



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

March 4, 2021

PAUL O'CONNOR, TREASURER
PLUMBERS LOCAL UNION NO. 1
NYC-POLITICAL ACTION COMMITTEE
50-02 5TH STREET, 2ND FL
LONG ISLAND CITY, NY 11101

Response Due Date
04/08/2021

IDENTIFICATION NUMBER: C00327478

REFERENCE: AMENDED OCTOBER QUARTERLY REPORT (07/01/2020 - 09/30/2020),
RECEIVED 12/28/2020

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Schedule B of your report (see attached) discloses a contribution to "MAX ROSE FOR CONGRESS" which appears to exceed the limits set forth in the Act. Please be advised that 52 U.S.C. §30116(a) prohibits a committee from making contributions to another political committee in excess of \$5,000 per calendar year. Records at the Commission, however, indicate that your committee may actually be affiliated with "UA Union Plumbers & Pipefitters Vote! PAC (United Association of Journeymen and Apprentices of the Plumbing & Pipefitting Industry of the United States and Canada)". As provided for in 11 CFR §100.5(g) (2), affiliated committees are those political committees established, financed, maintained, or controlled by the same group of persons including any parent, subsidiary, branch, division, department, or local unit thereof. Affiliated political committees may make and receive unlimited transfers amongst themselves which are not considered to be contributions. (11 CFR §102.6(a))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have made an excessive contribution, you must notify the recipient and request a refund of the amount in excess of \$5,000. The refund of the excessive amount must be made within sixty (60) days of receipt of the contribution.

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Please inform the Commission of your corrective action promptly by providing the date of the refund request for each contribution. The committee should retain for its records copies of the request(s) sent to the recipient committee(s) and refund checks. In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received.

Alternately, if "UA Union Plumbers & Pipefitters Vote! PAC (United Association of Journeymen and Apprentices of the Plumbing & Pipefitting Industry of the United States and Canada)" is affiliated with your committee, please clarify this relationship in writing and amend Line 6 of your Statement of Organization to identify it as an affiliated committee. 11 CFR §102.2 For future reporting, be aware that transfers made to affiliated committees should properly be disclosed on Schedule B supporting Line 22 of the Detailed Summary Page.

Although the Commission may take further legal action concerning the excessive contribution, your prompt action in obtaining a refund of the excessive amount, or further clarification of your relationship, if any, with "UA Union Plumbers & Pipefitters Vote! PAC (United Association of Journeymen and Apprentices of the Plumbing & Pipefitting Industry of the United States and Canada)" will be taken into consideration. (11 CFR §103.3(b)(1) and (3))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RFAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number(202) 694-1180.

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Sincerely,

A handwritten signature in black ink that reads "Nicholas Tarone". The script is cursive and fluid, with the first name starting with a large, decorative capital 'N' and the last name starting with a capital 'T'.

Nicholas Tarone
Senior Campaign Finance Analyst

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Apparent Excessive Contributions**PLUMBERS LOCAL UNION NO. 1 NYC-POLITICAL ACTION COMMITTEE****(C00327478)****Apparent Excessive Contributions to a Candidate/Committee with an Affiliated Committee**

Recipient Name	Date	Amount	Election	Report	Contributing Affiliate
MAX ROSE FOR CONGRESS	7/21/20	\$5,000.00	G2020	2020 August Monthly	C00012476
MAX ROSE FOR CONGRESS	7/21/20	\$5,000.00	G2020	2020 October Quarterly	C00327478