

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

E\*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different  
than previously  
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☒

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

07

01

2006

through

07

31

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

08

17

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
E\*TRADE Financial Corporation PAC

Report Covering the Period: From: 

|   |   |
|---|---|
| M | M |
| 0 | 7 |

|   |   |
|---|---|
| D | D |
| 0 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

 To: 

|   |   |
|---|---|
| M | M |
| 0 | 7 |

|   |   |
|---|---|
| D | D |
| 3 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

|   | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand<br>January 1  | 2006                    | 61933.13                          |
| (b) Cash on Hand at<br>Beginning of Reporting Period .....  | 86589.13                |                                   |
| (c) Total Receipts (from Line 19) .....   | 11229.26                | 88794.80                          |
| (d) Subtotal (add lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B) .....      | 97818.39                | 150727.93                         |
| 7. Total Disbursements (from Line 31) .....   | -941.31                 | 51968.23                          |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....                 | 98759.70                | 98759.70                          |
| 9. Debts and Obligations owed TO<br>the committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | 0.00                    |                                   |
| 10. Debts and Obligations owed BY<br>the committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | 0.00                    |                                   |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

|   |   |
|---|---|
| M | M |
| 0 | 7 |

|   |   |
|---|---|
| D | D |
| 0 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

To:

|   |   |
|---|---|
| M | M |
| 0 | 7 |

|   |   |
|---|---|
| D | D |
| 3 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

| I. Receipts  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees  | 10941.56                      | 72666.75                          |
| (i) Itemized (use Schedule A) .....  |                               |                                   |
| (ii) Unitemized .....  | 287.70                        | 16128.05                          |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡   | 11229.26                      | 88794.80                          |
| (b) Political Party Committees .....   | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....  | 0.00                          | 0.00                              |
| (d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡  | 11229.26                      | 88794.80                          |
| 12. Transfers From Affiliated/Other Party Committees .....   | 0.00                          | 0.00                              |
| 13. All Loans Received .....   | 0.00                          | 0.00                              |
| 14. Loan Repayments Received .....   | 0.00                          | 0.00                              |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00                          | 0.00                              |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....           | 0.00                          | 0.00                              |
| 17. Other Federal Receipts (Dividends, Interest, etc.) .....   | 0.00                          | 0.00                              |
| 18. Transfers from Non-Federal and Levin Funds   |                               |                                   |
| (a) Non-Federal Account (from Schedule H3) .....   | 0.00                          | 0.00                              |
| (b) Levin Funds (from Schedule H5) .....   | 0.00                          | 0.00                              |
| (c) Total Transfer (add 18(a) and 18(b)).  | 0.00                          | 0.00                              |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....                          | 11229.26                      | 88794.80                          |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....                                    | 11229.26                      | 88794.80                          |

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. DISBURSEMENTS   |  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:   |  |                               |                                   |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4)                                      |  | 0.00                          | 0.00                              |
| (i) Federal Share.....  |  |                               |                                   |
| (ii) Non-Federal Share.....   |  | 0.00                          | 0.00                              |
| (b) Other Federal Operating Expenditures.....   |  | 58.69                         | 468.23                            |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....                           |  | 58.69                         | 468.23                            |
| 22. Transfers to Affiliated/Other Party Committees.....   |  | 0.00                          | 0.00                              |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....          |  | -1000.00                      | 51500.00                          |
| 24. Independent Expenditure (use Schedule E) .....  |  | 0.00                          | 0.00                              |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....  |  | 0.00                          | 0.00                              |
| 26. Loan Repayments Made.....   |  | 0.00                          | 0.00                              |
| 27. Loans Made.....   |  | 0.00                          | 0.00                              |
| 28. Refunds of Contributions To:  |  |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                   |  | 0.00                          | 0.00                              |
| (b) Political Party Committees .....  |  | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....   |  | 0.00                          | 0.00                              |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....                            |  | 0.00                          | 0.00                              |
| 29. Other Disbursements.....  |  | 0.00                          | 0.00                              |
| 30. Federal Election Activity (2 U.S.C 431(20))   |  |                               |                                   |
| (a) Shared Federal Election Activity (from Schedule H6)   |  |                               |                                   |
| (i) Federal Share .....   |  | 0.00                          | 0.00                              |
| (ii) "Levin" Share .....  |  | 0.00                          | 0.00                              |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                            |  | 0.00                          | 0.00                              |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....               |  | 0.00                          | 0.00                              |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..        |  | -941.31                       | 51968.23                          |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31)..... |  | -941.31                       | 51968.23                          |

