

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines  
LPL Financial Corporation Political Action Committee (LPL PAC)

ADDRESS (number and street) One Beacon Street  
22nd Floor  
 Check if different than previously reported. (ACC)  
Boston MA 02108

2. **FEC IDENTIFICATION NUMBER** C00486217  
**3. IS THIS REPORT**  NEW (N) **OR**  AMENDED (A)

4. **TYPE OF REPORT** (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report(Q1)  
 July 15 Quarterly Report(Q2)  
 October 15 Quarterly Report(Q3)  
 January 31 Quarterly Report(YE)  
 July 31 Mid-Year Report(Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  
 Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day **PRE-Election** Report for the:  
 Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12G)  
Election on \_\_\_\_\_ in the State of \_\_\_\_\_  
(d) 30-Day **Post -Election** Report for the:  
 General (30G)  Runoff (30R)  Special (30S)  
Election on \_\_\_\_\_ in the State of \_\_\_\_\_

5. Covering Period 01 01 2011 through 06 30 2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer John E. Danton, Jr.

Signature of Treasurer Electronically Filed by John E. Danton, Jr. Date 07 29 2011

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only									
-----------------	--	--	--	--	--	--	--	--	--

**FEC FORM 3X**  
(Rev. 12/2004)

**SUMMARY PAGE**  
**OF RECEIPTS AND DISBURSEMENTS**

Write or Type Committee Name  
LPL Financial Corporation Political Action Committee (LPL PAC)

Report Covering the Period: From: 

M	M
0	1

D	D
0	1

Y	Y	Y	Y
2	0	1	1

 To: 

M	M
0	6

D	D
3	0

Y	Y	Y	Y
2	0	1	1

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1"><tr><td>X</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>1</td><td>1</td></tr></table>	X	Y	Y	Y	2	0	1	1		13696.02
X	Y	Y	Y							
2	0	1	1							
(b) Cash on Hand at Beginning of Reporting Period .....	13696.02									
(c) Total Receipts (from Line 19) .....	53957.02	53957.02								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	67653.04	67653.04								
7. Total Disbursements (from Line 31) .....	8025.05	8025.05								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	59627.99	59627.99								
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

Write or Type Committee Name

LPL Financial Corporation Political Action Committee (LPL PAC)

Report Covering the Period: From: 

M	M
0	1

D	D
0	1

Y	Y	Y	Y
2	0	1	1

 To: 

M	M
0	6

D	D
3	0

Y	Y	Y	Y
2	0	1	1

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	51620.00	51620.00
(ii) Unitemized .....	1612.00	1612.00
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	53232.00	53232.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....	53232.00	53232.00
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	725.02	725.02
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	53957.02	53957.02
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	53957.02	53957.02

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	775.05	775.05
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	775.05	775.05
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees..... and Other Political Committees.....	7000.00	7000.00
24. Independent Expenditure (use Schedule E) .....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	250.00	250.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	250.00	250.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	8025.05	8025.05
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	8025.05	8025.05

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	53232.00	53232.00
34. Total Contribution Refunds (from Line 28(d)) .....	250.00	250.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	52982.00	52982.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	775.05	775.05
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	725.02	725.02
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	50.03	50.03

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 23  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

**A.** Full Name (Last, First, Middle Initial)  
Diane Anderson

Mailing Address 2644 Villas Way

City San Diego State CA Zip Code 92108-6728

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation SVP, Operations

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 05 / 06 / 2011  
**Transaction ID:** AD8C061A0A51E4F5392B  
Amount of Each Receipt this Period 500.00

**B.** Full Name (Last, First, Middle Initial)  
Dan Hogan Arnold, Jr.

Mailing Address 314 Fenton Place

City Charlotte State NC Zip Code 28207-1916

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Managing Director of Institutional Ser

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 3000.00

Date of Receipt 02 / 25 / 2011  
**Transaction ID:** A0989BD3559E94A758DB  
Amount of Each Receipt this Period 3000.00  
Political Contribution

