

RQ-2

March 28, 2014

ALDEN SMITH, TREASURER ALDEN SMITH FOR CONGRESS 499 BROADWAY, SUITE 303 BANGOR, ME 04401

Response Due Date 05/02/2014

**IDENTIFICATION NUMBER: C00544965** 

REFERENCE: YEAR-END REPORT (10/01/2013 - 12/31/2013)

## Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 5 item(s):

- 1. The beginning cash balance of this report does not equal the ending balance of your 2013 October Quarterly Report. Please correct this discrepancy and amend all subsequent reports that may be affected by the correction. (2 U.S.C. § 434(b)(1) and 11 CFR § 104.3(a)(1))
- 2. The totals listed on Line(s) 6(a), 6(c), 7(a), 7(c), 11(a)(i), 11(a)(ii), 11(a)(iii), 11(e), 13(a), 13(c), 16, 17, 19(a), 19(c), and 22 Column B of the Summary and Detailed Summary Page(s) appear to be incorrect. Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your previous report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals (11/7/12 through 11/4/14). (2 U.S.C. § 434(b) and 11 CFR § 104.3)
- **3.** Commission Regulations require the continuous reporting of all outstanding loans. This report omits the loan(s) itemized on your previous report(s). Please amend your report(s) to indicate the current status of the following loan(s): Alden W. Smith \$10,000 incurred 4/10/13. (11 CFR §§ 104.3(d) and 104.11)
- **4.** Commission Regulations require the continuous reporting of all outstanding debts. This report omits debts itemized on your previous report(s). (11 CFR §§

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104.3(d) and 104.11) Please file an amendment to your report to disclose the current status of these omitted debts: Alden W. Smith - \$5,950.69 - "Consulting Services out of pocket."

**5.** On Schedule B of your report, you failed to provide the purpose for all itemized expenditures. Commission Regulations require that you disclose the purpose for each expenditure made by your committee to a person/vendor in which the aggregate amount of payments made to that person/vendor exceeds \$200 for the election cycle. Please amend Schedule B of your report to include the missing information. (11 CFR § 104.3(b)(4))

A non-exhaustive list of acceptable purposes is available on the FEC website at http://www.fec.gov/rad/pacs/documents/ExamplesofAdequatePurposes.pdf.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended.

If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1170.

Sincerely,

Robin Kelly

Senior Campaign Finance Analyst

Reports Analysis Division

Rober C. Kelly