

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

RECEIVED FEC MAIL OPERATIONS CENTER

105 FEB -1 A 9 25

1. NAME OF COMMITTEE (In full)

TYPE OR PRINT

Example: If typing, type over the lines.

12 FEB 4 2005

INTERNATIONAL SECURITIES EXCHANGE INC

ADDRESS (number and street)

60 BROAD STREET

8TH FLOOR

Check if different than previously reported. (ACC)

NEW YORK

NY

10004

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

00382226

3. IS THIS REPORT

NEW (N)

OR

AMENDED (A)

(A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

July 31 180-Day Report (Non-election year only) (NY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)

Mar 20 (M3)

Apr 20 (M4)

May 20 (M5)

Jun 20 (M6)

Jul 20 (M7)

Aug 20 (M8)

Sep 20 (M9)

Oct 20 (M10)

Nov 20 (M11) (Non-Election Year Only)

Dec 20 (M12) (Non-Election Year Only)

Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

Primary (12P)

Convention (12C)

General (12G)

Special (12S)

Runoff (12R)

Election on

in the State of

(d) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

in the State of

5. Covering Period

04 01 2004

through

06 30 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

BRUCE COOPERMAN

Signature of Treasurer

[Handwritten Signature]

Date

01 27 2005

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalty of 2 U.S.C. 6437g.

Office Use Only

FEC FORM 3X (Rev. 02/2003)

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

INTERNATIONAL SECURITIES EXCHANGE PAC

Report Covering the Period:

From:

04 01 2004

To:

06 30 2004

**COLUMN A  
This Period**

**COLUMN B  
Calendar Year-to-Date**

6. (a) Cash on Hand January 1, <u>2004</u>		<u>25,500.00</u>
(b) Cash on Hand at Beginning of Reporting Period.....	<u>25,820.75</u>	
(c) Total Receipts (from Line 19).....	<u>600.00</u>	<u>100,000</u>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<u>26,420.75</u>	<u>26,500.00</u>
7. Total Disbursements (from Line 31).....	<u>40,000.00</u>	<u>4,079.25</u>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<u>22,420.75</u>	<u>22,420.75</u>
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D).....	<u>000</u>	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D).....	<u>000</u>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

**INTERNATIONAL SECURITIES EXCHANGE PAC**

Report Covering the Period: From: **04 01 2004** To: **06 30 2004**

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) from:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	50000	85000
(ii) Unitemized	10000	15000
(iii) TOTAL (add Lines 11(a)(i) and (ii))	60000	100000
(b) Political Party Committees	0	0
(c) Other Political Committees (such as PACs)	0	0
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	60000	100000
12. Transfers from Affiliated/Other Party Committees	0	0
13. All Loans Received	0	0
14. Loan Repayments Received	0	0
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry totals to Line 37, page 5)	0	0
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0	0
17. Other Federal Receipts (Dividends, Interest, etc.)	0	0
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H8)	0	0
(b) Levin Funds (from Schedule H5)	0	0
(c) Total Transfers (add 18(a) and 18(b))	0	0
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	60000	100000
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	60000	100000

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0	0
(ii) Non-Federal Share	0	0
(b) Other Federal Operating Expenditures	0	7925
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0	7925
22. Transfers to Affiliated/Other Party Committees	0	0
23. Contributions to Federal Candidates/Committees and Other Political Committees	400000	400000
24. Independent Expenditures (use Schedule E)	0	0
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0	0
26. Loan Repayments Made	0	0
27. Loans Made	0	0
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0	0
(b) Political Party Committees	0	0
(c) Other Political Committees (such as PACs)	0	0
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0	0
29. Other Disbursements	0	0
30. Federal Election Activity (2 U.S.C. §481(2))		
(a) Allocated Federal Election Activity (from Schedule H4)		
(i) Federal Share	0	0
(ii) "Levin" Share	0	0
(b) Federal Election Activity Paid Entirely With Federal Funds	0	0
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0	0
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	400000	407925
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(c) from Line 31)	400000	407925

