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## **FEC** FORM 3X

# **REPORT OF RECEIPTS AND DISBURSEMENTS**

TORW 3X	For Other Than An A	uthorized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
The Caterpillar Inc.	Employee Political Ac	tion Committee	
ADDRESS (number and stree  Check if different than previously reported. (ACC)	t) Peoria		IL
2. FEC IDENTIFICATION	N NUMBER ▼	CITY	STATE ▲ ZIP CODE ▲
C C00148031	3.	IS THIS REPORT (N) OI	AMENDED (A)
4. TYPE OF REPORT (Choose One)  (a) Quarterly Reports:  April 15 Quarterly Report July 15 Quarterly Report Quarterly Report April 15 Quarterly Report Quarterly Report January 31 Year-End Report April 15 Quarterly Report April 15 Quarterly Report Guarterly Report April 15 Quarterly Report April 15 Quarte	PREPORT Due On:    A   A	ction on  General (30G)	6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)  Sep 20 (M9) Vear Only)
5. Covering Period	02 01 201	7 through 02	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examine Type or Print Name of Trea	Cordani, Benjamin, , ,	of my knowledge and belief it is	true, correct and complete.
Signature of Treasurer	Cordani, Benjamin, , ,	[Electronically Filed]	Date 03 / 15 / 2017
NOTE: Submission of false, e	erroneous, or incomplete informa	ation may subject the person signin	g this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 02 01 2017 To: 02 28 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
6.	(a) Cash on Hand January 1, 2017		362537.41			
	(b) Cash on Hand at Beginning of Reporting Period	432106.44				
	(c) Total Receipts (from Line 19)	64591.10	131173.78			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	496697.54	493711.19			
7.	Total Disbursements (from Line 31)	107.57	-2878.78			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	496589.97	496589.97			
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

## The Caterpillar Inc. Employee Political Action Committee

(other than loans) From: s/Persons Other itical Committees red (use Schedule A)  mized  L (add 11(a)(i) and (ii)  Party Committees PACs)  itributions (add Lines (b), and (c)) (Carry Line 33, page 5)  m Affiliated/Other	29715.54  64587.89  0.00	COLUMN B Calendar Year-to-Date  55570.06  75597.42  131167.48  0.00  0.00
s/Persons Other itical Committees red (use Schedule A)	29715.54 64587.89 0.00	75597.42 131167.48 0.00
itical Committees  zed (use Schedule A)  mized  L. (add  11(a)(i) and (ii)  Party Committees  PACs)  htributions (add Lines (b), and (c)) (Carry Line 33, page 5)	29715.54 64587.89 0.00	75597.42 131167.48 0.00
mized	29715.54 64587.89 0.00	75597.42 131167.48 0.00
mized  L (add  11(a)(i) and (ii)  Party Committees  litical Committees  PACs)  ntributions (add Lines (b), and (c)) (Carry  Line 33, page 5)	29715.54 64587.89 0.00	75597.42 131167.48 0.00
L (add  11(a)(i) and (ii)  Party Committees  litical Committees  PACs)  htributions (add Lines (b), and (c)) (Carry  Line 33, page 5)	0.00 0.00	131167.48
L (add  11(a)(i) and (ii)  Party Committees  litical Committees  PACs)  htributions (add Lines (b), and (c)) (Carry  Line 33, page 5)	0.00	0.00
Party Committees	0.00	0.00
Itical Committees PACs)  Intributions (add Lines (b), and (c)) (Carry Line 33, page 5)	0.00	
Itical Committees PACs)  Intributions (add Lines (b), and (c)) (Carry Line 33, page 5)	0.00	0.00
PACs)  htributions (add Lines (b), and (c)) (Carry Line 33, page 5)		0.00
ntributions (add Lines (b), and (c)) (Carry Line 33, page 5)▶	04507.00	7 7
(b), and (c)) (Carry Line 33, page 5)	04507.00	
Line 33, page 5)▶	0.4507.00	
m Affiliated/Other	64587.89	131167.48
-		
tees	0.00	0.00
ceived	0.00	0.00
anta Danniund	0.00	0.00
	0.00	0.00
=		
·	0.00	0.00
	45 45	
nittees	0.00	0.00
Receipts	4	
terest, etc.)	3.21	6.30
	7	7 7 7
nedule H3)	0.00	0.00
ds (from Schedule H5)	0.00	0.00
sfers (add 18(a) and 18(b))	0.00	0.00
	nents Received	nents Received

