



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

RQ-3

February 6, 2003

Mike Lux, Treasurer
Arts PAC
1224 M Street N.W.
Washington, DC 20005

Identification Number: C00364067

Reference: Mid-Year Report (1/1/01-6/30/01)

Dear Mr. LUX:

This letter is to inform you that as of February 5, 2003 the Commission has not received your response to our request for additional information, dated January 15, 2003. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

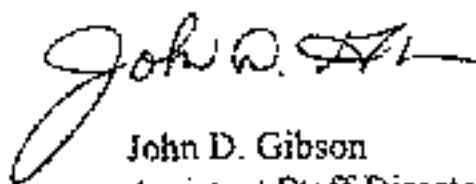
Due to recent events, the United States Postal Service is experiencing delays in the processing and delivery of mail for the Washington D.C. area. Consequently, the Federal Election Commission is not in receipt of all incoming mail. The Commission recommends that you resubmit your response to this notice and consider using alternate delivery services such as overnight delivery or delivery by courier. If feasible, your response can also be hand-delivered. You can verify the Commission's receipt of any documents submitted by your committee on the FEC website at www.FEC.gov.

An adequate response must be received at the Commission by February 26, 2003. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather

than just those portions of the report that are being amended. If you have any questions regarding this matter, please contact Brandy Phillips on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division), our local number is (202) 594-1130.

Sincerely,



John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

2025 RELEASE UNDER E.O. 14176



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20547

RQ-2

Mike Lux, Treasurer
Arts PAC
1224 M Street NW
Washington, DC 20005

Identification Number: C00364067

IAN 1 5 2003

Reference: Mid-Year Report (1/1/01-6/30/01)

Dear Mr. Lux:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion(s) attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) and 11 CFR §110.1(d) prohibit a committee and its affiliates from receiving any contribution from another political committee or person in excess of \$5,000 per calendar year.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information.

If any contribution you received exceeds the limits, you may have to refund the excessive amount. The funds can be retained if within 60 days of receipt, (1) the excessive amount was properly reattributed to another person, such as a joint account holder, by obtaining signed written authorizations from each person making the contribution pursuant to 11 CFR 110.1(k)(3), and (2) the treasurer informs the person making the contribution that he or she may request the return of the excessive portion of the contribution if it is not intended to be a joint contribution. Any request from a donor for a refund must be honored.

Alternatively, the funds can be retained if within 60 days of receipt you (1) transferred the excessive amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund. Any request from a donor for a refund must be honored.

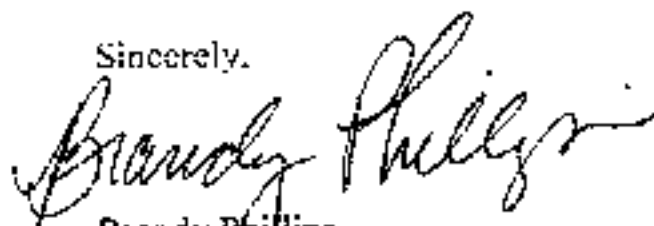
If the foregoing conditions for reattributions or transfers to a non-federal account were not met within 60 days of receipt, the excessive amount must be refunded.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

A response or amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Brandy Phillips
Campaign Finance Analyst
Reports Analysis Division

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Schedules Summary Page	FOR LINE NUMBER: (check only one)	PAGE 1 OF 3
	<input type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 11d <input type="checkbox"/> 11e <input type="checkbox"/> 11f <input type="checkbox"/> 11g <input type="checkbox"/> 11h <input type="checkbox"/> 11i <input type="checkbox"/> 11j <input type="checkbox"/> 11k <input type="checkbox"/> 11l <input type="checkbox"/> 11m <input type="checkbox"/> 11n <input type="checkbox"/> 11o <input type="checkbox"/> 11p <input type="checkbox"/> 11q <input type="checkbox"/> 11r <input type="checkbox"/> 11s <input type="checkbox"/> 11t <input type="checkbox"/> 11u <input type="checkbox"/> 11v <input type="checkbox"/> 11w <input type="checkbox"/> 11x <input type="checkbox"/> 11y <input type="checkbox"/> 11z	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (or Full) **ARIS PAC**

A. Carole Bernstein

Full Name (Last, First, Middle Initial)
 Mailing Address
615 Briarhill Road
 City **Deerfield** State **IL** Zip Code **60015**

