Image# 14951679851 PAGE 1 / 10

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

											Office Us	e Only	
1.	NAME O COMMIT	F TEE (in full)	TYPE	OR F	PRINT ▼		imple: If typ r the lines.	oing, type	12FE	4M5			
S١	/B Fina	ancial Grou	ір РАС										
			<u> </u>										
Ш													
ADD	RESS (n	umber and stree		05 Tas	man Dr								
Н	Che	ck if different											
Н		previously rted. (ACC)	Sa	anta Cla	ara				CA	L	95054		
2.	FEC IDE	ENTIFICATION	NUMBE	R ▼		CITY 🛦			STATE	\		ZIP COI	DE 🛦
	C	00333658				3. IS THIS REPORT	×	NEW (N) OR		AMI (A)	ENDED		
4.	TYPE (OF REPORT	(b) Mon Repo	ort	Feb 20 (M2)		May 20 (M5)		Aug 2	20 (M8)		Nov 20 (M11) (Non-Election Year Only)
	(a) Quai	rterly Reports:		Due		Mar 20 (M3)		Jun 20 (M6)		Sep 2	20 (M9)		Dec 20 (M12) (Non-Election Year Only)
	П	April 15				Apr 20 (M4)		Jul 20 (M7)	×	Oct 2	0 (M10)		Jan 31 (YE)
	H	Quarterly Repo	ort (Q1)	(c)	12-Day		Primary (12	2P)	Ge	neral (12G)		Runoff (12R)
	Ш	July 15 Quarterly Repo	ort (Q2)		PRE-Election Report for the		Convention	(12C)	Sp	ecial (1	2S)		
		October 15 Quarterly Repo	ort (Q3)										
		January 31 Year-End Repo	ort (YE)		E	lection on	M = M	/ D D /	Y	Y		in the State of	f .
		July 31 Mid-Ye Report (Non-el- Year Only) (M	ection	(d)	30-Day POST-Electi		General (30	DG)	Ru	noff (30	DR)		Special (30S)
		Termination Re (TER)	port		Report for the	ne:	M = M	/ D = D /	Y = Y =	Y Y		in the	
					E	lection on						State o	f L.
5.	Covering	Period	09	01		014	through	M M	/ D	D /	201		
l cei	tify that I	have examine	ed this Re	port a	nd to the be	st of my kno	wledge and	belief it is tr	ue, corre	ct and	complet	e.	
	•	Name of Trea		ebecca							'		
			Rebecca Ol	con						M M	/ D	D /	Y Y Y Y Y
Sign	ature of ⁻	Treasurer		3011			[Electronical	uy Fued]	Date	10_	18		2014
NOT	E: Submis	ssion of false, e	erroneous,	or inco	mplete inform	mation may su	ubject the pe	erson signing t	his Repo	rt to the	e penaltie	es of 2 L	J.S.C. §437g.
	Offi										FEC	FOR	M 3X
	Us On											ev. 12/20	

OF RI FEC Form 3X (Rev. 02/2003)	SUMMARY PAGE ECEIPTS AND DISBURSEMENTS	Page 2
Write or Type Committee Name		r aye z
SVB Financial Group PAC		
Report Covering the Period: From: 09	01 / 2014 To:	09 30 / 2014
	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2014		29867.70
(b) Cash on Hand at Beginning of Reporting Period	40032.51	
(c) Total Receipts (from Line 19)	1040.00	25670.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	41072.51	55537.70
7. Total Disbursements (from Line 31)	7900.00	22365.19
Reporting Period (subtract Line 7 from Line 6(d))	33172.51	33172.51
. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
O. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
X This committee has qualified as a multicandida	ate committee. (see FEC FORM 1M)	
For 1	further information contact:	
Fe	deral Election Commission	