**C.** Full Name (Last, First, Middle Initial)  
Stephanie Brown

Mailing Address 220 Boylston St Unit 1016

City Boston State MA Zip Code 02108

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation MD, General Counsel

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 05 / 20 / 2011  
**Transaction ID:** ACA9F98C9D18C42488C6  
Amount of Each Receipt this Period 5000.00

**SUBTOTAL** of Receipts This Page (optional) ..... ► 8500.00

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 / 23
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

**A.**

Full Name (Last, First, Middle Initial)  
Jeffrey Buchheister

Mailing Address 2367 Juan St

City San Diego State CA Zip Code 92103-1110

FEC ID number of contributing federal political committee. **C**

Name of Employer Helliker, Mark Occupation SVP, Reg Cntrls & Commissions

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt 02 / 25 / 2011

**Transaction ID:** AC7780D17625242198D9

Amount of Each Receipt this Period 750.00

Political Contribution Payroll Deduction: \$750.00/- Bi-Monthly

**B.**

Full Name (Last, First, Middle Initial)  
Mark S. Casady

Mailing Address 3 Summit Rd

City Weston State MA Zip Code 02108

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Chariman and CEO

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 02 / 25 / 2011

**Transaction ID:** A63C5C7182A0548CDBC0

Amount of Each Receipt this Period 5000.00

Political Contribution Payroll Deduction: \$5000.00/- Bi-Monthly

**C.**

Full Name (Last, First, Middle Initial)  
Crystal Clifford

Mailing Address 19562 Hidden Glen Road

City San Diego State CA Zip Code 92121

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation SVP, Broker Dealer Supt Svcs

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt 02 / 25 / 2011

**Transaction ID:** A01DFB543E65A4B3EB02

Amount of Each Receipt this Period 750.00

Political Contribution Payroll Deduction: \$750.00/- Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional) ..... ► **6500.00**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 / 23
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Bradford L. Cornell		Date of Receipt
	Mailing Address 5257 Fieldgate Ct		<input type="text" value="06"/> / <input type="text" value="30"/> / <input type="text" value="2011"/>
	City	State	Zip Code
	Charlotte	NC	28217
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> A80848B7E1A734C2F95F
Name of Employer LPL Financial		Occupation SVP, Sponsor Relations	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text" value="400.00"/>
		<input type="text" value="400.00"/>	Payroll Deduction: \$50.00- /Bi-Monthly

<b>B.</b>	Full Name (Last, First, Middle Initial) Jennifer Dutton		Date of Receipt
	Mailing Address 4828 Parkway Plaza		<input type="text" value="04"/> / <input type="text" value="08"/> / <input type="text" value="2011"/>
	City	State	Zip Code
	Charlotte	NC	28217-1957
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> A774D12D7F9E643578AF
Name of Employer LPL Financial		Occupation SVP	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text" value="250.00"/>
		<input type="text" value="250.00"/>	

<b>C.</b>	Full Name (Last, First, Middle Initial) William Dwyer, III		Date of Receipt
	Mailing Address One Beacon Street 22nd Floor		<input type="text" value="05"/> / <input type="text" value="13"/> / <input type="text" value="2011"/>
	City	State	Zip Code
	Boston	MA	02108-3106
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> A5FEAED128A0C41D094F
Name of Employer LPL Financial		Occupation MD, President Natl Sales Mktg	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text" value="5000.00"/>
		<input type="text" value="5000.00"/>	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text" value="5650.00"/>
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text"/>



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 / 23
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Jonathan Eaton		Date of Receipt
	Mailing Address 12510 Grevillea Place		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 2 / 1 1 / 2 0 1 1
	City	State	Zip Code
	San Diego	CA	92130-4896
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> AC4E908B62DFC4C37B22
Name of Employer LPL Financial		Occupation MD, National Sales	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 2500.00
			Political Contribution

