



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-1

August 25, 2014

MR. JAMES W. NORMENT, TREASURER  
WARD AND SMITH, P.A. POLITICAL ACTION  
COMMITTEE  
POST OFFICE BOX 867  
NEW BERN, NC 28563

**Response Due Date**  
**09/29/2014**

IDENTIFICATION NUMBER: C00491506

REFERENCE: AMENDED STATEMENT OF ORGANIZATION, RECEIVED 07/09/2014

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Commission records indicate that your committee is a separate segregated fund and Line 6 indicates that your committee's connected organization is "Ward and Smith, P.A. Political Action Committee"; however, you have checked Line 5(h) which indicates that your committee is a joint fundraising representative. Please be advised that a separate segregated fund is a political committee established, financed, maintained or controlled by a corporation, labor organization, membership organization, cooperative or trade association.

Additionally, please be advised that a joint fundraising committee is established by a political committee and one or more other political committees or unregistered organizations (known as "participants") to conduct jointly sponsored fundraising activity(s). The joint fundraising representative is responsible for collecting and depositing joint fundraising contributions, paying expenses, allocating proceeds and expenses to each participant, keeping records, and reporting overall joint fundraising activity. (11CFR §§ 102.2 and 102.17)

If your committee is a separate segregated fund, an amended Statement of Organization should be submitted which indicates the appropriate Type of Committee and Connected Organization on Line 5(e). If your committee is not a separate segregated fund and there are no other committees or organizations

WARD AND SMITH, P.A. POLITICAL ACTION COMMITTEE

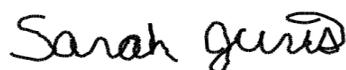
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with which you share control or financing, please indicate "None" on Line 6. Please amend your Statement of Organization to clarify this discrepancy. (2 U.S.C. §433(b) and 11 CFR §102.2)

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1175.

Sincerely,



Sarah Juris  
Campaign Finance Analyst  
Reports Analysis Division