#### **DETAILED SUMMARY PAGE**

of Disbursements

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Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)		Calcillati Tour-to-Date		
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating  Expenditures	13.35	27.00		
(c) Total Operating Expenditures	10.00	4 4		
(add 21(a)(i), (a)(ii), and (b))▶  Transfers to Affiliated/Other Party	13.35	27.00		
Committees	0.00	0.00		
Federal Candidates/Committees and Other Political Committees	0.00	0.00		
Independent Expenditures (use Schedule E)	0.00	0.00		
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	4 4	0.00		
(use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
Loans Made	0.00	0.00		
Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	94.22	94.22		
	37.22	1 1 1 1 1 1 1 1		
<ul><li>(b) Political Party Committees</li><li>(c) Other Political Committees</li></ul>	0.00	0.00		
(such as PACs)	0.00	0.00		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	94.22	94.22		
Other Disbursements (Including				
Non-Federal Donations)	0.00	-3000.00		
Federal Election Activity (52 U.S.C. § 30101 (a) Allocated Federal Election Activity (from Schedule H6)	(20))			
(i) Federal Share	0.00	0.00		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))				
בווופט טטנמאנון, טטנמאנוון מווע טטנטון)	0.00	0.00		
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	107.57	-2878.78		
Total Federal Disbursements		·		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	107.57	-2878.78		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)	or dispursements	Page <b>5</b>			
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
33. Total Contributions (other than loans) (from Line 11(d), page 3)	64587.89	131167.48			
34. Total Contribution Refunds (from Line 28(d))	94.22	94.22			
85. Net Contributions (other than loans) (subtract Line 34 from Line 33)	64493.67	131073.26			
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	13.35	27.00			
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00			
88. Net Operating Expenditures (subtract Line 37 from Line 36)	13.35	27.00			

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320766 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 108.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 217.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Adams, Kent, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID : A2017-319982 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aguirre, lan, , , Date of Receipt Mailing Address Building H Dock 13 28 2017 City State Zip Code Transaction ID: A2017-319747 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 117.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 235.44 Other (specify) 642.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

#### : 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF Hz G7 < 98 I @ 'CF' + H9 A = N5 H+CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

Use separate schedule(s) for each category of the

F	FOR LINE NUMBER:				PAGE		8	OF	59	
(0	(check only one)									
	X	11a		11b		11c		12		
		13		14		15		16	;	17

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mathew, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-320579 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 183.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 366.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Balkema, Christopher, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID : A2017-319784 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 158.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 317.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ball, William, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320179 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 354.20 Other (specify) 518.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320747 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 109.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 219.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bennes, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320180 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 183.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 366.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Berkebile, Stephen, , , Date of Receipt Mailing Address 97 E. Congress St. 28 2017 City State Zip Code Transaction ID: A2017-320138 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 174.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 349.58 Other (specify) 467.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bluth, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320338 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Breitschwerdt, Otto, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2017 Suite 400 City State Zip Code Transaction ID : A2017-320347 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 211.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Supply Chain Mgmt. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 423.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brennan, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320274 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 103.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 207.52 Other (specify) 731.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2017 City Zip Code State Transaction ID: A2017-320552 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 131.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 263.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Buda, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320160 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Exec. VP Law & Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Buob, Paul, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-320226 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 168.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Excellence Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 336.00 Other (specify) 716.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

# ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carlberg, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320172 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 188.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 376.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carlson, Stacy, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City State Zip Code Transaction ID : A2017-320746 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 161.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carr, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 28 2017 City State Zip Code Transaction ID: A2017-320264 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32

