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FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

| FORM 3X F | or Other Than | An Authorized | Committee | | | | |
|---|---|---------------------|------------------------------------|--------------------------------|-----------------|----------------------|---------------|
| 4 NAME OF | TYPE OR PRINT | 7 F | | | | Office Use Only | |
| NAME OF COMMITTEE (in full) | TIPE ON FRINT | | mple: If typing the lines. | i, type | 12FE4M5 | | |
| BATS GLOBAL MARK | ETS, INC PO | LITICAL ACT | ION COMI | MITTEE | | | |
| | | | | | | | |
| ADDRESS (number and street) | 701 8th Street, N | W | | | | | |
| Check if different than previously reported. (ACC) | Suite 500 Washington | | | | DC | 20001 | |
| 2. FEC IDENTIFICATION NU | JMBER ▼ | CITY ▲ | | S | STATE A | ZIP CC | DDE 🛦 |
| C C00458653 | | 3. IS THIS REPORT | X NE | | AM (A) | IENDED | |
| 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Quarterly Report (Non-election Year Only) (MY) Termination Report (TER) | (c) 12-Day PRE-E Report (d) 30-Day POST- | lection for the: | Ju Ju Primary (12P) Convention (12 | ay 20 (M5) n 20 (M6) I 20 (M7) | x Sep | in the | Special (30S) |
| 5. Covering Period 08 | 01 | 2016 | through | M M M | / 31_ / | 2016 | |
| I certify that I have examined th | | ne best of my know | vledge and be | elief it is true | e, correct and | complete. | |
| Type or Print Name of Treasure | Eric Swanson | | | | | | |
| Signature of Treasurer Eric S | Swanson | | [Electronically I | Filed] Da | ate 09 | 13 | 2016 |
| NOTE: Submission of false, errone | eous, or incomplete | information may sul | bject the perso | n signing thi | is Report to th | ne penalties of 2 | U.S.C. §437g. |
| Office Use Only | | | | | | FEC FOF Rev. 12/2 | |

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE

| | | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|-----|--|-------------------------|-----------------------------------|
| 6. | (a) Cash on Hand January 1, 2016 | | 39066.59 |
| | (b) Cash on Hand at Beginning of Reporting Period | 40866.59 | |
| | (c) Total Receipts (from Line 19) | 0.0 | 10000.0 |
| | (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 40866.59 | 49066.59 |
| 7. | Total Disbursements (from Line 31) | 0.0 | 8200.0 |
| 3. | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 40866.59 | 40866.59 |
| 9. | Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0.0 | |
| 10. | Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0.0 | |

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE

| Re | port Covering the Period: From: 08 | | 08 31 2016 |
|-----|--|-------------------------------|-----------------------------------|
| | I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
| | Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees | | |
| | (i) Itemized (use Schedule A) | 0.0 | 10000.0 |
| | (ii) Unitemized(iii) TOTAL (add | 0.0 | 0.0 |
| | Lines 11(a)(i) and (ii)▶ | 0.0 | 10000.0 |
| | (b) Political Party Committees | 0.0 | 0.0 |
| | (such as PACs) | 0.0 | 0.0 |
| | (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry | | 10000.0 |
| 12. | Totals to Line 33, page 5) Transfers From Affiliated/Other | 0.0 | 10000.0 |
| | Party Committees | 0.0 | 0.0 |
| 13. | All Loans Received | 0.0 | 0.0 |
| 15. | Loan Repayments Received Offsets To Operating Expenditures | 0.0 | 0.0 |
| | (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made | 0.0 | 0.0 |
| | to Federal Candidates and Other Political Committees | 0.0 | 0.0 |
| | Other Federal Receipts (Dividends, Interest, etc.) | 0.0 | 0.0 |
| | Transfers from Non-Federal and Levin Funds (a) Non-Federal Account | | |
| | (from Schedule H3) | 0.00 | 0.00 |
| | (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| | (c) Total Transfers (add 18(a) and 18(b)) | 0.00 | 0.00 |
| 19. | Total Receipts (add Lines 11(d), | | |
| | 12, 13, 14, 15, 16, 17, and 18(c))▶ | 0.0 | 10000.0 |
| 20. | Total Federal Receipts (subtract Line 18(c) from Line 19)▶ | 0.0 | 10000.0 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|----------------------------|---|
| 21. Operating Expenditures: | - Iotal Tillo I Gliou | Galeriuai Teal-tu-Date |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | | 0.00 |
| ('') N 5 1 101 | 0.00 | 0.00 |
| (ii) Non-Federal Share(b) Other Federal Operating | | 0.00 |
| Expenditures | 0.0 | 0.0 |
| (c) Total Operating Expenditures | | |
| (add 21(a)(i), (a)(ii), and (b)) | ▶ 0.0 | 0.0 |
| 2. Transfers to Affiliated/Other Party | | |
| Committees | 0.0 | 0.0 |
| Contributions to Federal Candidates/Committees | 0.0 | 8200.0 |
| and Other Political Committees | | 0200.0 |
| 4. Independent Expenditures | 0.0 | 0.0 |
| (use Schedule E) | | |
| (2 U.S.C. §441a(d)) (use Schedule F) | 0.0 | 0.0 |
| , , , , , , , , , , , , , , | | |
| 6. Loan Repayments Made | 0.0 | 0.0 |
| | | 200 |
| 7. Loans Made8. Refunds of Contributions To: | | 0.00 |
| (a) Individuals/Persons Other | 0.0 | 0.0 |
| Than Political Committees | | 0.0 |
| (b) Political Party Committees | 0.0 | 0.0 |
| (c) Other Political Committees | | |
| (such as PACs) | | 0.0 |
| | , | , |
| (d) Total Contribution Refunds | 0.0 | |
| (add Lines 28(a), (b), and (c)) | | 0.0 |
| 9. Other Disbursements | | 0.0 |
| O. Other Dispulsements | | 0.0 |
| 0. Federal Election Activity (2 U.S.C. § | 431(20)) | |
| (a) Allocated Federal Election Activ | | |
| (from Schedule H6) | | |
| (i) Federal Share | | 0.00 |
| (") III - 1-II C | 0.00 | 0.00 |
| (ii) "Levin" Share | | 0.00 |
| (b) Federal Election Activity Paid E With Federal Funds | | 0.00 |
| (c) Total Federal Election Activity (a | | |
| Lines 30(a)(i), 30(a)(ii) and 30(| 0.00 | 0.00 |
| (/// - (// / - 2 - 0) | | |
| 1. Total Disbursements (add Lines 21(| | |
| 23, 24, 25, 26, 27, 28(d), 29 and 30 | O(c)) 0.0 | 8200.0 |
| | 7 | |
| 2. Total Federal Disbursements | - V''' | |
| (subtract Line 21(a)(ii) and Line 30(a | | |
| from Line 31) | ▶ 0.0 | 8200.0 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Ex- penditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date | |
|---|-------------------------------|-----------------------------------|--|
| 3. Total Contributions (other than loans) (from Line 11(d), page 3) | 0.0 | 10000.0 | |
| 4. Total Contribution Refunds (from Line 28(d)) | 0.0 | 0.0 | |
| 5. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 0.0 | 10000.0 | |
| 6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶ | 0.0 | 0.0 | |
| 7. Offsets to Operating Expenditures (from Line 15, page 3) | 0.0 | 0.0 | |
| 8. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.0 | 0.0 | |