FEC ID number of contributing federal political committee: **C**

Name of Employer: _____ Occupation: _____

Receipt For:
 Primary General
 Other (specify) _____

Aggregate Year-to-Date \$ **1,250.00**

Date of Receipt: **02/12/03**

Amount of Each Receipt this Period: **1,250.00**

B. Carole Bernstein

Full Name (Last, First, Middle Initial)
 Mailing Address
615 Briarhill Road
 City **Deerfield** State **IL** Zip Code **60015**

FEC ID number of contributing federal political committee: **C**

Name of Employer: _____ Occupation: _____

Receipt For:
 Primary General
 Other (specify) _____

Aggregate Year-to-Date \$ **4,500.00**

Date of Receipt: **02/12/03**

Amount of Each Receipt this Period: **4,500.00**

C. Carole Bernstein

Full Name (Last, First, Middle Initial)
 Mailing Address
615 Briarhill Road
 City **Deerfield** State **IL** Zip Code **60015**

FEC ID number of contributing federal political committee: **C**

Name of Employer: _____ Occupation: _____

Receipt For:
 Primary General
 Other (specify) _____

Aggregate Year-to-Date \$ **1,250.00**

Date of Receipt: **02/12/03**

Amount of Each Receipt this Period: **1,250.00**

SUBTOTAL of Receipts This Page (optional) **1,250.00**

TOTAL This Period (last page and this receipt only) **1,250.00**

FEC BENEFIT A (Form 3X) (Rev. 1/03)
 REV-100

Handwritten initials

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FDR LINE NUMBER: (check only one)		PAGE 2 OF 3	
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
13	14	15	16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

NAME OF COMMITTEE OR FUND
ARTS PAC

Full Name (Last, First, Middle Initial)
A. Jay Penabazook, D.D.S.

Mailing Address
95 E Madison Parkway No.

City **Beacon, NY** State **NY** Zip Code **10467**

FEC ID number of contributing federal political committee: **C**

Name of Employer: **Self Employed** Occupation: **Dentist**

Receipt For: Primary General Other (specify) **▼**

Aggregate Year-to-Date **1,200.00**

Date of Receipt: **04 27 2001**

Amount of Each Receipt This Period: **1,200.00**

Full Name (Last, First, Middle Initial)
B. John S. Belnick

Mailing Address
520 Pike Street, Suite 2620

City **Seattle** State **Washington** Zip Code **98101**

FEC ID number of contributing federal political committee: **C**

Name of Employer: Occupation:

Receipt For: Primary General Other (specify) **▼**

Aggregate Year-to-Date **1,500.00**

Date of Receipt: **01 05 2001**

Amount of Each Receipt This Period: **1,500.00**

Full Name (Last, First, Middle Initial)
C. Dr. Joel Bergstein

Mailing Address
615 Birchhill Road

City **Deerfield** State **IL** Zip Code **60015**

FEC ID number of contributing federal political committee: **C**

Name of Employer: Occupation: **Doctor**

Receipt For: Primary General Other (specify) **▼**

Aggregate Year-to-Date **1,500.00**

Date of Receipt: **01 25 2001**

Amount of Each Receipt This Period: **1,500.00**

SUBTOTAL of Receipts This Page (optional) **4,200.00**

TOTAL This Space (last page this line number only) **4,200.00**

FEC Schedule A (Form 3X) (Revised 1/01)

RE14NM2

SCHEDULE A (FEC Form SX) ITEMIZED RECEIPTS

Use separate worksheets for each category of the Detailed Summary Page

FEC LINE NUMBER		PAGE 7 OF 3	
11c	11b	11a	11d
13	14	15	16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

ARIS PAC

Full Name (Last, First, Middle Initial)

Dr. Joel Bernstein

Mailing Address

615 Birchhill Road

City

Doerfeld

State

IL

Zip Code

60015

FEC ID number of contributing federal political committee

C

Name of Employer

Occupation

Doctor

Receipt For:

Primary

General

Other (specify) _____

Aggregate Year-to-Date \$

6000.00

Date of Receipt

01 / 09 / 2001

Amount of Each Receipt This Period

4500.00

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing federal political committee

C

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) _____

Aggregate Year-to-Date \$

Date of Receipt

Amount of Each Receipt This Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing federal political committee

C

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) _____

Aggregate Year-to-Date \$

Date of Receipt

Amount of Each Receipt This Period

SUBTOTAL of Receipts This Page (optional)

4500.00

TOTAL This Period (last page this line number only)

29,200.00

FEC Form 278e-A (Form SX) (Rev. 1/01)

FORM 278e-A

2025-03-28 10:28:10