**DETAILED SUMMARY PAGE**

of Disbursements

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Page 5

| III. Net Contributions/Operating Expenditures                                       | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>from Line 11(d), page 3) .....        | 11229.26                      | 88794.80                          |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                           | 0.00                          | 0.00                              |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....   | 11229.26                      | 88794.80                          |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b))..... | 58.69                         | 468.23                            |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3) .....               | 0.00                          | 0.00                              |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....             | 58.69                         | 468.23                            |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |  |   |
|--|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Carol Phelan-Marsh<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*Trade Financial Corp. Occupation<br>VP, Marketing<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼<br>1155.00           |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1012220417263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Charles Leonard<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Bank Occupation<br>Senior Mgr, Customer Service<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼<br>225.00           |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1012220817263<br>Amount of Each Receipt this Period<br>30.00<br>P/R Deduction (\$15.00 Bi-Weekly)  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>David Douglass<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Capital Holdings Occupation<br>Sr Mgr, Brokerage Marketing<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼<br>225.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1016861417263<br>Amount of Each Receipt this Period<br>30.00<br>P/R Deduction (\$15.00 Bi-Weekly)  |

**SUBTOTAL** of Receipts This Page (optional) .....

214.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |
|---|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Elizabeth Scholz<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Global Asset Mgmt, Inc.<br>Occupation Director, Consumer Finance Development<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 585.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1016861517263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Alison Mittelstadt<br>Mailing Address 671 North Glebe Rd.<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Capital Holdings<br>Occupation Sr Director, Marketing<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 585.00                       |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1030171917263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Randall Brown<br>Mailing Address 4005 Windward Plaza Drive<br>City Alpharetta State GA Zip Code 30005<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Financial Corp.<br>Occupation Director, Network & Systems Admin.<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 585.00          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1045599617263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

**234.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)

Michael Charland

Mailing Address 135 E. 57th St.

City State Zip Code  
 New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
VP, Lending Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1155.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045599917263

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)

Russell Ross

Mailing Address 4500 Bohannon Drive

City State Zip Code  
 Menlo Park CA 94025

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.Occupation  
Director, Corporate Info Security

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600017263

Amount of Each Receipt this Period

50.00

P/R Deduction (\$25.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)

David Grove

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE--DempseyOccupation  
SVP, Market Making Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1155.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600317263

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

358.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |  |  |
|--|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Daniel Howe<br>Mailing Address 440 South LaSalle<br>City State Zip Code<br>Chicago IL 60605<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Brokerage Holdings<br>Occupation<br>EVP, Retail<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>2019.30                       |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1045600417263<br>Amount of Each Receipt this Period<br>269.24<br>P/R Deduction (\$134.62 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Adam Townsend<br>Mailing Address 10951 White Rock Road<br>City State Zip Code<br>Rancho Cordova CA 95670<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Financial Corp.<br>Occupation<br>SVP, Investor Relations<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>1155.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1045600617263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly)  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Steve Lingeman<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Financial Corp<br>Occupation<br>Director, Software Engineering<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>585.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1045600817263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly)   |

**SUBTOTAL** of Receipts This Page (optional) .....

501.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Amit Banerjee

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.Occupation  
VP, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1155.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600917263

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Karen Wall

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE BankOccupation  
VP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607617263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. MaryBeth George

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Capitol HoldingsOccupation  
Director of Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607717263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

