PAGE 1 / 157

## FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

101111111111111111111111111111111111111	or Other Than An Auth	onzed Committee	Office Use Only
NAME OF TOO COMMITTEE (in full)	YPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
The Caterpillar Inc. Emp	oloyee Political Actio	n Committee	
<u> </u>			
ADDRESS (number and street)	100 N.E. Adams Street		
Check if different			
than previously reported. (ACC)	Peoria		IL 61629-1430 –
2. FEC IDENTIFICATION NUM	IBER ▼ CITY	<b>₹</b> ▲	STATE ▲ ZIP CODE ▲
C C00148031	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (Ms	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) <b>x</b> Jun 20 (M6	(Non-Election Year Only)
April 15 Quarterly Report (Q1)		20 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q2)	PRF-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q3)	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (YE)	Floation	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day  POST-Election  Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	n on	in the State of
5. Covering Period 05	01 / 2017	through 05	31 2017
I certify that I have examined this		my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasurer	Cordani, Benjamin, , ,		
Signature of Treasurer	i, Benjamin, , ,	[Electronically Filed]	Date 06 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, erroneo	us, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 05 01 2017 To: 05 31 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2017		362537.41
	(b) Cash on Hand at Beginning of Reporting Period	387446.64	
	(c) Total Receipts (from Line 19)	67480.95	325044.25
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	454927.59	687581.66
7.	Total Disbursements (from Line 31)	79559.25	312213.32
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	375368.34	375368.34
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

### The Caterpillar Inc. Employee Political Action Committee

	001111111	001111111
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	50673.05	192411.95
(ii) Uniterpized	16804.37	132615.70
(ii) Unitemized(iii) TOTAL (add	10004.07	102010.70
Lines 11(a)(i) and (ii)	67477.42	325027.65
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry	67477.42	325027.65
Totals to Line 33, page 5)	01411.42	323021.03
Transfers From Affiliated/Other	0.00	0.00
Party Committees	0.00	0.00
All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures	777-	4 4
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made	7 7 7 7	
to Federal Candidates and Other		
Political Committees	0.00	0.00
Other Federal Receipts		
(Dividends, Interest, etc.)	3.53	16.60
Transfers from Non-Federal and Levin Funds		,
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.0
Total Receipts (add Lines 11(d),	07100.05	225044.25
12, 13, 14, 15, 16, 17, and 18(c))	67480.95	325044.25
Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	67480.95	325044.25

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)	10 1 1 01100	Calendar Year-to-Date	
(i) Federal Share	0.00	0.00	
(ii) Non-Federal Share	0.00	0.00	
(b) Other Federal Operating  Expenditures	14.25	74.10	
(c) Total Operating Expenditures		1 1 1 1 1 1 1 1 1	
(add 21(a)(i), (a)(ii), and (b))▶  Transfers to Affiliated/Other Party	14.25	74.10	
CommitteesContributions to	0.00	0.00	
Federal Candidates/Committees and Other Political Committees	76000.00	302000.00	
Independent Expenditures (use Schedule E)	0.00	0.00	
Coordinated Party Expenditures (52 U.S.C. § 30116(d))		4 1 4 1 4 1 4	
(use Schedule F)	0.00	0.00	
Loan Repayments Made	0.00	0.00	
Loans MadeRefunds of Contributions To:	0.00	0.00	
(a) Individuals/Persons Other Than Political Committees	45.00	139.22	
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees (such as PACs)	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	45.00	139.22	
Other Disbursements (Including			
Non-Federal Donations)	3500.00	10000.00	
Federal Election Activity (52 U.S.C. § 30101(20)  (a) Allocated Federal Election Activity  (from Schedule H6)	))		
(i) Federal Share	0.00	0.00	
(ii) "Levin" Share	0.00	0.00	
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00	
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00	
Total Dishurasments (add Lines 21/5), 22	4 4 4	7 7 7	
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	79559.25	312213.32	
Total Federal Disbursements			
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	79559.25	212212 22	
from Line 31)	79559.25	312213.32	

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

FEC FORM 3X (Rev. 05/2016)	Page 3	
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	67477.42	325027.65
34. Total Contribution Refunds (from Line 28(d))	45.00	139.22
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	67432.42	324888.43
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	14.25	74.10
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	14.25	74.10

FOR LINE NUMBER: PAGE 6 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150678 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 104.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 547.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150110 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 51.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 234.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aguirre, lan, , , Date of Receipt Mailing Address Building H Dock 13 2017 City State Zip Code Transaction ID: A2017-1150043 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 121.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 595.60 Other (specify) 277.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

#### : 97 A = G7 9 @ G B9 C I G H9 L H F 9 @ 5 H9 8 HC 5 F 9 D C F H Z G7 < 98 I @ C F → H9 A = N 5 H = C B

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

157

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alexander, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150996 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rental & Used Operations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Allen, Alison, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1150337 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Rep II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Allen, Michael, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150477 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 77.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 378.98 Other (specify) 227.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mathew, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1150168 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 188.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 926.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Michael, , , Date of Receipt Mailing Address 1656 Livingstone Road 05 2017 City State Zip Code Transaction ID: A2017-1149830 WI Hudson 54016 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Anderson, Michael, , , Date of Receipt Mailing Address 1656 Livingstone Road 25 2017 City Zip Code State Transaction ID: A2017-1149858 WI Hudson 54016 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 238.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bailey, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City Zip Code State Transaction ID: A2017-1150998 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 64.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 316.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1149934 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Balkema, Christopher, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID: A2017-1150080 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 161.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 799.36 Other (specify) 326.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 2017 City Zip Code State Transaction ID: A2017-1149818 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing C 50.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 488.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 2017 City State Zip Code Transaction ID: A2017-1149845 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 50.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 538.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ball, William, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150838 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 885.50 Other (specify) 277.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bankes, Chester, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1150827 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 61.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineering Supv 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150653 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 113.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 555.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beals, Andrew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150926 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 47.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 228.79 Other (specify) 221.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1150018 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Credit Analyst Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1150956 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Analytics Capabilities Div Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Becker, James, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1150969 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Quality Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Belcher, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150523 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beldock, Teresa, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150192 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 87.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Material Req Plng Supv 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 424.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bennes, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150839 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 198.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 955.04 Other (specify) 335.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 15 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Berkebile, Stephen, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City Zip Code State Transaction ID: A2017-1150799 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 178.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 880.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Blank, James, R,, Date of Receipt Mailing Address 14009 Old Galena Rd. 05 2017 Bldg A City State Zip Code Transaction ID: A2017-1149970 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 43.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Supervisor-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 212.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blichmann, Mary, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1150564 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 271.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bloch, Stephen, E,, Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1151043 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 48.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 238.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150145 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 87.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Programs Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 431.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bluth, Thomas, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1149918 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 552.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bowman, Gregory, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1150899 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 55.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales Support Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 274.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bradbury, Walter, , , Date of Receipt Mailing Address 100 NE Adams 2017 Private Mail Bag 4 City State Zip Code Transaction ID: A2017-1150818 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 74.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 372.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 11 2017 City State Zip Code Transaction ID: A2017-1147727 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 32.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 314.74 Other (specify) 162.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 2017 City Zip Code State Transaction ID: A2017-1147739 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 32.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 347.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Braun, Eric, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 P.O. Box 1037 City State Zip Code Transaction ID: A2017-1150616 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149929 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 220.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1074.59 Other (specify) 302.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brennan, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1151049 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 105.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 522.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Briscoe, Kendall, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID : A2017-1150662 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Communications Supv Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brooks, Jennifer, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149973 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 56.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Project Team Leadr** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.91 Other (specify) 204.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2017 City Zip Code State Transaction ID: A2017-1150140 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 138.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 670.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Buob, Paul, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150887 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Excellence Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 700.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Butikofer, Mark, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1150060 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 63.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Mgmt Developer III Receipt For: Aggregate Year-to-Date ▼ Primary General 313.70 Other (specify) 226.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149832 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149860 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calamari, Michael, A, Date of Receipt Mailing Address 1167 NC Hwy 42 East 2017 City Zip Code State Transaction ID: A2017-1150817 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 91.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 452.80 Other (specify) 141.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Callear, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150430 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Category Proc Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Campbell, Amy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149895 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carballido, James, A, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150480 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 63.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Information Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 312.95 Other (specify) 163.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carballido, Marci, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-1150567 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Carlberg, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150831 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 192.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 949.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carlson, Stacy, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City Zip Code State Transaction ID: A2017-1150652 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 168.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 822.86 Other (specify) 410.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Robert, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2017 City State Zip Code Transaction ID: A2017-1150200 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1151039 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carr, Ronald, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150743 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 117.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 574.95 Other (specify) 633.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 25 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150180 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 883.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chadwick, Christopher, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150941 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chen, Xiao, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150455 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 167.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 816.21 Other (specify) 398.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 26 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chism, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150108 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Commercial Consultant II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Chouinard, Shelley, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID : A2017-1150551 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christophe, Andre, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1149905 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Glb Ops Mg Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 145.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ciko, John, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2017 City State Zip Code Transaction ID: A2017-1150046 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 41.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineer-Development/Res Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Clark, Aaron, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1150228 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 186.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 913.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clark, Paul, , , Date of Receipt Mailing Address 11601 93rd Ave N 2017 City State Zip Code Transaction ID: A2017-1150815 MN Maple Grove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Global Paving Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 278.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

