REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

1. NAME OF COMMITTEE (in full)
Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ☐ Check if different than previously reported
1455 Pennsylvania Avenue, N.W.

2. FEC IDENTIFICATION NUMBER
C00055832

CITY, STATE, and ZIP CODE
Washington DC 20004

3. ☒ This committee has qualified as a multi-candidate committee (see FEC Form 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid-Year Report (Non-election Year Only)

☐ Termination report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☒ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (election type)
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment ☐ YES ☒ NO

SUMMARY 5. Covering Period 03/01/2001 through 03/31/2001	**COLUMN A** **This Period**	**COLUMN B** **Calendar Year-to-Date**
6. (a) Cash on Hand, January 1, 2001		135634.90
(b) Cash on Hand at Beginning of Reporting Period	149376.31	
(c) Total Receipts (from line 19)	17291.26	32032.67
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	166667.57	167667.57
7. Total Disbursements (from line 30)	19401.00	20401.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	147266.57	147266.57
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer
Electronically Filed by Mr. Glen M. Johnson

Signature of Treasurer

Date
04/20/2001

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/98)

4-00173

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
(PAGE 2, FEC FORM 3X) (revised 1/1/91)

NAME OF COMMITTEE
Auction Markets Political Action Committee of the Chicago Board of Trade

REPORT COVERING PERIOD
FROM 03/01/2001 TO: 03/31/2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	15650.00	28400.00	11.a.i.
ii. Unitemized	1250.00	2500.00	11.a.ii.
iii. Total (add i and ii)»	16900.00	30900.00	11.a.iii.
b. Political Party Committees	0.00	0.00	11.b.
c. Other Political Committees (such as PACs)	0.00	0.00	11.c.
d. Total Contributions (add a iii, b and c)»	16900.00	30900.00	11.d.
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12.
13. All Loans Received	0.00	0.00	13.
14. Loan Repayments Received	0.00	0.00	14.
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15.
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00	16.
17. Other Federal Receipts (Dividends, Interest, etc.)	391.26	1132.67	17.
18. Transfers From Nonfederal Account for Joint Activity	0.00	0.00	18.
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18)»	17291.26	32032.67	19.
20. Total Federal Receipts (subtract line 18 from line 19)»	17291.26	32032.67	20.
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21.a.i.
ii. Non-Federal Share	0.00	0.00	21.a.ii.
b. Other Federal Operating Expenditures	0.00	0.00	21.b.
c. Total Operating Expenditures (add a i, a ii, and b)»	0.00	0.00	21.c.
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22.
23. Contributions to Federal Candidates/Committees and Other Political Committees	16500.00	17500.00	23.
24. Independent Expenditures (use Schedule E)	0.00	0.00	24.
25. Coordinated Expenditures Made by Party Committees (2 U.S.C 441a(d)) (use Sch. F)	0.00	0.00	25.
26. Loan Repayments Made	0.00	0.00	26.
27. Loans Made	0.00	0.00	27.
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28.a.
b. Political Party Committees	0.00	0.00	28.b.
c. Other Political Committees (such as PACs)	0.00	0.00	28.c.
d. Total Contributions Refunds (add a, b, and c)»	0.00	0.00	28.d.
29. Other Disbursements	2901.00	2901.00	29.
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)»	19401.00	20401.00	30.
31. Total Federal Disbursements (subtract line 21 a ii from line 30)»	19401.00	20401.00	31.
III. Net Contributions / Operating Expenditures			
32. Total Contributions (other than loans) (from line 11d)	16900.00	30900.00	32.
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33.
34. Net Contributions (other than loans) (subtract line 33 from 32)	16900.00	30900.00	34.
35. Total Federal Operating Expenditures (add 21 a i and 21 b)»	0.00	0.00	35.
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36.
37. Net Operating Expenditures (subtract line 36 from 35)»	0.00	0.00	37.

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	3 / 9 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Louis P. Bournakis 850 E. Deerpath Road Lake Forest IL 60045 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Self Occupation: Restaurateur Aggregate Year-to-Date > $ 500.00	03/06/2001	500.00
Mr. Bernard P. Carey Sr. 356 E. 1500N Chesterton IN 46304 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 800.00	03/06/2001	500.00
Mr. Bernard P. Carey Sr. 356 E. 1500N Chesterton IN 46304 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 800.00	03/15/2001	100.00
Mr. Oliver M. Hibberd 7652 Golf Drive Palos Heights IL 60463 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Occupation: Retired Aggregate Year-to-Date > $ 500.00	03/06/2001	500.00
Mr. Martin L. Karlov 2354 Tennyson Lane Highland Park IL 60035 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Self Occupation: Commodities Trader Aggregate Year-to-Date > $ 500.00	03/06/2001	500.00
Mr. Thomas M. Leopold 363 Hawthorne Lane Winnetka IL 60093 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Bank of America Occupation: Banker Aggregate Year-to-Date > $ 250.00	03/01/2001	250.00
Mr. Jerry Manna 839 Ashland Avenue River Forest IL 60305 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Self Occupation: Commodities Trader Aggregate Year-to-Date > $ 1000.00	03/14/2001	1000.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A — ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Ms. Karen Mouscher 570 Oak Winnetka IL 60093 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 250.00	03/08/2001	250.00
Mr. Oliver S. Nickels 4250 Cedar Heights Dr. Colorado Springs CO 80904 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation: Retired Aggregate Year-to-Date > $ 250.00	03/06/2001	250.00
Mr. Arch J. Reimann 11439 Ashley Woods Dr. Westchester IL 60154 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation: Retired Aggregate Year-to-Date > $ 500.00	03/08/2001	500.00
Mr. Joel R. Schneckenberg 120 Kimberly Lane Lake Forest IL 60045 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 1000.00	03/01/2001	1000.00
Mr. Lewis I. Schwartz 2455 W. Course Drive Riverwoods IL 60015 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 500.00	03/06/2001	500.00
Mr. Patrick M. Shea 22 Canterbury Dr Haines City FL 33844 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation: Retired Aggregate Year-to-Date > $ 300.00	03/06/2001	300.00
Mr. Mark B. Wildund 313 Radcliffe Way Hinsdale IL 60521 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Name of Employer: Wildund Trading Occupation: Trader Aggregate Year-to-Date > $ 5000.00	03/15/2001	5000.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	5 / 9 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Paul L. Richards 800 S. Wells #1506 Chicago IL 60607 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Floor Broker Aggregate Year-to-Date > $ 500.00	03/19/2001	500.00
Mr. Peter D. Sandquist 3241 Burgundy Dr. North Palm Beach Gardens FL 33410 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 2500.00	03/06/2001	2500.00
Mr. Charles R. Westphal 6516 N. Chicora Chicago IL 60646 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 1000.00	03/06/2001	1000.00
Mr. John Clay Halston 88 Woodley Road Winnetka IL 60093 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Halston Trading Occupation: Trader Aggregate Year-to-Date > $ 500.00	03/27/2001	500.00

