executive Broker<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>220.00                      |  |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>120.00</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                                   |                             |
|--|------------------------------|-----------------------------------|-----------------------------|
| Use separate schedule(s)<br>or each category of the<br>Detailed Summary Page |                              | FOR LINE NUMBER: (check only one) | PAGE 160 / 167              |
| <input checked="" type="checkbox"/> 11a                                      | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c      | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15       | <input type="checkbox"/> 16 |
|  |                              |                                   | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                                    |   |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial)<br><b>A. Richard Allen COOPER</b>   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address 100 NE Adams  |                                    | <b>Transaction ID: PR501305119813</b>       |
| City Peoria   | State IL                           | Zip Code 61629-0001                         |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    | Amount of Each Receipt this Period<br>25.00 |
| Name of Employer Caterpillar  | Occupation Managing Director-AT's  | P/R Deduction (\$25.00 Monthly)             |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>275.00 |   |

|   |                                    |   |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial)<br><b>B. William P ALLEN</b>  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address P O Box 600<br>Illinois Route 29  |                                    | <b>Transaction ID: PR501360919813</b>       |
| City Mossville  | State IL                           | Zip Code 61552-0600                         |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    | Amount of Each Receipt this Period<br>70.78 |
| Name of Employer Caterpillar, Inc.  | Occupation Engineering Manager-2   | P/R Deduction (\$70.78 Monthly)             |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>778.58 |   |

|   |                                    |   |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Christopher F DEKKER</b>   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y    |
| Mailing Address 100 NE Adams  |                                    | <b>Transaction ID: PR531958819813</b>       |
| City Peoria   | State IL                           | Zip Code 61629-0001                         |
| FEC ID number of contributing federal political committee. <b>C</b>   |                                    | Amount of Each Receipt this Period<br>20.00 |
| Name of Employer Caterpillar  | Occupation Sr Attorney             | P/R Deduction (\$20.00 Monthly)             |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>220.00 |   |

|  |   |        |
|--|---|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | 115.78 |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ |        |



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |                              |                              |
|--|------------------------------|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             | PAGE 161 / 167               |
|  | (check only one)             |                              |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  |
| <input type="checkbox"/> 12  | <input type="checkbox"/> 16  | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |                                    |   |
|--|------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>David M DUNLEVY<br>Mailing Address 3701 State Road 26 East<br>City State Zip Code<br>Lafayette IN 47905-4808<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR664780819813<br>Amount of Each Receipt this Period<br>25.00<br>P/R Deduction (\$25.00 Monthly) |
| Name of Employer<br>Caterpillar<br>Occupation<br>Technical Manager<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼                                    | Aggregate Year-to-Date ▼<br>275.00 |   |

|   |                                    |   |
|---|------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Mary KREUSER<br>Mailing Address 10521 N Osage Ct<br>City State Zip Code<br>Peoria IL 61615-8805<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR664792119813<br>Amount of Each Receipt this Period<br>86.62<br>P/R Deduction (\$86.62 Monthly) |
| Name of Employer<br>Caterpillar Inc.<br>Occupation<br>Communications Rep - 3<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼             | Aggregate Year-to-Date ▼<br>952.82 |   |

|  |                                    |   |
|--|------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Ali BAKIRDAN<br>Mailing Address 1717 S. Maple Grove St.<br>City State Zip Code<br>Morton IL 61550-2828<br>FEC ID number of contributing federal political committee. <b>C</b> |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR664802519813<br>Amount of Each Receipt this Period<br>20.00<br>P/R Deduction (\$20.00 Monthly) |
| Name of Employer<br>Caterpillar, Inc.<br>Occupation<br>Coll Ungrad-Technology (Temp)<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼            | Aggregate Year-to-Date ▼<br>220.00 |   |