157

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1150737 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cochran, Wayne, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1150186 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 41.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 206.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cole, Keri, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2017 Suite 400 City State Zip Code Transaction ID: A2017-1150149 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1608.97 Other (specify) 608.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 29 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cone, Beth, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150508 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 120.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Team Leader Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 590.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Conley, Patrick, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1149867 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coon, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1149942 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 94.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 464.41 Other (specify) 264.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 30 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Copeland, Jananne, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150865 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Accounting Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cordani, Benjamin, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 05 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150047 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 280.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Covey, Kristen, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150363 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Global Business Mgmt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 31 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Craig, John, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1151041 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 44.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creech, Paul, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City State Zip Code Transaction ID: A2017-1149847 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Crespo, Frank, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150271 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 481.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2017 City Zip Code State Transaction ID: A2017-1147718 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 338.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2017 City State Zip Code Transaction ID: A2017-1147730 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 372.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Crowell, Thomas, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID : A2017-1151030 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 422.26 Other (specify) 154.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Curtis, Teresa,,, Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2017 City Zip Code State Transaction ID: A2017-1151035 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 57.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 284.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daniell, Bruce, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2017 City State Zip Code Transaction ID: A2017-1150631 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 55.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Planning Mgr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 271.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daugherty, Tracy, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1150707 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 162.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davidson, Janet, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-1150220 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 220.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Ryan, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149840 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 Building CV Dock CV City State Zip Code Transaction ID: A2017-1150931 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 45.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Supv 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 223.16 Other (specify) 111.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 35 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Diekhoff, Kyle, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2017 City Zip Code State Transaction ID: A2017-1150586 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 200.11 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Disharoon, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150679 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Doherty, Christopher, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 PO Box 1430 City State Zip Code Transaction ID: A2017-1150129 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 42.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 207.66 Other (specify) 292.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 36 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doligale, Suzette, , , Date of Receipt Mailing Address 9301 W. 55th Street 2017 City Zip Code State Transaction ID: A2017-1147736 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Duensing, James, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150830 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dugan, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150624 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 175.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief of Staff Receipt For: Aggregate Year-to-Date ▼ Primary General 843.13 Other (specify) 612.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 37 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150279 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 57.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Healthcare Benefits Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dulaney, Harold, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1149883 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 Tianjin Airport Economic Area City Zip Code State Transaction ID: A2017-1150390 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 257.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 38 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150886 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dunlevy, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1150897 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Duzinskas, Debra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1149900 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Reman Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 39 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams Eastfield 2017 City Zip Code State Transaction ID: A2017-1149915 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Easley, William, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1150934 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150032 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 223.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1101.95 Other (specify) 323.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 40 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 2017 City Zip Code State Transaction ID: A2017-1149831 TX Fort Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing C 61.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 602.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 05 2017 City State Zip Code Transaction ID: A2017-1149859 Fort Worth TX 76177 Amount of Each Receipt this Period FEC ID number of contributing 61.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 664.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eggemeyer, Jonathan, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1149902 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 62.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 302.24 Other (specify) 185.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 41 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name English, Paul, , , Date of Receipt Mailing Address State Rt. 116 E. Spring Creek Rd. 2017 City Zip Code State Transaction ID: A2017-1150466 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 54.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 264.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Evans, Michael, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150534 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 156.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 770.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Faber, David, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150874 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parts Marketing Devlopment Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 311.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 42 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steven, M,, Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150545 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General MgrACS Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fetch, Bonnie, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1149870 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 253.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1237.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Feucht, Joel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1150893 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 446.05 Other (specify) 759.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 43 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150930 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 83.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 404.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Folley, Gregory, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1150790 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150189 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 549.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 44 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Charles, S,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1150493 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 66.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr IS Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 326.71 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID : A2017-1150095 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 112.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Market Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 557.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frake, Thomas, G, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149938 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 596.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 45 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Friend, Paul, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1150269 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 53.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Project Team Leadr** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 258.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frohberg, David, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1151007 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Frye, John, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1150376 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 56.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.76 Other (specify) 159.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

