

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines  
The Caterpillar Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams  
 Check if different than previously reported. (ACC)  
Peoria IL 61629-1430

2. **FEC IDENTIFICATION NUMBER** C00148031  
**3. IS THIS REPORT**  NEW (N) **OR**  AMENDED (A)

4. **TYPE OF REPORT** (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report(Q1)  
 July 15 Quarterly Report(Q2)  
 October 15 Quarterly Report(Q3)  
 January 31 Quarterly Report(YE)  
 July 31 Mid-Year Report(Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day **PRE-Election** Report for the:  Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12G)  
Election on \_\_\_\_\_ in the State of \_\_\_\_\_  
(d) 30-Day **Post -Election** Report for the:  General (30G)  Runoff (30R)  Special (30S)  
Election on \_\_\_\_\_ in the State of \_\_\_\_\_

5. Covering Period 01 01 2007 through 01 31 2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Gary Vest

Signature of Treasurer Electronically Filed by Gary Vest Date 02 19 2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 

M	M
0	1

D	D
0	1

Y	Y	Y	Y
2	0	0	7

 To: 

M	M
0	1

D	D
3	1

Y	Y	Y	Y
2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>0</td><td>7</td></tr></table>	Y	Y	Y	Y	2	0	0	7		125162.48
Y	Y	Y	Y							
2	0	0	7							
(b) Cash on Hand at Beginning of Reporting Period .....	125162.48									
(c) Total Receipts (from Line 19) .....	39344.66	39344.66								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	164507.14	164507.14								
7. Total Disbursements (from Line 31) .....	-9890.00	-9890.00								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	174397.14	174397.14								
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

Write or Type Committee Name  
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 

M	M
0	1

D	D
0	1

Y	Y	Y	Y
2	0	0	7

 To: 

M	M
0	1

D	D
3	1

Y	Y	Y	Y
2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	10131.68	10131.68
(i) Itemized (use Schedule A) .....	29188.60	29188.60
(ii) Unitemized .....	39320.28	39320.28
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....	39320.28	39320.28
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	24.38	24.38
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	39344.66	39344.66
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	39344.66	39344.66

**DETAILED SUMMARY PAGE**

of Disbursements

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	110.00	110.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....	110.00	110.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditure (use Schedule E) .....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	0.00
29. Other Disbursements.....	-10000.00	-10000.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	-9890.00	-9890.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....	-9890.00	-9890.00

**DETAILED SUMMARY PAGE**  
of Disbursements

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	39320.28	39320.28
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	39320.28	39320.28
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	110.00	110.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	110.00	110.00

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew Neu		Date of Receipt M M / D D / Y Y Y Y 0 1 / 2 6 / 2 0 0 7	
Mailing Address 1081 Collingwood Dr		<b>Transaction ID:</b> 13726083	
City State Zip Code Naperville IL 60540	Amount of Each Receipt this Period 500.00		
FEC ID number of contributing federal political committee. <b>C</b>			
Name of Employer Caterpillar, Inc.	Occupation Plant Medical Director		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00		

<b>B.</b> Full Name (Last, First, Middle Initial) Kent Meils ADAMS		Date of Receipt M M / D D / Y Y Y Y	
Mailing Address 2120 West End Avenue P.O. Box 340001		<b>Transaction ID:</b> PR380417618471	
City State Zip Code Nashville TN 37203	Amount of Each Receipt this Period 347.50		
FEC ID number of contributing federal political committee. <b>C</b>			
Name of Employer Caterpillar	Occupation CFSC Executive Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 347.50		
		P/R Deduction (\$347.50 Monthly)	

<b>C.</b> Full Name (Last, First, Middle Initial) Ali M BAHAJ		Date of Receipt M M / D D / Y Y Y Y	
Mailing Address 100 NE Adams		<b>Transaction ID:</b> PR380426418471	
City State Zip Code Peoria IL 61629	Amount of Each Receipt this Period 330.00		
FEC ID number of contributing federal political committee. <b>C</b>			
Name of Employer Caterpillar	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 330.00		
		P/R Deduction (\$330.00 Monthly)	

