REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

03/21/2001 12 : 22

1. NAME OF COMMITTEE (in full)
Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ☐ Check if different than previously reported
1455 Pennsylvania Avenue, N.W.

2. FEC IDENTIFICATION NUMBER
C00055832

CITY, STATE, and ZIP CODE
Washington DC 20004

3. [X] This committee has qualified as a multi-candidate committee (see FEC Form 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid-Year Report (Non-election Year Only)

☐ Termination report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
[X] March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (election type)
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment ☐ YES [X] NO

SUMMARY

5. Covering Period 02/01/2001 through 02/28/2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand, January 1, 2001		135634.90
(b) Cash on Hand at Beginning of Reporting Period	136223.89	
(c) Total Receipts (from line 19)	14152.42	14741.41
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	150376.31	150376.31
7. Total Disbursements (from line 30)	1000.00	1000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	149376.31	149376.31
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer
Electronically Filed by Mr. Glen M. Johnson

Signature of Treasurer

Date
03/20/2001

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/98)

4-00173

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
(PAGE 2, FEC FORM 3X) (revised 1/1/91)

NAME OF COMMITTEE
Auction Markets Political Action Committee of the Chicago Board of Trade

REPORT COVERING PERIOD
FROM 02/01/2001 TO: 02/28/2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	12750.00	12750.00	11.a.i.
ii. Unitemized	1050.00	1250.00	11.a.ii.
iii. Total (add i and ii)»	13800.00	14000.00	11.a.iii.
b. Political Party Committees	0.00	0.00	11.b.
c. Other Political Committees (such as PACs)	0.00	0.00	11.c.
d. Total Contributions (add a iii, b and c)»	13800.00	14000.00	11.d.
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12.
13. All Loans Received	0.00	0.00	13.
14. Loan Repayments Received	0.00	0.00	14.
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15.
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00	16.
17. Other Federal Receipts (Dividends, Interest, etc.)	352.42	741.41	17.
18. Transfers From Nonfederal Account for Joint Activity	0.00	0.00	18.
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18)»	14152.42	14741.41	19.
20. Total Federal Receipts (subtract line 18 from line 19)»	14152.42	14741.41	20.
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21.a.i.
ii. Non-Federal Share	0.00	0.00	21.a.ii.
b. Other Federal Operating Expenditures	0.00	0.00	21.b.
c. Total Operating Expenditures (add a i, a ii, and b)»	0.00	0.00	21.c.
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22.
23. Contributions to Federal Candidates/Committees and Other Political Committees	1000.00	1000.00	23.
24. Independent Expenditures (use Schedule E)	0.00	0.00	24.
25. Coordinated Expenditures Made by Party Committees (2 U.S.C 441a(d)) (use Sch. F)	0.00	0.00	25.
26. Loan Repayments Made	0.00	0.00	26.
27. Loans Made	0.00	0.00	27.
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28.a.
b. Political Party Committees	0.00	0.00	28.b.
c. Other Political Committees (such as PACs)	0.00	0.00	28.c.
d. Total Contributions Refunds (add a, b, and c)»	0.00	0.00	28.d.
29. Other Disbursements	0.00	0.00	29.
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)»	1000.00	1000.00	30.
31. Total Federal Disbursements (subtract line 21 a ii from line 30)»	1000.00	1000.00	31.
III. Net Contributions / Operating Expenditures			
32. Total Contributions (other than loans) (from line 11d)	13800.00	14000.00	32.
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33.
34. Net Contributions (other than loans) (subtract line 33 from 32)	13800.00	14000.00	34.
35. Total Federal Operating Expenditures (add 21 a i and 21 b)»	0.00	0.00	35.
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36.
37. Net Operating Expenditures (subtract line 36 from 35)»	0.00	0.00	37.