157

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fuelberth, David, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City Zip Code State Transaction ID: A2017-1150806 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 119.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 590.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Furness, Deborah, S, , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150460 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 105.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 519.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gaddis, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150862 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 58.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CMF Managing Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 292.00 Other (specify) 283.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 47 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gao, Xiaojian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150666 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 42.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **EHS Professional II** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 05 2017 City State Zip Code Transaction ID: A2017-1147723 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 25 2017 City State Zip Code Transaction ID: A2017-1147735 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 92.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 48 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaw, Matthew, , , Date of Receipt Mailing Address Building H Dock 13 2017 City Zip Code State Transaction ID: A2017-1149911 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. George, Brian, W,, Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1149993 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gibbons, Justin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg A City State Zip Code Transaction ID: A2017-1150030 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 262.90 Other (specify) 227.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 49 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gilbert, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150069 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 81.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Category Procurement Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 399.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ginzel, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150776 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 110.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Labor & Employee Relation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 534.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID: A2017-1150684 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 608.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 50 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Glawe, Regg, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1151045 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 175.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 872.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2017 City State Zip Code Transaction ID: A2017-1150261 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 144.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 715.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gorman, Gerald, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150853 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Chief Information Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 736.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 51 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grech, Edward, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150232 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 268.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Greuel, Matthew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID : A2017-1150231 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 60.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Supv 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 291.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gugan, Steven, , , Date of Receipt Mailing Address 6800 Doerr Lane 2017 City State Zip Code Transaction ID: A2017-1150347 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 136.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 672.58 Other (specify) 251.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 52 OF 157

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(cneck only one)
	d Statements may not be sold or used by any p the name and address of any political committee	
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee	Political Action Committee	
Full Name of Individual (Last, First, Middle Guilford, Jerome, , ,  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer (for Individual)  Caterpillar Inc.  Receipt For:  Primary General Other (specify)   Caterpillar Inc.	State   Zip Code    L   61629  C   Occupation (for Individual)     Distribution Svcs Reg Mgr     Aggregate Year-to-Date ▼	Date of Receipt  05 31 2017  Transaction ID : A2017-1150690  Amount of Each Receipt this Period  150.00  Memo Item
Full Name of Individual (Last, First, Middle Gusso, Rodrigo, , ,  Mailing Address 701 Waterford Way Suite 600  City Miami  FEC ID number of contributing federal political committee.  Name of Employer (for Individual) Caterpillar Inc.  Receipt For: Primary General Other (specify) ▼	Initial) or Full Organization Name  State Zip Code FL 33126  C  Occupation (for Individual) Marketing Manager  Aggregate Year-to-Date ▼  410.11	Date of Receipt  M M M / 2017  Transaction ID : A2017-1150097  Amount of Each Receipt this Period  82.97  Memo Item
Full Name of Individual (Last, First, Middle Gustafson, Ryan, , ,  Mailing Address 12301 Old Galena Road  City Mossville  FEC ID number of contributing federal political committee.  Name of Employer (for Individual) Caterpillar Inc.  Receipt For:  Primary General Other (specify)	Initial) or Full Organization Name    State	Date of Receipt    M
SUBTOTAL of Receipts This Page (optional).		347.50
TOTAL This Period (last page this line numb	per only)	

FOR LINE NUMBER: PAGE 53 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutgesell, Margaret, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150855 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 823.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hall, Donald, R, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150015 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hall, John, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150028 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 243.10 Other (specify) 258.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 54 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Halloran, James, , , Date of Receipt Mailing Address 980 Ninth Street Suite 2200 2017 City State Zip Code Transaction ID: A2017-1151056 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 890.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Halverson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1150883 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group President & CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hanback, Corey, B, Date of Receipt Mailing Address 11601 93rd Ave N 2017 City State Zip Code Transaction ID: A2017-1150021 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Worldwide Sales & Mrkt Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 693.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 55 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harms, Daniel, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City Zip Code State Transaction ID: A2017-1150952 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Harrell, Russell, D,, Date of Receipt Mailing Address 600 W Washington St 2017 City State Zip Code Transaction ID: A2017-1150833 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hart, Andrea, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150374 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 145.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 706.66 Other (specify) 745.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 56 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 2017 City Zip Code State Transaction ID: A2017-1149826 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Sales & Marketing **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 252.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 2017 City State Zip Code Transaction ID: A2017-1149853 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **Director Sales & Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 277.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hasinger, Sylvia, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150049 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group/Regional HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 100.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 57 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hasselbusch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150535 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 170.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 843.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hassiepen, John, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1150871 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 231.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1142.63 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149839 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 421.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 58 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hays, Katherine, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1150294 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 168.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Intn'l & Fed Gov Affairs Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 827.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heiar, Keith, J, , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150780 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 152.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. MH&U Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 741.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heitzman, Robert, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1150852 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 370.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 59 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Helgren, Mark,,, Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2017 City State Zip Code Transaction ID: A2017-1150161 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 134.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 658.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henley, David, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1149914 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Sys Intgr Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Henry, Christopher, , , Date of Receipt Mailing Address 250 Dozer Drive 2017 City State Zip Code Transaction ID: A2017-1150193 GΑ Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 96.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 469.55 Other (specify) 280.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 60 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henry, Michael, , , Date of Receipt Mailing Address 1901 S. First Street 2017 City Zip Code State Transaction ID: A2017-1150593 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing C 91.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Supervisor-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 447.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Herbert, David, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150944 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Herman, Jason, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150119 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 53.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 257.97 Other (specify) 194.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 61 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2017 City State Zip Code Transaction ID: A2017-1150681 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150888 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 348.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** High, Lance, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149922 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CECO & Grp. General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 586.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hill, Crystal, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1149892 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct II Legal Entity Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 271.56 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hill, Mark, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1150368 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 44.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 213.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hillman, Jonathan, , , Date of Receipt Mailing Address 600 W Washington St 2017 City State Zip Code Transaction ID: A2017-1150075 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 181.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 897.49 Other (specify) 280.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hirabayashi, Kai, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1150660 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 Kingsbury 05 2017 City State Zip Code Transaction ID : A2017-1150188 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 47.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chn Performance Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 232.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hockaday, Rex, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1150990 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 197.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 64 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150972 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoefft, Timothy, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2017 Tech Center Bldg K City State Zip Code Transaction ID : A2017-1149917 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Materials Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoeft, David, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150208 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 178.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 859.66 Other (specify) 278.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 65 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoerr, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City Zip Code State Transaction ID: A2017-1150370 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Holland, Sally, Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150553 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 63.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Receivables Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 238.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hollingshead-Cook, Vanessa, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID : A2017-1150122 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Customer Solutions Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 613.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 66 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Holt, William, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1150014 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 70.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 342.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Horton, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1150148 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Huang, Wayne, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150617 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Audit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 290.00 Other (specify) 101.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hupe, Walter, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1150823 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Husar, Lucian, M,, Date of Receipt Mailing Address 9401 85Th Avenue North 2017 City State Zip Code Transaction ID: A2017-1150920 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1150770 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 155.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 757.44 Other (specify) 280.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 68 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2017 City State Zip Code Transaction ID: A2017-1149897 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 170.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 830.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ingram, Ronald, , , Date of Receipt Mailing Address 100 NE Adams 2017 Kel. Tanjung Uncang Kec. Batu Aji City Zip Code State Transaction ID : A2017-1150068 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Iverson, Aimee, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150661 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division Communications Lead Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 345.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 69 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name James, Danielle, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1150354 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 67.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 330.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jennings, Thomas, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1150730 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150438 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 173.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 844.56 Other (specify) 301.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 70 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 222 Moo 11 Ban Khai-Nong La Lok Ro 2017 City Zip Code Transaction ID: A2017-1149975 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Craig, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150007 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Denise, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150288 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 883.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 71 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150311 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 44.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Talent Development Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 217.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jones, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID : A2017-1151008 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 188.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 920.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jones, Reese, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1150614 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 54.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mktg & Sales Support Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 266.84 Other (specify) 286.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 72 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Just, Pamela, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149814 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Controller Business Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 247.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Just, Pamela,,, Date of Receipt Mailing Address 1600 Progress Drive 2017 City State Zip Code Transaction ID: A2017-1149841 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 272.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Kaiser, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150496 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Product Supply Mgr I Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 149.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 73 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1150336 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kauk, Zachary, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1150570 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150729 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 62.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 304.32 Other (specify) 895.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 74 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Keith, Richard, , , Date of Receipt Mailing Address 300 Hamilton Blvd. Suite 101 2017 City State Zip Code Transaction ID: A2017-1151029 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Keough, Colleen, , , Date of Receipt Mailing Address 501 SW Jefferson 05 2017 City State Zip Code Transaction ID: A2017-1150706 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 435.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kibby, Steven, , , Date of Receipt Mailing Address 100 NE Adams 2017 No. 16 Chaoyangmenwai Ave City Zip Code State Transaction ID: A2017-1150803 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 217.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1076.46 Other (specify) 312.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 75 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Klister, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150851 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knecht, Steven, J, , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1150879 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Knepp, Wesley, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150044 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 164.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Labor Relations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 804.93 Other (specify) 264.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150053 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 58.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Engineering Project Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 285.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kobrak, Harold, Date of Receipt Mailing Address 100 NE Adams 2017 Tokyo-To Setagaya-ku City State Zip Code Transaction ID: A2017-1150797 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 166.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Sales Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 824.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kozlowski, Patrick, , , Date of Receipt Mailing Address 330 Sw Adams 2017 City State Zip Code Transaction ID: A2017-1151027 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 206.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **BCP Innovation Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1022.12 Other (specify) 432.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 77 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1150427 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 94.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Solutions Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 468.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kumpf, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1150981 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kupferschmid, Jason, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 Building CV Dock CV City State Zip Code Transaction ID: A2017-1149919 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 75.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 375.48 Other (specify) 250.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kurumbailmadam, Ravisankar, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1150963 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SC & Innovation Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150875 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. LaHood, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150682 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 161.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 792.92 Other (specify) 678.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 79 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lang, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150765 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 155.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 770.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lantz, Jason, , , Date of Receipt Mailing Address 500 N. Morton Ave 2017 City State Zip Code Transaction ID: A2017-1150843 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 72.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 357.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lash, Susan, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID: A2017-1149955 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 139.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Ethics & Compliance Reg Prg Mg Receipt For: Aggregate Year-to-Date ▼ Primary General 681.98 Other (specify) 368.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 80 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lavezzi, Bryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1151037 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 71.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 355.17 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149815 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149842 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 121.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 81 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LeBaron, Elizabeth, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2017 City Zip Code State Transaction ID: A2017-1150144 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lima Silva, Cleber, , , Date of Receipt Mailing Address 97 E. Congress St. 05 2017 City State Zip Code Transaction ID: A2017-1150319 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 210.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1150420 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 51.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 254.97 Other (specify) 311.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 82 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lohmeier, Lawrence, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1150968 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MacLeod, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2017 City State Zip Code Transaction ID : A2017-1150105 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 113.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Matls Requirements Plng Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 546.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Manning, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150860 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 213.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 83 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149829 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 65.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General 634.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149856 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 65.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 699.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Maquet, Josh, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1150735 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 230.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 84 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Markun, Joseph, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1151010 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Large Engine Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, Alexander, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1150115 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 110.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martin, Arthur, , , Date of Receipt Mailing Address USA-CA-Kearny Mesa 2017 City State Zip Code Transaction ID: A2017-1152104 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 260.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 85 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martinez, Angel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150389 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Process Owner Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 228.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mason, David, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2017 City State Zip Code Transaction ID: A2017-1150726 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 55.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 273.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Matheny, Stephen, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID: A2017-1150184 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 193.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 955.45 Other (specify) 258.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 86 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

