

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

RECEIVED FEC MAIL OPERATIONS CENTER

2002 DEC 10 A 9 57

Office Use Only

1. NAME OF COMMITTEE (in full)

USE FEC MAILING LABEL OR TYPE OR PRINT

Example: If typing, type over the lines.

12FE4M5

49th AD Democratic Caucus

ADDRESS (number and street)

12416 Capetown Ave

Check if different than previously reported. (AGC)

Alhambra

CA

91803-3612

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

C00326819

3. IS THIS REPORT

NEW

(N)

OR

AMENDED

(A)

4. TYPE OF REPORT

(Choose One)

(b) Monthly Report Due On:

Feb 20 (M2)

May 20 (M5)

Aug 20 (M8)

Nov 20 (M11) (Non-Election Year Only)

Mar 20 (M3)

Jun 20 (M6)

Sep 20 (M9)

Dec 20 (M12) (Non-Election Year Only)

Apr 20 (M4)

Jul 20 (M7)

Oct 20 (M10)

Jan 21 (YE)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

July 31 Mid-Year Report (Non-election Year Only) (MY)

Termination Report (TER)

(c) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Report for the:

Convention (12C)

Special (12S)

Election on

in the State of

(d) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

in the State of

5. Covering Period

10/01/2002

through

11/25/2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Ronald C. Vrooman

Signature of Treasurer

Ronald C. Vrooman

Date

12/04/2002

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437g.

Office Use Only

FEC FORM 3X (Revised 1/01)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 2K (Revised 1/01)

Page 2

Write or Type Committee Name

49th A.D. Democratic Caucus

Report Covering the Period:

From:

10 01 2002

To:

11 23 2002

COLUMN A
This Period

COLUMN B
Calendar Year-to-Date

6. (a) Cash on Hand January 1.	2002	251550
(b) Cash on Hand at Beginning of Reporting Period	140055	
(c) Total Receipts (from Line 19)	642798	672567
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	782853	924117
7. Total Disbursements (from Line 30)	723388	864652
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	59465	59465
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	000	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	000	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FED Form 8X (Revised 1/01)

Write or Type Contributor Name

49th A.D. Democratic Caucus

Report Covering the Period:

From:

10/01/2002

To:

11/25/2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Realized (Use Schedule A)	0.00	
(ii) Unitemized	55.00	
(iii) TOTAL (add	55.00	352.69
Lines 11(a)(i) and (ii) ▶		
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(i), (ii), and (c); Carry Totals to Line 32, page 4) ▶	55.00	352.69
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 38, page 4)	787.9	787.9
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Nonfederal Account for Joint Activity	6294.19	6294.19
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ▶	6427.98	6725.67
20. Total Federal Receipts (subtract Line 16 from Line 19) ▶	1337.9	4314.8

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 9X (Revised 1/01)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	78669	78669
(ii) Non-Federal Share	629419	629419
(b) Other Federal Operating Expenditures	15300	85564
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	723388	793652
22. Transfers to Affiliated/Other Party Committees	000	71000
23. Contributions to Federal Candidates/Committees and Other Political Committees	000	000
24. Independent Expenditures (see Schedule E)	000	000
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. §41a(d)) (see Schedule F)	000	000
26. Loan Repayments Made	000	000
27. Loans Made	000	000
29. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	000	000
(b) Political Party Committees	000	000
(c) Other Political Committees (such as PACs)	000	000
(d) Total Contribution Refunds (add Lines 29(a), (b), and (c))	000	000
29. Other Disbursements	000	000
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 29(d), and 29)	723388	864652
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)	93969	235233
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) (from Line 11(d), page 3)	5500	35269
33. Total Contribution Refunds (from Line 29(d))	000	000
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	5500	35269
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	93969	164233
36. Offsets to Operating Expenditures (from Line 15, page 3)	7879	7879
37. Net Operating Expenditures (subtract Line 36 from Line 35)	86090	156354

SCHEDULE H2 (FEC Form 3X)

ALLOCATION RATIOS

NAME OF COMMITTEE (In Full)

49th A.D. Democratic Caucus

ALLOCATION RATIOS FOR INDIVIDUAL FUNDRAISING EVENTS, EXEMPT ACTIVITIES, AND SHARED DIRECT CANDIDATE SUPPORT APPEARING ON THIS REPORT.

Methods of allocation:

- I. **FUNDRAISING** activities are allocated using the "funds received method" where the federal proportion of expenses must equal the federal proportion of monies raised.
- II. **EXEMPT** activities are allocated using the "time and space method" where the federal proportion of disbursements is based on the proportion of time or space devoted to federal candidates.
- III. Shared **DIRECT CANDIDATE SUPPORT** activities are allocated according to benefit expected to be derived, where the federal proportion of disbursements is based on the benefit derived by federal candidates from the activity.