310.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |  |
|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Michael Murphy<br>Mailing Address 135 E. 57th Street<br><br>City State Zip Code<br>New York NY 10022<br><br>FEC ID number of contributing federal political committee. <b>C</b><br><br>Name of Employer<br>E*TRADE Securities, LLC<br>Occupation<br>VP, Relationship Mgmt.<br><br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br><br>Aggregate Year-to-Date ▼<br>585.00         |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><br><b>Transaction ID:</b> PR1055089317263<br><br>Amount of Each Receipt this Period<br>78.00<br><br>P/R Deduction (\$39.00 Bi-Weekly)   |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Edouard Dejoux<br>Mailing Address 135 East 57th Street<br><br>City State Zip Code<br>New York NY 10022<br><br>FEC ID number of contributing federal political committee. <b>C</b><br><br>Name of Employer<br>E*TRADE Securities, LLC<br>Occupation<br>SVP, Global Trading<br><br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br><br>Aggregate Year-to-Date ▼<br>1155.00         |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><br><b>Transaction ID:</b> PR1067673017263<br><br>Amount of Each Receipt this Period<br>154.00<br><br>P/R Deduction (\$77.00 Bi-Weekly)  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Nicholas Utton<br>Mailing Address 135 E. 57th Street<br><br>City State Zip Code<br>New York NY 10022<br><br>FEC ID number of contributing federal political committee. <b>C</b><br><br>Name of Employer<br>E*TRADE Financial Corporation<br>Occupation<br>Chief Marketing Officer<br><br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br><br>Aggregate Year-to-Date ▼<br>2884.50 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><br><b>Transaction ID:</b> PR1078579117263<br><br>Amount of Each Receipt this Period<br>384.60<br><br>P/R Deduction (\$192.30 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

616.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |  |
|---|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Roderick Mikus<br>Mailing Address 135 E. 57th Street<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 585.00          |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1083003717263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>John Matos<br>Mailing Address 135 E. 57th Street<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Securities LLC Occupation Director, Product Management<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 585.00                   |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1083003817263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>David Poole<br>Mailing Address 4005 Windward Plaza Drive<br>City Alpharetta State GA Zip Code 30005<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*Trade Securities LLC Occupation Senior Mgr, Corp. Relationship Mgmt.<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 225.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1083003917263<br>Amount of Each Receipt this Period<br>30.00<br>P/R Deduction (\$15.00 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

**186.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Ardwin Droessler

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE--DempseyOccupation  
Director, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088490817263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Michael Kramer

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corpora-  
tionOccupation  
Director, Financial Reporting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088490917263

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Scott Gelber

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Brokerage HoldingsOccupation  
Sr Director, PIERS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088491017263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

171.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |   |
|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Christine Wolf<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Financial Corporation<br>Occupation EVP, Human Resources<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1155.00   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1090244517263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Gabriel Dalporto<br>Mailing Address 135 E. 57th Street<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer ETrade Financial Corporation<br>Occupation VP, Customer Development<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1155.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1142911217263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Paul Halpern<br>Mailing Address 135 E. 57th Street<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer ETrade Financial Corporation<br>Occupation SVP, Bank Marketing<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1155.00          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1142911317263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

462.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Ariola Yushin-Slaven

Mailing Address 135 East 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Financial Corpora-  
tion

Occupation  
Director, Communications Dev

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1142911417263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-  
Weekly)

Full Name (Last, First, Middle Initial)

**B.** Kevin Delo

Mailing Address 4500 Bohannon Drive

City State Zip Code  
 Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Securities LLC

Occupation  
Director, Retail Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1179644517263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-  
Weekly)

Full Name (Last, First, Middle Initial)

**C.** Anthony Altobelli

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Securities LLC

Occupation  
Dir. Relationship Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1222287717263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-  
Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

234.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |  |
|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Lea Stendahl<br>Mailing Address 135 E. 57th Street<br>City State Zip Code<br>New York NY 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*Trade Financial Corporation<br>Occupation<br>Director, Active Trader Mktg.<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>390.00          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1253490117263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Craig Burton<br>Mailing Address 135 E. 57th Street<br>City State Zip Code<br>New York NY 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*Trade Financial Corporation<br>Occupation<br>Director, Financial Planning and Analy<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>234.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1271748217263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Powell Jones<br>Mailing Address 135 E. 57th Street<br>City State Zip Code<br>New York NY 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*Trade Financial Corporation<br>Occupation<br>Director, Metrics and Reporting<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>234.00        |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1271748417263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional) .....

