



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-3

December 24, 1998

Stan Huckaby, Treasurer  
National Republican Senatorial Committee  
425 Second Street, NE  
Washington, DC 20002

Identification Number: C00027466

Reference: August Monthly (7/1/98-7/31/98) & September Monthly (8/1/98-8/31/98) Reports

Dear Mr. Huckaby:

This letter is to inform you that as of December 23, 1998, the Commission has not received your response to our requests for additional information dated November 24, 1998. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Neil Evans on our toll-free number (800) 424-9530 or our local number (202) 694-1130.

Sincerely,

A handwritten signature in black ink, appearing to read "John D. Gibson".

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

Stan Huckaby, Treasurer  
National Republican Senatorial Committee  
425 Second Street, NE  
Washington, DC 20002

NOV 24 1998

Identification Number: C00027466

Reference: August Monthly Report (7/1/98-7/31/98)

Dear Mr. Huckaby:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report discloses receipts totaling \$98,350 from the Republican House/Senate Dinner Committee, which is a joint fundraising committee affiliated with your committee. The sum of the entries itemized on the memo Schedule A, however, total only \$36,000.

Please be advised that a memo Schedule A must be provided to itemize your committee's share of the gross contributions received through the joint fundraiser. The memo schedule should itemize each individual who has contributed an aggregate in excess of \$200 during the calendar year, and provide the amount of unitemized contributions received. In addition, the memo schedule should itemize your committee's share of all contributions from political committees, regardless of amount. 11 CFR §102.17(e)(8)(i)(B). Please clarify this discrepancy

-Itemized disbursements must include a brief statement or description of why the disbursements were made. Please amend Schedule H4 of your report to clarify the following description(s): Consultant. For further guidance regarding acceptable purposes of disbursements, please refer to 11 CFR §104.3(b)(3).

-Schedule A of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) precludes a political committee which is established and maintained by a national political party, and any affiliated committees, from accepting contributions in excess of \$15,000 in a calendar year from a multicandidate committee.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have received an excessive contribution(s), you must transfer-out the amount in excess of \$15,000 to an account not used to influence federal elections or refund the excessive amount to the donor(s) in accordance with 11 CFR §103.3(b). In the best interest of your committee, all transfers-out and refunds should be made within sixty days of the treasurer's receipt of the contribution(s). In order to protect the donor's interests, the Commission recommends that you inform the contributor(s) in writing to provide the donor(s) with the option of granting written authorization for a transfer-out to another account or receiving a refund.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or refund. In addition, any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), your prompt transfer-out or refund of the excessive amount will be taken into consideration.

-Line 23 of the Detailed Summary Page of your report discloses a total of \$69,000 in contributions to federal candidates. The sum of the entries itemized on Schedule B, however, indicates the total to be \$65,000. This appears to be the result of including certain earmarked contributions in the total. However, the receipt of these earmarked contributions were disclosed on a memo Schedule A, implying that their disbursement would be properly disclosed on a memo Schedule B. Please amend your report to clarify the discrepancy.

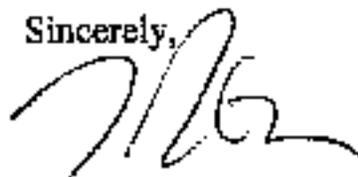
-Your reports disclose that your committee has acted as a conduit for earmarked contributions. Proper reporting of earmarked contributions

requires that your committee provide on Schedule A, contributor information (name, address and, if applicable, occupation and employer), date of receipt, contribution amount, and designated candidate. Schedule B must include, the name of the designated candidate, (preferably including office sought, name and address of the candidate committee, and election designation, if any) amount of contribution, date the contribution was forwarded, a notation as to how the contribution was forwarded (cash, contributor check, or committee check) and a statement that the conduit's limit was also affected, if applicable. If the earmarked contributions are transmitted by committee check, they must be disclosed on Schedules A and B, supporting lines 11(a)(i) and 23 of the Detailed Summary Page, respectively. If the earmarked contributions are transmitted by contributor check, they must be itemized on Schedules A and B as memo entries. Please amend your report to reflect the proper method(s) for disclosure of earmarked contributions.