NAME OF ACTIVITY OR EVENT

General election state mailer

FEDERAL %

NON-FEDERAL %

01111 %

08889 %

ACTIVITY IS:

- Fundraising Exempt Direct Candidate Support

CHECK IF THE RATIO IS:

- New Revised Same as Previously Reported
(Sch. H1, filed 4/12/02)

NAME OF ACTIVITY OR EVENT

FEDERAL %

NON-FEDERAL %

ACTIVITY IS:

- Fundraising Exempt Direct Candidate Support

CHECK IF THE RATIO IS:

- New Revised Same as Previously Reported

NAME OF ACTIVITY OR EVENT

FEDERAL %

NON-FEDERAL %

ACTIVITY IS:

- Fundraising Exempt Direct Candidate Support

CHECK IF THE RATIO IS:

- New Revised Same as Previously Reported

NAME OF ACTIVITY OR EVENT

FEDERAL %

NON-FEDERAL %

ACTIVITY IS:

- Fundraising Exempt Direct Candidate Support

CHECK IF THE RATIO IS:

- New Revised Same as Previously Reported

NAME OF ACTIVITY OR EVENT

FEDERAL %

NON-FEDERAL %

ACTIVITY IS:

- Fundraising Exempt Direct Candidate Support

CHECK IF THE RATIO IS:

- New Revised Same as Previously Reported

NAME OF ACTIVITY OR EVENT

FEDERAL %

NON-FEDERAL %

ACTIVITY IS:

- Fundraising Exempt Direct Candidate Support

CHECK IF THE RATIO IS:

- New Revised Same as Previously Reported

SCHEDULE H3 (FEC Form 3X)
TRANSFERS FROM NON-FEDERAL ACCOUNTS

NAME OF COMMITTEE (In Full)
49th A.D. Democratic Caucus

NAME OF ACCOUNT: **49th A.D. Committee-Demo. Party non-federal account**
DATE OF RECEIPT: **10/30/2002**
TOTAL AMOUNT TRANSFERRED: **6,294.19**

BREAKDOWN OF TRANSFER RECEIVED

ADMINISTRATIVE/VOTER DRIVE AMOUNT

i) Total Administrative/Voter Drive **0.00**

DIRECT FUNDRAISING AMOUNT

ii) Direct Fundraising (List Events-Amount For Each)
a)
b)
c)
d)
e) Total Amount Transferred For Direct Fundraising .. **0.00**

EXEMPT ACTIVITY/DIRECT CANDIDATE SUPPORT

iii) Exempt Activity/Direct Candidate Support (List Events-Amount For Each)
a) General election state mailer **6,294.19**
b)
c)
d)
e) Total Amount Transferred For Exempt Activity/Direct Candidate Support **6,294.19**

TOTALS FOR BREAKDOWN OF TRANSFER RECEIVED

TOTAL This Period (Administrative/Voter Drive Amount) **0.00**
TOTAL This Period (Direct Fundraising Amount) **0.00**
TOTAL This Period (Exempt Activity/Direct Candidate Support) **6,294.19**
TOTAL This Period (Total Amount Transferred) **6,294.19**

DISBURSEMENT SCHEDULE H4 (FEC Form 3X)
JOINT FEDERAL/NON-FEDERAL ACTIVITY SCHEDULE

PAGE 1 OF 2
 FOR LINE 21a OF FORM 3X

NAME OF COMMITTEE (In Full)
49th A.D. Democratic Caucus

A. Full Name (Last, First, Middle Initial) Political Data, Inc.		Type of Allocated Activity: <input type="checkbox"/> Admin./Voter Drive <input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Fundraising <input type="checkbox"/> Direct Candidate Support	
Mailing Address 825 S. Victory Blvd.		Event Year-To-Date 224.04	
City Burbank	State CA	Zip Code 91502	Category/Type 006
Purpose/Event: general election slate mailer		Date 10 23 2002	
Description: voter data for targeted mailing			
FEDERAL SHARE +		NON-FEDERAL SHARE =	
243.9		199.15	
		224.04	