|  |               |
|--|---------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | <b>131.62</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... |               |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 162 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 11c            | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 15  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |   |   |                                      |  |
|---|---|---|--------------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Thomas J Burke</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                      |  |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR721096419813</b>             |                                      |  |
| City State Zip Code<br>San Diego CA 92123   | Amount of Each Receipt this Period<br>_____ 20.00 |   | P/R Deduction (\$10.00 Semi-Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   | Aggregate Year-to-Date ▼<br>_____ 220.00          |                                      |  |
| Name of Employer<br>Solar Turbines  | Occupation<br>Manager                             |   |                                      |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                      |  |

|   |   |   |                                      |  |
|---|---|---|--------------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Elias H Razinsky</b>   |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                      |  |
| Mailing Address 9250 Sky Park Court   |   | <b>Transaction ID: PR721097219813</b>             |                                      |  |
| City State Zip Code<br>San Diego CA 92123   | Amount of Each Receipt this Period<br>_____ 20.00 |   | P/R Deduction (\$10.00 Semi-Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   | Aggregate Year-to-Date ▼<br>_____ 220.00          |                                      |  |
| Name of Employer<br>Solar Turbines  | Occupation<br>Manager                             |   |                                      |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                      |  |

|   |   |   |                                 |  |
|---|---|---|---------------------------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Kevin BROWN</b>  |   | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>_____ |                                 |  |
| Mailing Address 207 S Oklahoma Av   |   | <b>Transaction ID: PR792642819813</b>             |                                 |  |
| City State Zip Code<br>Morton IL 61550-9078   | Amount of Each Receipt this Period<br>_____ 47.02 |   | P/R Deduction (\$47.02 Monthly) |  |
| FEC ID number of contributing federal political committee. <b>C</b> _____   |   | Aggregate Year-to-Date ▼<br>_____ 517.22          |                                 |  |
| Name of Employer<br>Caterpillar, Inc.   | Occupation<br>Team Leader                         |   |                                 |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |   |                                 |  |

|  |                    |
|--|--------------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | _____ <b>87.02</b> |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | _____              |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

|  |   |                              |
|--|---|------------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one)       | PAGE 163 / 167               |
|  | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
|  | <input type="checkbox"/> 13             | <input type="checkbox"/> 14  |
|  | <input type="checkbox"/> 15             | <input type="checkbox"/> 12  |
|  |   | <input type="checkbox"/> 16  |
|  |   | <input type="checkbox"/> 17  |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |                    |  |                                 |
|---|--------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>A.</b> Theodore GAMBOGI   |                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR805407519813 |                                 |
| Mailing Address 669 Via Del Monte   |                    | Amount of Each Receipt this Period<br>20.00                                |                                 |
| City Palos Verdes Estat   | State CA           | Zip Code 90274-1207  | P/R Deduction (\$20.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                    | Aggregate Year-to-Date ▼<br>220.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Manager |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                    |  |                                 |

|   |  |  |                                 |
|---|--|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>B.</b> James Robert BYNUM   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR805429419813 |                                 |
| Mailing Address 2120 West End Avenue<br>P O Box 340001  |  | Amount of Each Receipt this Period<br>50.00                                |                                 |
| City Nashville  | State TN                                 | Zip Code 37203-5251  | P/R Deduction (\$50.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |  | Aggregate Year-to-Date ▼<br>550.00   |                                 |
| Name of Employer Caterpillar, Inc.  | Occupation Claims Loss Control Executive |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |  |                                 |

|   |                               |  |                                 |
|---|-------------------------------|--|---------------------------------|
| Full Name (Last, First, Middle Initial)<br><b>C.</b> Davis G. REESE   |                               | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>Transaction ID: PR915379219813 |                                 |
| Mailing Address 1013 Noelton Avenue   |                               | Amount of Each Receipt this Period<br>30.00                                |                                 |
| City Nashville  | State TN                      | Zip Code 37204-3231  | P/R Deduction (\$30.00 Monthly) |
| FEC ID number of contributing federal political committee. <b>C</b>   |                               | Aggregate Year-to-Date ▼<br>330.00   |                                 |
| Name of Employer Caterpillar Inc.   | Occupation Corporate Attorney |  |                                 |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |                               |  |                                 |

|  |        |
|--|--------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶           | 100.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ |        |

