**FEC FORM 3X**

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Genentech, Inc. Political Action Committee

ADDRESS (number and street) ▼ 460 Point San Bruno Blvd.

M.S. 24

Check if different than previously reported. (ACC)

South San Francisc — CA — 94080 –

2. **FEC IDENTIFICATION NUMBER** ▼ — CITY ▲ — STATE ▲ — ZIPCODE ▲

C00199257

3. IS THIS REPORT — NEW (N) **OR** X AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

| | | | |
|---|---|---|---|
| Feb 20 (M2) | May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (M13) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) X General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on 11 05 2002 in the State of CA

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 10 01 2002 through 10 16 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Robert Wong

Signature of Treasurer Electronically Filed by Mr. Robert Wong Date 11 25 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | **FEC FORM 3X** (Revised 1/2001) |
|---|---|---|---|

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)



Write or Type Committee Name
Genenbach, Inc. Political Action Committee

Report Covering the Period: From: 10 01 2002 To: 10 16 2002

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 2002 | | 22049.15 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 12468.58 | |
| (c) Total Receipts (from Line 19) ..... | 0.00 | 71419.43 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 12468.58 | 93468.58 |
| 7. Total Disbursements (from Line 30) ..... | 8000.00 | 89000.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 4468.58 | 4468.58 |
| 9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)



Write or Type Committee Name

Genentech, Inc. Political Action Committee

Report Covering the Period: From: 10 01 2002 To: 10 16 2002

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) ..... | 0.00 | |
| (ii) Unitemized ..... | 0.00 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶ | 0.00 | 69419.43 |
| (b) Political Party Committees ..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) ..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶ | 0.00 | 69419.43 |
| 12. Transfers From Affiliated/Other Party Committees ..... | 0.00 | 0.00 |
| 13. All Loans Received ..... | 0.00 | 0.00 |
| 14. Loan Repayments Received ..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4) ..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees ..... | 0.00 | 2000.00 |
| 17. Other Federal Receipts Dividends, Interest, etc.) ..... | 0.00 | 0.00 |
| 18. Transfers from Nonfederal Account for Joint Activity ..... | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶ | 0.00 | 71419.43 |
| 20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶ | 0.00 | 71419.43 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 8000.00 | 86000.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 3000.00 |
| 30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶ | 8000.00 | 89000.00 |
| 31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶ | 8000.00 | 89000.00 |
| **III. Net Contributions/Operating Expenditures** | | |
| 32. Total Contributions (other than loans) from Line 11(d), page 3) | 0.00 | 69419.43 |
| 33. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 34. Net Contributions (other than loans) (subtract Line 33 from Line 32) | 0.00 | 69419.43 |
| 35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶ | 0.00 | 0.00 |
| 36. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶ | 0.00 | 0.00 |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29



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NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Mainstream PAC

Mailing Address
111 W. St. John Street — Suite 400
City: San Jose — State: CA — Zip Code: 95113

Purpose of Disbursement
Contribution: Mainstream PAC

Candidate Name
Mainstream PAC

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: 2002
Primary / General
X Other (specify)
Other

Date of Disbursement: 10 / 04 / 2002

Amount of Each Disbursement this Period: 5000.00

Contribution: Mainstream PAC

Transaction ID: D698

**B.**
Full Name (Last, First, Middle Initial)
Republican Majority Fund

Mailing Address
101 Constitution Ave, NW. — Suite 900 West
City: Washington — State: DC — Zip Code: 20001

Purpose of Disbursement
Contribution: Republican Majority Fund

Candidate Name
Republican Majority Fund

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: 2002
Primary / General
X Other (specify)
Other

Date of Disbursement: 10 / 04 / 2002

Amount of Each Disbursement this Period: 2000.00

Contribution: Republican Majority Fund

Transaction ID: D699

**C.**
Full Name (Last, First, Middle Initial)
Hall for Congress

Mailing Address
310 E. Capitol Street, NE — Apt. A
City: Washington — State: DC — Zip Code: 20003-3840

Purpose of Disbursement
Contribution: Ralph M. Hall (TX-4-D)

Candidate Name
Ralph M. Hall (TX-4-D)

Category/Type

Office Sought: X House / Senate / President
State: TX District: 4

Disbursement For: 2002
Primary / X General
Other (specify)

Date of Disbursement: 10 / 04 / 2002

Amount of Each Disbursement this Period: 1000.00

Contribution: Ralph M. Hall (TX-4-D)

Transaction ID: D700

**SUBTOTAL** of Disbursements This Page (optional) ..... ▶ **8000.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29



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NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Katrina Swett for Congress

Mailing Address
P.O. Box 1937
City: Bow | State: NH | Zip Code: 03304

Purpose of Disbursement
Returned Check #2507 dated 08/16/2002 fo

Candidate Name
Katrina Swett (NH-2-D)

Category/Type

Office Sought: X House / Senate / President
State: NH District: 2

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement
10 16 2002

Amount of Each Disbursement this Period
-1000.00

Returned Check #2507 dated 08/16/2002 for Katrina Swett (NH-2-D).

Transaction ID: D701

**B.**
Full Name (Last, First, Middle Initial)
Katrina Swett for Congress

Mailing Address
15 Green Street
City: Concord | State: NH | Zip Code: 03301

Purpose of Disbursement
Contribution: Katrina Swett (NH-2-D) - G

Candidate Name
Katrina Swett (NH-2-D)

Category/Type

Office Sought: X House / Senate / President
State: NH District: 2

Disbursement For: 2002
Primary / X General / Other (specify)

Date of Disbursement
10 15 2002

Amount of Each Disbursement this Period
1000.00

Contribution: Katrina Swett (NH-2-D) - General 2002

Transaction ID: D70B

**C.**

| | |
|---|---|
| **SUBTOTAL** of Disbursements This Page (optional) ..... ▶ | **0.00** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **8000.00** |