



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

April 18, 2016

STEPHEN JOYCE, TREASURER  
UNITED BROTHERHOOD OF  
CARPENTERS/JOINERS OF AMER NEW  
ENGLAND REG CARPENTERS LEGIS EMP CMTE  
750 DORCHESTER AVE  
BOSTON, MA 02125

**Response Due Date**  
**05/23/2016**

IDENTIFICATION NUMBER: C00150045

REFERENCE: AMENDED APRIL QUARTERLY REPORT (01/01/2016 - 03/31/2016),  
RECEIVED 04/08/2016

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

1. Schedule A of your report (see attached) discloses a receipt from an organization that is not registered with the Commission. Please be advised that 52 U.S.C. §30118 (formerly 2 U.S.C. §441b) prohibits the receipt of funds from national banks, corporations, and labor organizations. Under 11 CFR §102.6, however, certain entities may serve as collecting agents for the purpose of transmitting contributions to a separate segregated fund. A collecting agent may be, but is not limited to, a committee which is affiliated with the separate segregated fund; the connected organization; or a local, national, or international union.

Funds received from a collecting agent are to be attributed to the original contributors and should be disclosed according to the requirements of 11 CFR §104.3(a). If the amounts in question were contributed by individuals and transmitted to your committee by a collecting agent, the activity should be included on Line 11(a) of the Detailed Summary Page. Any contribution from an individual exceeding \$200 in the aggregate during the calendar year should be itemized on Schedule A, supporting Line 11(a)(i). Collecting agents need not be identified on your report.

If any apparently prohibited contribution in question was incompletely or

UNITED BROTHERHOOD OF CARPENTERS/JOINERS OF AMER NEW ENGLAND  
REG CARPENTERS LEGIS EMP CMTE

Page 2 of 3

incorrectly disclosed, you should amend your original report with clarifying information.

If you have received funds from an entity which was not serving as a collecting agent, you may have to make a refund. If within 30 days of receipt you (1) transferred the prohibited amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund, you may retain the contribution in an account not used to influence federal elections. Any request from a donor for a refund must be honored.

If the foregoing conditions for transfers to a non-federal account were not met within 30 days of receipt, the prohibited amount must be refunded. (11 CFR §103.3(b)(1))

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, prompt action by your committee in transferring-out or refunding the amounts will be taken into consideration.

- For your information and consideration for future filings, Schedule B supporting Line 23 of your report discloses a contribution to "REED COMMITTEE" designated for "P2021." However, Primary elections for Federal offices are not being held in this State during 2021. Contributions not designated for a valid election will be attributed to the next election for Federal office in which the candidate is participating. (11 CFR §§ 110.1(b)(2) and 110.2(b)(2)) For future filings, please include valid election designation(s) if applicable.

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to

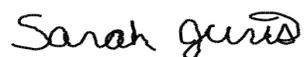
UNITED BROTHERHOOD OF CARPENTERS/JOINERS OF AMER NEW ENGLAND  
REG CARPENTERS LEGIS EMP CMTE

Page 3 of 3

taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1175.

Sincerely,



Sarah Juris  
Senior Campaign Finance Analyst  
Reports Analysis Division

**Impermissible, Excessive, and Prohibited Contributions****UNITED BROTHERHOOD OF CARPENTERS/JOINERS OF AMER NEW ENGLAND REG  
CARPENTERS LEGIS EMP CMTE (C00150045)****Contributions from Unregistered Organizations**

<b>Contributor Name</b>	<b>Date</b>	<b>Amount</b>	<b>Report</b>
Rhode Island Carpenters Vacation Fund	3/11/16	\$7,369.76	2016 April Quarterly