<b>B.</b>	Full Name (Last, First, Middle Initial) Christopher Feeny		Date of Receipt
	Mailing Address 100 Overleigh Rd		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 6 / 0 2 / 2 0 1 1
	City	State	Zip Code
	Bernardsville	NE	92121
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> AE70A6CB0C81640A4830
Name of Employer LPL Financial		Occupation Managing Director	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 3000.00

<b>C.</b>	Full Name (Last, First, Middle Initial) Joseph Finn		Date of Receipt
	Mailing Address 245 Country Club Way		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 5 / 1 0 / 2 0 1 1
	City	State	Zip Code
	Boston	MA	02108
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> AB247782DBF8845EF897
Name of Employer LPL Financial		Occupation SVP, Business Development	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 600.00

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text"/> 6100.00
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 / 23
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Fay Fisher	Date of Receipt MM / DD / YYYY 06 / 30 / 2011
	Mailing Address 867 Homestake Court	<b>Transaction ID:</b> A28A934E0F2514566849
	City State Zip Code San Diego CA 92121	Amount of Each Receipt this Period 375.00
	FEC ID number of contributing federal political committee. C	Payroll Deduction: \$75.00- /Bi-Monthly
	Name of Employer LPL Financial Occupation EVP, Technology Risk Mgmt Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date 375.00

<b>B.</b>	Full Name (Last, First, Middle Initial) Lewis Fogel	Date of Receipt MM / DD / YYYY 01 / 03 / 2011
	Mailing Address 1625 Bibury Lane	<b>Transaction ID:</b> A0450BB023B924360BA4
	City State Zip Code Charlotte NC 28211-1731	Amount of Each Receipt this Period 2500.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer LPL Financial Occupation EVP, Busn Consulting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date 2500.00

<b>C.</b>	Full Name (Last, First, Middle Initial) Nazanin Ghabai	Date of Receipt MM / DD / YYYY 03 / 21 / 2011
	Mailing Address One Beacon Street 22nd Floor	<b>Transaction ID:</b> ABA3DB456F21A44EE977
	City State Zip Code Boston MA 02108-3106	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer LPL Financial Occupation Attorney Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date 250.00

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>3125.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 / 23
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Joy Goble		Date of Receipt MM / DD / YYYY 06 / 30 / 2011		
	Mailing Address 3217 Selwyn Farms Ln		<b>Transaction ID:</b> A1FBBF2AB6B9E442A899		
	City Charlotte	State NC	Zip Code 28209-4009	Amount of Each Receipt this Period 245.00	
	FEC ID number of contributing federal political committee. C		Payroll Deduction: \$35.00- /Bi-Monthly		
	Name of Employer LPL Financial	Occupation SVP, Banking Svcs		Aggregate Year-to-Date 245.00	

<b>B.</b>	Full Name (Last, First, Middle Initial) Lawrence Hatch		Date of Receipt MM / DD / YYYY 02 / 08 / 2011		
	Mailing Address 2726 Belvoir Blvd		<b>Transaction ID:</b> ADFE290F15B43D7B05		
	City Shaker Heights	State OH	Zip Code 44122-1926	Amount of Each Receipt this Period 500.00	
	FEC ID number of contributing federal political committee. C		Political Contribution		
	Name of Employer The Private Trust Company, N.A.	Occupation PTC President CFO		Aggregate Year-to-Date 500.00	

