

# FEC FORM 3X

## REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

RECEIVED  
FEC MAIL CENTER  
2015 JUN -1 AM 9:27

Office Use Only

1. NAME OF  
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type  
over the lines.

12FE4M5

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE  
(ES3 PAC)

ADDRESS (number and street)

550 WEST C STREET, SUITE 1630

☐ Check if different  
than previously  
reported. (ACC)

SAN DIEGO

CA

92101

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C 00446948

3. IS THIS  
REPORT

☐

NEW  
(N)

OR

☒

AMENDED  
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15  
Quarterly Report (Q1)
- ☒ July 15  
Quarterly Report (Q2)
- ☐ October 15  
Quarterly Report (Q3)
- ☐ January 31  
Year-End Report (YE)
- ☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)
- ☐ Termination Report  
(TER)

(b) Monthly  
Report  
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11)  
(Non-Election  
Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12)  
(Non-Election  
Year Only)
- ☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

MM / DD / YYYY

in the  
State of

State

(d) 30-Day  
POST-Election  
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

MM / DD / YYYY

in the  
State of

State

5. Covering Period

MM / DD / YYYY  
04 / 01 / 2014

through

MM / DD / YYYY  
06 / 30 / 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer HELEN LUM

Signature of Treasurer

*Helen Lum*

Date

MM / DD / YYYY  
05 / 28 / 2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only

FEC FORM 3X  
(Rev. 02/2003)

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

ES3 PAC

Report Covering the Period:

From:

MM / DD / YYYY  
04 / 01 / 2014

To:

MM / DD / YYYY  
06 / 30 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2014		46,070.60
(b) Cash on Hand at Beginning of Reporting Period.....	41,070.60	
(c) Total Receipts (from Line 19).....	0.00	0.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	41,070.60	46,070.60
7. Total Disbursements (from Line 31).....	10,000.00	15,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	31,070.60	31,070.60
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....	0.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100



# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees .....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees .....	10,000.00	15,000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F) .....	0.00	0.00
26. Loan Repayments Made .....	0.00	0.00
27. Loans Made .....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	10,000.00	15,000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	10,000.00	15,000.00

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	0.00	0.00
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	0.00	0.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 1

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTION, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

**A.**  
**SUSAN DAVIS FOR CONGRESS**

Mailing Address

P.O. Box 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Primary 2014

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 02 / 2014

Amount of Each Disbursement this Period

5,000.00

**B.**  
**SUSAN DAVIS FOR CONGRESS**

Mailing Address

P.O. Box 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

General 2014

Candidate Name

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☐ Primary

☒ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 13 / 2014

Amount of Each Disbursement this Period

5,000.00

**C.**  
Full Name (Last, First, Middle Initial)

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:

☐ House

☐ Senate

☐ President

Disbursement For:

☐ Primary

☐ General

☐ Other (specify) ▼

State:

District:

Date of Disbursement

MM / DD / YYYY

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional).....▶

10,000.00

**TOTAL** This Period (last page this line number only).....▶

10,000.00



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 1

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

**A. BRETT BAILEY**

Mailing Address

729 VISTA VIEW LANE

City

NORTH SALT LAKE

State

UT

Zip Code

84054

FEC ID number of contributing  
federal political committee.

C

Name of Employer

ES3, INC

Occupation

CIO

Receipt For:

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

MM / DD / YYYY  
06 / 13 / 2014

Amount of Each Receipt this Period

1,000.00

MEMO ENTRY:

Earmarked for Susan Davis House, CA, Dist 53, Check forwarded to the candidate directly.

Full Name (Last, First, Middle Initial)

**B. KIMBERLY BAILEY**

Mailing Address

729 VISTA VIEW LANE

City

NORTH SALT LAKE

State

UT

Zip Code

84054

FEC ID number of contributing  
federal political committee.