234.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |   |
|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>David Goldberg<br>Mailing Address 135 E. 57th Street<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*Trade Financial Corporation<br>Occupation Director, Business Analysis<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 234.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR1271748517263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly)  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Mitchell Caplan<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*Trade Financial Corp.<br>Occupation CEO<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 2884.50                          |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR408625117263<br>Amount of Each Receipt this Period<br>384.60<br>P/R Deduction (\$192.30 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Russell Elmer<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*Trade Financial Corp.<br>Occupation General Counsel<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 2884.50                |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR408625317263<br>Amount of Each Receipt this Period<br>384.60<br>P/R Deduction (\$192.30 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

**847.20**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Arlen W. Gelbard

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE BankOccupation  
President, E\*TRADE Bank

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2884.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625617263

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Robert Lilien

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
President & COO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2884.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626117263

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Shane O. Mulron

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cardova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Brokerage HoldingsOccupation  
EVP, Retail Finance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2025.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626417263

Amount of Each Receipt this Period

270.00

P/R Deduction (\$135.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1039.20

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Todd W. Roadman

Mailing Address 10951 White Rock Road

City State Zip Code  
 Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
SVP, Financial P&A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1155.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 . . . . .

Transaction ID: PR408626617263

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Shawn P. Yadon

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
Director, State Government Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 . . . . .

Transaction ID: PR408627017263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Connie Dotson

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
Chief Communications Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2865.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 . . . . .

Transaction ID: PR540115717263

Amount of Each Receipt this Period

382.00

P/R Deduction (\$191.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

614.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |  |  |
|---|--|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>James Bidwell  |  |  | Date of Receipt<br><div> <div>M M / D D / Y Y Y Y Y</div> </div> |  |
| Mailing Address 671 North Glebe Road  |  |  | <b>Transaction ID:</b> PR540115817263                            |  |
| City State Zip Code<br>Arlington VA 22203   |  |  | Amount of Each Receipt this Period<br><div>384.60</div>          |  |
| FEC ID number of contributing federal political committee.<br><div>C</div>  |  |  |  |  |
| Name of Employer<br>E*Trade Financial Corp.   |  | Occupation<br>Chief Risk Officer               |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br><div>2884.50</div> |  |  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Todd Mackay  |  |  | Date of Receipt<br><div> <div>M M / D D / Y Y Y Y Y</div> </div> |  |
| Mailing Address 671 North Glebe Road  |  |  | <b>Transaction ID:</b> PR540117017263                            |  |
| City State Zip Code<br>Arlington VA 22203   |  |  | Amount of Each Receipt this Period<br><div>268.00</div>          |  |
| FEC ID number of contributing federal political committee.<br><div>C</div>  |  |  |  |  |
| Name of Employer<br>E*Trade Financial Corp.   |  | Occupation<br>Mg Director, Corp. Dev.          |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br><div>2010.00</div> |  |  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Curtis Smith   |  |  | Date of Receipt<br><div> <div>M M / D D / Y Y Y Y Y</div> </div> |  |
| Mailing Address 1650 Union Hill Road  |  |  | <b>Transaction ID:</b> PR540118517263                            |  |
| City State Zip Code<br>Alpharetta GA 30005  |  |  | Amount of Each Receipt this Period<br><div>154.00</div>          |  |
| FEC ID number of contributing federal political committee.<br><div>C</div>  |  |  |  |  |
| Name of Employer<br>E*Trade Financial Corp.   |  | Occupation<br>SVP, Global Infrastructure       |  |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br><div>1155.00</div> |  |  |

P/R Deduction (\$192.30 Bi-Weekly)

P/R Deduction (\$134.00 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**806.60**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
James Swartwout  
Mailing Address 10951 White Rock Road

City State Zip Code  
Rancho Cordova CA 95670

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities Inc.

