

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation

ADDRESS (number and street)

801 Pennsylvania Ave. NW Suite 325

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00035675

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☒ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ General (12G)☐ Runoff (12R)☐ Convention (12C)☐ Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
10 01 2013

through

M M M / D D D / Y Y Y Y Y Y  
10 31 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer David Levi

Signature of Treasurer

David Levi

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
11 13 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
10 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y  
10 / 31 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2013</span>		<span style="border: 1px solid black; padding: 2px;">82237.10</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">59737.09</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">9750.40</span>	<span style="border: 1px solid black; padding: 2px;">95870.40</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">69487.49</span>	<span style="border: 1px solid black; padding: 2px;">178107.50</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">3663.39</span>	<span style="border: 1px solid black; padding: 2px;">112283.40</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">65824.10</span>	<span style="border: 1px solid black; padding: 2px;">65824.10</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE

## of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		0	1		2	0	1	3

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		3	1		2	0	1	3

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A).....	7622.48	68034.01
(ii) Unitemized .....	2127.92	26836.39
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	9750.40	94870.40
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	9750.40	94870.40
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	1000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ►	9750.40	95870.40
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	9750.40	95870.40

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	163.39	1783.40
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	163.39	1783.40
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	3500.00	104500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	6000.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	3663.39	112283.40
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	3663.39	112283.40

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	9750.40	94870.40
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	9750.40	94870.40
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	163.39	1783.40
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	163.39	1783.40

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

A. Sabrina Aery

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

STATE GOV'T AE

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191375

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Charles A Bancroft

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

ACTING CHIEF FINANCIAL OF

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1683.60

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191306

Amount of Each Receipt this Period

168.36

Full Name (Last, First, Middle Initial)

C. Joel Barrish

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

716.32

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191417

Amount of Each Receipt this Period

65.12

SUBTOTAL of Receipts This Page (optional)..... ►

258.48

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 31  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Arthur Bertelsen**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191418**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Paul Biondi**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 VP R&D Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191360**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Scott A Brock**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 REGIONAL DIRECTOR: STATE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.22

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191296**

Amount of Each Receipt this Period

60.02

**SUBTOTAL** of Receipts This Page (optional)..... ►

260.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 31  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Giovanni Caforio**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191395**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Victoria Carey**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 VP MKTG & US LEAD IPILIM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191345**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Jeffrey Conklin**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191434**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

250.00

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 31  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Thomas E Costa**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP US PHARMACEUTICALS COM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 31 / 2013

**Transaction ID : A2013-4191311**

Amount of Each Receipt this Period

300.00

Full Name (Last, First, Middle Initial)

**B. John R Crison**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 28 / 2013

**Transaction ID : A2013-4191484**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**C. Ann Crow**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 31 / 2013

**Transaction ID : A2013-4191401**

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

320.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Timothy Crowe**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1078.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191403

Amount of Each Receipt this Period

98.08

Full Name (Last, First, Middle Initial)

**B. Francis M Cuss**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

SR VP DISCOVERY &amp; EXPL CL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191300

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Monica Darling**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NV 10154

FEC ID number of contributing federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.80

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191414

Amount of Each Receipt this Period

33.68

SUBTOTAL of Receipts This Page (optional)..... ►

231.76

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

A. Daniel Driscoll

Mailing Address 345 PARK AVE.

City  
NEW YORK

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191438

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Adam Dubow

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
VP & ASSISTANT GENERAL CO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191322

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

C. John Dunn

Mailing Address 345 PARK AVE.

City  
NEW YORK

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

744.30

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191437

Amount of Each Receipt this Period

82.70

SUBTOTAL of Receipts This Page (optional)..... ►

222.70

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 31  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. John Ehret**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1673.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191385**

Amount of Each Receipt this Period

167.30

Full Name (Last, First, Middle Initial)

**B. Michael Gaughan**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

528.80

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191412**

Amount of Each Receipt this Period

52.88

Full Name (Last, First, Middle Initial)

**C. Karen S Gillespie**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 ASSOC DIR GOVT AFFAIRS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191335**

Amount of Each Receipt this Period

240.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

460.18

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

A. Murdo Gordon

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

SVP ACCESS

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

4160.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 31 / 2013

Transaction ID : A2013-4191377

Amount of Each Receipt this Period

416.00

Full Name (Last, First, Middle Initial)

B. Leslie A Hackley

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

MEAD JOHNSON and COMPANY

Occupation

NUTRITIONAL SALES SPECIAL

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

383.40

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 31 / 2013

Transaction ID : A2013-4191278

Amount of Each Receipt this Period

38.34

Full Name (Last, First, Middle Initial)

C. Jason Haddock

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1533.06

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 31 / 2013

Transaction ID : A2013-4191433

Amount of Each Receipt this Period

170.34

SUBTOTAL of Receipts This Page (optional)..... ►

624.68

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

## **A. Margaret Heim**

Mailing Address 345 PARK AVE.

