

Caterpillar Committee For Effective Government

May 10, 1994

Mr. Donald L. Averett
Senior Reports Analyst
Reports Analysis Division
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Identification Number: C00148031
Reference: Mid-Year Report -- 1/1/93-6/30/93

Dear Mr. Averett:

This is in reply to your May 4, 1994 letter to Barton C. Rochman, Treasurer of Caterpillar Inc.'s political action committee -- Caterpillar Committee for Effective Government -- and our two contributions of \$5,000 each to Kay Bailey Hutchison in 1993.

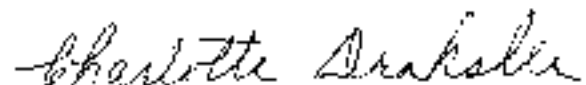
Each of these contributions was given to different elections:

\$5,000 on 4/30/93 for the May 1, 1993 Primary Election

\$5,000 on 5/19/93 for the June 5, 1993 Run-off Election

Caterpillar respectfully requests that you determine these contributions were given to two separate elections. We will await further action, pending your final ruling.

Sincerely,



Governmental Affairs
Corporate Public Affairs

CMDraksler
Telephone: 309/675-4419

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Mr. Barton C. Rochman, Treasurer
Caterpillar Tractor Co. Committee
for Effective Government
100 NE Adams St.
Peoria, IL 61629

MAY 4 1994

Identification Number: C00148031

Reference: Mid-Year Report (1/1/93-6/30/93)

Dear Mr. Rochman:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act, 2 U.S.C. §441a(a) precludes a multicandidate committee from making a contribution to a candidate for federal office in excess of \$5,000 per election.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive contribution, you should notify the recipient and request a refund of the amount in excess of \$5,000 and/or notify the recipient in writing of your redesignation of the contribution. In the best interest of your committee, all refunds and redesignations should be made within sixty days of the treasurer's receipt of the contribution(s).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the contributor. In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

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A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Donald L. Averett
Senior Reports Analyst
Reports Analysis Division

2403882352

SCHEDULE B

FEC

DISBURSEMENTS PAGE 3 OF 4
LINE NUMBER

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	DATE	Amount of Each Disbursement This Period
Manzullo for Congress P.O. Box 7783 Rockford IL 61125	Don Manzullo Candidate for: U.S. House/IL-16 General	05/13/93	1000.00
Lauch Faircloth for Senate Committee P.O. Box 26545 Raleigh NC 27611-6585	Lauch Faircloth Candidate for: U.S. Senate/NC Debt Retirement	03/13/93	1000.00
Kay Bailey Hutchison for Senate Committee 100 Brazos, Suite 1200 Austin TX 78701	Kay Bailey Hutchison Candidate for: U.S. Senate/TX Special	04/30/93	5000.00
		05/19/93	5000.00
Beumann - Congress '93 2450 Milton Ave., Ste 104 Janesville WI 53546	Mark Beumann Candidate for: U.S. House/WI-1 Election	04/29/93	1000.00

5-4-93 Phoenix
6-5-93 Durango

Subtotal of Receipts This Page 13,000.00

Total Itemized Disbursements 1/1/93 thru 6/30/93:	\$27,000.00
Total Unitemized Disbursements 1/1/93 thru 6/30/93:	0.00
Total Disbursements 1/1/93 thru 6/30/93:	<u>\$27,000.00</u>

**Federal Election Commission
 ENVELOPE REPLACEMENT PAGE
 FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

Hand Delivered

DATE OF RECEIPT

First Class Mail

POSTMARKED

Registered/Certified Mail

POSTMARKED

5-10-94

No Postmark

Postmark Illegible

Received from the House Office of Records
 and Registration

DATE OF RECEIPT

Received from the Senate Office of Public
 Records

DATE OF RECEIPT

Other (Specify):

POSTMARKED

and/or DATE OF RECEIPT

J.S.
 PREPARER

5-16-94
 DATE PREPARED

9 4 0 3 8 9 8 2 3 5 4