157

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheson, Sean, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150183 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions Owner III Receipt For: Aggregate Year-to-Date ▼ Primary General 247.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 Uozumi-Cho City State Zip Code Transaction ID: A2017-1149949 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 110.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Strategy Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 547.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Maxwell, John, , , Date of Receipt Mailing Address 2045 West Pike Street 2017 City State Zip Code Transaction ID: A2017-1150041 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 58.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 282.86 Other (specify) 218.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 87 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCallen, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150683 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive 05 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150747 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 201.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 984.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Michael, A, , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149866 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 1034.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 88 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGuire, Michael, A,, Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150588 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McKain, Rachel, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 05 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150174 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 107.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 527.91 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKay, Heather Rae, R., Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1150928 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 45.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Business Process Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 221.81 Other (specify) 569.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 89 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKneight, Paul, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City Zip Code State Transaction ID: A2017-1150859 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Performance Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1150530 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 72.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager I-Tax & Trade COE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150177 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 42.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Credit Support Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 209.40 Other (specify) 165.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 90 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Menke, Charles, , , Date of Receipt Mailing Address State Rt. 116 E. Spring Creek Rd. 2017 City Zip Code State Transaction ID: A2017-1150713 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Machine Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mettler, Chris, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1149904 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Michael, David, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150773 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 91 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miles, Sonya,,, Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150987 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Miller, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149906 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Tax Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Miller, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1149909 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Dealer Lrng Division Mg Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 92 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150518 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 247.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1207.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitchell, Anthony, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2017 City State Zip Code Transaction ID: A2017-1150918 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing 65.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 325.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150529 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 135.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General 659.39 Other (specify) 447.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 93 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mohrman, Patrick, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150688 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 81.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 402.07 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149816 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149843 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 131.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 94 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City Zip Code State Transaction ID: A2017-1150542 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 138.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 682.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mooney, Martin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2017 City State Zip Code Transaction ID : A2017-1150401 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 823.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Jannie, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150346 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 117.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 568.46 Other (specify) 419.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 95 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Jeffrey, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1150559 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 77.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 377.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Richard, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150863 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 115.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 565.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moran, Barbra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150487 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 495.02 Other (specify) 242.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 96 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moritz, LeAnne, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2017 City State Zip Code Transaction ID: A2017-1150509 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150331 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 38.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moss, Jarrod, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1150066 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 143.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 696.51 Other (specify) 232.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 97 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Murzyn, Patrick, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150278 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Excellence Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Muthekepalli, Srini, , , Date of Receipt Mailing Address 500 N. Morton Ave 2017 City State Zip Code Transaction ID: A2017-1149926 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 62.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IS Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 306.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City Zip Code State Transaction ID: A2017-1150136 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 284.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Federal Govt. Affairs Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1407.40 Other (specify) 597.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 98 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Stewart, , , Date of Receipt Mailing Address 2045 West Pike Street 2017 City Zip Code State Transaction ID: A2017-1150308 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Segment Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Nagaranthal, Bhaskar, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150754 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Newell, Dontae, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150357 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 74.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 363.17 Other (specify) 174.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 99 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nguyen, Chau, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150889 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 101.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parts Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 496.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Niemeier, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150680 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 92.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 454.97 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2017 Tech Center Bldg K City State Zip Code Transaction ID: A2017-1150971 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 160.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 792.10 Other (specify) 353.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 100 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Norris, Kurt, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150837 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 104.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Marketing Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nunez Abe, Jose, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID : A2017-1150902 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 190.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mktg Center of Excellence Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 940.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neal, Edward, A, Date of Receipt Mailing Address 1600 Progress Drive 11 2017 City State Zip Code Transaction ID: A2017-1149817 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 82.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General 811.35 Other (specify) 378.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 101 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neal, Edward, A, , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149844 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 82.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health & Safety **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 894.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neil, Edwin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150810 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Excavator Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Oliphant, James, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City State Zip Code Transaction ID: A2017-1150519 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 153.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 767.65 Other (specify) 486.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 102 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oliveira, Paulo, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2017 City State Zip Code Transaction ID: A2017-1149962 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 159.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 786.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ortega, Roberto, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1150276 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 186.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 911.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osborn, Sheri, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 2017 City State Zip Code Transaction ID: A2017-1152137 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 62.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr CAMs Receipt For: Aggregate Year-to-Date ▼ Primary General 301.13 Other (specify) 408.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Owens, Barrington, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City Zip Code State Transaction ID: A2017-1150111 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 192.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CX Innovation Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 949.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150960 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 186.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 913.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Paternoga, Robert, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1149960 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 794.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 104 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pedigo, Randy, , , Date of Receipt Mailing Address 751 International Dr 2017 City Zip Code State Transaction ID: A2017-1150206 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 60.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 296.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pellette, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150904 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Perez Sandi, Francisco, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1150727 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 79.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 383.74 Other (specify) 555.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 105 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150744 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 47.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct II Accountable Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 235.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Petterson, Douglas, , , Date of Receipt Mailing Address 954 NC Highway 42 East 05 2017 City State Zip Code Transaction ID : A2017-1150751 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pfautsch, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150861 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 514.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Philbee, Todd, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1149961 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 107.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 513.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pierce, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150575 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 396.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pitts, David, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150356 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 308.91 Other (specify) 249.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 107 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Poliner, Jessica, , , Date of Receipt Mailing Address 100 NE Adams West Tower 1st Floor 2017 City Zip Code State Transaction ID: A2017-1150306 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 73.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 361.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Poole, Joseph, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150494 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 170.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Remarketing General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Potts, Rachel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150632 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Media Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 343.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 108 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Powell, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149854 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP and Controller **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quigg, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 05 2017 City State Zip Code Transaction ID: A2017-1150561 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 118.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Product Source Plng. & RE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 579.27 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ramalingam, Prabhakar, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City State Zip Code Transaction ID: A2017-1150618 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 388.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 109 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

