

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) USE FEC MAILING LABEL OR TYPE OR PRINT Example: If typing, type over the lines
Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street) 1101 New York Avenue, NW
8th Floor
Check if different than previously reported. (ACC) Washington DC 20005

2. FEC IDENTIFICATION NUMBER C00431312
3. IS THIS REPORT NEW (N) OR AMENDED (A) X

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year, Termination Report
(b) Monthly Report Due On: Feb 20, Mar 20, Apr 20, May 20, Jun 20, Jul 20, Aug 20, Sep 20, Oct 20, Nov 20, Dec 20, Jan 31
(c) 12-Day PRE-Election Report for the: Primary, General, Convention, Special, Runoff
(d) 30-Day Post-Election Report for the: General, Runoff, Special

5. Covering Period 07 01 2011 through 07 31 2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer Mr. Kenneth E. Bentsen, Jr.
Signature of Treasurer Electronically Filed by Mr. Kenneth E. Bentsen, Jr. Date 08 19 2011

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only
FEC FORM 3X (Rev. 12/2004)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name
Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From:

M	M
0	7

D	D
0	1

Y	Y	Y	Y
2	0	1	1

 To:

M	M
0	7

D	D
3	1

Y	Y	Y	Y
2	0	1	1

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1"><tr><td>X</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>1</td><td>1</td></tr></table>	X	Y	Y	Y	2	0	1	1		256466.58
X	Y	Y	Y							
2	0	1	1							
(b) Cash on Hand at Beginning of Reporting Period	229213.72									
(c) Total Receipts (from Line 19)	19145.06	119151.71								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	248358.78	375618.29								
7. Total Disbursements (from Line 31)	9580.64	136840.15								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	238778.14	238778.14								
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00									
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From:

M	M
0	7

D	D
0	1

Y	Y	Y	Y
2	0	1	1

 To:

M	M
0	7

D	D
3	1

Y	Y	Y	Y
2	0	1	1

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	13498.83	77492.57
(ii) Unitemized	565.59	5057.90
(iii) TOTAL (add Lines 11(a)(i) and (ii)	14064.42	82550.47
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	5000.00	30500.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	19064.42	113050.47
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	1020.60
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	5000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	80.64	80.64
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	19145.06	119151.71
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	19145.06	119151.71

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	80.64	1101.24
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	80.64	1101.24
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees..... and Other Political Committees.....	9500.00	135500.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements.....	0.00	238.91
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	9580.64	136840.15
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	9580.64	136840.15

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	19064.42	113050.47
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19064.42	113050.47
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	80.64	1101.24
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	1020.60
38. Net Operating Expenditures (subtract Line 37 from Line 36)	80.64	80.64

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 / 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Michael O. Healy	Date of Receipt MM / DD / YYYY 07 / 28 / 2011
	Mailing Address 1555 Braeburn Road	Transaction ID: 19273287
	City State Zip Code Altadena CA 91001-2603	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation RBC Wealth Management SVP/Branch Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.	Full Name (Last, First, Middle Initial) Mr William A. Johnstone	Date of Receipt MM / DD / YYYY 07 / 28 / 2011
	Mailing Address 219 3rd Avenue North	Transaction ID: 19273291
	City State Zip Code Great Falls MT 59401-2418	Amount of Each Receipt this Period 2500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Davidson Companies President & CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2500.00	

C.	Full Name (Last, First, Middle Initial) Mr. Chet Helck	Date of Receipt MM / DD / YYYY 07 / 28 / 2011
	Mailing Address 255 6th Avenue	Transaction ID: 19273292
	City State Zip Code Tierra Verde FL 33715-1866	Amount of Each Receipt this Period 2000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Raymond James Financial, Inc. Chief Operating Officer	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2000.00	

SUBTOTAL of Receipts This Page (optional)	▶	4750.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 29
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