**DETAILED SUMMARY PAGE**  
of Disbursements

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Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	60,000	100,000
34. Total Contribution Refunds (from Line 23(d)) .....	0	0
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	60,000	100,000
36. Total Federal Operating Expenditures (add Line 21(a)(1) and Line 21(b)) .....	0	79.25
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0	0
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0	79.25

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) in each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 1

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in FIC)

**INTERNATIONAL SECURITIES EXCHANGE INC**

Full Name (Last, First, Middle Initial)

**A. SIMMONS, KATHY**

Mailing Address

**2 KENSINGTON COURT 908-542-1237**

City **WARREN**

State **NJ** Zip Code **07059**

FEC ID number of contributing federal political committee.

**C**

Name of Employer

**ISE, INC.**

Occupation

**Deputy General Counsel**

Receipt For:

Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

**50000**

Date of Receipt

**05/11/2004**

Amount of Each Receipt this Period

**50000**

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page table line number only)

**50000**

**50000**

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 7 OF 7
	<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29
					<input type="checkbox"/> 36
					<input type="checkbox"/> 30b

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NAME OF COMMITTEE (in full)  
**INTERNATIONAL SECURITIES EXCHANGE PAC**

**A.**

Full Name (Last, First, Middle Initial)  
Candidate Name: **ELIOT ENGEL**

Mailing Address: **2264 RAYBURN HOUSE OFFICE BUILDING**  
City: **WASHINGTON** State: **DC** Zip Code: **20515**

Purpose of Disbursement: **ELIOT ENGEL**

Office Sought:  House  Senate  President  
State: **NY** District: **17**

Disbursement For:  Primary  General  Other (specify) \_\_\_\_\_

Date of Disbursement: **04 09 2009**

Amount of Each Disbursement this Period: **200000**

Category/Type

**B.**

Full Name (Last, First, Middle Initial)  
Candidate Name: **ELIOT ENGEL**

Mailing Address: **2264 RAYBURN HOUSE OFFICE BUILDING**  
City: **WASHINGTON** State: **DC** Zip Code: **20515**

Purpose of Disbursement: **ELIOT ENGEL**

Office Sought:  House  Senate  President  
State: **NY** District: **17**

Disbursement For:  Primary  General  Other (specify) \_\_\_\_\_

Date of Disbursement: **06 04 2009**

Amount of Each Disbursement this Period: **200000**

Category/Type

**C.**

Full Name (Last, First, Middle Initial)  
Candidate Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Purpose of Disbursement: \_\_\_\_\_

Office Sought:  House  Senate  President  
State: \_\_\_\_\_ District: \_\_\_\_\_

Disbursement For:  Primary  General  Other (specify) \_\_\_\_\_

Date of Disbursement: \_\_\_\_\_

Amount of Each Disbursement this Period: \_\_\_\_\_

Category/Type

**GRAND TOTAL of Disbursements This Page (optional):** **400000**

**TOTAL This Period (last page this line number only):** **400000**

Federal Election Commission  
**ENVELOPE REPLACEMENT PAGE  
 FOR INCOMING DOCUMENTS**

The FEC added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> USPS First Class Mail	Postmarked
<input checked="" type="checkbox"/> USPS Registered/Certified	Postmarked (R/C) 1/28/05
<input type="checkbox"/> USPS Priority Mail	Postmarked
<input type="checkbox"/> USPS Express Mail	Delivery Confirmation™ Label <input type="checkbox"/>
<input type="checkbox"/> Postmark Illegible	Postmarked
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Overnight Delivery Service (Specify):	Shipping Date
<input type="checkbox"/> Received from House Records & Registration Office	Date of Receipt
<input type="checkbox"/> Received from Senate Public Records Office	Date of Receipt
<input type="checkbox"/> Received from Electronic Filing Office	Date of Receipt
<input type="checkbox"/> Other (Specify):	Date of Receipt or Postmarked
<i>Jm 10</i> PREPARER (5/2004)	2/1/05 DATE PREPARED