Occupation  
VP, Order Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1155.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118617263

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Lee Thompson  
Mailing Address 111 Congress Avenue

City State Zip Code  
Austin TX 78701

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Chief Technologist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118817263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Christopher V. Reyes  
Mailing Address 10951 White Rock Road

City State Zip Code  
Rancho Cordova CA 95670

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Brokerage Holdings

Occupation  
Business Mgr, Regulatory Reporting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR559347617263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

262.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Jonathan K. Law

Mailing Address 4500 Bohannon Drive

City State Zip Code  
 Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
Business Mgr, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR650307417263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Jane Gelman

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Administrative Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR673132017263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Greg Framke

Mailing Address 535 Madison Ave 35th Floor

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
Mg Director, CIO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2025.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168117263

Amount of Each Receipt this Period

270.00

P/R Deduction (\$135.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

378.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |   |
|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Kenneth Marienau<br>Mailing Address 4005 Windward Plaza Drive<br>City Alpharetta State GA Zip Code 30005<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*Trade Financial Corp. Occupation VP, General Services<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1155.00     |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR799168217263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly)  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Matthew J. Audette<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2319.30                   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR799168417263<br>Amount of Each Receipt this Period<br>309.24<br>P/R Deduction (\$154.62 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Michael Curcio<br>Mailing Address 135 East 57th St. 31st Floor<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2019.30 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR799168617263<br>Amount of Each Receipt this Period<br>269.24<br>P/R Deduction (\$134.62 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

**732.48**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|  |  |   |
|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Dennis E. Webb<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Global Asset Mgmt, Inc.<br>Occupation<br>President, Capital Markets<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>1155.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR799168817263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly)  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Robert Simmons<br>Mailing Address 10951 White Rock Road<br>City State Zip Code<br>Rancho Cordova CA 95670<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*Trade Financial Corp.<br>Occupation<br>Chief Financial Officer<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>2850.00      |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR799169017263<br>Amount of Each Receipt this Period<br>380.00<br>P/R Deduction (\$190.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Connie Koontz<br>Mailing Address 10951 White Rock Road<br>City State Zip Code<br>Rancho Cordova CA 95670<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Financial Corp.<br>Occupation<br>Human Resources Mgr<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>225.00            |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR941795117263<br>Amount of Each Receipt this Period<br>30.00<br>P/R Deduction (\$15.00 Bi-Weekly)   |
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶   |  | 564.00  |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶   |  |   |



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |   |
|---|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Lance Ullom<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Global Asset Mgmt<br>Occupation<br>EVP, Fixed Income<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>1155.00      |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR946040717263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly)  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Hartley Caldwell<br>Mailing Address 1650 Union Hill Road<br>City State Zip Code<br>Alpharetta GA 30005<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Financial Corp.<br>Occupation<br>VP, Re-Engineering<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>1155.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950171217263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly)  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Matthew Geary<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*Trade Bank<br>Occupation<br>EVP, Risk & Credit<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>2019.30                |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950171317263<br>Amount of Each Receipt this Period<br>269.24<br>P/R Deduction (\$134.62 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

**577.24**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Thomas Parker

Mailing Address 3353 Michelson Drive

City State Zip Code  
 Irvine CA 92612

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Senior Mgr, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172317263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Sunil Malik

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt.

Occupation  
VP, Whole Loans & MBS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1155.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172417263

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Rachel Bedell

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt.  
Inc.