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	1177.50
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 17	
	(check only one)	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Mary H BELL

Mailing Address 500 North Morton Avenue

City Morton State IL Zip Code 61550

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 375.00

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380434018471

Amount of Each Receipt this Period  
375.00

P/R Deduction (\$375.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Robin David BERAN

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Director Corporate Tax

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 235.37

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380435518471

Amount of Each Receipt this Period  
235.37

P/R Deduction (\$235.37 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
David Boyd BURRITT

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 347.50

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR380454118471

Amount of Each Receipt this Period  
347.50

P/R Deduction (\$347.50 Monthly)

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>957.87</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A.</b> John A CAMPBELL		Date of Receipt M M / D D / Y Y Y Y Y
Mailing Address P O Box 600 Illinois Route 29		<b>Transaction ID:</b> PR380457218471
City Mossville	State IL	Zip Code 61552
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 228.92
Name of Employer Caterpillar, Inc.	Occupation General Engineering Manager	P/R Deduction (\$228.92 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 228.92	

Full Name (Last, First, Middle Initial) <b>B.</b> Brad S CHAMBERS		Date of Receipt M M / D D / Y Y Y Y Y
Mailing Address 300 Hamilton Blvd. Suite 300		<b>Transaction ID:</b> PR380462318471
City Peoria	State IL	Zip Code 61629
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 203.64
Name of Employer Caterpillar, Inc.	Occupation Sr. Business Resource Manager	P/R Deduction (\$203.64 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 203.64	

Full Name (Last, First, Middle Initial) <b>C.</b> Christopher Carl CURFMAN		Date of Receipt M M / D D / Y Y Y Y Y
Mailing Address 300 Hamilton Blvd. Suite 300		<b>Transaction ID:</b> PR380476018471
City Peoria	State IL	Zip Code 61629
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 340.83
Name of Employer Caterpillar	Occupation Vice President	P/R Deduction (\$340.83 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 340.83	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>773.39</b>
<b>TOTAL</b> This Period (last page this line number only) .....	



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Thomas M DENNISON		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR380483518471
Mailing Address Old Galena Rd Cedar Hills Dr		Amount of Each Receipt this Period 205.74
City Mossville	State IL	Zip Code 61552
FEC ID number of contributing federal political committee. C		P/R Deduction (\$205.74 Monthly)
Name of Employer Caterpillar, Inc.	Occupation TSD Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 205.74	

<b>B.</b> Full Name (Last, First, Middle Initial) Stephen A GOSSELIN		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR380520118471
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 408.33
City Peoria	State IL	Zip Code 61629
FEC ID number of contributing federal political committee. C		P/R Deduction (\$408.33 Monthly)
Name of Employer Caterpillar	Occupation Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 408.33	

<b>C.</b> Full Name (Last, First, Middle Initial) Stephen P LARSON		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR380578718471
Mailing Address 500 N Morton Ave		Amount of Each Receipt this Period 201.14
City Morton	State IL	Zip Code 61550
FEC ID number of contributing federal political committee. C		P/R Deduction (\$201.14 Monthly)
Name of Employer Caterpillar, Inc.	Occupation CFSC Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 201.14	

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	815.21
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	[ ]

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Richard P LAVIN		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR380579918471	
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 381.66	
City Peoria	State IL	Zip Code 61629	P/R Deduction (\$381.66 Monthly)
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date ▼ 381.66	
Name of Employer Caterpillar, Inc.	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

<b>B.</b> Full Name (Last, First, Middle Initial) Daniel M MURPHY		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR380620418471	
Mailing Address P.O. Box 0610		Amount of Each Receipt this Period 408.33	
City Mossville	State IL	Zip Code 61552	P/R Deduction (\$408.33 Monthly)
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date ▼ 408.33	
Name of Employer Caterpillar	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