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767.05

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carr, Ronald, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-320089 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 113.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 227.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320587 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 173.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 347.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chen, Xiao, , , Date of Receipt Mailing Address 100 Tractor Drive 28 2017 **Building CV** City State Zip Code Transaction ID: A2017-319880 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 160.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 321.34 Other (specify) 448.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clark, Aaron, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-320637 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 179.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 359.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cole, Keri, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2017 Suite 400 City State Zip Code Transaction ID : A2017-320561 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 179.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 358.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cone, Beth, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-319929 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 116.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 233.28 Other (specify) 475.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Crespo, Frank, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-320673 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. DeWalt, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-319649 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Disharoon, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-320767 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Business Development Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 1033.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duensing, James, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-320171 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Executive VP / CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dugan, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320476 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 164.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief of Staff Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 328.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 28 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-319736 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 218.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 436.42 Other (specify) 798.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 16 2017 City Zip Code State Transaction ID: A2017-310466 TX Fort Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing C 59.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 238.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Evans, Michael, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2017 City State Zip Code Transaction ID : A2017-319993 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 152.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 304.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ferguson, Steven, M., Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-320003 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General MgrACS Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 628.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fetch, Bonnie, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City Zip Code State Transaction ID: A2017-320290 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 243.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir. Cat Parts Distribution Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 487.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Folley, Gregory, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID : A2017-320131 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 28 2017 Suite 4000 City State Zip Code Transaction ID: A2017-320510 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 110.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Market Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 221.18 Other (specify) 770.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frake, Thomas, G,, Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320355 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fuelberth, David, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID : A2017-320145 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 117.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 234.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Furness, Deborah, S., Date of Receipt Mailing Address 100 Tractor Drive 28 2017 **Building CV** City State Zip Code Transaction ID: A2017-319885 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 103.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 206.28 Other (specify) 637.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ginzel, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320118 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 104.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Labor & Employee Relation Receipt For: Aggregate Year-to-Date ▼ Primary General 209.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Girard, Kristin, Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID : A2017-320772 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Glawe, Regg.,, Date of Receipt Mailing Address Route 29 & Old Galena Road 28 2017 Dock 55 City State Zip Code Transaction ID: A2017-320270 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 173.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 347.66 Other (specify) 695.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gorman, Chris, , , Date of Receipt Mailing Address Highway 42 East P O Box 999 2017 City Zip Code State Transaction ID: A2017-320664 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing C 141.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 283.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorman, Gerald, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID : A2017-320193 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Information Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gryl, Timothy, , , Date of Receipt Mailing Address 500 N Morton Ave 28 2017 City State Zip Code Transaction ID: A2017-320038 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Caterpillar Service Parts Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 975.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gugan, Steven, , , Date of Receipt Mailing Address 6800 Doerr Lane 2017 City Zip Code State Transaction ID: A2017-320738 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 132.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 265.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320039 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID : A2017-319911 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.26 Other (specify) 393.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutgesell, Margaret, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-320195 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 329.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Halloran, James, , , Date of Receipt Mailing Address 980 Ninth Street 2017 **Suite 2200** City State Zip Code Transaction ID : A2017-320281 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing 178.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 355.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Halverson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320222 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group President & CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 760.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Andrea, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-319808 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 138.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 277.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hasselbusch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-319994 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 167.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 334.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hassiepen, John, , , Date of Receipt Mailing Address 100 Ne Adams 28 2017 City State Zip Code Transaction ID: A2017-320213 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 226.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 453.42 Other (specify) 532.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hays, Katherine, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-320695 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 163.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Intn'l & Fed Gov Affairs Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 327.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heiar, Keith, J, , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID : A2017-320121 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 147.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. MH&U Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 288.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Helgren, Mark, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 28 2017 Suite 4000 City State Zip Code Transaction ID: A2017-320572 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 130.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 260.46 Other (specify) 441.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hillman, Jonathan, , , Date of Receipt Mailing Address 600 W Washington St 2017 City Zip Code State Transaction ID: A2017-319779 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 178.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 356.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoeft, David, , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-320613 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 170.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 332.19 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320113 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 149.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 298.20 Other (specify) 497.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2017 City State Zip Code Transaction ID: A2017-320318 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 163.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 327.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Iverson, Aimee, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID : A2017-320755 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Division Communications Lead** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-319864 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 165.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 331.20 Other (specify) 454.