City  
NEW YORK

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

985.16

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191422**

Amount of Each Receipt this Period

89.56

Full Name (Last, First, Middle Initial)

## **B. Sharon A Henry**

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
VP GLOBAL MA CV MET NEURO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191319**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. Kristina Hermach**

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

577.40

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191413**

Amount of Each Receipt this Period

57.74

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

197.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Jennifer Herron**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191399

Amount of Each Receipt this Period

400.00

Full Name (Last, First, Middle Initial)

**B. Elizabeth Ingram**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1442.25

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191439

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Harvey Kaish**

Mailing Address 345 park Aveune

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP & Assistant General

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191388

Amount of Each Receipt this Period

80.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

312.30

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation

Full Name (Last, First, Middle Initial)

**A. Mary Kaysen**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1097.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191408

Amount of Each Receipt this Period

99.90

Full Name (Last, First, Middle Initial)

**B. Steven E Klohr**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 GROUP DIRECTOR ANALYTICAL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191303

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. ANN-LOUISE KUHNS**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 ASSOC DIR GOVT AFFAIRS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1110.56

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191351

Amount of Each Receipt this Period

100.96

SUBTOTAL of Receipts This Page (optional)..... ►

225.86

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Robert G Lacaze**

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP MARKETING VIROLOGY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191354**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**B. Adam R Lenkowsky**

Mailing Address 345 Park Ave

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 01 / 2013

**Transaction ID : A2013-3989599**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**C. Sandra Leung**

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

SR VICE PRESIDENT & GENER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191328**

Amount of Each Receipt this Period

410.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

685.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Joseph Leveque**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191410

Amount of Each Receipt this Period

400.00

Full Name (Last, First, Middle Initial)

**B. Jesse Lewis**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

939.18

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191423

Amount of Each Receipt this Period

85.38

Full Name (Last, First, Middle Initial)

**C. Natalie Lotier**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1207.58

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191382

Amount of Each Receipt this Period

109.78

**SUBTOTAL** of Receipts This Page (optional)..... ►

235.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. John McCarthy**

Mailing Address 345 PARK AVE.

City State Zip Code  
NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Regional Business Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

822.13

Date of Receipt

M M / D D / Y Y Y Y Y  
10 31 2013

**Transaction ID : A2013-4191436**

Amount of Each Receipt this Period

86.54

Full Name (Last, First, Middle Initial)

**B. Curtis McDonald**

Mailing Address 345 PARK AVE.

City State Zip Code  
NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Healthcare Alliance Liason

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

701.14

Date of Receipt

M M / D D / Y Y Y Y Y  
10 31 2013

**Transaction ID : A2013-4191390**

Amount of Each Receipt this Period

63.74

Full Name (Last, First, Middle Initial)

**C. Daniel McManimon**

Mailing Address 345 Park Avenue

City State Zip Code  
New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Director Finance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 31 2013

**Transaction ID : A2013-4191380**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

200.28

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Alan J Medici**

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP BUSINESS OPERATIONS U.S. MEDICIN

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191333**

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

**B. Gina Metelica**

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

ASSOCIATE DIRECTOR IL M

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191287**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Ronald C Miller**

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP STATE GOVT AFFAIRS AND

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

**Transaction ID : A2013-4191331**

Amount of Each Receipt this Period

200.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

450.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Karen Murphy**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 EXEC DIR FINANCIAL SYSTEM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191370**

Amount of Each Receipt this Period

125.00

Full Name (Last, First, Middle Initial)

**B. Mark Neumann**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 VP Marketing and Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191431**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Paul J Norris**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 RBD NORTH EAST REGION

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191304**

Amount of Each Receipt this Period

80.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

305.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. kimberly Patton**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191406**

Amount of Each Receipt this Period

22.56

Full Name (Last, First, Middle Initial)

**B. Shawn Pelletier**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191378**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**c. Lane M Penry**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 MGR FEDERAL GOVT AFFAIRS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191359**

Amount of Each Receipt this Period

90.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

162.56

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Christopher A Pernie**

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

DIRECTOR FEDERAL GOVERNMENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2100.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191310

Amount of Each Receipt this Period

210.00

Full Name (Last, First, Middle Initial)

**B. Nancy Phelan**

Mailing Address 345 PARK AVE.