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

SVB F	Financial	Group	PAC
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port Covering the Period: From: 09	01 2014 To	
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
(i) Itemized (use Schedule A)	870.00	22890.00
(ii) Unitemized(iii) TOTAL (add	170.00	2780.00
Lines 11(a)(i) and (ii)▶	1040.00	25670.00
	0.00	0.00
(such as PACs)	0.00	0.00
•		
Totals to Line 33, page 5)▶	1040.00	25670.00
	0.00	0.00
All Loans Received	0.00	0.00
oan Repayments Received	0.00	0.00
	, , , , , , , , , , , , , , , , , , , ,	
•	0.00	0.00
	0.00	0.00
	0.00	0.00
	, , , ,	
· ·	0.00	0.00
Fransfers from Non-Federal and Levin Funds	7	7
(from Schedule H3)	0.00	0.00
b) Levin Funds (from Schedule H5)	0.00	0.00
c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
	Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Contributions (other than loans) From: a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures: (a) Allocated Federal/Non-Federal		Calcillati i Cal-10-Date			
Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
(ii) New Federal Chare	0.00	0.00			
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00			
Expenditures	0.00	0.00			
(c) Total Operating Expenditures					
(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00			
Transfers to Affiliated/Other Party					
Committees Contributions to	0.00	0.00			
Federal Candidates/Committees and Other Political Committees	7900.00	22365.19			
Independent Expenditures	0.00	0.00			
(use Schedule E) Coordinated Party Expenditures	0.00	0.00			
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
(use scriedule 1)		0.00			
Loan Repayments Made	0.00	0.00			
Loans Made	0.00	0.00			
Refunds of Contributions To:					
(a) Individuals/Persons Other Than Political Committees	0.00	0.00			
-					
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees		0.00			
(such as PACs)	0.00	0.00			
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))▶	0.00	0.00			
Other Disbursements	0.00	0.00			
_	, , , , , , , , , , , , , , , , , , , ,				
Federal Election Activity (2 U.S.C. §431(20))					
(a) Allocated Federal Election Activity (from Schedule H6)					
(i) Federal Share	0.00	0.00			
(i) I odorar chare					
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely					
With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add	0.00	222			
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
Total Disbursements (add Lines 21(c), 22,					
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	7900.00	22365.19			
	7 300.00	22303.19			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	7900.00	22365.19			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

1 20 1 0 m 0x (1.6% 02/2000)		i ago 🐱
III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	1040.00	25670.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	1040.00	25670.00
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) IT

FOR LINE NUMBER: PAGE 6 OF

EMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	`	ck on 11a 13	ly or	ne) 11b 14	11c 15	12 16	17
ny information copied from such Reports and Statements mar for commercial purposes, other than using the name and a	, , , ,				•	_		i

OI I	or commercial purposes, other than using the	name and address of any political committee to	SOIICIT COMMINUTIONS HOME SUCH COMMINUTE.
\	NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
Α	Full Name (Last, First, Middle Initial) Julie Haga Mailing Address 3003 Tasman Dr		Date of Receipt
	Dity Santa Clara	State Zip Code CA 95054	09 03 2014 Transaction ID : 11Al-664 Amount of Each Receipt this Period
F	FEC ID number of contributing ederal political committee.	C	50.00
5	Name of Employer SVB Financial Group	Occupation Director, IT	
F	Receipt For: 2013 Primary General Other (specify) Calendar Year	Aggregate Year-to-Date ▼ 500.00	
В	Full Name (Last, First, Middle Initial) Julie Haga		Date of Receipt
ō	Mailing Address 3003 Tasman Dr City Santa Clara	State Zip Code CA 95054	09 17 2014 Transaction ID : 11AI-655 Amount of Each Receipt this Period
	FEC ID number of contributing ederal political committee.	C	50.00
	Name of Employer SVB Financial Group	Occupation Director, IT	
Ē	Receipt For: 2013 Primary General Other (specify) Calendar Year	Aggregate Year-to-Date ▼ 500.00	
	Full Name (Last, First, Middle Initial) Michael Lempres		Date of Receipt
_	Mailing Address 4641 Clark PI NW		09 03 2014
	Dity Washington	State Zip Code DC 20007	Transaction ID : 11Al-665 Amount of Each Receipt this Period
	FEC ID number of contributing ederal political committee.	C	40.00
5	Name of Employer SVB Financial Group Receipt For: 2013 Primary General Other (specify)	Occupation Assistant General Counsel Aggregate Year-to-Date ▼ 680.00	
SU	Calendar Year BTOTAL of Receipts This Page (optional)		140.00
то	TAL This Period (last page this line number of	only)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 7 OF