<p>A. Full Name (Last, First, Middle Initial) Mr. Curt Bradbury</p> <p>Mailing Address 4 Edgehill Road</p> <p>City State Zip Code Little Rock AR 72207-5444</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Stephens Inc. Chief Operating Officer</p> <p>Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 5000.00</p>	<p>Date of Receipt M M / D D / Y Y Y Y 07 / 28 / 2011</p> <p>Transaction ID: 19273293</p> <p>Amount of Each Receipt this Period 5000.00</p> <p>Contribution</p>
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<p>B. Full Name (Last, First, Middle Initial) Mr. Charles J. Hafey</p> <p>Mailing Address 76 Duxbury Lane</p> <p>City State Zip Code Glastonbury CT 06033-4349</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation RBC Wealth Management Vice President/Branch Manager</p> <p>Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 250.00</p>	<p>Date of Receipt M M / D D / Y Y Y Y 07 / 21 / 2011</p> <p>Transaction ID: 19273295</p> <p>Amount of Each Receipt this Period 250.00</p> <p>Contribution</p>
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<p>C. Full Name (Last, First, Middle Initial) Michael Trinen</p> <p>Mailing Address 3701 Ashmount Drive</p> <p>City State Zip Code Fort Collins CO 80525-9036</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation RBC Wealth Management Branch Director</p> <p>Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 250.00</p>	<p>Date of Receipt M M / D D / Y Y Y Y 07 / 23 / 2011</p> <p>Transaction ID: 19273305</p> <p>Amount of Each Receipt this Period 250.00</p> <p>Contribution</p>
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SUBTOTAL of Receipts This Page (optional)	5500.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Matthew McGinley	Date of Receipt MM / DD / YYYY 07 / 26 / 2011
	Mailing Address 1101 New York Avenue, NW 8th FL	Transaction ID: 19273307
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

B.	Full Name (Last, First, Middle Initial) Mr. Andrew Teller, Jr.	Date of Receipt MM / DD / YYYY 07 / 28 / 2011
	Mailing Address 3625 Beverly Drive	Transaction ID: 19273308
	City State Zip Code Dallas TX 75205-2803	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer RBC Wealth Management	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.	Full Name (Last, First, Middle Initial) Hal Teave	Date of Receipt MM / DD / YYYY 07 / 28 / 2011
	Mailing Address 16905 25th Ave N	Transaction ID: 19273312
	City State Zip Code Plymouth MN 55447-2229	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer RBC Wealth Management	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	1000.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Thomas F Price	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 120 Broadway 35th Floor	Transaction ID: 19277558
	City State Zip Code New York NY 10271-3599	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 577.50	

B.	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19277559
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 19.23
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 306.72	

C.	Full Name (Last, First, Middle Initial) Ms. Lisa Bleier	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19277560
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 19.23
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 230.76	

SUBTOTAL of Receipts This Page (optional)	76.96
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19277561
	City Washington State DC Zip Code 20005-4269	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 462.00	

B.	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19277562
	City Washington State DC Zip Code 20005-4269	Amount of Each Receipt this Period 192.35
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation EVP, Public Policy and Advocacy	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2885.25	

C.	Full Name (Last, First, Middle Initial) Ms. Lisa Fues	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19277563
	City Washington State DC Zip Code 20005-4269	Amount of Each Receipt this Period 19.23
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 230.76	

SUBTOTAL of Receipts This Page (optional)	250.08
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms Kim Chamberlain	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 120 Broadway 35th Floor	Transaction ID: 19277564
	City State Zip Code New York NY 10271-3599	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel, State Gov	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 423.50	

B.	Full Name (Last, First, Middle Initial) Marin E. Gibson	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 120 Broadway 35th Floor	Transaction ID: 19277565
	City State Zip Code New York NY 10271-3599	Amount of Each Receipt this Period 69.23
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1038.45	

C.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell	Date of Receipt MM / DD / YYYY 07 / 29 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19277566
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 100.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1500.00	

SUBTOTAL of Receipts This Page (optional)	207.73
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 29
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
							17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. James McHale		Date of Receipt
	Mailing Address 1101 New York Ave, NW 8th Floor		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 07 / 29 / 2011
	City	State	Zip Code
	Washington	DC	20005-4269
	FEC ID number of contributing federal political committee. C		Transaction ID: 19277567
Name of Employer Securities Industry and Financial Mark		Occupation Managing Director, Associate General C	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 230.76	19.23
Contribution			