City  
NEW YORK

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1030.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191415

Amount of Each Receipt this Period

103.00

Full Name (Last, First, Middle Initial)

**C. James R Pooler**

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

SR. ENVIRONMENTAL COUNSEL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191355

Amount of Each Receipt this Period

150.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

463.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Raymond Sacchetti**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2600.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191384

Amount of Each Receipt this Period

260.00

Full Name (Last, First, Middle Initial)

**B. Cynthia Salamon**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 23 / 2013

Transaction ID : A2013-4191929

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

**C. Paul Savidge**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191381

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

810.00

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Ronan Sheil**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191400

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Sabina Shenefelt**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191393

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Wayne M Sichel**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 DIR US POLICY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

Transaction ID : A2013-4191357

Amount of Each Receipt this Period

40.00

SUBTOTAL of Receipts This Page (optional)..... ►

115.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

## **A. Christopher Sinko**

Mailing Address 345 PARK AVE.

City  
NEW YORK

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1561.12

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191424

Amount of Each Receipt this Period

141.92

Full Name (Last, First, Middle Initial)

## **B. Hilary A Smallwood**

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
SR MSL BREAST HEM MEL ST

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191337

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **C. Samuel G Smith**

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
SR DBM ONCOLOGY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191276

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

206.92

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 31  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

## **A. Douglas Stoss**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

337.50

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191386**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **B. Susan Sweeney**

Mailing Address 345 PARK AVE.

City State Zip Code  
 NEW YORK NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191435**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **C. Kevin Trapp**

Mailing Address 345 Park Avenue

City State Zip Code  
 New York NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 BRISTOL-MYERS SQUIBB CO.

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 31 2013

**Transaction ID : A2013-4191383**

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

330.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

A. Alan Tubbs

Mailing Address 345 Park Ave.

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
EXEC REGIONAL SALES DIR M

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191374

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Mary Kay Turner

Mailing Address 345 PARK AVE.

City  
NEW YORK

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
Director, States Gov. Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191389

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Anne E Tweedt

Mailing Address 345 Park Avenue

City  
New York

State Zip Code  
NY 10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BRISTOL-MYERS SQUIBB CO.

Occupation  
ASSOC DIR STATE GOVT AFFA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

716.01

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 31 / 2013

Transaction ID : A2013-4191330

Amount of Each Receipt this Period

65.90

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

165.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Paul VonAutenried**

Mailing Address 345 Park Avenue

City

New York

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

VICE PRES & CHIEF INFORMA

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191270

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**B. Paul A Williams**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.21

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191440

Amount of Each Receipt this Period

65.38

Full Name (Last, First, Middle Initial)

**C. Terri Young**

Mailing Address 345 PARK AVE.

City

NEW YORK

State

NY

Zip Code

10154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

BRISTOL-MYERS SQUIBB CO.

Occupation

Executive Director

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4191396

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

130.38

**TOTAL** This Period (last page this line number only)..... ►

7622.48

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 30 OF 31

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Bank of New York**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		23		2013

Mailing Address 530 Fifth Avenue

City	State	Zip Code
New York	NY	10036

**Transaction ID : B481277**Purpose of Disbursement  
Bank Service Charge

001

Amount of Each Disbursement this Period

Candidate Name

Category/  
Type

163.39

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: NY

District:

Full Name (Last, First, Middle Initial)

**B.**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Mailing Address

City	State	Zip Code

Purpose of Disbursement

Category/  
Type

Amount of Each Disbursement this Period

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State:

District:

Full Name (Last, First, Middle Initial)

**C.**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Mailing Address

City	State	Zip Code

Purpose of Disbursement

Category/  
Type

Amount of Each Disbursement this Period

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State:

District:

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

163.39

163.39

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 31 OF 31

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Bristol-Myers Squibb Co. Employee Political Advocacy Fund for Innovation**

Full Name (Last, First, Middle Initial)

**A. Price for Congress**

Mailing Address 412 S. Capitol St. SE Suite B

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement  
Contribution

011

Candidate Name

**Thomas E Price**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: GA District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		11		2013

**Transaction ID : B475352**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Boehner for Speaker**

Mailing Address 320 First Street SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement  
Contribution

011

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Not Applicable

State: OH District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		11		2013

**Transaction ID : B475489**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00

3500.00