TEMIZED DECEIDTS		Use separate schedule(s)		(check only one)							
TEMIZED RECEIPTS		for each category of the Detailed Summary Page		11a 13		11b	11c	12 16		17	
Any information copied from such Reports and S or for commercial purposes, other than using the				for the		ose of	soliciting	contribu			
NAME OF COMMITTEE (In Full) SVB Financial Group PAC											
Full Name (Last, First, Middle Initial) Christie Ma				Date of	Re	ceipt					
Mailing Address 3003 Tasman Dr City	State	Zip Code		09	/ acti	03 on ID : 1	J L	2014	Y		
Santa Clara	CA	95054						is Perio	d		
FEC ID number of contributing federal political committee.	C					,		2	0.00		
Name of Employer SVB Financial Group	Occupation Attorney										
Receipt For: 2013 Primary General Other (specify) Calendar Year	Aggregate	Year-to-Date ▼ 360.00									
Full Name (Last, First, Middle Initial) 3. Christie Ma				Date of	Re	ceipt					
Mailing Address 3003 Tasman Dr				М = М	/	17	/ Y	2014	Y		
City Santa Clara	State CA	Zip Code 95054	_			on ID : 1 Each Re		is Period	d		
FEC ID number of contributing federal political committee.	C					,	,	20	0.00		
Name of Employer SVB Financial Group	Occupation Attorney										
Receipt For: 2013 Primary General Other (specify) ▼ Calendar Year	Aggregate	Year-to-Date ▼ 360.00									
Full Name (Last, First, Middle Initial) C. Mark Maclennan				Date of	Re	ceipt					
Mailing Address 3005 Tasman Dr				M M M	/	03	/ Y	2014	Y		
City Santa Clara	State CA	Zip Code 95054				i on ID : 1		7 nis Period	d		
FEC ID number of contributing federal political committee.	С					,	-,	2	5.00		
Name of Employer	Occupation		\dashv								
SVB Financial Group	Managing D	pirector									
Receipt For: 2013 Primary General Other (specify) ▼ Calendar Year	Aggregate	Year-to-Date ▼ 450.00	ı								
SUBTOTAL of Receipts This Page (optional)		·····	<u> </u>			,		65	5.00		

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

F	OR	LINE	NU	MBER	:	PAGE	8	OF	10
(0	che	ck only	or	ne)					
	X	11a		11b		11c	12		
		13		14		15	16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
Full Name (Last, First, Middle Initial) Mark Maclennan Mailing Address 3005 Tasman Dr		Date of Receipt
		09 17 2014
City Santa Clara	State Zip Code CA 95054	Transaction ID : 11AI-657 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
SVB Financial Group Receipt For: 2013	Managing Director	
Primary General Other (specify) Calendar Year	Aggregate Year-to-Date ▼ 450.00	
Full Name (Last, First, Middle Initial) 3. Mark Stevenson		Date of Receipt
Mailing Address 3003 Tasman Drive		09 17 2014
City Sonto Cloro	State Zip Code CA 95054	Transaction ID : 11AI-661
Santa Clara FEC ID number of contributing federal political committee.	CA 95054	Amount of Each Receipt this Period 600.00
Name of Employer	Occupation	
SVB Financial Group	Managing Direct, Private Bank	
Receipt For: 2013 Primary General Other (specify) Calendar Year	Aggregate Year-to-Date ▼ 600.00	
Full Name (Last, First, Middle Initial) C. Robert Vogelsang		Date of Receipt
Mailing Address 3005 Tasman Dr		09 03 2014 _
City Santa Clara	State Zip Code CA 95054	Transaction ID : 11AI-671 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
SVB Financial Group	Senior Advisor	
Receipt For: 2013 Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 360.00	
Calendar Year		645.00
SUBTOTAL of Receipts This Page (optional).	<u> </u>	
TOTAL This Period (last page this line number	er only)	