157

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rassi, Anthony, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150932 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 489.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rathe, Richard, , , Date of Receipt Mailing Address 3450 Executive Way 2017 City State Zip Code Transaction ID: A2017-1150857 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rathman, Carol, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1149889 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 295.15 Other (specify) 210.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 110 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reiners, Eric, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150699 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rider, Woody, , , Date of Receipt Mailing Address 2500 Legacy Drive 2017 City State Zip Code Transaction ID: A2017-1150736 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 44.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 219.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Riggle, Robert, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City State Zip Code Transaction ID: A2017-1150302 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 64.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 318.10 Other (specify) 159.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 111 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rink, James, , , Date of Receipt Mailing Address 2200 Channahon Rd P O Box 504 2017 City State Zip Code Transaction ID: A2017-1150877 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robbins, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150521 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 51.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 253.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rochford, Michael, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1151019 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Emissions Regs & Conform Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 151.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 112 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149821 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Eng & Track Serv Bus Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City State Zip Code Transaction ID: A2017-1149848 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services SVP Eng & Track Serv Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 11 2017 City State Zip Code Transaction ID : A2017-1147720 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 24.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.29 Other (specify) 124.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 113 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 2017 City Zip Code State Transaction ID: A2017-1147732 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 24.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 263.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roethler, Mary, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg A City State Zip Code Transaction ID : A2017-1150568 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 85.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 422.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150456 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 526.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 114 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roos, Kimberly, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150194 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 48.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Commercial Consultant II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 224.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rous, James, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1150840 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 63.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Inventory & Logistics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 319.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 2017 City State Zip Code Transaction ID: A2017-1150369 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing C 80.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 397.69 Other (specify) 192.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 115 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1150668 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 835.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Saad, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-1150791 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 189.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 925.33 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2017 Suite 400 City State Zip Code Transaction ID: A2017-1150983 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 220.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1095.78 Other (specify) 576.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 116 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sale, Ronald, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2017 City Zip Code State Transaction ID: A2017-1150182 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 84.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 365.11 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 2017 Suite 600 City State Zip Code Transaction ID: A2017-1149930 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Samples, Susan, , , Date of Receipt Mailing Address 501 Cardinal Drive 2017 City Zip Code State Transaction ID: A2017-1151000 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 43.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Training Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 212.32 Other (specify) 227.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 117 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Savage, E Jean, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2017 City State Zip Code Transaction ID: A2017-1150211 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2080.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 05 2017 City State Zip Code Transaction ID: A2017-1149819 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Project Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 305.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 25 2017 Zip Code City State Transaction ID: A2017-1149846 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing C 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Project Receipt For: Aggregate Year-to-Date ▼ Primary General 336.49 Other (specify) 477.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 118 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City Zip Code State Transaction ID: A2017-1150281 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 202.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Schnelle, Tonya, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1151018 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 164.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 811.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150533 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM ISCD Ops & Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) 505.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 119 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Scott, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150665 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 109.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 329.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sears, Kevin, R, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1151048 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director I-Export Controls** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 918.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Seely, Mary, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg A City State Zip Code Transaction ID: A2017-1150940 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 221.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1082.49 Other (specify) 519.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 120 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150976 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Operations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shankwitz, Phillip, , , Date of Receipt Mailing Address 600 Balderson Blvd 2017 PO Box 6 City State Zip Code Transaction ID: A2017-1150813 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 173.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPI** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 855.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shannon, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1149989 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 201.00 Other (specify) 224.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 121 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shapland, Brad, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City Zip Code State Transaction ID: A2017-1150562 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 80.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IT Architect Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 398.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shepherd, Scott, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150324 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 76.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Logistics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 380.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shook, Barry, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150449 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Network Design Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 208.30 Other (specify) 197.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 122 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shook, Paula, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City Zip Code State Transaction ID: A2017-1150784 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 194.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 915.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shubert, Lindsey, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1150335 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 33.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Marketing Comm Coord Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 203.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shurman, Rodney, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1150566 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 238.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1164.34 Other (specify) 466.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 123 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2017 City Zip Code State Transaction ID: A2017-1150074 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 65.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Node Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 321.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Simison, Theodore, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150822 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Skarvan, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150410 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 420.67 Other (specify) 483.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 124 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Skeels, Gregory, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150872 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID : A2017-1150775 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 82.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 406.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 11 2017 City State Zip Code Transaction ID: A2017-1149828 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 88.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 862.29 Other (specify) 220.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 125 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149855 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 88.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 950.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1150426 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 142.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 696.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Snyder, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1150478 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 56.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Services Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 277.81 Other (specify) 287.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 126 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Souza Costa, Rafael, , , Date of Receipt Mailing Address USA-FL-Miami 2017 City Zip Code State Transaction ID: A2017-1152130 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Account Manager-Sales-O&G Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 279.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150656 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 136.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Chief Economist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 657.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Spears, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1149912 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 269.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 127 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spitznagle, Kathryn, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150788 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spruiell, Laine, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City State Zip Code Transaction ID: A2017-1149849 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller International Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stafl, Lisa, , , Date of Receipt Mailing Address 9301 West 55th Street 2017 City State Zip Code Transaction ID: A2017-1150314 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 128 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Staley, Daniel, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-1150655 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Attorney Receipt For: Aggregate Year-to-Date ▼ Primary General 282.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stanley, James, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg E City State Zip Code Transaction ID: A2017-1150120 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 96.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Technology Supervisor** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 469.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Starr, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1150474 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 204.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 129 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steidinger, Theodore, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City Zip Code State Transaction ID: A2017-1150421 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 101.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 497.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150433 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Janet, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1150657 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 58.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Actg Consultant I Legal Entity Receipt For: Aggregate Year-to-Date ▼ Primary General 285.15 Other (specify) 576.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 130 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150792 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 135.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 666.