<b>C.</b> Full Name (Last, First, Middle Initial) Douglas R OBERHELMAN		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR380629918471	
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 416.66	
City Peoria	State IL	Zip Code 61629	P/R Deduction (\$416.66 Monthly)
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date ▼ 416.66	
Name of Employer Caterpillar	Occupation Group President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	1206.65
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. James W OWENS</b>		Date of Receipt M M / D D / Y Y Y Y Y _____	
Mailing Address 100 NE Adams		<b>Transaction ID: PR380633618471</b>	
City Peoria	State IL	Amount of Each Receipt this Period _____ 400.00	
Zip Code 61629			
FEC ID number of contributing federal political committee. <b>C</b> _____			
Name of Employer Caterpillar	Occupation CEO, Chairman of the Board		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ _____ 400.00		
		P/R Deduction (\$400.00 Monthly)	

Full Name (Last, First, Middle Initial) <b>B. Thomas P PELGER</b>		Date of Receipt M M / D D / Y Y Y Y Y _____	
Mailing Address 100 NE Adams		<b>Transaction ID: PR380636818471</b>	
City Peoria	State IL	Amount of Each Receipt this Period _____ 201.29	
Zip Code 61629			
FEC ID number of contributing federal political committee. <b>C</b> _____			
Name of Employer Caterpillar, Inc.	Occupation Process Champion		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ _____ 201.29		
		P/R Deduction (\$201.29 Monthly)	

Full Name (Last, First, Middle Initial) <b>C. Craig W RIEDIGER</b>		Date of Receipt M M / D D / Y Y Y Y Y _____	
Mailing Address 100 NE Adams		<b>Transaction ID: PR380650518471</b>	
City Peoria	State IL	Amount of Each Receipt this Period _____ 207.02	
Zip Code 61629			
FEC ID number of contributing federal political committee. <b>C</b> _____			
Name of Employer Caterpillar, Inc.	Occupation Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ _____ 207.02		
		P/R Deduction (\$207.02 Monthly)	

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	_____ <b>808.31</b>
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	_____

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. William J ROHNER</b>		Date of Receipt M M / D D / Y Y Y Y Y	
Mailing Address 100 NE Adams		Transaction ID: PR380658218471	
City Peoria	State IL	Zip Code 61629	Amount of Each Receipt this Period 347.50
FEC ID number of contributing federal political committee. <b>C</b>			
Name of Employer Caterpillar	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 347.50		
		P/R Deduction (\$347.50 Monthly)	

Full Name (Last, First, Middle Initial) <b>B. Gerald L SHAHEEN</b>		Date of Receipt M M / D D / Y Y Y Y Y	
Mailing Address 100 NE Adams		Transaction ID: PR380674118471	
City Peoria	State IL	Zip Code 61629	Amount of Each Receipt this Period 416.66
FEC ID number of contributing federal political committee. <b>C</b>			
Name of Employer Caterpillar	Occupation Group President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 416.66		
		P/R Deduction (\$416.66 Monthly)	

Full Name (Last, First, Middle Initial) <b>C. William F SPRINGER</b>		Date of Receipt M M / D D / Y Y Y Y Y	
Mailing Address 501 SW Jefferson		Transaction ID: PR380687518471	
City Peoria	State IL	Zip Code 61630	Amount of Each Receipt this Period 300.00
FEC ID number of contributing federal political committee. <b>C</b>			
Name of Employer Caterpillar	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00		
		P/R Deduction (\$300.00 Monthly)	

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	1064.16
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gary A STROUP Mailing Address P O Box 0600 City State Zip Code Mossville IL 61552 FEC ID number of contributing federal political committee. <b>C</b>		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR380695118471 Amount of Each Receipt this Period 204.17 P/R Deduction (\$204.17 Monthly)
Name of Employer Caterpillar, Inc. Occupation Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 204.17	

<b>B.</b> Full Name (Last, First, Middle Initial) Steven H WUNNING Mailing Address 100 NE Adams City State Zip Code Peoria IL 61629 FEC ID number of contributing federal political committee. <b>C</b>		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR380731418471 Amount of Each Receipt this Period 416.66 P/R Deduction (\$416.66 Monthly)
Name of Employer Caterpillar Occupation Group President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 416.66	