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-319710 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Denise, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320690 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jones, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 28 2017 City State Zip Code Transaction ID: A2017-320234 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 181.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Global Parts Supply** Receipt For: Aggregate Year-to-Date ▼ Primary General 362.28 Other (specify) 1014.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jordan, Eric, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-319652 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 176.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 353.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City State Zip Code Transaction ID : A2017-320729 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kauk, Zachary, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320028 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 1009.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kibby, Steven, , , Date of Receipt Mailing Address 100 NE Adams No. 16 Chaoyangmenwai Ave 2017 City Zip Code State Transaction ID: A2017-320142 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 213.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 427.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knepp, Wesley, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-319748 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 158.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Labor Relations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 316.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kobrak, Harold, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 Tokyo-To Setagaya-ku City State Zip Code Transaction ID: A2017-320136 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 163.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Sales Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 327.14 Other (specify) 535.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kozlowski, Patrick, , , Date of Receipt Mailing Address 330 Sw Adams 2017 City Zip Code State Transaction ID: A2017-320252 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 202.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **BCP Innovation Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 405.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320216 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. LaHood, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320770 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 156.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 313.40 Other (specify) 776.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lang, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-320108 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 152.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 305.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lash, Susan, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID : A2017-320372 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 134.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Ethics & Compliance Reg Prg Mg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 268.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MacLeod, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 28 2017 City State Zip Code Transaction ID: A2017-320520 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 106.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Matls Requirements Plng Mgr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 212.76 Other (specify) 393.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 16 2017 City Zip Code State Transaction ID: A2017-310496 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Analyst I, Financial Receipt For: Aggregate Year-to-Date ▼ Primary General 250.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheny, Stephen, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID : A2017-320590 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 189.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 379.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 Uozumi-Cho City State Zip Code Transaction ID: A2017-320366 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 109.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Strategy Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 218.28 Other (specify) 361.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCallen, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320771 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID : A2017-320093 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 193.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 387.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Michael, A, , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320439 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 1027.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKain, Rachel, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2017 City State Zip Code Transaction ID: A2017-320583 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 104.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 208.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-319976 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 237.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 475.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-319988 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 129.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General 258.58 Other (specify) 471.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City Zip Code State Transaction ID: A2017-320000 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 135.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 270.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mooney, Martin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID : A2017-319830 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 329.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Jannie, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320737 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 222.92 Other (specify) 411.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Richard, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320203 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 223.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moran, Barbra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-319909 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 221.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moss, Jarrod, , , Date of Receipt Mailing Address 4-H Park Road 28 2017 P O Box 740 City State Zip Code Transaction ID: A2017-319770 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 136.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 273.14 Other (specify) 359.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-320548 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 279.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Federal Govt. Affairs Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 558.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2017 Tech Center Bldg K City State Zip Code Transaction ID : A2017-319934 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 157.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 314.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nunez Abe, Jose, , , Date of Receipt Mailing Address 2120 West End Avenue 28 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-320390 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 186.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Mktg Center of Excellence Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 372.34 Other (specify) 622.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neal, Edward, A, , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-150520 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 80.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Health & Safety **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 241.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neal, Edward, A,, Date of Receipt Mailing Address 1600 Progress Drive 16 2017 City State Zip Code Transaction ID : A2017-310483 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 80.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 321.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neil, Edwin, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320149 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Excavator Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 410.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oberhelman, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320128 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 1333.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CEO Chairman of the Board Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Oliphant, James, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City State Zip Code Transaction ID : A2017-319977 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 153.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 307.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Oliveira, Paulo, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 28 2017 PO Box 348 City State Zip Code Transaction ID: A2017-320378 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 156.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 312.14 Other (specify) 1642.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ortega, Roberto, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City Zip Code State Transaction ID: A2017-320679 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 179.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 359.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Owens, Barrington, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID : A2017-320526 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 188.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 376.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 28 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-319660 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 180.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 361.22 Other (specify) 548.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Paternoga, Robert, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-320376 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pellette, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320392 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Petterson, Douglas, , , Date of Receipt Mailing Address Highway 42 East 28 2017 P O Box 999 City Zip Code State Transaction ID: A2017-320097 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 1250.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Poole, Joseph,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-319915 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 164.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Remarketing General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 328.