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stiles, Sally, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1151052 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Global Tax & Trade Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stimeling, John, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City State Zip Code Transaction ID: A2017-1150602 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 237.42 Other (specify) 602.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 131 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stockwell, Tammi, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150222 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 113.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Risk & Compliance Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 555.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1150938 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 111.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 549.77 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stratton, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 Eastfield City State Zip Code Transaction ID: A2017-1151012 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager IPSD Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 641.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 132 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Strong, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1150757 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 235.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1161.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150503 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 199.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Product Supply Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 976.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sundell, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150884 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 126.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager CES & KEC Receipt For: Aggregate Year-to-Date ▼ Primary General 627.38 Other (specify) 562.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 133 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Swick, William, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2017 City Zip Code State Transaction ID: A2017-1150988 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 77.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 384.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taphorn, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150637 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 116.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 569.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Terpstra, Daniel, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150693 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 174.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 863.26 Other (specify) 369.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 134 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150560 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1149944 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 435.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2175.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tilly, Bradley, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City State Zip Code Transaction ID: A2017-1150020 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 86.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Mgr - Global Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 420.26 Other (specify) 571.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 135 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tobias, Charles, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150718 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 91.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 440.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Toher, Susan, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1150381 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tomlins, Gregory, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1149884 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 164.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 811.09 Other (specify) 355.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 136 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City Zip Code State Transaction ID: A2017-1150504 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 72.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 358.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150415 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Executive Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1150848 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 905.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 137 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Valek, Robert, , , Date of Receipt Mailing Address 751 International Dr 2017 City Zip Code State Transaction ID: A2017-1150202 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 41.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Quality Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vanek, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150004 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 130.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 642.09 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Varela, Antonio, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150613 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 42.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 209.55 Other (specify) 214.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 138 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vassallo, Gina, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150397 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 168.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 832.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Verpraet, Annette, R,, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150974 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Division Communications Lead** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. VeZain, Darilynn, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150293 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 43.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Rep - 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 212.76 Other (specify) 312.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 139 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1151059 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 68.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 337.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Walenta, John, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2017 City State Zip Code Transaction ID: A2017-1150824 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 96.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 474.61 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street 2017 Suite 101 City State Zip Code Transaction ID: A2017-1150472 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 205.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General 995.00 Other (specify) 369.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 140 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, David, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1150552 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 351.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1419.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ward, Timothy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150239 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Warning, Kenneth, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1150101 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 42.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 210.65 Other (specify) 494.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 141 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weer, Noel, S,, Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1150431 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 67.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales & Oprns Plng Coord 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 328.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City State Zip Code Transaction ID : A2017-1150677 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** West, Christopher, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV Dock CV** City State Zip Code Transaction ID: A2017-1150062 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 49.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Supply Supervisor III Receipt For: Aggregate Year-to-Date ▼ Primary General 244.85 Other (specify) 533.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 142 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weston, Douglas, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1150864 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** White, Christopher, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1150463 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Product Supply Mgr I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Whitehead, John, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 2017 City State Zip Code Transaction ID: A2017-1150088 GΑ La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 143 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whiteley, Douglas, , , Date of Receipt Mailing Address 90 Ceramic Tile Dr 2017 City Zip Code State Transaction ID: A2017-1150507 NC Morganton 28655 Amount of Each Receipt this Period FEC ID number of contributing C 176.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 880.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wick, Jennifer, , , Date of Receipt Mailing Address 100 Ne Adams 05 2017 City State Zip Code Transaction ID: A2017-1150740 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 74.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 368.18 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg F City State Zip Code Transaction ID: A2017-1149879 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 423.44 Other (specify) 337.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 144 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wignall, Troy, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City Zip Code State Transaction ID: A2017-1150158 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 64.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Operations IT Coordinator Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 296.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiklund, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 Central Boulevard Blythe Valley Pa City Zip Code State Transaction ID: A2017-1150745 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Credit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wilcoxen, Sanford, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 PO Box 1430 City State Zip Code Transaction ID: A2017-1150429 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 55.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.52 Other (specify) 169.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 145 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williamson, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150447 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 63.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CPS Black Belt-3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 372.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Winkel, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1150845 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Prod Compliance & Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 262.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1150321 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 51.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division HR Communication Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 252.85 Other (specify) 167.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 146 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149809 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 73.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 721.31 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149836 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 73.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 795.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wurtzbacher, Corey, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1150106 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 197.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 147 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1149872 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 157.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 765.63 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Yordy, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1150167 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 44.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 217.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Younessi, Ramin, , , Date of Receipt Mailing Address 6800 Doerr Lane 2017 City State Zip Code Transaction ID: A2017-1150351 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 619.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 148 OF 157 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1150132 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1150439 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zircher, Jeffrey, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg E City State Zip Code Transaction ID: A2017-1150531 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 149 OF 157 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 31 2017 City Zip Code State Transaction ID: A2017-1149951 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.67 SUBTOTAL of Receipts This Page (optional)..... 50673.05 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	FOR LINE	E NUMBER: PAGE 150 OF 157		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(orleast orliny		
	Detailed Summary Page	21b 28a	22 <b>x</b> 23 26 27 28b 28c 29 30b	
Any information copied from such Reports and Stater	manta may not be cold or us			
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Polit	tical Action Commit	tee		
Full Name (Last, First, Middle Initial)  A. Walters for Congress			Date of Disbursement	
waiters for Congress			M M / D D / Y Y Y Y	
Mailing Address 9070 Irvine Center Drive #150			05 05 2017	
	0			
City Irvine			FEC Identification Number	
Purpose of Disbursement	02010		C C00546853	
Contribution		011	Transaction ID : B647059	
Candidate Name		Category/	Amount of Each Disbursement this Period	
0#: 0	mont For: 0040	Type	5000.00	
0			500.00	
President	Other (specify) ▼		Memo Item	
State: CA District: 45			Iviemo item	
Full Name (Last, First, Middle Initial)				
B. Jim Costa for Congress			Date of Disbursement	
Mailing Address 2037 W Bullard Avenue #355			05 19 2017	
Wallard Avenue #355			40 10 101	
,	State Zip Code		FEC Identification Number	
Fresno Purpose of Dishursement	CA 93711		0 200004000	
Contribution		011	C C00391029	
Candidate Name		Category/	Transaction ID : B648034  Amount of Each Disbursement this Period	
Costa, Jim, , ,		Type		
			3000.00	
	,			
State: CA District: 16	Cirici (opcony)		Memo Item	
Full Name (Last, First, Middle Initial)				
C. Carper for Senate			Date of Disbursement	
	State CA		M M / D D / Y Y Y Y	
Mailing Address PO Box 2882			05 05 2017	
City	State Zip Code		FEC Identification Number	
Wilmington	DE 19805			
Contribution		011	C C00349217	
Candidate Name			Transaction ID: B647001  Amount of Each Disbursement this Period	
Carper, Tom, , ,	Amount of Each Disbursement this Fellou			
Office Sought: House Disburser			2500.00	
<u>"</u>   <u>*</u>	•		_	
	Other (specify) ▼		Memo Item	
State. DE Station.				
SUBTOTAL of Disbursements This Page (optional)			10500.00	
,				
TOTAL This Period (last page this line number only)	)			