<b>C.</b> Full Name (Last, First, Middle Initial) Rodney Charles BEELER Mailing Address 100 NE Adams City State Zip Code Peoria IL 61629 FEC ID number of contributing federal political committee. <b>C</b>		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR497942418471 Amount of Each Receipt this Period 310.00 P/R Deduction (\$310.00 Monthly)
Name of Employer Caterpillar Occupation Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 310.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>930.83</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 / 17
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A.</b> Glen M FAUNTLEROY		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR498670518471	
Mailing Address 5116 Primrose Court		Amount of Each Receipt this Period 320.54	
City Peoria	State IL	Zip Code 61615	P/R Deduction (\$320.54 Monthly)
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date 320.54	
Name of Employer Caterpillar Inc.	Occupation CSTG General Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 320.54		

Full Name (Last, First, Middle Initial) <b>B.</b> Gregory Scott FOLLEY		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR498716718471	
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 228.47	
City Peoria	State IL	Zip Code 61629	P/R Deduction (\$228.47 Monthly)
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date 228.47	
Name of Employer Caterpillar, Inc.	Occupation Director of Comp + Benefits		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 228.47		

Full Name (Last, First, Middle Initial) <b>C.</b> Bradley M HALVERSON		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR498961818471	
Mailing Address 1456 Ne Windermere Dr		Amount of Each Receipt this Period 253.92	
City Tremont	State IL	Zip Code 61568	P/R Deduction (\$253.92 Monthly)
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date 253.92	
Name of Employer Caterpillar, Inc.	Occupation Corp Business Development Mgr		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 253.92		

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	802.93
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	[ ]

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one) <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	PAGE 15 / 17
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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A.</b> Stuart L LEVENICK		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR499546718471	
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 400.00	
City Peoria      State IL      Zip Code 61629	FEC ID number of contributing federal political committee. <b>C</b>		
Name of Employer Caterpillar	Occupation Group President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00		
		P/R Deduction (\$400.00 Monthly)	

Full Name (Last, First, Middle Initial) <b>B.</b> James J PARKER		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR500063018471	
Mailing Address P.O. Box 0610		Amount of Each Receipt this Period 416.66	
City Mossville      State IL      Zip Code 61552	FEC ID number of contributing federal political committee. <b>C</b>		
Name of Employer Caterpillar	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 416.66		
		P/R Deduction (\$416.66 Monthly)	

Full Name (Last, First, Middle Initial) <b>C.</b> Mark R PFLEDERER		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR500129118471	
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 366.67	
City Peoria      State IL      Zip Code 61629	FEC ID number of contributing federal political committee. <b>C</b>		
Name of Employer Caterpillar	Occupation Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 366.67		
		P/R Deduction (\$366.67 Monthly)	

<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶	1183.33
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	[Empty Box]

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 16 / 17
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14
<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Edward J RAPP

Mailing Address Regency Forest II  
100 Regency Forest Drive - 3rd Flo

City Cary State NC Zip Code 27511

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
204.17

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500228118471

Amount of Each Receipt this Period  
204.17

P/R Deduction (\$204.17 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Larry C TATE

Mailing Address 9401 85Th Avenue North

City Brooklyn Park State MN Zip Code 55445

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation President, Glbl Paving Prd Inc

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
207.33

Date of Receipt  
M M / D D / Y Y Y Y Y

**Transaction ID:** PR500767918471

Amount of Each Receipt this Period  
207.33

P/R Deduction (\$207.33 Monthly)

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>411.50</b>
<b>TOTAL</b> This Period (last page this line number only) .....	<b>10131.68</b>



**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 17 / 17

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Barbour for Governor

Mailing Address P.O. Box 1499

City Yazoo City State MS Zip Code 39194

Purpose of Disbursement  
Void - check dated - 07/07/2006

Candidate Name  
Haley Barbour

Office Sought:  House  
 Senate  
 President

State: MS District:

Disbursement For: 2007  
 Primary  General  
 Other (specify) ▼

011  
Category/  
Type

Transaction ID: 13732132

Date of Disbursement

01 / 29 / 2007

Amount of Each Disbursement this Period

-10000.00

Void - check dated - 07/0-  
7/2006

**SUBTOTAL** of Disbursements This Page (optional) ..... ►

-10000.00

**TOTAL** This Period (last page this line number only) ..... ►

-10000.00