<b>C.</b>	Full Name (Last, First, Middle Initial) Mark Helliker		Date of Receipt MM / DD / YYYY 05 / 06 / 2011		
	Mailing Address 688 Caudor St.		<b>Transaction ID:</b> A1C98F89ED1B541EFA5D		
	City San Diego	State CA	Zip Code 92121	Amount of Each Receipt this Period 3000.00	
	FEC ID number of contributing federal political committee. C				
	Name of Employer LPL Financial	Occupation MD, Broker Dealer Support Svcs		Aggregate Year-to-Date 3000.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>3745.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 / 23
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Peggy Ho	Date of Receipt
	Mailing Address 6 Lilac Circle	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 2 / 2 8 / 2 0 1 1
	City State Zip Code Roslindale MA 02131-3507	<b>Transaction ID:</b> A529BE4CEEECD44DFA69
	FEC ID number of contributing federal political committee. <input type="text"/> C <input type="text"/>	Amount of Each Receipt this Period <input type="text"/> 250.00
	Name of Employer Occupation LPL Financial SVP, Associate Counsel	
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text"/> 250.00

<b>B.</b>	Full Name (Last, First, Middle Initial) Michelle Kelley	Date of Receipt
	Mailing Address 140 Gilbert Road	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 6 / 0 2 / 2 0 1 1
	City State Zip Code Boston MA 02108	<b>Transaction ID:</b> A1D0191056DA84B209D2
	FEC ID number of contributing federal political committee. <input type="text"/> C <input type="text"/>	Amount of Each Receipt this Period <input type="text"/> 250.00
	Name of Employer Occupation LPL Financial SVP, Associate Counsel	
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text"/> 250.00

<b>C.</b>	Full Name (Last, First, Middle Initial) Gary Klein	Date of Receipt
	Mailing Address 7832 Red Mahogany Rd	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 6 / 3 0 / 2 0 1 1
	City State Zip Code Boston MA 02108	<b>Transaction ID:</b> AFAE0E317CA534B04B6A
	FEC ID number of contributing federal political committee. <input type="text"/> C <input type="text"/>	Amount of Each Receipt this Period <input type="text"/> 250.00
	Name of Employer Occupation LPL Financial EVP, Deputy General Counsel	Payroll Deduction: \$50.00- /Bi-Monthly
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text"/> 250.00

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text"/> 750.00
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text"/>

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 23  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

**A.** Full Name (Last, First, Middle Initial)  
Stephen R. Langlois

Mailing Address 126 Newton St

City State Zip Code  
Brighton MA 02135-1509

FEC ID number of contributing federal political committee. **C**

Name of Employer Stearns, Esther Occupation EVP, Strategic Development

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt MM / DD / YYYY  
06 / 30 / 2011

**Transaction ID:** A19127880AD1C44EC879

Amount of Each Receipt this Period 400.00

Payroll Deduction: \$100.00/Bi-Monthly

**B.** Full Name (Last, First, Middle Initial)  
Stephen Lank

Mailing Address 15936 Glen Miro Drive

City State Zip Code  
Charlotte NC 28217

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation SVP, Operations

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt MM / DD / YYYY  
06 / 30 / 2011

**Transaction ID:** A55190ABADE774B44A80

Amount of Each Receipt this Period 300.00

Payroll Deduction: \$50.00/Bi-Monthly

**C.** Full Name (Last, First, Middle Initial)  
Marc Loewenthal

Mailing Address 9785 Towne Centre Drive

City State Zip Code  
San Diego CA 92121-1968

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation SVP, CSO/CPO

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt MM / DD / YYYY  
02 / 14 / 2011

**Transaction ID:** A76B0857B5D4741BEB2B

Amount of Each Receipt this Period 750.00

**SUBTOTAL** of Receipts This Page (optional) ..... ► 1450.00

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 / 23
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Thomas Lux	Date of Receipt MM / DD / YYYY 04 / 14 / 2011
	Mailing Address 9785 Towne Centre Drive	<b>Transaction ID:</b> AB1AC4E757E3C493DB2B
	City State Zip Code San Diego CA 92121-1968	Amount of Each Receipt this Period 2500.00
	FEC ID number of contributing federal political committee. C	Political Contribution
	Name of Employer LPL Financial Occupation EVP, Chief Accounting Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2500.00	