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) X 11a 11b 11c

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10

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
Full Name (Last, First, Middle Initial) A. Robert Vogelsang Mailing Address 3005 Tasman Dr		Date of Receipt
City	State Zip Code	09 17 2014 Transaction ID : 11AI-662
Santa Clara FEC ID number of contributing	CA 95054	Amount of Each Receipt this Period
federal political committee.	C	20.00
Name of Employer SVB Financial Group	Occupation Senior Advisor	
Receipt For: 2013 Primary General Other (specify) Calendar Year	Aggregate Year-to-Date ▼ 360.00	
Full Name (Last, First, Middle Initial) Address		Date of Receipt
Mailing Address City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Allount of Each Receipt this Feriod
Name of Employer Receipt For: Primary General Other (specify) ▼	Occupation Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)		20.00
TOTAL This Period (last page this line numb	per only)	870.00

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 10 OF 10			
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orleast orlin)	,		
	Detailed Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30	
Any information copied from such Reports and State					
or for commercial purposes, other than using the nat	me and address of any polit	icai committee to	solicit contributions fro	ım such committee.	
NAME OF COMMITTEE (In Full)					
SVB Financial Group PAC					
Full Name (Last, First, Middle Initial)			D-14 (D) (
A. Dave PAC			Date of Disbursement 09 30 2014		
Mailing Address POBox 15785					
City	State Zip Code		Transaction ID : 23	3-64	
Phoenix	AZ 85060		Transaction ID: 2	J-U 4	
Purpose of Disbursement Political Contribution		011	Amount of Each Dis	bursement this Period	
Candidate Name		Category/		2000.00	
Dave PAC	mont Fam. 00::	Type		2000.00	
Office Sought: House Disburse Senate	ment For: 2014 Primary General				
President	Other (specify)				
State: District:	Calendar ye	ar			
Full Name (Last, First, Middle Initial)	·				
B. Peters for Michigan			Date of Disburseme	nt	
			M = M / D = D	/	
Mailing Address PO Box 226			09 30	2014	
City	State Zip Code		Transaction ID: 2	3-65	
Bloomfield Hills Purpose of Disbursement	MI 48303				
Political Contribution		011	Amount of Each Dis	bursement this Period	
Candidate Name Category/					
Gary Peters		Type		3000.00	
Office Sought: House Disburse	ment For: 2014				
Senate	Primary General				
	Other (specify)				
State: MI District: 14 Full Name (Last, First, Middle Initial)					
C. Mike Thompson for Congress			Date of Disburseme	nt	
			M M / D D	/ Y = Y = Y = Y	
Mailing Address 236 Massachusetts Ave NW Ste 6	603		09 18	2014	
City	State Zip Code		Tanana In C	2.02	
Washington	DC 20002		Transaction ID: 2	3- 63	
Purpose of Disbursement Political Contribution					
Candidate Name		011	Amount of Each Dis	bursement this Period	
Mike Thompson Category/ Type				2900.00	
	ment For: 2014	rype		, , , ,	
Senate	Primary Seneral				
President	Other (specify) ▼				
State: CA District: 05	· · · · · · · · · · · · · · · · · · ·				
		_		7000 00	
SUBTOTAL of Disbursements This Page (optional).		······		7900.00	
TOTAL This Period (last page this line number only	<u> </u>			7900.00	
I I I I I I I I I I I I I I I I I I I	J			(8) 1 (8) 1	