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	3 / 8 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Charles P. Carey 5210 Howard Western Springs IL 60558 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 1000.00	02/26/2001	1000.00
Mr. George R. Earl 5011 Lake Ave. Apt 309 White Bear Lake MN 55110 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation: Retired Aggregate Year-to-Date > $ 250.00	02/26/2001	250.00
Mr. Irwin M. Eisen 2069 Burr Oaks Highland Park IL 60035 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Iron Enterprises Occupation: Executive Aggregate Year-to-Date > $ 250.00	02/26/2001	250.00
Mr. Robert J. Griffin 466 Sheridan Rd Winnetka IL 60093-2638 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Broker Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. Robert E. Hicks Jr. 2024 Wimbeldon Ct. Owensboro KY 42301 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Owensboro Grain Co. Occupation: President Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. Jay P. Ieronimo 333 Country Ln Glenview IL 60025-5104 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 250.00	02/26/2001	250.00
Mr. George C. Lang 796 Brookwood Drive Olympia Fields IL 60461 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation: Retired Aggregate Year-to-Date > $ 1000.00	02/26/2001	1000.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	4 / 8 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. William H. Lauth 12258 W. Scenic Ridge Huntley IL 60142 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Retired Occupation Aggregate Year-to-Date > $ 250.00	02/26/2001	250.00
Mr. Kenneth D. Miely 99 Palo Verde Rd Carbondale CO 81623-9739 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation Trader Aggregate Year-to-Date > $ 300.00	02/26/2001	300.00
Mr. Jacob J. Morowitz 417 Central Wilmette IL 60091 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation Commodities Trader Aggregate Year-to-Date > $ 1000.00	02/26/2001	1000.00
Mr. Nickolas J. Neubauer 40 Indian Hill Winnetka IL 60093 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation Commodities Trader Aggregate Year-to-Date > $ 2500.00	02/26/2001	2500.00
Mr. Thomas P. O'Malley 6744 N Wildwood Ave Chicago IL 60646-1307 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation Commodities Trader Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. Paul J. Schnepf 20658 Meadow Ct Deer Park IL 60010-3640 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation Trader Aggregate Year-to-Date > $ 300.00	02/26/2001	300.00
Mr. Daniel B. Stern 1948 Burr Oaks Lane Highland Park IL 60035 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation Commodities Trader Aggregate Year-to-Date > $ 250.00	02/26/2001	250.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	5 / 8 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Marvin H. Wenger 1805 Clavey Road Highland Park IL 60035 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	SMW Trading Company, Inc. Occupation: Broker Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. Richard H. Woodruff 323 E. 59th St. Hinsdale IL 60521 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 600.00	02/27/2001	600.00
Mr. Paul V. Otter 8252 N. Keystone Ave Skokie IL 60076-2717 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 300.00	02/26/2001	300.00
Mr. Edward Felszek 5338 Leland Avenue Chicago IL 60630 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Commodities Trader Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. Torrey L. Conard 29 W. 244 Oak Knol West Chicago IL 60185-1387 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Broker Occupation: Self Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. Eugene R. Beale Jr. 1600 S Western Ave Park Ridge IL 60068-5066 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Commodities Trader Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00
Mr. John Joseph Connelly 214 Gale River Forest IL 60305 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 500.00	02/26/2001	500.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	6 / 8 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Delmar F. Rentsher 6813 Rentsher Rd Rochester IL 62563-8392		02/26/2001	500.00
Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation Retired		
	Aggregate Year-to-Date > 5 500.00		

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**12750.00**

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	7 / 8 FOR LINE NUMBER 17

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NAME OF COMMITTEE (In Full)
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Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America 231 South LaSalle Street Chicago IL 60697	Interest	02/28/2001	352.42
Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation		
	Aggregate Year-to-Date > 5 741.41		

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**352.42**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	8 / 8 FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
DASHPAC 424 C Street, NE First Floor Washington DC 20002	Contribution: DASHPAC Contribution: DASHPAC Disbursement for: ☐ Primary ☐ General ☒ Other (specify) : Other	02/07/2001	1000.00

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**1000.00**