<b>B.</b>	Full Name (Last, First, Middle Initial) John McDermott	Date of Receipt MM / DD / YYYY 03 / 28 / 2011
	Mailing Address 9785 Towne Centre Drive	<b>Transaction ID:</b> A5E9C9CCED4D143AF952
	City State Zip Code San Diego CA 92121-1968	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer LPL Financial Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 5000.00	

<b>C.</b>	Full Name (Last, First, Middle Initial) Robert Moore	Date of Receipt MM / DD / YYYY 02 / 28 / 2011
	Mailing Address PO Box 2764	<b>Transaction ID:</b> AC0065B32EF38438DBAC
	City State Zip Code San Diego CA 92121	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer LPL Financial Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 5000.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	12500.00
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 23  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

**A.** Full Name (Last, First, Middle Initial)  
Trevor Norton

Mailing Address One Beacon Street  
22nd Floor

City State Zip Code  
Boston MA 02108-3106

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
LPL Financial SVP, RIA Srvc's Fin Planning

Receipt For:  Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
0 2 / 2 5 / 2 0 1 1

**Transaction ID:** A34B9868F27E741A1BCD

Amount of Each Receipt this Period  
500.00

Political Contribution Payroll Deduction: \$500.00/-  
Bi-Monthly

**B.** Full Name (Last, First, Middle Initial)  
Thomas Seeburger

Mailing Address 1449 Westwood Place

City State Zip Code  
San Diego CA 92121

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
LPL Financial SVP, Operations

Receipt For:  Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
0 2 / 2 5 / 2 0 1 1

**Transaction ID:** A1EC4CF84EAB540709A1

Amount of Each Receipt this Period  
750.00

Political Contribution Payroll Deduction: \$750.00/-  
Bi-Monthly

**C.** Full Name (Last, First, Middle Initial)  
Keith Shores

Mailing Address 4923 Bradshaw Court

City State Zip Code  
San Diego CA 92130-2751

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
LPL Financial SVP/Treasurer

Receipt For:  Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
0 3 / 2 1 / 2 0 1 1

**Transaction ID:** A12DAA17898A9496E8F2

Amount of Each Receipt this Period  
1500.00

Political Contribution

**SUBTOTAL** of Receipts This Page (optional) ..... ► **2750.00**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 16 / 23
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14
<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) Cynthia Swank	Date of Receipt MM / DD / YYYY 06 / 30 / 2011
	Mailing Address 5138 Bevington Place	<b>Transaction ID:</b> A1A27F6F567F34543B2A
	City State Zip Code Charlotte NC 28277-5505	Amount of Each Receipt this Period 300.00
	FEC ID number of contributing federal political committee. C	Payroll Deduction: \$50.00- /Bi-Monthly
Name of Employer LPL Financial	Occupation EVP, Service Value Commitment	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
<b>B.</b>	Full Name (Last, First, Middle Initial) Todd Tharp	Date of Receipt MM / DD / YYYY 04 / 13 / 2011
	Mailing Address 7016 Turning Pt Ln	<b>Transaction ID:</b> AE8227228D0DC4715BD8
	City State Zip Code Charlotte NC 28277-0360	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	
Name of Employer LPL Financial	Occupation SVP, Insurance Associates	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	550.00
<b>TOTAL</b> This Period (last page this line number only) .....	51620.00



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 17 / 23	
	(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b>	Full Name (Last, First, Middle Initial) LPL Financial		Date of Receipt	
	Mailing Address One Beacon Street 22nd Floor		M M / D D / Y Y Y Y 0 5 / 0 6 / 2 0 1 1	
	City	State	Zip Code	<b>Transaction ID:</b> A7877EDFA63A14A0CAFA
	Boston	MA	02108-3106	
	FEC ID number of contributing federal political committee.		Amount of Each Receipt this Period	
	C		725.02	
Name of Employer		Occupation		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 725.02		

Reimbursement of Bank Fees

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	725.02
<b>TOTAL</b> This Period (last page this line number only) .....	725.02

# SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 18 / 23

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<p><b>A.</b> Full Name (Last, First, Middle Initial) Aristotle International</p> <p>Mailing Address 205 Pennsylvania Ave, SE</p> <p>City Washington State DC Zip Code 20003</p> <p>Purpose of Disbursement Credit Card Processing Fee</p> <p>Candidate Name</p> <p>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:</p> <p>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p>	<p><b>Transaction ID:</b> BEE705C39026A433A9CE</p> <p>Date of Disbursement 03 / 01 / 2011</p> <p>Amount of Each Disbursement this Period 37.50</p>
<p><b>B.</b> Full Name (Last, First, Middle Initial) Aristotle International</p> <p>Mailing Address 205 Pennsylvania Ave, SE</p> <p>City Washington State DC Zip Code 20003</p> <p>Purpose of Disbursement Credit Card Processing Fee</p> <p>Candidate Name</p> <p>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:</p> <p>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p>	<p><b>Transaction ID:</b> B5D2EB42FEE884F59840</p> <p>Date of Disbursement 03 / 14 / 2011</p> <p>Amount of Each Disbursement this Period 262.55</p>
<p><b>C.</b> Full Name (Last, First, Middle Initial) Aristotle International</p> <p>Mailing Address 205 Pennsylvania Ave, SE</p> <p>City Washington State DC Zip Code 20003</p> <p>Purpose of Disbursement Credit Card Processing Fee</p> <p>Candidate Name</p> <p>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:</p> <p>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p>	<p><b>Transaction ID:</b> B7D6A61C2F3D04441A03</p> <p>Date of Disbursement 03 / 28 / 2011</p> <p>Amount of Each Disbursement this Period 12.50</p>

<b>SUBTOTAL</b> of Disbursements This Page (optional) .....	<b>312.55</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

PAGE 19 / 23

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b> Full Name (Last, First, Middle Initial) Aristotle International <hr/> Mailing Address 205 Pennsylvania Ave, SE <hr/> City Washington State DC Zip Code 20003 Purpose of Disbursement Credit Card Processing Fee Candidate Name <hr/> Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: B96E8C721DFFB4BDA933 Date of Disbursement M M / D D / Y Y Y Y 0 4 / 0 4 / 2 0 1 1
	Amount of Each Disbursement this Period 12.50
	Category/ Type
	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
<b>B.</b> Full Name (Last, First, Middle Initial) Aristotle International <hr/> Mailing Address 205 Pennsylvania Ave, SE <hr/> City Washington State DC Zip Code 20003 Purpose of Disbursement Credit Card Processing Fee Candidate Name <hr/> Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: BA773238574EC4136BDD Date of Disbursement M M / D D / Y Y Y Y 0 4 / 1 1 / 2 0 1 1
	Amount of Each Disbursement this Period 250.00
	Category/ Type
	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
<b>C.</b> Full Name (Last, First, Middle Initial) Aristotle International <hr/> Mailing Address 205 Pennsylvania Ave, SE <hr/> City Washington State DC Zip Code 20003 Purpose of Disbursement Credit Card Processing Fee Candidate Name <hr/> Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: BD9DABA0A35FF4216A77 Date of Disbursement M M / D D / Y Y Y Y 0 4 / 1 8 / 2 0 1 1
	Amount of Each Disbursement this Period 12.50
	Category/ Type
	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

<b>SUBTOTAL</b> of Disbursements This Page (optional) ..... ▶	275.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	[ ]

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 20 / 23

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

LPL Financial Corporation Political Action Committee (LPL PAC)

A.

Full Name (Last, First, Middle Initial)

Aristotle International

Mailing Address 205 Pennsylvania Ave, SE

City Washington State DC Zip Code 20003

Purpose of Disbursement  
Credit Card Processing Fee

Candidate Name

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Transaction ID: BC3384CFEBFEF479EA87

Date of Disbursement

04 / 25 / 2011

Amount of Each Disbursement this Period

12.50

SUBTOTAL of Disbursements This Page (optional) .....