B. Full Name (Last, First, Middle Initial) Ford Printing & Mailing Co.		Type of Allocated Activity: <input type="checkbox"/> Admin./Voter Drive <input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Fundraising <input type="checkbox"/> Direct Candidate Support	
Mailing Address 125 N. Vineland Ave.		Event Year-To-Date 2754.09	
City Industry	State CA	Zip Code 91746	Category/Type 006
Purpose/Event: general election slate mailer		Date 10 25 2002	
Description: addressing of pieces, sorting + delivery to post office			
FEDERAL SHARE +		NON-FEDERAL SHARE =	
281.09		2248.96	
		2530.05	

C. Full Name (Last, First, Middle Initial) U.S. Postal Service		Type of Allocated Activity: <input type="checkbox"/> Admin./Voter Drive <input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Fundraising <input type="checkbox"/> Direct Candidate Support	
Mailing Address 10 W. Bay State St.		Event Year-To-Date 5154.09	
City Alhambra	State CA	Zip Code 91801	Category/Type 006
Purpose/Event: general election slate mailer		Date 10 29 2002	
Description: bulk-rate postage for mailer			
FEDERAL SHARE +		NON-FEDERAL SHARE =	
266.64		2133.36	
		2400.00	

SUBTOTAL of Joint Federal and Non-Federal Activity This Page		TOTAL AMOUNT	
FEDERAL SHARE +	NON-FEDERAL SHARE =		
572.62	4581.47	5154.09	
TOTAL This Period (last page for each line only)(Federal share to 21(a)(ii) and non-Federal share to 21(a)(iii))		TOTAL AMOUNT	
FEDERAL SHARE	NON-FEDERAL SHARE		
TOTAL This Period for the Non-Federal Share (used for line 31 of the detailed summary page)			

**DISBURSEMENT SCHEDULE H4 (FEC Form 3X)
JOINT FEDERAL/NON-FEDERAL ACTIVITY SCHEDULE**

NAME OF COMMITTEE (In Full)
49th A.D. Democratic Caucus

A. Full Name (Last, First, Middle Initial) Sylvia Guerrero		Type of Allocated Activity: <input type="checkbox"/> Admin./Voter Drive <input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Fundraising <input type="checkbox"/> Direct Candidate Support	
Mailing Address 1013 W. Newmark Ave.		Event Year-To-Date 540409	
City Monterey Park	State CA	Zip Code 91754	Category/Type 006
Purpose/Event: general election slate mailer		Date 10 30 2002	
Description: editing + composition work			
FEDERAL SHARE 2778	NON-FEDERAL SHARE 22222	TOTAL AMOUNT 25000	

B. Full Name (Last, First, Middle Initial) The House of Printing, Inc.		Type of Allocated Activity: <input type="checkbox"/> Admin./Voter Drive <input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Fundraising <input type="checkbox"/> Direct Candidate Support	
Mailing Address 3336 E. Colorado Blvd.		Event Year-To-Date 708088	
City Pasadena	State CA	Zip Code 91107	Category/Type 006
Purpose/Event: general election slate mailer		Date 10 30 2002	
Description: printing of 11,515 slate pieces			
FEDERAL SHARE 18629	NON-FEDERAL SHARE 149050	TOTAL AMOUNT 167679	


C. Full Name (Last, First, Middle Initial)		Type of Allocated Activity: <input type="checkbox"/> Admin./Voter Drive <input type="checkbox"/> Exempt <input type="checkbox"/> Fundraising <input type="checkbox"/> Direct Candidate Support	
Mailing Address		Event Year-To-Date	
City	State	Zip Code	Category/Type
Purpose/Event:		Date	
Description:			
FEDERAL SHARE	NON-FEDERAL SHARE	TOTAL AMOUNT	

SUBTOTAL of Joint Federal and Non-Federal Activity This Page		
FEDERAL SHARE 21407	NON-FEDERAL SHARE 171272	TOTAL AMOUNT 192679
TOTAL This Period (last page for each line only) (Federal share to 21(a)(I) and non-Federal share to 21(a)(II))		
FEDERAL SHARE 78669	NON-FEDERAL SHARE 629419	TOTAL AMOUNT 708088
TOTAL This Period for the Non-Federal Share (used for line 31 of the detailed summary page)		

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/>	Hand Delivered	Date of Receipt
<input checked="" type="checkbox"/>	First Class Mail	POSTMARKED
<input type="checkbox"/>	Registered/Certified Mail	POSTMARKED (R/C)
<input type="checkbox"/>	No Postmark	
<input checked="" type="checkbox"/>	Postmark Illegible	
<input type="checkbox"/>	Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/>	Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/>	Other (Specify):	Postmarked <hr/> and/or Date of Receipt
<input type="checkbox"/>	Electronic Filing	
	PREPARER	12/10/09 DATE PREPARED