Occupation  
Director, Retail Consumer Lending

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

585.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172517263

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

262.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |
|---|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Eric Seasholtz<br>Mailing Address 671 North Glebe Road<br>City State Zip Code<br>Arlington VA 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Global Asset Mgmt. Inc.<br>Occupation<br>VP, Mortgage Portfolio<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>585.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950172717263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly)  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Michael Klena<br>Mailing Address 135 E. 57th Street<br>City State Zip Code<br>New York NY 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Securities LLC<br>Occupation<br>SVP, Investing Ops<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>1155.00                 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950173017263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Christopher Larkin<br>Mailing Address 135 E. 57th Street<br>City State Zip Code<br>New York NY 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer<br>E*TRADE Securities LLC<br>Occupation<br>VP, Active Trader<br>Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼<br>1155.00             |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950173117263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶  |  | 386.00   |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶  |  |  |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |  |
|---|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Lori Smith<br>Mailing Address 10951 White Rock Road<br>City Rancho Cordova State CA Zip Code 95670<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Financial Corp. Occupation Manager, Communications Center<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 225.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950173417263<br>Amount of Each Receipt this Period<br>30.00<br>P/R Deduction (\$15.00 Bi-Weekly)  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>W Chris Staab<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Global Asset Mgmt Occupation Director, Credit Cards<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 585.00          |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950173617263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly)  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Paul Vienick<br>Mailing Address 135 E. 57th Street<br>City New York State NY Zip Code 10022<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev.<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1155.00                     |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR950173717263<br>Amount of Each Receipt this Period<br>154.00<br>P/R Deduction (\$77.00 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

**262.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |   |  |
|---|--|--|---|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Lisa Romano  |  |  | Date of Receipt<br><div> <div>M M / D D / Y Y Y Y Y</div> </div>    |  |
| Mailing Address 4005 Windward Plaza Drive   |  |  | <b>Transaction ID:</b> PR956826817263                               |  |
| City State Zip Code<br>Alpharetta GA 30005  |  |  | Amount of Each Receipt this Period<br><div> <div>30.00</div> </div> |  |
| FEC ID number of contributing federal political committee.<br><div> <div>C</div> </div>   |  |  |   |  |
| Name of Employer<br>E*TRADE Financial Corp.   |  | Occupation<br>Manager, Procurement                         |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br><div> <div>225.00</div> </div> |   |  |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Francisco Gutierrez  |  |  | Date of Receipt<br><div> <div>M M / D D / Y Y Y Y Y</div> </div>    |  |
| Mailing Address 4500 Bohannon Drive   |  |  | <b>Transaction ID:</b> PR956827417263                               |  |
| City State Zip Code<br>Menlo Park CA 94025  |  |  | Amount of Each Receipt this Period<br><div> <div>30.00</div> </div> |  |
| FEC ID number of contributing federal political committee.<br><div> <div>C</div> </div>   |  |  |   |  |
| Name of Employer<br>E*TRADE Financial Corp  |  | Occupation<br>Sr Manager, Software Engineering             |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br><div> <div>225.00</div> </div> |   |  |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Michael Schoenberger   |  |  | Date of Receipt<br><div> <div>M M / D D / Y Y Y Y Y</div> </div>    |  |
| Mailing Address 4005 Windward Plaza Drive   |  |  | <b>Transaction ID:</b> PR956828317263                               |  |
| City State Zip Code<br>Alpharetta GA 30005  |  |  | Amount of Each Receipt this Period<br><div> <div>30.00</div> </div> |  |
| FEC ID number of contributing federal political committee.<br><div> <div>C</div> </div>   |  |  |   |  |
| Name of Employer<br>E*TRADE Securities, LLC   |  | Occupation<br>Senior Mgr, Relationship Management          |   |  |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ |  | Aggregate Year-to-Date ▼<br><div> <div>225.00</div> </div> |   |  |
| <b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶  |  |  | <div> <div>90.00</div> </div>                                       |  |
| <b>TOTAL</b> This Period (last page this line number only) ..... ▶  |  |  | <div> <div></div> </div>  |  |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |   |
|---|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Joseph Corso<br>Mailing Address 440 S. LaSalle St.<br>Suite 3030<br>City Chicago State IL Zip Code 60605<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE GVR Occupation Manager, Trading<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 375.00       |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR971302017263<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$25.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Noel Dalzell<br>Mailing Address 440 S. LaSalle St.<br>City Chicago State IL Zip Code 60605<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE--GVR Occupation Director, Trading<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 585.00                   |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR971302117263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Colleen Ryan<br>Mailing Address 440 S. LaSalle St.<br>Suite 3030<br>City Chicago State IL Zip Code 60605<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE GVR Occupation Director, ETCM Trading<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 375.00 |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR971302417263<br>Amount of Each Receipt this Period<br>50.00<br>P/R Deduction (\$25.00 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