12.50

TOTAL This Period (last page this line number only) .....

600.05

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)  
LPL Financial Corporation Political Action Committee (LPL PAC)

<b>A.</b> Full Name (Last, First, Middle Initial) <b>BRAD MILLER FOR UNITED STATES CONGRESS</b> Mailing Address PO Box 10322 City Raleigh State NC Zip Code 27605 Purpose of Disbursement VOID - NC US House Candidate Name Rep. Brad Miller Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: NC District: 13 Disbursement For: 2010 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: B3295C6DC12D346558CF Date of Disbursement 05 / 20 / 2011
	Amount of Each Disbursement this Period -1000.00

<b>B.</b> Full Name (Last, First, Middle Initial) <b>Financial Services Roundtable PAC</b> Mailing Address Attn: Scott Talbott 1001 Pennsylvania Ave, NW, Suite 5 City Washington State DC Zip Code 20004 Purpose of Disbursement Political Contribution Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: 2011 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) ▼ Other2011	Transaction ID: BB3EA7E67222C4CE9A3D Date of Disbursement 02 / 25 / 2011
	Amount of Each Disbursement this Period 5000.00

<b>C.</b> Full Name (Last, First, Middle Initial) <b>INSURED RETIREMENT INSTITUTE PAC</b> Mailing Address 1101 NEW YORK AVENUE NW SUITE 825 City WASHINGTON State DC Zip Code 20005 Purpose of Disbursement PAC to PAC Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: 2011 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) ▼ Other2011	Transaction ID: B25082D955FE64043924 Date of Disbursement 06 / 07 / 2011
	Amount of Each Disbursement this Period 1000.00

<b>SUBTOTAL</b> of Disbursements This Page (optional) ..... ▶	5000.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	(Empty box)

# SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 22 / 23

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

LPL Financial Corporation Political Action Committee (LPL PAC)

**A.**

Full Name (Last, First, Middle Initial)  
MCCONNELL SENATE COMMITTEE

Mailing Address Attn: Laura Sequeira  
400 North Capitol St., NW, Suite 5

City State Zip Code  
Washington DC 20001

Purpose of Disbursement  
KY US Senate

Candidate Name  
Sen. Mitch McConnell

Category/  
Type

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify) ▼  
State: KY District:

Transaction ID: BE7FC0635C4394C12881  
Date of Disbursement

02 / 25 / 2011

Amount of Each Disbursement this Period

1000.00

**B.**

Full Name (Last, First, Middle Initial)  
SCOTT GARRETT FOR CONGRESS

Mailing Address P.O. Box 905

City State Zip Code  
Newton NJ 07860

Purpose of Disbursement  
NJ US House

Candidate Name  
Rep. Scott Garrett

Category/  
Type

Office Sought:  House  Senate  President  
Disbursement For: 2012  Primary  General  Other (specify) ▼  
State: NJ District: 05

Transaction ID: B210F5D907CA9403DB42  
Date of Disbursement

03 / 01 / 2011

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional) .....

2000.00

TOTAL This Period (last page this line number only) .....

7000.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 23 / 23

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

LPL Financial Corporation Political Action Committee (LPL PAC)

A.

Full Name (Last, First, Middle Initial)

Zachary Drew

Transaction ID: B4FB9D2E1E7A043C1A68

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	1		1	0		2	0	1	1

Mailing Address 21 Charter St  
Apt 403

City Boston State MA Zip Code 02113-1334

Amount of Each Disbursement this Period

250.00
--------

Purpose of Disbursement  
Refund of Contribution Made

Category/  
Type

Candidate Name

Office Sought:  House  
 Senate  
 President

Disbursement For:  Primary  General  
 Other (specify) ▼

State: District:

SUBTOTAL of Disbursements This Page (optional) .....

250.00

TOTAL This Period (last page this line number only) .....

250.00