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quigg, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-320019 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 114.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Product Source Plng. & RE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 228.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rasmussen, Terry, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-319719 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 133.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Product App Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 266.52 Other (specify) 411.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-319881 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City State Zip Code Transaction ID : A2017-320761 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 334.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-320132 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 182.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 364.30 Other (specify) 765.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2017 City Zip Code State Transaction ID: A2017-319946 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 218.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 436.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Savage, E Jean, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-320618 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 832.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schnelle, Tonya, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 28 2017 PO Box 500 City State Zip Code Transaction ID: A2017-320243 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 161.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 322.16 Other (specify) 795.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-319992 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 300.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM ISCD Ops & Supply Chain Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sears, Kevin, R,, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320273 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 180.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director I-Export Controls** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 361.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Seely, Mary, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-319639 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 212.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 425.90 Other (specify) 693.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shankwitz, Phillip, , , Date of Receipt Mailing Address 600 Balderson Blvd P O Box 6 2017 City State Zip Code Transaction ID: A2017-320152 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 169.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPI** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 339.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shook, Paula, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID : A2017-320126 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 180.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shurman, Rodney, , , Date of Receipt Mailing Address 3701 State Road 26 East 28 2017 City State Zip Code Transaction ID: A2017-320024 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 229.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 458.40 Other (specify) 579.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simison, Theodore, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-320163 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Skarvan, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-319839 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 417.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 02 2017 City State Zip Code Transaction ID : A2017-150531 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 85.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 256.14 Other (specify) 503.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 16 2017 City Zip Code State Transaction ID: A2017-310494 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 85.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 341.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID : A2017-319852 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 137.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 274.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320750 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 127.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Chief Economist Receipt For: Aggregate Year-to-Date ▼ Primary General 255.76 Other (specify) 350.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-319859 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320133 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 131.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 263.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stiles, Sally, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-320277 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Global Tax & Trade Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 965.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stockwell, Tammi, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-320630 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 109.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Risk & Compliance Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 219.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320427 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 108.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 217.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Jonathan, , , Date of Receipt Mailing Address 100 Tractor Drive 28 2017 **Building CV** City State Zip Code Transaction ID: A2017-320428 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 138.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.64 Other (specify) 356.82 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 100 NE Adams Eastfield 2017 City Zip Code State Transaction ID: A2017-320237 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager IPSD Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Strong, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID : A2017-320102 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 230.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 461.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 28 2017 **Building CV** City State Zip Code Transaction ID: A2017-319924 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 192.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Product Supply Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 384.40 Other (specify) 839.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sundell, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-320223 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 124.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager CES & KEC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 248.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taphorn, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID : A2017-320488 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 112.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 224.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Terpstra, Daniel, , , Date of Receipt Mailing Address 12301 Old Galena Road 28 2017 City State Zip Code Transaction ID: A2017-320042 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 171.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 342.56 Other (specify) 407.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-320361 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 435.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **President Insurance Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 870.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomlins, Gregory, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID : A2017-320304 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 160.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 321.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-319842 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Executive Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 1012.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utley, Tana, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2017 City State Zip Code Transaction ID: A2017-320188 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vanek, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-319707 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vassallo, Gina, , , Date of Receipt Mailing Address 100 NE Adams 28 2017 City State Zip Code Transaction ID: A2017-319826 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 165.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 330.40 Other (specify) 708.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street Suite 101 2017 City State Zip Code Transaction ID: A2017-319896 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 195.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Walton, David, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320010 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 238.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 477.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive 28 2017 **Building CV** City State Zip Code Transaction ID: A2017-320765 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 850.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whiteley, Douglas, , , Date of Receipt Mailing Address 90 Ceramic Tile Dr 2017 City Zip Code State Transaction ID: A2017-319928 NC Morganton 28655 Amount of Each Receipt this Period FEC ID number of contributing C 176.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 352.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williams, Timothy, , , Date of Receipt Mailing Address 211 Fulton Street 2017 Suite 500B City State Zip Code Transaction ID : A2017-320605 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing 108.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Security Ofc Dir ESRM Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 216.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 02 2017 City State Zip Code Transaction ID: A2017-150512 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 71.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 214.26 Other (specify) 355.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 16 2017 City Zip Code State Transaction ID: A2017-310475 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 71.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 285.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-320292 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Younessi, Ramin, , , Date of Receipt Mailing Address 6800 Doerr Lane 28 2017 City State Zip Code Transaction ID: A2017-320742 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 638.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-320368 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.67 SUBTOTAL of Receipts This Page (optional)..... 34872.35 TOTAL This Period (last page this line number only).....