178.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
June Batcheller  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Capital Holdings

Occupation  
Director, Compliance Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302617263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Kris Simpson  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
Attorney

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303317263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Christopher Amato  
Mailing Address 440 S. LaSalle Street

City State Zip Code  
Chicago IL 60605

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE-GVR

Occupation  
Senior Mgr, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303517263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)

James Carney

Mailing Address 440 La Salle Street

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE-Engelman

Occupation  
Mgr, Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303617263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)

Eric Tassell

Mailing Address 9255 Town Center Drive

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities, LLC

Occupation  
Senior Mgr, Retail

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303917263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)

Ken Elder

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt

Occupation  
Sr. Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304017263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

|   |  |  |   |
|---|--|--|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Marco Pellini<br>Mailing Address 440 South LaSalle<br>City Chicago State IL Zip Code 60605<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE--Dempsey Occupation VP, Trading Ops<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 699.00                 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR971304117263<br>Amount of Each Receipt this Period<br>40.00<br>P/R Deduction (\$20.00 Bi-Weekly) |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Michael Alpert<br>Mailing Address 671 North Glebe Rd.<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*Trade Financial Corp. Occupation Director, Corp Dev.<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 405.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR971304417263<br>Amount of Each Receipt this Period<br>54.00<br>P/R Deduction (\$27.00 Bi-Weekly) |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Heather Carroll-Cox<br>Mailing Address 671 North Glebe Road<br>City Arlington State VA Zip Code 22203<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer E*TRADE Bank Occupation VP, Retail Banking Ops<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 585.00   |  |  | Date of Receipt<br>M M / D D / Y Y Y Y Y<br><b>Transaction ID:</b> PR971304517263<br>Amount of Each Receipt this Period<br>78.00<br>P/R Deduction (\$39.00 Bi-Weekly) |

**SUBTOTAL** of Receipts This Page (optional) .....

172.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 36

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Adam McChane  
Mailing Address 4500 Bohannon Drive

City State Zip Code  
Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Executive Producer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304817263

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Dirk Wyckoff  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Bank

Occupation  
Director, Ass't Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971305017263

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

10941.56

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 35 / 36

|   |                              |                              |                              |                             |                              |
|---|------------------------------|------------------------------|------------------------------|-----------------------------|------------------------------|
| <input checked="" type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input type="checkbox"/> 23  | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27             | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Wells Fargo Bank, N.A.

Mailing Address PO Box 63020

City  
San Francisco

State  
CA

Zip Code  
94163

Purpose of Disbursement  
Client Analysis Service Charge

Candidate Name

001  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 12863345

Date of Disbursement

MM / DD / YYYY  
07 / 20 / 2006

Amount of Each Disbursement this Period

58.69

Client Analysis Service  
Charge

**SUBTOTAL** of Disbursements This Page (optional) .....

58.69

**TOTAL** This Period (last page this line number only) .....

58.69

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 36 / 36

|                              |                              |  |                              |                             |                              |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b           | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Judy Biggert For Congress

Mailing Address PO Box 637

City  
Hinsdale

State  
IL

Zip Code  
60522

Purpose of Disbursement  
Void - Judy Biggert For Congress

Candidate Name  
Rep. Judy Biggert

Office Sought: ☒ House  
☐ Senate  
☐ President

State: IL

District: 13

Disbursement For: 2006  
☒ Primary ☐ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: 12864227

Date of Disbursement

MM / DD / YY  
07 / 27 / 2006

Amount of Each Disbursement this Period

-1000.00

Void - Judy Biggert For Congress

**SUBTOTAL** of Disbursements This Page (optional) .....

-1000.00

**TOTAL** This Period (last page this line number only) .....

-1000.00