02/20/2003 09 : 03

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Financial Services Roundtable PAC

ADDRESS (number and street) ▼ 805 15th Street, NW

Suite 600

Check if different than previously reported. (ACC)

Washington | DC | 20005 –

2. **FEC IDENTIFICATION NUMBER** ▼ — CITY ▲ — STATE ▲ — ZIPCODE ▲

C00193177

3. IS THIS REPORT — X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On: X

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) — General (12G) — Runoff (12R) — Convention (12C) — Special (12S)

Election on — in the State of

(d) 30-Day **Post**-Election Report for the: General (30G) — Runoff (30R) — Special (30S)

Election on — in the State of

5. Covering Period 01 01 2003 through 01 31 2003

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard Whiting

Signature of Treasurer Electronically Filed by Richard Whiting Date 02 20 2003

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
Financial Services Roundtable PAC

Report Covering the Period: From: Mo 01 Day 01 Year 2003 To: Mo 01 Day 31 Year 2003

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 Year 2003		29277.63
(b) Cash on Hand at Begining of Reporting Period	29277.63	
(c) Total Receipts (from Line 19)	0.00	0.00
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	29277.63	29277.63
7. Total Disbursements (from Line 30)	10560.00	10560.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	18717.63	18717.63
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)

Write or Type Committee Name

Financial Services Roundtable PAC

Report Covering the Period: From: 01 01 2003 To: 01 31 2003

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	0.00	
(ii) Unitemized	0.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	0.00	0.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	0.00	0.00
20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶	0.00	0.00

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	0.00	0.00
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	10560.00	10560.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	10560.00	10560.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	10560.00	10560.00
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	0.00	0.00
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	0.00	0.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	0.00	0.00
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	0.00	0.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial)
New Democrat Network

Mailing Address
777 North Capitol Street, NE — Suite 410
City: Washington — State: DC — Zip Code: 20002

Purpose of Disbursement

Candidate Name

011 Category/Type

Office Sought: House / Senate / President
State: District: 0

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 01 01 2003

Amount of Each Disbursement this Period: 5000.00

Transaction ID: 7432278

B.
Full Name (Last, First, Middle Initial)
New Democrat Network

Mailing Address
777 North Capitol Street, NE — Suite 410
City: Washington — State: DC — Zip Code: 20002

Purpose of Disbursement
Void - New Democrat Network

Candidate Name

011 Category/Type

Office Sought: House / Senate / President
State: District: 0

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 01 01 2003

Amount of Each Disbursement this Period: -5000.00

Void - New Democrat Network

Transaction ID: 7831612

C.
Full Name (Last, First, Middle Initial)
Committee To Re-Elect Vito Fossella

Mailing Address
PO Box 131403 — PO Box 060248
City: Staten Island — State: NY — Zip Code: 10313

Purpose of Disbursement

Candidate Name
Rep. Vito Fossella

011 Category/Type

Office Sought: X House / Senate / President
State: NY District: 13

Disbursement For: 2004
X Primary / General / Other (specify)

Date of Disbursement: 01 08 2003

Amount of Each Disbursement this Period: 1000.00

Transaction ID: 7617510

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **1000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial)
Bachus For Congress

Mailing Address
P O Box 59444
City: Birmingham State: AL Zip Code: 35259

Purpose of Disbursement

Candidate Name
Rep. Spencer Bachus

011 Category/Type

Office Sought: X House / Senate / President
State: AL District: 6

Disbursement For: 2004 X Primary / General / Other (specify)

Date of Disbursement: 01 / 08 / 2003

Amount of Each Disbursement this Period: 1000.00

Transaction ID: 7615855

B.
Full Name (Last, First, Middle Initial)
Oxley For Congress

Mailing Address
Box 2000
City: Findlay State: OH Zip Code: 45839

Purpose of Disbursement

Candidate Name
Rep. Michael Oxley

011 Category/Type

Office Sought: X House / Senate / President
State: OH District: 4

Disbursement For: 2004 X Primary / General / Other (specify)

Date of Disbursement: 01 / 08 / 2003

Amount of Each Disbursement this Period: 3000.00

Transaction ID: 7615854

C.
Full Name (Last, First, Middle Initial)
Oxley For Congress

Mailing Address
Box 2000
City: Findlay State: OH Zip Code: 45839

Purpose of Disbursement
Void - Wrong PAC org

Candidate Name
Rep. Michael Oxley

011 Category/Type

Office Sought: X House / Senate / President
State: OH District: 4

Disbursement For: 2004 X Primary / General / Other (specify)

Date of Disbursement: 01 / 14 / 2003

Amount of Each Disbursement this Period: -3000.00

Void - Wrong PAC org

Transaction ID: 7626272

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **1000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Financial Services Roundtable PAC

A.
Full Name (Last, First, Middle Initial)
Leadership PAC 2004

Mailing Address
1199 N. Fairfax Street 4th Floor
City: Alexandria State: VA Zip Code: 22314

Purpose of Disbursement

Candidate Name

011 Category/Type

Office Sought: House / Senate / President
State: District: 0

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 01 14 2003

Amount of Each Disbursement this Period: 3000.00

Transaction ID: 7826273

B.
Full Name (Last, First, Middle Initial)
Carper For Senate

Mailing Address
240 North James Street Suite 100a
City: Newport State: DE Zip Code: 19804

Purpose of Disbursement

Candidate Name
Sen. Thomas Carper

011 Category/Type

Office Sought: House / X Senate / President
State: DE District: 2

Disbursement For: 2006 Primary / General / X Other (specify)
House and Senate Pri

Date of Disbursement: 01 16 2003

Amount of Each Disbursement this Period: 560.00

Transaction ID: 7832969

C.
Full Name (Last, First, Middle Initial)
Baker For Congress Committee

Mailing Address
Post Office Box 1694
City: Baton Rouge State: LA Zip Code: 70821

Purpose of Disbursement

Candidate Name
Rep. Richard Baker

011 Category/Type

Office Sought: X House / Senate / President
State: LA District: 6

Disbursement For: 2004 X Primary / General / Other (specify)

Date of Disbursement: 01 30 2003

Amount of Each Disbursement this Period: 5000.00

Transaction ID: 7775416

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **8560.00**

TOTAL This Period (last page this line number only) ..... ▶ **10560.00**