B.	Full Name (Last, First, Middle Initial) Ms. Kyle Brandon		Date of Receipt
	Mailing Address 120 Broadway 35th Floor		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 07 / 29 / 2011
	City	State	Zip Code
	New York	NY	10271-3599
	FEC ID number of contributing federal political committee. C		Transaction ID: 19277568
Name of Employer Securities Industry and Financial Mark		Occupation Managing Director, DOR	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 693.00	77.00
Contribution			

C.	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt
	Mailing Address 1101 New York Ave, NW		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 07 / 29 / 2011
	City	State	Zip Code
	Washington	DC	20005-4213
	FEC ID number of contributing federal political committee. C		Transaction ID: 19277570
Name of Employer Securities Industry and Financial Mark		Occupation Executive Vice President, Communicatio	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1442.25	96.15
Contribution			

SUBTOTAL of Receipts This Page (optional)	192.38
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 29
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Thomas F Price

Mailing Address 120 Broadway
35th Floor

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark
Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.50

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	0	1	/	2	0	1	1

Transaction ID: 19310996

Amount of Each Receipt this Period
38.50

B. Full Name (Last, First, Middle Initial)
Mr. Joseph M. Vaughan

Mailing Address 1101 New York Avenue, NW
Suite 800

City State Zip Code
Washington DC 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark
Occupation Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
326.95

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	0	1	/	2	0	1	1

Transaction ID: 19310997

Amount of Each Receipt this Period
28.85

C. Full Name (Last, First, Middle Initial)
Mr. John Hallmark

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark
Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
384.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	0	1	/	2	0	1	1

Transaction ID: 19310998

Amount of Each Receipt this Period
38.50

SUBTOTAL of Receipts This Page (optional) ► **105.85**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy	Date of Receipt MM / DD / YYYY 07 / 01 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19310999
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 19.23
	FEC ID number of contributing federal political committee. C	
	Name of Employer: Securities Industry and Financial Mark Occupation: Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 268.26	

B.	Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell	Date of Receipt MM / DD / YYYY 07 / 01 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19311001
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	
	Name of Employer: Securities Industry and Financial Mark Occupation: Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00	

C.	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.	Date of Receipt MM / DD / YYYY 07 / 01 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19311002
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 192.35
	FEC ID number of contributing federal political committee. C	
	Name of Employer: Securities Industry and Financial Mark Occupation: EVP, Public Policy and Advocacy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2500.55	

SUBTOTAL of Receipts This Page (optional)	250.08
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 29
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Ms Kim Chamberlain

Mailing Address 120 Broadway
35th Floor

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark
Occupation Managing Director & Counsel, State Gov

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
346.50

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	0	1	/	2	0	1	1

Transaction ID: 19311003

Amount of Each Receipt this Period
38.50

B. Full Name (Last, First, Middle Initial)
Marin E. Gibson

Mailing Address 120 Broadway
35th Floor

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark
Occupation Managing Director & Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
899.99

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	0	1	/	2	0	1	1

Transaction ID: 19311004

Amount of Each Receipt this Period
69.23

C. Full Name (Last, First, Middle Initial)
Mr. Carter McDowell

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark
Occupation Senior Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	7	/	0	1	/	2	0	1	1

Transaction ID: 19311005

Amount of Each Receipt this Period
100.00

SUBTOTAL of Receipts This Page (optional) ► **207.73**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 29
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Ms. Kyle Brandon

Mailing Address 120 Broadway
35th Floor

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director, DOR

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
539.00

Date of Receipt
MM / DD / YYYY
07 / 01 / 2011

Transaction ID: 19311007

Amount of Each Receipt this Period
77.00

B.

Full Name (Last, First, Middle Initial)
Ms. Cheryl Crispen

Mailing Address 1101 New York Ave, NW

City State Zip Code
Washington DC 20005-4213

FEC ID number of contributing federal political committee. **C**

Name of Employer
Securities Industry and Financial Mark

Occupation
Executive Vice President, Communicatio

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1249.95

Date of Receipt
MM / DD / YYYY
07 / 01 / 2011

Transaction ID: 19311010

Amount of Each Receipt this Period
96.15

C.