SCHEDULE B (FEC Form 3X)	Lie annuate ashadula(a)	FOR LINE	NUMBER: PAGE 151 OF 157		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny			
	Detailed Summary Page	21b 28a	22 <b>x</b> 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and State	ments may not be sold or us				
or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Poli	tical Action Commit	tee			
Full Name (Leat First Middle Initial)					
_			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address P.O. Box 387			05 19 2017		
City	State Zin Code				
West Point	GA 31833		FEC Identification Number		
Purpose of Disbursement			C C00607838		
Contribution		011	Transaction ID : B648032		
Candidate Name		Category/	Amount of Each Disbursement this Period		
	ment For: 2018	туре	5000.00		
Senate	Primary General		7 7 7		
President	Other (specify) ▼		Memo Item		
State: GA District: 03			<u> </u>		
Full Name (Last, First, Middle Initial)			Data of Dichurcament		
B. Handel for Congress					
Mailing Address 4010 Old Milton Parkway			05 19 2017		
City			FEC Identification Number		
Alpharetta Purpose of Disbursement	GA 30005		C 000633362		
Contribution		011			
Candidate Name		Category/	Amount of Each Disbursement this Period		
Handel, Karen, , ,		Type	5000.00		
			5000.00		
	·		п., .,		
State: GA District: 06	Runoff		Memo Item		
Full Name (Last, First, Middle Initial)					
<sup>C.</sup> Guthrie for Congress					
Mailing Address PO Box 9639			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
Maining Address TO Box 9039			00 10 2011		
City	State Zip Code		FEC Identification Number		
Bowling Green Purpose of Disbursement	KY 42102		000445000		
Contribution		011			
Candidate Name		Category/			
Guthrie, Brett, , ,		Type			
Conoto			5000.00		
	aterpillar Inc. Employee Political Action Committee    Clast, First, Middle Initial)				
			Memo Item		
SUBTOTAL of Disbursements This Page (optional)		·····	15000.00		
TOTAL This Period (last page this line number only)					
I I I I I I I I I I I I I I I I I I I	J				

SCHEDULE B (FEC Form 3X)	Lie consuete coloredulo	FOR LINE	NUMBER: PAGE 152 OF 157		
TEMIZED DISBURSEMENTS	Use separate schedule( for each category of the	(orlook orli)			
	Detailed Summary Page		22 <b>x</b> 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Statem	lents may not be sold or				
or for commercial purposes, other than using the nam	ne and address of any pol	litical committee to	o solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Politi	ical Action Commi	ittee			
/ Full Name (Last, First, Middle Initial)					
A. Hal Rogers for Congress			Date of Disbursement		
			M M / D D / Y Y Y		
Mailing Address P.O. Box 1214			05 19 2017		
City	State Zip Code				
*	KY 42502		FEC Identification Number		
Purpose of Disbursement Contribution			C C00116632		
Candidate Name		011	Transaction ID : B648035		
Rogers, Harold, , ,		Category/ Type	Amount of Each Disbursement this Period		
	nent For: 2018	Туре	5000.00		
	Primary General		7 7 7		
	Other (specify) ▼		Memo Item		
State: KY District: 05					
Full Name (Last, First, Middle Initial)  Graves for Congress			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address 2345 Grand Suite 2400	05 19 2017				
City	State Zin Code				
,	State Zip Code MO 64108		FEC Identification Number		
Purpose of Disbursement	I		C C00359034		
Contribution 011			Transaction ID : B648036		
Candidate Name Graves, Sam, , ,		Category/ Type	Amount of Each Disbursement this Period		
	nent For: 2018	Туре	2500.00		
	Primary General		4 4 4		
	Other (specify)		Memo Item		
State: MO District: 06			1		
Full Name (Last, First, Middle Initial)  Ann Wagner for Congress			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address P.O. Box 50			05 19 2017		
City	State Zip Code				
•	MO 63022		FEC Identification Number		
Purpose of Disbursement Contribution			C C00495846		
Candidate Name		011	Transaction ID : B648024		
Wagner, Ann, , ,		Category/ Type	Amount of Each Disbursement this Period		
	nent For: 2018	1 -71-2	2500.00		
	Primary General				
	Other (specify) ▼		Memo Item		
State: MO District: 02					
SUBTOTAL of Disbursements This Page (optional)			10000.00		
,					
TOTAL This Period (last page this line number only)					