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**15650.00**

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	6 / 9 FOR LINE NUMBER 17

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America 231 South LaSalle Street Chicago IL 60697	Interest	03/30/2001	391.26
Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation		
	Aggregate Year-to-Date > 5 1132.67		

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**391.26**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	7 / 9 FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Senator Rockefeller 236 Massachusetts Avenue, NE Suite 310 Washington DC 20002	Contribution: Jay Rockefeller (WV-D) (Senate WV-D) Contribution: Jay Rockefeller (WV-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	2500.00
Friends of Phil Gramm 900 Second Street, NE Suite 114 Washington DC 20002	Contribution: Phil Gramm (TX-R) (Senate TX-R) Contribution: Phil Gramm (TX-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	3000.00
Pat Roberts for Senate c/o 2300 Clarendon Boulevard Suite 401 Arlington VA 22201	Contribution: Pat Roberts (KS-R) (Senate KS-R) Contribution: Pat Roberts (KS-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	2000.00
Peterson for Congress 928 Lake Ave Detroit Lakes MN 56501	Contribution: Collin C. Peterson (MN-7-D (House MN-7) Contribution: Collin C. Peterson (MN-7-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	500.00
Max Sandlin for Congress PO Box 70621 Washington DC 20024	Contribution: Max Sandlin (TX-1-D) (House TX-1) Contribution: Max Sandlin (TX-1-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	500.00
Coble for Congress P.O. Box 2776 Arlington VA 22202	Contribution: Howard Coble (NC-6-R) (House NC-6) Contribution: Howard Coble (NC-6-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	1000.00
Pat Roberts for Senate c/o 2300 Clarendon Boulevard Suite 401 Arlington VA 22201	Contribution: Pat Roberts (KS-R) (Senate KS-R) Contribution: Pat Roberts (KS-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	500.00
Chambliss for Congress P.O. Box 4084 Macon GA 31208	Contribution: Saxby Chambliss (GA-8-R) (House GA-8) Contribution: Saxby Chambliss (GA-8-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/07/2001	1000.00
Campaign for America's Future c/o Trudy Nichol & Associates 166 W. Randolph, Suite 2127 Chicago IL 60601	Contribution: Campaign for America's Fut (PC) Contribution: Campaign for America's Future Disbursement for: [ ] Primary [ ] General [X] Other (specify) : Other	03/07/2001	2000.00

SUBTOTALS of Disbursements This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	8 / 9 FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Ronnie Shows 227 Massachusetts Avenue, NE Suite 101 Washington DC 20002	Contribution: Ronnie Shows (MS-4-D) (House - MS - 4) Contribution: Ronnie Shows (MS-4-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/27/2001	500.00
Combest Congressional Committee P O Box 10667 Lubbock TX 79408	Contribution: Larry Combest (TX-19-R) (House - TX - 19) Contribution: Larry Combest (TX-19-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	03/27/2001	1000.00
National Republican Congressional Committee c/o 2016 Mount Vernon Avenue Third Floor Alexandria VA 22301	Contribution: NRCC (PAC -) Contribution: NRCC Disbursement for: [ ] Primary [ ] General [X] Other (specify) : Other	03/27/2001	1000.00
Congressional Majority Committee 555 13th Street, NW Suite 500 West Washington DC 20004	Contribution: Congressional Majority Com (PAC -) Contribution: Congressional Majority Committee Disbursement for: [ ] Primary [ ] General [X] Other (specify) : Other	03/27/2001	1000.00

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**16500.00**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	9 / 9 FOR LINE NUMBER 29

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bank of America 231 S. LaSalle Chicago IL 60697	Federal Income Taxes - 2000 Federal Income Taxes - 2000 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) :	03/13/2001	2423.00
Illinois Department of Revenue PO Box 19008 Springfield IL 62794-9008	State Income Taxes - 2000 State Income Taxes - 2000 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) :	03/13/2001	478.00

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**2901.00**