Full Name (Last, First, Middle Initial)
Mr. Thomas F Price

Mailing Address 120 Broadway
35th Floor

City State Zip Code
New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer
Securities Industry and Financial Mark

Occupation
Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
539.00

Date of Receipt
MM / DD / YYYY
07 / 15 / 2011

Transaction ID: 19311014

Amount of Each Receipt this Period
38.50

SUBTOTAL of Receipts This Page (optional) ► **211.65**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan		Date of Receipt	
	Mailing Address 1101 New York Avenue, NW Suite 800		M M / D D / Y Y Y Y Y 07 / 15 / 2011	
	City State Zip Code Washington DC 20005-4279		Transaction ID: 19311015	
	FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 19.22	
	Name of Employer Securities Industry and Financial Mark		Occupation Vice President	
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 346.17	

B.	Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt	
	Mailing Address 1101 New York Ave, NW 8th Floor		M M / D D / Y Y Y Y Y 07 / 15 / 2011	
	City State Zip Code Washington DC 20005-4269		Transaction ID: 19311016	
	FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 38.50	
	Name of Employer Securities Industry and Financial Mark		Occupation Managing Director	
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 422.50	

C.	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy		Date of Receipt	
	Mailing Address 1101 New York Ave, NW 8th Floor		M M / D D / Y Y Y Y Y 07 / 15 / 2011	
	City State Zip Code Washington DC 20005-4269		Transaction ID: 19311017	
	FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 19.23	
	Name of Employer Securities Industry and Financial Mark		Occupation Managing Director	
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 287.49	

SUBTOTAL of Receipts This Page (optional)	▶	76.95
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 29

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Ms. Lisa Bleier

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 211.53

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 15 / 2011

Transaction ID: 19311018

Amount of Each Receipt this Period

19.23

B.

Full Name (Last, First, Middle Initial)
Ms. Bradley Gaskins Edgell

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 423.50

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 15 / 2011

Transaction ID: 19311019

Amount of Each Receipt this Period

38.50

C.

Full Name (Last, First, Middle Initial)
Mr. Kenneth E. Bentsen, Jr.

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation EVP, Public Policy and Advocacy

Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 2692.90

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 15 / 2011

Transaction ID: 19311020

Amount of Each Receipt this Period

192.35

SUBTOTAL of Receipts This Page (optional)

250.08

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 19 / 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. Lisa Fues	Date of Receipt MM / DD / YYYY 07 / 15 / 2011
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 19311021
	City Washington State DC Zip Code 20005-4269	Amount of Each Receipt this Period 19.23
	FEC ID number of contributing federal political committee. C	
	Name of Employer: Securities Industry and Financial Mark Occupation: Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 211.53	

B.	Full Name (Last, First, Middle Initial) Ms Kim Chamberlain	Date of Receipt MM / DD / YYYY 07 / 15 / 2011
	Mailing Address 120 Broadway 35th Floor	Transaction ID: 19311022
	City New York State NY Zip Code 10271-3599	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	
	Name of Employer: Securities Industry and Financial Mark Occupation: Managing Director & Counsel, State Gov Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00	

C.	Full Name (Last, First, Middle Initial) Marin E. Gibson	Date of Receipt MM / DD / YYYY 07 / 15 / 2011
	Mailing Address 120 Broadway 35th Floor	Transaction ID: 19311023
	City New York State NY Zip Code 10271-3599	Amount of Each Receipt this Period 69.23
	FEC ID number of contributing federal political committee. C	
	Name of Employer: Securities Industry and Financial Mark Occupation: Managing Director & Counsel Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 969.22	

SUBTOTAL of Receipts This Page (optional)	126.96
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 / 29		
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt MM / DD / YYYY 07 / 15 / 2011		
	Mailing Address 1101 New York Ave, NW 8th Floor			Transaction ID: 19311024		
	City Washington	State DC	Zip Code 20005-4269	Amount of Each Receipt this Period 100.00		
	FEC ID number of contributing federal political committee. C					
	Name of Employer Securities Industry and Financial Mark		Occupation Senior Managing Director			
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1400.00			