C

Name of Employer

N/A

Occupation

Homemaker

Receipt For:

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

MM / DD / YYYY  
06 / 13 / 2014

Amount of Each Receipt this Period

1,000.00

MEMO ENTRY:

Earmarked for Susan Davis House, CA, Dist 53, Check forwarded to the candidate directly.

Full Name (Last, First, Middle Initial)

**C. DANIELE PELESSONE**

Mailing Address

2205 LOCUS STREET

City

SAN DIEGO

State

CA

Zip Code

92106

FEC-ID number of contributing  
federal political committee.

C

Name of Employer

ES3, INC

Occupation

CHIEF SCIENTIST

Receipt For:

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

MM / DD / YYYY  
06 / 13 / 2014

Amount of Each Receipt this Period

1,000.00

Sub-Total General "Earmarked":

3,000.00

TOTAL This Period (last page this line number only)



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 1

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

**A. THERESA SGAMMATO**

Mailing Address

2205 LOCUS STREETE

City

SAN DIEGO

State

CA

Zip Code

92106

FEC ID number of contributing  
federal political committee.

C

Name of Employer

ES3, INC

Occupation

CEO

Receipt For:

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

MM / DD / YYYY  
06 / 13 / 2014

Amount of Each Receipt this Period

1,000.00

MEMO ENTRY:

Earmarked for Susan Davis House, CA, Dist 53, Check forwarded to the candidate directly.

Full Name (Last, First, Middle Initial)

**B. SPENCER STOKES**

Mailing Address

4259 SKYLINE DRIVE

City

OGDEN

State

UT

Zip Code

84403

FEC ID number of contributing  
federal political committee.

C

Name of Employer

GBC GROUP

Occupation

EXECUTIVE DIRECTOR

Receipt For:

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

MM / DD / YYYY  
06 / 13 / 2014

Amount of Each Receipt this Period

1,000.00

MEMO ENTRY:

Earmarked for Susan Davis House, CA, Dist 53, Check forwarded to the candidate directly.

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City

State

Zip Code

FEC-ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

MM / DD / YYYY

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....

Sub-Total General "Earmarked":

2,000.00

TOTAL This Period (last page this line number only).....

Total "Earmarked":

10,200.00

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

FOR LINE NUMBER:  
 (check only one)

PAGE 1 OF 1

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTION, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

A.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. Box 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - Primary

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
 06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

2,600.00

Earmarked by Luke Pelessone, check forwarded directly to the candidate.

B.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. BOX 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - Primary

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☒ Primary

☐ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
 06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

2,600.00

Earmarked by Theresa Sgammato, check forwarded directly to the candidate.

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:

☐ House

☐ Senate

☐ President

Disbursement For:

☐ Primary

☐ General

☐ Other (specify) ▼

State:

District:

Date of Disbursement

MM / DD / YYYY

MEMO ENTRY

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... SubTotal "Earmarked-Primary":

5,200.00

TOTAL This Period (last page this line number only).....

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 1

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTION, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

A.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. Box 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - General

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☐ Primary

☒ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

1,000.00

Earmarked by Brett Bailey, check forwarded directly to the candidate.

B.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. BOX 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - General

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☐ Primary

☒ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

1,000.00

Earmarked by Kimberly Bailey, check forwarded directly to the candidate.

C.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. BOX 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - General

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House

☐ Senate

☐ President

Disbursement For:

☐ Primary

☒ General

☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

1,000.00

Earmarked by Daniele Pelessone, check forwarded directly to the candidate.

SUBTOTAL of Disbursements This Page (optional)..... SubTotal "Earmarked-General":

3,000.00

TOTAL This Period (last page this line number only).....

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 1

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTION, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

**A.**  
SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. Box 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - General

Candidate Name

SUSAN DAVIS

Office Sought:

☒

House

☐

Senate

☐

President

Disbursement For:

☐

Primary

☒

General

☐

Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

1,000.00

Earmarked by Theresa Sgammato, check forwarded directly to the candidate.