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

|  |                              |                              |                             |                             |
|--|------------------------------|------------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER:             |                              | PAGE 164 / 167              |                             |
|  | (check only one)             |                              |                             |                             |
| <input checked="" type="checkbox"/> 11a                                | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 |
| <input type="checkbox"/> 13  | <input type="checkbox"/> 14  | <input type="checkbox"/> 15  | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Robert HAYWORTH

Mailing Address 3113 N Linnhill Ct

City Peoria State IL Zip Code 61604-1649

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Inc. Occupation Security Sgt

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
415.08

Date of Receipt  

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|---|---|

**Transaction ID: PR915386419813**

Amount of Each Receipt this Period  
69.18

P/R Deduction (\$69.18 Monthly)

|  |   |                 |
|--|---|-----------------|
| <b>SUBTOTAL</b> of Receipts This Page (optional) .....           | ▶ | <b>69.18</b>    |
| <b>TOTAL</b> This Period (last page this line number only) ..... | ▶ | <b>31581.74</b> |

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 165 / 167

|                              |                              |  |                              |                             |                              |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b           | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |  |              |
|---|--|--|--------------|
| Full Name (Last, First, Middle Initial)<br><b>A. McConnell Senate Committee '08</b> |  | Transaction ID: 14706182<br>Date of Disbursement<br>11 / 01 / 2007   |              |
| Mailing Address P.O. Box 1496   |  | Amount of Each Disbursement this Period<br>1500.00   |              |
| City Louisville<br>State KY<br>Zip Code 40201                                       | Purpose of Disbursement<br>Contribution  | 011<br>Category/<br>Type   | Contribution |
| Candidate Name<br>Mitch McConnell   | Office Sought: <input type="checkbox"/> House<br><input checked="" type="checkbox"/> Senate<br><input type="checkbox"/> President<br>State: KY District: 2 | Disbursement For: 2008<br><input checked="" type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |              |
| Full Name (Last, First, Middle Initial)<br><b>B. McConnell Senate Committee '08</b> |  | Transaction ID: 14706303<br>Date of Disbursement<br>11 / 01 / 2007   |              |
| Mailing Address P.O. Box 1496   |  | Amount of Each Disbursement this Period<br>1000.00   |              |
| City Louisville<br>State KY<br>Zip Code 40201                                       | Purpose of Disbursement<br>Contribution  | 011<br>Category/<br>Type   | Contribution |
| Candidate Name<br>Mitch McConnell   | Office Sought: <input type="checkbox"/> House<br><input checked="" type="checkbox"/> Senate<br><input type="checkbox"/> President<br>State: KY District: 2 | Disbursement For: 2008<br><input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |              |

**SUBTOTAL** of Disbursements This Page (optional) ..... ►

2500.00

**TOTAL** This Period (last page this line number only) ..... ►

2500.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 166 / 167

|                              |                              |                              |                              |  |                              |
|------------------------------|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input type="checkbox"/> 23  | <input type="checkbox"/> 24  | <input type="checkbox"/> 25            | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|   |  |  |
|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Barbour for Governor</b>   |  | Transaction ID: 14716530<br>Date of Disbursement<br>11 / 02 / 2007     |
| Mailing Address P.O. Box 1186   |  | Amount of Each Disbursement this Period<br>5000.00<br><br>Contribution |
| City Jackson State MS Zip Code 39215  | Purpose of Disbursement<br>Contribution  |  |
| Candidate Name<br>Haley Barbour   | 011<br>Category/<br>Type   |  |
| Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President<br>State: MS District: | Disbursement For: 2007<br><input type="checkbox"/> Primary <input checked="" type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |

|   |  |  |
|---|--|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Citizens for Bob Biggins</b>   |  | Transaction ID: 14758901<br>Date of Disbursement<br>11 / 06 / 2007                           |
| Mailing Address 15W444 Concord Street   |  | Amount of Each Disbursement this Period<br>-500.00<br><br>Void - Check dated 07/30/-<br>2007 |
| City Elmhurst State IL Zip Code 60126   | Purpose of Disbursement<br>Void - Check dated 07/30/2007   |  |
| Candidate Name<br>Robert Biggins  | 011<br>Category/<br>Type   |  |
| Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President<br>State: IL District: 78 | Disbursement For: 2008<br><input checked="" type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  |

|   |  |   |
|---|--|---|
| Full Name (Last, First, Middle Initial)<br><b>C. Friends of Dan Brady</b>   |  | Transaction ID: 14729774<br>Date of Disbursement<br>11 / 07 / 2007    |
| Mailing Address 2425 East Lincoln Street  |  | Amount of Each Disbursement this Period<br>500.00<br><br>Contribution |
| City Bloomington State IL Zip Code 61701  | Purpose of Disbursement<br>Contribution  |   |
| Candidate Name<br>Dan Brady   | 011<br>Category/<br>Type   |   |
| Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President<br>State: IL District: 88 | Disbursement For: 2008<br><input checked="" type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |   |

|  |         |
|--|---------|
| <b>SUBTOTAL</b> of Disbursements This Page (optional) ..... ▶      | 5000.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | .....   |

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 167 / 167

|                              |                              |                              |                              |  |                              |
|------------------------------|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input type="checkbox"/> 23  | <input type="checkbox"/> 24  | <input type="checkbox"/> 25            | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

|  |              |  |
|--|--------------|--|
| Full Name (Last, First, Middle Initial)<br><b>A. Citizens for Bob Biggins</b>  |              | <b>Transaction ID:</b> 14729793<br><b>Date of Disbursement</b><br>M M / D D / Y Y Y Y<br>1 1 / 0 7 / 2 0 0 7   |
| Mailing Address 15W444 Concord Street  |              | Amount of Each Disbursement this Period<br>500.00  |
| City Elmhurst State IL Zip Code 60126  | Contribution |  |
| Purpose of Disbursement Contribution<br>Candidate Name Robert Biggins<br>Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President<br>State: IL District: 78 |              | Disbursement For: 2008<br><input checked="" type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |

|  |              |  |
|--|--------------|--|
| Full Name (Last, First, Middle Initial)<br><b>B. Citizens for JoAnn Osmond</b>   |              | <b>Transaction ID:</b> 14729773<br><b>Date of Disbursement</b><br>M M / D D / Y Y Y Y<br>1 1 / 0 7 / 2 0 0 7   |
| Mailing Address PO Box 635   |              | Amount of Each Disbursement this Period<br>500.00  |
| City Antioch State IL Zip Code 60002-0635  | Contribution |  |
| Purpose of Disbursement Contribution<br>Candidate Name IL Rep. JoAnn Osmond<br>Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President<br>State: IL District: 61 |              | Disbursement For: 2008<br><input checked="" type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |

|   |              |  |
|---|--------------|--|
| Full Name (Last, First, Middle Initial)<br><b>C. Friends of Mike Fortner</b>  |              | <b>Transaction ID:</b> 14729775<br><b>Date of Disbursement</b><br>M M / D D / Y Y Y Y<br>1 1 / 0 7 / 2 0 0 7   |
| Mailing Address 721 S Oak Street  |              | Amount of Each Disbursement this Period<br>500.00  |
| City West Chicago State IL Zip Code 60185-3541  | Contribution |  |
| Purpose of Disbursement Contribution<br>Candidate Name Mike Fortner<br>Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President<br>State: IL District: |              | Disbursement For: 2008<br><input checked="" type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |

|  |         |
|--|---------|
| <b>SUBTOTAL</b> of Disbursements This Page (optional) ..... ▶      | 1500.00 |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶ | 6500.00 |