B.	Full Name (Last, First, Middle Initial) Mr. James McHale			Date of Receipt MM / DD / YYYY 07 / 15 / 2011		
	Mailing Address 1101 New York Ave, NW 8th Floor			Transaction ID: 19311025		
	City Washington	State DC	Zip Code 20005-4269	Amount of Each Receipt this Period 19.23		
	FEC ID number of contributing federal political committee. C					
	Name of Employer Securities Industry and Financial Mark		Occupation Managing Director, Associate General C			
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 211.53			

C.	Full Name (Last, First, Middle Initial) Ms. Kyle Brandon			Date of Receipt MM / DD / YYYY 07 / 15 / 2011		
	Mailing Address 120 Broadway 35th Floor			Transaction ID: 19311026		
	City New York	State NY	Zip Code 10271-3599	Amount of Each Receipt this Period 77.00		
	FEC ID number of contributing federal political committee. C					
	Name of Employer Securities Industry and Financial Mark		Occupation Managing Director, DOR			
	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 616.00			

SUBTOTAL of Receipts This Page (optional)	▶	196.23
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 21 / 29	
	(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt																					
	Mailing Address 1101 New York Ave, NW		<table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>1</td><td>5</td><td></td><td>2</td><td>0</td><td>1</td><td>1</td> </tr> </table>		M	M	/	D	D	/	Y	Y	Y	Y	0	7		1	5		2	0	1	1
	M	M	/	D	D	/	Y	Y	Y	Y														
	0	7		1	5		2	0	1	1														
	City State Zip Code Washington DC 20005-4213		Transaction ID: 19311029																					
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 96.15																						
Name of Employer Securities Industry and Financial Mark		Occupation Executive Vice President, Communicatio																						
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1346.10																						

SUBTOTAL of Receipts This Page (optional)	▶	96.15
TOTAL This Period (last page this line number only)	▶	13498.83

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 22 / 29	
	(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
 Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Bank of America Federal PAC		Date of Receipt
Mailing Address 1455 Pennsylvania Ave NW Suite 950 DC8-455-09-01		<input type="text" value="07"/> / <input type="text" value="28"/> / <input type="text" value="2011"/>
City Washington	State DC	Zip Code 20004
FEC ID number of contributing federal political committee. C C00364778		Transaction ID: 19273309
Name of Employer		Occupation
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Amount of Each Receipt this Period 5000.00
Aggregate Year-to-Date ▼ 5000.00		Contribution

SUBTOTAL of Receipts This Page (optional)	5000.00
TOTAL This Period (last page this line number only)	5000.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 23 / 29	
	(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input checked="" type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association		Date of Receipt	
	Mailing Address 120 Broadway Floor 35		M M / D D / Y Y Y Y 07 / 20 / 2011	
	City	State	Zip Code	Transaction ID: 19311053
	New York	NY	10271	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		C	80.64
	Name of Employer		Occupation	Reimbursement of Bank Fees
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1101.24		

SUBTOTAL of Receipts This Page (optional)	80.64
TOTAL This Period (last page this line number only)	80.64

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Levin For Congress	Transaction ID: 19272302 Date of Disbursement 07 / 27 / 2011
	Mailing Address PO Box 37	
	City Roseville State MI Zip Code 48066	Amount of Each Disbursement this Period 1500.00
	Purpose of Disbursement Contribution Candidate Name Rep. Sander M. Levin Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: MI District: 12	Contribution
	Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	

B.	Full Name (Last, First, Middle Initial) Lucas For Congress	Transaction ID: 19272310 Date of Disbursement 07 / 27 / 2011
	Mailing Address Post Office Box 1726	
	City Oklahoma City State OK Zip Code 73101	Amount of Each Disbursement this Period 1500.00
	Purpose of Disbursement Contribution Candidate Name Rep. Frank D. Lucas Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: OK District: 03	Contribution
	Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	

C.	Full Name (Last, First, Middle Initial) Lynn Westmoreland For Congress	Transaction ID: 19272311 Date of Disbursement 07 / 27 / 2011
	Mailing Address PO Box 458	
	City Sharpsburg State GA Zip Code 30277	Amount of Each Disbursement this Period 1500.00
	Purpose of Disbursement Contribution Candidate Name Rep. Lynn Westmoreland Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: GA District: 08	Contribution
	Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	

