REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
National Association of Small Business Investment Companies Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
1199 North Fairfax Street, #200
Alexandria, VA 22314

CITY, STATE and ZIP CODE
Alexandria, VA 22314

FEDERAL ELECTION COMMISSION
APR 15 9 40 AM '96

2. FEC IDENTIFICATION NUMBER
C00109991

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☒ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5.	Covering Period Jan. 1, 1996 through March 31, 1996		
6. (a)	Cash on Hand January 1, 19__		$21,963.02
(b)	Cash on Hand at Beginning of Reporting Period	$21,963.02	
(c)	Total Receipts (from Line 19)	$15,250.00	$15,250.00
(d)	Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$37,213.02	$37,213.02
7.	Total Disbursements (from Line 30)	$10,000.00	$10,000.00
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$27,213.02	$27,213.02
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$0	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Lee W. Mercer

Signature of Treasurer
Lee W Mercer

Date
12 April 1996

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

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DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: National Association of Small Business Investment Companies Political Action Committee

REPORT COVERING PERIOD FROM Jan 1, 1996 TO March 31, 1996

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	$15,250.00	$15,250.00	11(a)(i)
ii. Unitemized			11(a)(ii)
iii. Total (add i and ii) ➤	$15,250.00	$15,250.00	11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)			11(c)
d. Total Contributions (add a iii, b and c) ➤	$15,250.00	$15,250.00	11(d)
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)			17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	$15,250.00	$15,250.00	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	$15,250.00	$15,250.00	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			21(c)
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	$10,000.00	$10,000.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	$10,000.00	$10,000.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	$10,000.00	$10,000.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)			32
33. Total Contribution Refunds (from line 28d)			33
34. Net Contributions (other than loans)(subtract line 33 from 32)			34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤			35
36. Offsets to Operating Expenditures (from line 15)			36
37. Net Operating Expenditures (subtract line 36 from 35) ➤			37

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 3

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Full Name, Mailing Address and ZIP Code All Partners Edward C. McCarthy Blue Ridge Investors, L.P. P.O. Box 21962 Greensboro, NC 27420 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: Blue Ridge Investors L.P. Occupation: Investment Co. Exec. Aggregate Year-to-Date: $500.00	01/02/96	$500.00
B. Full Name, Mailing Address and ZIP Code Fred Vorys 10 West Broad Street #400 Columbus, OH 43215 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: Banc One Capital Corp Occupation: Investment Co. Exec. Aggregate Year-to-Date: $100.00	01/04/96	$100.00
C. Full Name, Mailing Address and ZIP Code Kevin Mullane 2424 Commerce Tower #911 Kansas City, MO 64105 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: InvestAmerica Investment Occupation: Investment Co. Exec. Aggregate Year-to-Date: $150.00	01/14/96	150.00
D. Full Name, Mailing Address and ZIP Code Frank R. Kline 11726 San Vicente Blvd. #300 Los Angeles, CA 90049 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: Kline Hawkes & Co. Occupation: Investment Co. Exec. Aggregate Year-to-Date: $1,000.00	01/14/96	$1,000.00
E. Full Name, Mailing Address and ZIP Code Robert E. Brown, Jr. 259 Radnor-Chester Rd. #140 Radnor, PA 19087 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date: $1,000.00	01/23/96	$1,000.00
F. Full Name, Mailing Address and ZIP Code Bernard B. Markey 259 Radnor-Chester Rd. #140 Radnor, PA 19087 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date: $1,000.00	01/23/96	$1,000.00
G. Full Name, Mailing Address and ZIP Code Raymond R. Rafferty, Jr. 259 Radnor-Chester Rd. #140 Radnor, PA 19087 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Name of Employer: Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date: $1,000.00	01/23/96	$1,000.00

SUBTOTAL of Receipts This Page (optional) $4,750.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 3

FOR LINE NUMBER

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Timothy P. Bradley 10 East 53rd Street, 32nd Floor New York, NY 10022 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Exeter Venture Lenders Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	01/29/96	$1,000.00
B. Keith R. Fox 10 East 53rd Street, 32nd Floor New York, NY 10022 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Exeter Venture Lenders Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	01/29/96	$1,000.00
C. Thomas H. Westbrook 1666 K Street, NW #901 Washington, DC 20005 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Allied Capital Advisers Investment Co. Exec. Aggregate Year-to-Date $ 500.00	02/14/96	$500.00
D. Michael Brown 200 Crescent Court, #1650 Dallas, TX 75201 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Stratford Capital GP Associates, LP Investment Co. Exec. Aggregate Year-to-Date $ 500.00	03/05/96	$500.00
E. John Farmer 200 Crescent Court, #1650 Dallas, TX 75201 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Stratford Capital GP Associates LP Investment Co. Exec. Aggregate Year-to-Date $ 500.00	03/05/96	$500.00
F. William Reisler 4200 Somerset, #101 Prairie Village, KS 66208 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Kansas City Equity Partners Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	03/05/96	$1,000.00
G. John P. Whaley 2800 Piper Jaffray Tower Minneapolis, MN 55402 Receipt For: [ ] Primary [ ] General [XX] Other (specify): No restrictions	Norwest Venture Capital Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	03/05/96	$1,000.00