**B.**  
SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. BOX 84049

City

State

Zip Code

SAN DIEGO

CA

92138

Purpose of Disbursement

Earmarked Contribution - General

Candidate Name

SUSAN DAVIS

Office Sought:

☒

House

☐

Senate

☐

President

Disbursement For:

☐

Primary

☒

General

☐

Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY  
06 / 13 / 2014

MEMO ENTRY

Amount of Each Disbursement this Period

1,000.00

Earmarked by Spencer Stokes, check forwarded directly to the candidate.

**C.**

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:

☐

House

☐

Senate

☐

President

Disbursement For:

☐

Primary

☐

General

☐

Other (specify) ▼

State:

District:

Date of Disbursement

MM / DD / YYYY

MEMO ENTRY

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... SubTotal "Earmarked-General":

2,000.00

TOTAL This Period (last page this line number only)..... Total "Earmarked":

10,200.00

UNUSO : UNDA : UNOVI

**Fed**

**EXPRESS**

- 1.
- 2.
- 3.
- 4.



From: (619) 338-0315  
Helen Lum  
ES3 PAC  
550 WEST C STREET  
SUITE 1630  
SAN DIEGO, CA 92101

Origin ID: SDMA

**FedEx**  
Express



J151215022303iv

SHIP TO: (202) 694-1157  
Laura Sinram  
Federal Election Commission  
999 E ST NW

BILL SENDER

WASHINGTON, DC 20463

Ship Date: 28MAY15  
ActWgt 0.5 LB  
CAD: 7370947/INET3610

Delivery Address Bar Code

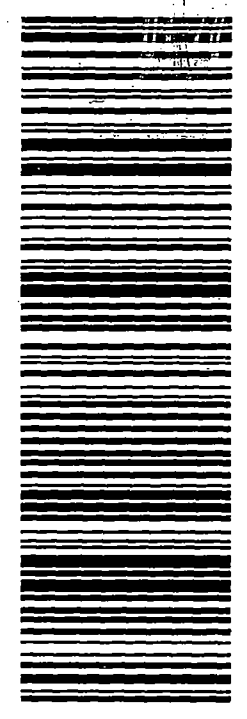
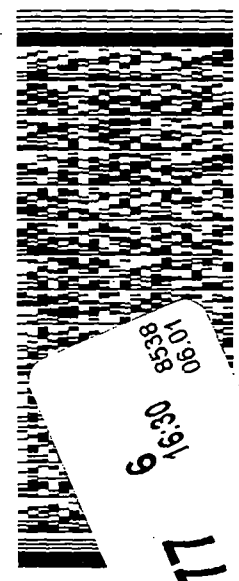


Ref # 300(U)  
Invoice #  
PO #  
Dept #

MON - 01 JUN AA  
\*\* 2DAY \*\*

TRK# 7737 0393 8538  
0201

**SK RDVA**  
20463  
DC-US  
IAD



537.03/0918/EE4B

For more information on shipping, call your local FedEx office if you are outside the U.S.

Sender: You must seal flap before shipping.

2015 JUN -1 AM 9: 27

RECEIVED

Federal Election Commission  
ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS  
The FEC added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered Date of Receipt

☐ USPS First Class Mail Postmarked Date of Receipt

☐ USPS Registered/Certified Postmarked (R/C)

☐ USPS Priority Mail Postmarked

☐ USPS Priority Mail Express Postmarked

☐ Postmark Illegible

☐ No Postmark


☒ Overnight Delivery Service (Specify): Fed Ex Shipping Date 5/28/15  
Next Business Day Delivery ☐

☐ Received from House Records & Registration Office Date of Receipt

☐ Received from Senate Public Records Office Date of Receipt

☐ Received from Electronic Filing Office Date of Receipt

☐ Other (Specify): Date of Receipt or Postmarked

  
PREPARER  
(3/2015)

6/1/15  
DATE PREPARED

20150528 14:14:00