



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

March 20, 2003

RQ-3

Mary Maloney, Treasurer
Iowa Democratic Party
5661 Fleur Drive
Des Moines, IA 50321

Identification Number: CG0035600

Reference: Amended April Quarterly (1/1/02-3/31/02) and Amended July Quarterly (4/1/02-6/30/02) Reports, dated 8/19/02

Dear Ms. Maloney:

This letter is to inform you that as of March 19, 2003 the Commission has not received your response to our requests for additional information dated February 26, 2003. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

An adequate response must be received at the Commission by April 9, 2003. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter, please contact Edward Ryan on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

RQ-2

Mary Maloney, Treasurer
Iowa Democratic Party
5661 Fleur Drive
Des Moines, IA 50321

FEB 26 2003

Identification Number: C00035600

Reference: Amended April Quarterly Report (1/1/02-3/31/02), dated 8/19/02

Dear Ms. Maloney:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion(s) attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) and 11 CFR §110.1(d) prohibit a committee and its affiliates from receiving any contribution from another political committee or person in excess of \$5,000 per calendar year.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information.

If any contribution you received exceeds the limits, you may have to refund the excessive amount. The funds can be retained if within 60 days of receipt, (1) the excessive amount was properly reattributed to another person, such as a joint account holder, by obtaining signed written authorizations from each person making the contribution pursuant to 11 CFR 110.1(k)(3), and (2) the treasurer informs the person making the contribution that he or she may request the return of the excessive portion of the contribution if it is not intended to be a joint contribution. Any request from a donor for a refund must be honored.

IOWA DEMOCRATIC PARTY

PAGE 2

Alternatively, the funds can be retained if within 60 days of receipt you (1) transferred the excessive amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund. Any request from a donor for a refund must be honored.

If the foregoing conditions for reattributions or transfers to a non-federal account were not met within 60 days of receipt, the excessive amount must be refunded.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

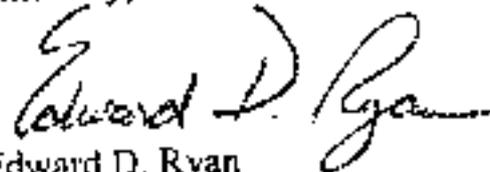
-Please clarify all expenditures made for Radio Ad Buy, Radio Actuality Line, and Ad Production Fees on Schedule(s) H4. If a portion or all of these expenditures were made on behalf of specifically identified federal candidates, this amount should be disclosed on Schedules B, E, or F supporting Lines 23, 24, or 25 and include the amount, name, address and office sought by each candidate. 11 CFR §§104.3(b) and 106.1

-Schedule A supporting Line 15 of the Detailed Summary Page discloses a refund(s) or rebate(s) of what appears to be a previously disclosed allocable expense(s) from Koch Brothers. Please be advised that when a committee receives a refund or rebate of an allocable expense, it must be allocated between the federal and non-federal accounts according to the same allocation ratio used to allocate the original disbursement. Furthermore, the federal account must transfer the non-federal portion to the non-federal account and disclose this transfer-out on Schedule H4. Your report does not appear to disclose a transfer-out of the non-federal portion of this refund(s) or rebate(s). Please provide clarification regarding this apparent omission.

IOWA DEMOCRATIC PARTY
PAGE 3

A response or amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Edward D. Ryan
Campaign Finance Analyst
Reports Analysis Division

209

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 120
(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 17
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
IOWA DEMOCRATIC PARTY

A. Full Name (Last, First, Middle Initial)
Kerry for US Senate Campaign Committee

Mailing Address
7602 Pacific St. Suite Lt.103

City: **Omaha** State: **NE** Zip Code: **68114**

Date of Receipt
M M / D D / Y Y Y Y
08 / 02 / 2002

FEC ID number of contributing federal political committee: _____

Name of Employer: _____ Occupation: _____

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date **28000.00**

Amount of Each Receipt this Period: **28000.00**

Transaction ID: **SA17.8584**

FOR

B. Full Name (Last, First, Middle Initial)
State of Iowa

Mailing Address
Dept. of Revenue and Finance

City: **Des Moines** State: **IA** Zip Code: **50319**

Date of Receipt
M M / D D / Y Y Y Y
01 / 16 / 2002

FEC ID number of contributing federal political committee: _____

Name of Employer: _____ Occupation: _____

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date **979.32**

Amount of Each Receipt this Period: **979.32**

Transaction ID: **SA17.8586**

C. Full Name (Last, First, Middle Initial)
State of Iowa

Mailing Address
Dept. of Revenue and Finance

City: **Des Moines** State: **IA** Zip Code: **50319**

Date of Receipt
M M / D D / Y Y Y Y
02 / 08 / 2002

FEC ID number of contributing federal political committee: _____

Name of Employer: _____ Occupation: _____

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date **1801.76**

Amount of Each Receipt this Period: **822.44**

Transaction ID: **SA17.8587**

SUBTOTAL of Receipts This Page (optional) **29801.76**

TOTAL This Period (last page this line number only)

23.03.1972. 6934