SUBTOTAL of Receipts This Page (optional) $5,500.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 3 OF 3

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Howard Landis 36 Grove Street New Canaan, CT 06840 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	RFE Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $1,000.00	03/05/96	$1,000.00
B. James A. Parsons 36 Grove Street New Canaan, CT 06840 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	RFE Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $1,000.00	03/05/96	$1,000.00
C. Robert Williams 36 Grove Street New Canaan, CT 06840 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	RFE Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $1,000.00	03/05/96	$1,000.00
D. Gregory Forrest 800 Newport Center Dr. #725 Newport Beach, CA 92660 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Forrest Binkley & Brown Occupation: Investment Co. Exec. Aggregate Year-to-Date > $1,000.00	03/27/96	$1,000.00
E. Jeffrey J. Brown 800 Newport Center Dr. #725 Newport Beach, CA 92660 Receipt For: [ ] Primary [ ] General [X] Other (specify): No restrictions	Forrest Binkley & Brown Occupation: Investment Co. Exec. Aggregate Year-to-Date > $1,000.00	03/27/96	$1,000.00
F. Full Name, Mailing Address and ZIP Code Receipt For: [ ] Primary [ ] General [ ] Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: [ ] Primary [ ] General [ ] Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional) $5,000.00

TOTAL This Period (last page this line number only) $15,250.00

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 2

FOR LINE NUMBER

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NAME OF COMMITTEE (in Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for	Date (month, day, year)	Amount of Each Disbursement This Period
A. Bill Frist for Senate, Inc. 4205 Hillsboro Rd. #306 Nashville, TN 37215	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	01/16/96	$1,000.00
B. Citizens for Kasich 208 G Street, NE Washington, DC 20002	Campaign Contribution Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	02/06/96	$1,000.00
C. Friends of Larry Pressler P.O. Box 77166 Washington, DC 20013	Campaign Contribution Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	02/06/96	$1,000.00
D. Fazio for Congress P.O. Box 990 Washington, DC 20044	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	02/21/96	$500.00
E. Committee to Re-Elect Susan Molinari 507 Capitol Court NW #100 Washington, DC 20002	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	02/22/96	$500.00
F. People for Pete Domenici Committee 425 Second Street, NE Washington, DC 20002	Campaign Contribution Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	02/23/96	$1,000.00
G. Manzullo for Congress P.O. Box 25026 Washington, DC 20007	Campaign Contribution Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	03/12/96	$500.00
H. Sam Brownback for Congress Committee 4010 Franconia Rd. Alexandria, VA 22310	Campaign Committee Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	03/12/96	$500.00
I. Matt Salmon for Congress 2105 Mt. Vernon Ave., #2 Alexandria, VA 22301	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/12/96	$500.00

SUBTOTAL of Disbursements This Page (optional) $6,500.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER

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NAME OF COMMITTEE (In Full): National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
A. Friends of John Warner '96 425 Second Street, NE Washington, DC 20001	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/12/96	$1,000.00
B. Chabot for Congress 12102 Green Leaf Ct. #202 Fairfax, VA 22033	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/12/96	$500.00
C. LaTourette for COngress P.O. Box 2456 Lyndhurst, OH 44124	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/14/96	$500.00
D. Funderburk for Congress P.O. Box 1124 Dunn, NC 28335	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/14/96	$500.00
E. Longley for Congress P.O. Box 669 Yarmouth, ME 04096	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/26/96	$500.00
F. Friends of Congressman Mike Forbes P.O. Box 505 Farmingville, NY 11738	Campaign Contribution Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/29/96	$500.00
G.	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H.	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I.	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) $3,500.00

TOTAL This Period (last page this line number only) $10,000.00

FE8AN096

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	DATE OF RECEIPT
☑	First Class Mail	POSTMARKED 4/12/96
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

MM — PREPARER

4/15/96 — DATE PREPARED

FEC FORM 70-2 (12/92)

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