Your committee may create a computerized Memo Schedule for Earmarked Receipts and Disbursements to assist in reporting earmarked contributions. The form you create must be submitted to and approved by the Commission prior to its use. A sample format of this schedule is included.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,



Neil Evans  
Reports Analyst  
Reports Analysis Division

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

REPUBLICAN HOUSE/SENATE DINNER COMMITTEE - MEMO SC MEDULE

ME

NAME AND ADDRESS/	DATE (MONTH, DAY, YEAR)	AMOUNT OF EACH RECEIPT THIS PERIOD
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AAHA CORN PAC 222 SOUTH PROSPECT AVE PARK RIDGE, IL 60068	7/17/98	\$2250.00
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AGGREGATE YEAR-TO-DATE \$2250.00

THE AMERICAN FRANCHISEE ASSN 53 W. JACKSON, STE. 205 CHICAGO, IL 60604	7/ 6/98	\$750.00
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AGGREGATE YEAR-TO-DATE \$2250.00

CANANDAIGUA WINE CO. PAC 116 BUFFALO STREET CANANDAIGUA, NY 14424	7/17/98	\$750.00
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AGGREGATE YEAR-TO-DATE \$750.00

NO. 1 CATTLEMAN'S BEEF ASSN PAC 5-20 S. QUEBEC STREET ENGLEWOOD, CO 80155	7/ 2/98	\$4000.00
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AGGREGATE YEAR-TO-DATE \$4000.00

DMTE FOR ADVANCEMENT OF COTTON P.O. BOX 12292 MEMPHIS, TN 38182	7/21/98	\$750.00
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AGGREGATE YEAR-TO-DATE \$750.00

HEALTH INSURANCE PAC 555 13TH STREET N.W. WASHINGTON, DC 20036	7/ 6/98	\$2500.00
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AGGREGATE YEAR-TO-DATE \$2500.00

SUB TOTAL FOR PAGE : \$11,000.00

98020182447

SCHEDULE A

ITEMIZED RECEIPTS

PAGE 1 OF 5  
LINE 11C

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## NATIONAL REPUBLICAN SENATORIAL COMMITTEE

FULL NAME, MAILING ADDRESS AND ZIP CODE	EMPLOYER - OCCUPATION	DATE (MONTH, DAY, YEAR)	AMOUNT OF EACH RECEIPT THIS PERIOD
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AAMA CRAN PAC 222 SOUTH PROSPECT AVENUE PARK RIDGE, IL 60068		7/16/98	\$15000.00
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See transfer 8/98\*\*

AGGREGATE YEAR-TO-DATE \$17,250.00

ACLI PAC 1001 PENNSYLVANIA AVENUE WASHINGTON, DC 20004		7/22/98	\$15000.00
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AGGREGATE YEAR-TO-DATE \$15000.00

AMER HEALTH CARE ASSN PAC 120 L STREET, N.W. WASHINGTON, DC 20005		7/28/98	\$3000.00
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AGGREGATE YEAR-TO-DATE \$13000.00

AMERICAN EXPRESS PAC 851 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004		7/28/98	\$15000.00
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AGGREGATE YEAR-TO-DATE \$15000.00

GENERAL AMERICAN LIFE ASSN PAC 700 MARKET STREET SAINT LOUIS, MO 63166		7/14/98	\$5000.00
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AGGREGATE YEAR-TO-DATE \$5000.00

BEN PAC FEDERAL 453 NEW JERSEY AVENUE S.E. WASHINGTON, DC 20003		7/16/98	\$15000.00
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AGGREGATE YEAR-TO-DATE \$15000.00

SUB TOTAL FOR PAGE

\$65,000.00

98020182441

## MEMO SCHEDULE FOR EARMARKED RECEIPTS AND DISBURSEMENTS

Contributions transmitted to Candidate Committee Name (state, district if applicable) in the form of the contributors' check.

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NAME OF COMMITTEE (in Full)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
Michael Chaplin 4 River Road City, State ZIP	American Corporation  Occupation vice president	Received: 1/1/97 Transmitted: 1/5/97	\$200.01
Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
Jane Smith 321 River Street City, State ZIP	  Occupation	Received: 1/1/97 Transmitted: 1/6/97	\$200.00
Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
	  Occupation	Received: Transmitted:	
Primary <input type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
	  Occupation	Received: Transmitted:	
Primary <input type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
	  Occupation	Received: Transmitted:	
Primary <input type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
	  Occupation	Received: Transmitted:	
Primary <input type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Dates (month, day, year)	Amount this Period
	  Occupation	Received: Transmitted:	
Primary <input type="checkbox"/> General <input type="checkbox"/> Other <input type="checkbox"/>			
SUBTOTAL of Receipts This Page (optional)			\$400.01
TOTAL This Period (last page only)			\$400.01