SUBTOTAL of Disbursements This Page (optional)	4500.00
TOTAL This Period (last page this line number only)	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) David Schweikert For Congress	Transaction ID: 19272319 Date of Disbursement 07 / 27 / 2011
	Mailing Address 15749 E El Lago Blvd	
	City Fountain Hills State AZ Zip Code 85268	Amount of Each Disbursement this Period 1000.00
	Purpose of Disbursement Contribution Candidate Name Rep. David Schweikert Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: AZ District: 05	011 Category/ Type
	Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Contribution

B.	Full Name (Last, First, Middle Initial) Friends Of Carolyn Mccarthy	Transaction ID: 19272325 Date of Disbursement 07 / 27 / 2011
	Mailing Address 151 Linden Road	
	City Mineola State NY Zip Code 11501	Amount of Each Disbursement this Period 1000.00
	Purpose of Disbursement Contribution Candidate Name Rep. Carolyn McCarthy Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: NY District: 04	011 Category/ Type
	Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Contribution

C.	Full Name (Last, First, Middle Initial) Lynn Jenkins For Congress	Transaction ID: 19272326 Date of Disbursement 07 / 27 / 2011
	Mailing Address P.O. Box 1441	
	City Topeka State KS Zip Code 66601	Amount of Each Disbursement this Period 1000.00
	Purpose of Disbursement Contribution Candidate Name Rep. Lynn Jenkins Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: KS District: 02	011 Category/ Type
	Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Contribution

SUBTOTAL of Disbursements This Page (optional) ▶

3000.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial) Montanans For Tester <hr/> Mailing Address PO Box 1135 <hr/> City Helena State MT Zip Code 59624 <hr/> Purpose of Disbursement Void Contribution 4/25/2011 - Montanans For Tester Candidate Name Sen. Jon Tester Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President State: MT District: Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 19272332 Date of Disbursement 07 / 27 / 2011 <hr/> Amount of Each Disbursement this Period -1500.00 <hr/> Void Contribution 4/25/20-11 - Montanans For Tester
	011 Category/ Type

B. Full Name (Last, First, Middle Initial) Montanans For Tester <hr/> Mailing Address PO Box 1135 <hr/> City Helena State MT Zip Code 59624 <hr/> Purpose of Disbursement Contribution Candidate Name Sen. Jon Tester Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President State: MT District: Disbursement For: 2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 19272333 Date of Disbursement 07 / 27 / 2011 <hr/> Amount of Each Disbursement this Period 1500.00 <hr/> Contribution
	011 Category/ Type

C. Full Name (Last, First, Middle Initial) Lucas For Congress <hr/> Mailing Address Post Office Box 1726 <hr/> City Oklahoma City State OK Zip Code 73101 <hr/> Purpose of Disbursement Contribution Candidate Name Rep. Frank D. Lucas Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: OK District: 03 Disbursement For: 2012 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 19272388 Date of Disbursement 07 / 27 / 2011 <hr/> Amount of Each Disbursement this Period 500.00 <hr/> Contribution
	011 Category/ Type

SUBTOTAL of Disbursements This Page (optional) ▶	500.00
TOTAL This Period (last page this line number only) ▶	(Empty box)

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Scott Garrett for Congress

Mailing Address P.O. Box 905

City
Newton

State
NJ

Zip Code
07860

Purpose of Disbursement
Contribution

Candidate Name
Rep. Scott Garrett

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NJ District: 05

Transaction ID: 19273151

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Contribution

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 19311048 Date of Disbursement 07 / 05 / 2011	Amount of Each Disbursement this Period 29.80 Bank Fees
B.	Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 19311050 Date of Disbursement 07 / 05 / 2011	Amount of Each Disbursement this Period 28.54 Bank Fees
C.	Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 19311051 Date of Disbursement 07 / 05 / 2011	Amount of Each Disbursement this Period 13.43 Bank Fees

SUBTOTAL of Disbursements This Page (optional) ▶

71.77

TOTAL This Period (last page this line number only) ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Bank Fees

Candidate Name

001
Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: Primary General
 Other (specify) ▼

State: District:

Transaction ID: 19311052

Date of Disbursement

07 / 05 / 2011

Amount of Each Disbursement this Period

8.87

Bank Fees

SUBTOTAL of Disbursements This Page (optional)

8.87

TOTAL This Period (last page this line number only)

80.64