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUL (check only on 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Politi	ical Action Committee	Э	
Full Name (Last, First, Middle Initial)  Virginia Foxx for Congress  Mailing Address PO Box 2676		-	Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Boone Purpose of Disbursement Contribution Candidate Name Foxx, Virginia, A, , Office Sought:    X   House   Disbursement	State Zip Code 28607  nent For: 2018 Primary General Other (specify)	011	FEC Identification Number C C00386748  Transaction ID : B648031  Amount of Each Disbursement this Period  5000.00  Memo Item
Full Name (Last, First, Middle Initial)  B. McHenry for Congress  Mailing Address PO Box 2165			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Gastonia Purpose of Disbursement Contribution  Candidate Name  McHenry, Patrick, , ,  Office Sought:	State Zip Code 28053  nent For: 2018 Primary General Other (specify)	011	C C00393629  Transaction ID: B648025  Amount of Each Disbursement this Period  5000.00  Memo Item
Full Name (Last, First, Middle Initial)  C. Adrian Smith for Congress  Mailing Address 3321 Avenue I Suite 6			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Scottsbluff Purpose of Disbursement Contribution  Candidate Name Smith, Adrian, , ,  Office Sought:    X   House   Disbursement	State Zip Code 69361  nent For: 2018 Primary General Other (specify)	011	C C00412890  Transaction ID: B648027  Amount of Each Disbursement this Period  2500.00  Memo Item
SUBTOTAL of Disbursements This Page (optional)		·····•	12500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule		•
	for each category of the Detailed Summary Pag		22 <b>X</b> 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Comm	ittee	
Full Name (Last, First, Middle Initial)  - Heller for Senate			Date of Disbursement
Mailing Address PO Box 371907			05 19 2017
Cit.	7:- 0-4-		
Las Vegas	State Zip Code NV 89137		FEC Identification Number
Purpose of Disbursement Contribution	,	011	C C00494229  Transaction ID : B648029
Candidate Name Heller, Dean, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2018 Primary General		5000.00
	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Steve Chabot for Congress			Date of Disbursement
Mailing Address 3030 Harrison Ave.			05 19 2017
,	State Zip Code OH 45211		FEC Identification Number
Purpose of Disbursement Contribution	- TOZ11	011	C C00301838
Candidate Name		Category/	Transaction ID: B648026 Amount of Each Disbursement this Period
Chabot, Steven, J, ,  Office Sought:     House   Disbursem	nent For: 2018	Type	2000.00
Senate	Primary General	ı	7 7 7
President State: OH District: 01	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)  Cole for Congress			Date of Disbursement
Mailing Address P.O. Box 722256			05 19 2017
	State Zip Code OK 73070		FEC Identification Number
Norman Purpose of Disbursement Contribution	OK 73070	011	C C00379735
Candidate Name		Category/	Transaction ID: B648030  Amount of Each Disbursement this Period
Cole, Tom, J, ,  Office Sought:  House Disbursem	nent For: 2018	Type	1000.00
Senate	Primary General  Other (specify)	ı	
State: OK District: 04			Memo Item
			8000.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(		
II LIVIIZLU DISBURSEMIEN I S	for each category of the Detailed Summary Page	21h	22 <b>X</b> 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	σου σι αιιγ μοι		The state of the s
The Caterpillar Inc. Employee Polit	ical Action Commi	ttee	
Full Name (Last, First, Middle Initial)			Date of Dishursement
A. Bill Shuster for Congress			Date of Disbursement
Mailing Address PO Box 27			05 19 2017
Hollidaysburg	State Zip Code PA 16648		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00364935
Candidate Name			Transaction ID : B648037  Amount of Each Disbursement this Period
Shuster, William, , ,		Category/ Type	
0	nent For: 2018		5000.00
President	Primary General Other (specify) ▼		Memo Item
State: PA District: 09  Full Name (Last, First, Middle Initial)			
B. Marsha Blackburn for Congress Inc	C.		Date of Disbursement
Mailing Address PO Box 3750			05 19 2017
,	State Zip Code		FEC Identification Number
Brentwood Purpose of Disbursement	TN 37024		
Contribution		011	C C00376939
Candidate Name		Category/	Transaction ID : B648039  Amount of Each Disbursement this Period
Blackburn, Marsha, , ,	. =	Type	
	nent For: 2018  Primary General		2500.00
	Other (specify)		Mama Harr
State: TN District: 07			Memo Item
Full Name (Last, First, Middle Initial)			D (B) .
C. Kenny Marchant for Congress			Date of Disbursement
Mailing Address PO Box 110187			05 19 2017
•	State Zip Code		FEC Identification Number
Carrollton Purpose of Disbursement	TX 75011		
Contribution		011	C C00393348
Candidate Name		Category/	Transaction ID : B648040  Amount of Each Disbursement this Period
Marchant, Kenny, , ,		Type	
Conoto	nent For: 2018		2500.00
	Primary General Other (specify) ▼		п., .
State: TX District: 24	(-p-o)/ ¥		Memo Item
SUBTOTAL of Disbursements This Page (optional)			10000.00
SOBTOTAL OF DISDUISEMENTS THIS Page (optional)		<u> </u>	4
TOTAL This Period (last page this line number only)			L

# ľ

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE I	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 28a	one) 22 <b>X</b> 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Committee	е	
Full Name (Last, First, Middle Initial)			
A. US Chamber of Commerce PAC			Date of Disbursement
Mailing Address 1615 H Street NW			05 19 2017
Washington	State Zip Code DC 20062		FEC Identification Number
Purpose of Disbursement Contribution		011	C Transaction ID : B648023
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Senate	nent For: 2017 Primary General	7,6-	5000.00
President State: District:	Other (specify) ▼ Not Applicable		Memo Item
Full Name (Last, First, Middle Initial)			
B. Prosperity Action Inc.			Date of Disbursement
Mailing Address 320 1st Street SE			05 19 2017
Washington	State Zip Code DC 22314		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00377689
Candidate Name		Category/ Type	Transaction ID : B648028  Amount of Each Disbursement this Period
Senate	nent For: 2017 Primary General		2500.00
President State: District:	Other (specify)  Not Applicable		Memo Item
Full Name (Last, First, Middle Initial)  C. Friends of John Barrasso			Date of Disbursement
Mailing Address PO Box 52008			05 / 19 / 2017
,	State Zip Code WY 82605		FEC Identification Number
Purpose of Disbursement Contribution	1	011	C C00436386  Transaction ID: B648038
Candidate Name		Category/	Amount of Each Disbursement this Period
	nent For: 2018 Primary General	Type	2500.00
President State: WY District:	Other (specify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)			10000.00
TOTAL This Period (last page this line number only)			76000.00

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS		te schedule(s)	FOR LINE N (check only	
		egory of the mmary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)		, p		
The Caterpillar Inc. Employee Polit	ical Actior	n Committe	e	
Full Name (Last, First, Middle Initial)  A. Beach for Senate				Date of Disbursement
Mailing Address 3100 Brierfield Road				05 05 2017
Alpharetta	I .	Zip Code 30004		FEC Identification Number
Purpose of Disbursement P-2018 State Senate 21 GA Candidate Name			011	Transaction ID : B646999
Beach, Brandon, , ,			Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 201 Primary	8 General	Туро	1000.00
State: GA District: 21	Other (specify	<b>→</b>		Memo Item
Full Name (Last, First, Middle Initial)				
B. Ed Gillespie for Governor				Date of Disbursement
Mailing Address P.O. Box 71596				05 19 2017
,		ip Code 23255		FEC Identification Number
Purpose of Disbursement P-2017 Governor VA	v/\	23233	011	C
Candidate Name Gillespie, Ed, , ,			Category/ Type	Transaction ID: B648041 Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 201 Primary	7 General		2500.00
State: VA District:	Other (specify	)		Memo Item
Full Name (Last, First, Middle Initial)				Date of Disbursement
Mailing Address				M M / D D / Y Y Y Y
City	State Z	Zip Code		FEC Identification Number
Purpose of Disbursement				C
Candidate Name			Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen Senate	nent For: Primary	General		7 7 7
State: President State:	Other (specify	<b>∀</b>		Memo Item
SUBTOTAL of Disbursements This Page (optional)				3500.00
TOTAL This Period (last page this line number only)				3500.00