REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEC MAIL ROOM
2000 JUN 27 P 12:03

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
National Association of Small Business Investment Companies Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
666 11th Street, NW #750

CITY, STATE and ZIP CODE
Washington, DC 20001

2. FEC IDENTIFICATION NUMBER
C001109991

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☒ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election) election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? ☒ YES ☐ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period Jan 1, 2000 through March 31, 2000		
6. (a) Cash on Hand January 1, 19 2000		$ 30,206.33
(b) Cash on Hand at Beginning of Reporting Period	$ 30,206.33	
(c) Total Receipts (from Line 19)	$ 30,875.00	$ 30,875.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 61,081.33	$ 61,081.33
7. Total Disbursements (from Line 30)	$ 16,750.00	$ 16,750.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 44,331.33	$ 44,331.33
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-694-1100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Lee W. Mercer

Signature of Treasurer
Lee W Mercer

Date
June 23, 2000

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/98)

Amendment

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: National Association of Small Business Investment Companies Political Action Committee

REPORT COVERING PERIOD FROM 1/1/00 TO: 3/31/00

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	29,625.00	29,625.00	11(a)(i)
ii. Unitemized	250.00	250.00	11(a)(ii)
iii. Total (add i and ii) ➤	29,875.00	29,875.00	11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)	1,000.00	1,000.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	30,875.00	30,875.00	11(d)
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)			17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	30,875.00	30,875.00	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	30,875.00	30,875.00	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			21(c)
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	16,750.00	16,750.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	16,750.00	16,750.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	16,750.00	16,750.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	30,875.00	30,875.00	32
33. Total Contribution Refunds (from line 28d)			33
34. Net Contributions (other than loans)(subtract line 33 from 32)			34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤			35
36. Offsets to Operating Expenditures (from line 15)			36
37. Net Operating Expenditures (subtract line 36 from 35) ➤			37

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(d)

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Thomas Whitney 10 Post Office Square Boston, MA 02109 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Marathon Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	3/30/00	$500.00
B. Thomas F. Lee 10 Post Office Square Boston, MA 02109 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Marathon Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 250.00	3/28/00	$250.00
C. Elam Hitchner 259 Radnor Chester Road Radnor, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00	3/13/00	$1,000.00
D. Robert Brown 259 Radnor Chester Road Radnor, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00	3/13/00	$1,000.00
E. Kenneth Jones 259 Radnor Chester Road Radnor, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00	3/13/00	$1,000.00
F. Wayne Clevenger 466 Southern Blvd. Chatham, NJ 07928 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Midmark Investment Inc. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	3/9/00	$500.00
G. Jeanette D. Paschal 666 11th Street, NW Washington, DC 20001 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Nat. Association of Small Business Companies Occupation: Association Exec. Aggregate Year-to-Date > $ 500.00	2/17/00	$500.00

SUBTOTAL of Receipts This Page (optional) $4,750.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 7

FOR LINE NUMBER 11(a)

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Peter Wolken One First Street Los Altos, CA 94022 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: AVI Capital LLC Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	3/28/00	$500.00
B. Bank Of America Political Action Committee 100 North Tryon Street Charlotte, NC 28255 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Bank of America PAC Occupation: Political Action Cmt. Aggregate Year-to-Date > $ 1,000.00	3/9/00	See separate Schedule A
C. Steve Kirby 122 S. Phillips Ave. Sioux Falls, SD 57104 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Bluestem Capital Co. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	2/22/00	$500.00
D. Paul Schock 122 S. Phillips Ave. Sioux Falls SD 57104 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Bluestem Capital Co. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $500.00	2/22/00	$500.00
E. William R. Thomas 12900 Preston Road Dallas, TX 75230 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Capital SOuthwest Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	3/28/00	$500.00
F. Patrick F. Hamner 12900 Preston Road Dallas, TX 75230 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Capital Southwest Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 250.00	3/28/00	$250.00
G. Keith R. Fox 10 East 53rd Street New York, NY 10022 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Exeter Group of Funds Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00	2/2/00	$1,000.00

SUBTOTAL of Receipts This Page (optional) $37,250.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 11(c)

Amendment

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America Political Action Committee 100 N. Tryon Street Charlotte, NC 2825	Bank of America	3/9/00	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Political Action Committee Aggregate Year-to-Date > $ $1,000.00		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		

SUBTOTAL of Receipts This Page (optional) .....

TOTAL This Period (last page this line number only) .....

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SCHEDULE B
Amendment

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Shelly Moore Capito for Congress Committee P.O. Box 11519 Charleston, WV 25339	H-WV-2 Disbursement for: xx Primary ___ General ___ Other (specify)	3/16/00	$250.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
People for English P.O. Box 1940 Erie, PA 16507	H-PA-21 Disbursement for: xx Primary ___ General ___ Other (specify)	3/22/00	$500.00
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
National Republican Congressional Committee 38-A G Street, SW Washington, DC 20024	Leadership Committee Disbursement for: ___ Primary ___ General xx Other (specify) leadership cmt.	3/27/00	$2,000.00
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bill Pascrell for Congress 30 Ivy Street, SE Washington, DC 20003	H-NJ-8 Disbursement for: xx Primary ___ General ___ Other (specify)	3/27/00	$500.00
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
AbrahamSenate 2000 425 Second Street, NE Washington, DC 20003	S-MI Disbursement for: xx Primary ___ General ___ Other (specify)	3/27/00	$1,000.00
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Cmt. to Re-Elect Congresswoman Marge Roukema P.O. Box 625 Ridgewood, NJ 07450	H-NJ-5 Disbursement for: xx Primary ___ General ___ Other (specify)	3/28/00	$500.00
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Jones for Congress 3729 Silsby Road Cleveland, OH 44118	H-OH-11 Disbursement for: xx Primary ___ General ___ Other (specify)	3/31/00	1,000.00
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bayou PAC 524 Ft. Williams Parkway Alexandria, VA 22304	Leadership Committee Disbursement for: ___ Primary ___ General xx Other (specify) leadership comm.	3/3/100	$1,000.00
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
The Senate Victory Fund P.O. Box 7274 Tupelo, MS 38802	Leadership Committee Disbursement for: ___ Primary ___ General ___ Other (specify) Leadership Cmt.	3/31/00	$1,000.00

SUBTOTAL of Disbursements This Page (optional) $7,750.00

TOTAL This Period (last page this line number only) $16,750.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	Date of Receipt
☑	First Class Mail	POSTMARKED 6·26-02
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	
	PREPARER	DATE PREPARED

(4/98)