

Image# 13962132810

PAGE 1 / 25

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

Rock City PAC

ADDRESS (number and street)

1015 Stonebridge Park Drive

Check if different
than previously
reported. (ACC)

Franklin

TN

37069

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C

C00436410

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

April 15
Quarterly Report (Q1)July 15
Quarterly Report (Q2)October 15
Quarterly Report (Q3)January 31
Year-End Report (YE)July 31 Mid-Year
Report (Non-election
Year Only) (MY)Termination Report
(TER)(b) Monthly
Report
Due On:

Feb 20 (M2)



May 20 (M5)



Aug 20 (M8)

Nov 20 (M11)
(Non-Election
Year Only)

Mar 20 (M3)



Jun 20 (M6)



Sep 20 (M9)

Dec 20 (M12)
(Non-Election
Year Only)

Apr 20 (M4)



Jul 20 (M7)



Oct 20 (M10)



Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:

Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of(d) 30-Day
POST-Election
Report for the:

General (30G)



Runoff (30R)



Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Kimberly Kaegi

Signature of Treasurer

Kimberly Kaegi

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Rock City PAC

Report Covering the Period: From: M M / D D / Y Y Y Y Y 01 / 01 / 2013 To: M M / D D / Y Y Y Y Y 03 / 31 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y 2013		125733.73
(b) Cash on Hand at Beginning of Reporting Period.....	125733.73	
(c) Total Receipts (from Line 19)	34147.03	34147.03
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	159880.76	159880.76
7. Total Disbursements (from Line 31)	64485.54	64485.54
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	95395.22	95395.22
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Rock City PAC

Report Covering the Period:

From:

M M	/	D D	/	Y Y Y Y Y
01		01		2013

To:

M M	/	D D	/	Y Y Y Y Y
03		31		2013

I. Receipts
COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

8000.00

8000.00

(ii) Unitemized

0.00

0.00

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

8000.00

8000.00

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

26000.00

26000.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

34000.00

34000.00

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

147.03

147.03

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),

12, 13, 14, 15, 16, 17, and 18(c)) ▶

34147.03

34147.03

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

34147.03

34147.03

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	14485.54	14485.54
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	14485.54	14485.54
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	50000.00	50000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	64485.54	64485.54
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	64485.54	64485.54

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	34000.00	34000.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	34000.00	34000.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	14485.54	14485.54
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	14485.54	14485.54

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 25
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. John W. Dalton

Mailing Address 8604 Abraham Ln

City

Knoxville

State

TN

Zip Code

37931

FEC ID number of contributing
federal political committee.

C

Name of Employer

Perceptics

Occupation

President

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 25 / 2013

Transaction ID : 30326.C71996

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

B. Jeff Tassey

Mailing Address 3738 30th Place North

City

Arlington

State

VA

Zip Code

22207

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tassey & Associates

Occupation

Owner

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71966

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

C. John R. Dearie, Jr.

Mailing Address 503 Arnon Lake Dr

City

Great Falls

State

VA

Zip Code

22066

FEC ID number of contributing
federal political committee.

C

Name of Employer

Financial Services Forum

Occupation

Exeuctive VP, Policy

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71961

Amount of Each Receipt this Period

1000.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

3000.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Michael Nielsen

Mailing Address 10853 Murray Down Ct.

City State Zip Code
 Reston VA 20194

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Bennett Consulting Group

Occupation

Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

01 / 04 / 2013

Transaction ID : 30107.C69673

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

B. John M. Duncan

Mailing Address 1207 Independence Ave, SE

City State Zip Code
 Washington DC 20003

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Livingston Group, LLC

Occupation

Fin. Services & Tax Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

03 / 12 / 2013

Transaction ID : 30315.C71879

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

C. John Ikard

Mailing Address 7260 S. Polo Ridge Dr.

City State Zip Code
 Littleton CO 80128

FEC ID number of contributing
federal political committee.

C

Name of Employer

First Bank

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / 21 / 2013

Transaction ID : 30325.C71952

Amount of Each Receipt this Period

500.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 25
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Douglas Peterson

Mailing Address Standard & Poors

City State Zip Code
Washington DC 20002

FEC ID number of contributing
federal political committee.

C

Name of Employer

Standard and Poor

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71963

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

B. David Franasiak

Mailing Address 873 Coahway

City State Zip Code
Annapolis MD 21401

FEC ID number of contributing
federal political committee.

C

Name of Employer

Williams & Jensen

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71964

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

C. Nova Daly

Mailing Address 7906 Roswell Drive

City State Zip Code
Falls Church VA 22043

FEC ID number of contributing
federal political committee.

C

Name of Employer

Wiley Rein & Fielding

Occupation

Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71965

Amount of Each Receipt this Period

500.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Bob Jones

Mailing Address 1100 Sewanee Drive

City
Evansville

State
IN

Zip Code
47725

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Old National

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71955

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

500.00

8000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER: PAGE 10 OF 25
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. UPS PAC

Mailing Address Mr. Royal Roth

316 Pennsylvania Ave SE Ste 300

City

Washington

State

DC

Zip Code

20003-1173

FEC ID number of contributing
federal political committee.

C

C00064766

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 28 / 2013

Transaction ID : 30403.C72021

Amount of Each Receipt this Period

5000.00

Receipt

Full Name (Last, First, Middle Initial)

B. Regions Financial Corporation PAC

Mailing Address Mr. Brian Smith

Post Office Box 10247

City

Birmingham

State

AL

Zip Code

35202

FEC ID number of contributing
federal political committee.

C

C00432252

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 15 / 2013

Transaction ID : 30315.C71907

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

C. US Bancorp Political Part. PAC

Mailing Address Mr. Jim Nikolai

800 Nicollet Mall BC-MN-H210

City

Minneapolis

State

MN

Zip Code

55402

FEC ID number of contributing
federal political committee.

C

C00018036

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 05 / 2013

Transaction ID : 30315.C71855

Amount of Each Receipt this Period

1000.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

7000.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. PriceWaterhouseCoopers PAC

Mailing Address Ms. Laura Cox Kaplan

1301 K Street NW, Suite 800 West

City

Washington

State

DC

Zip Code

20005

FEC ID number of contributing
federal political committee.

C C00107235

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

03 / **21** / **2013**

Transaction ID : 30325.C71967

Amount of Each Receipt this Period

2500.00

Receipt

Full Name (Last, First, Middle Initial)

B. DLA Piper PAC

Mailing Address Mr. Steven R. Phillips

500 Eighth Street NW

City

Washington

State

DC

Zip Code

20004

FEC ID number of contributing
federal political committee.

C C00151340

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

01 / **04** / **2013**

Transaction ID : 30107.C69672

Amount of Each Receipt this Period

1500.00

Receipt

Full Name (Last, First, Middle Initial)

C. First Horizon Federal PAC

Mailing Address Mr. Herb Hilliard

P.O. Box 84

City

Memphis

State

TN

Zip Code

38101-0084

FEC ID number of contributing
federal political committee.

C C00008151

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / **21** / **2013**

Transaction ID : 30325.C71958

Amount of Each Receipt this Period

500.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☐ 11a ☐ 11b ☒ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Raytheon PAC

Mailing Address Mr. John Barnes

1100 Wilson Blvd Ste 1500

City

Arlington

State

VA

Zip Code

22209

FEC ID number of contributing
federal political committee.

C C00097568

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

03 / **28** / **2013**

Transaction ID : 30403.C72022

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

B. American Express PAC

Mailing Address Mr. Robert B. Thomson III

801 Pennsylvania Ave NW Ste 650

City

Washington

State

DC

Zip Code

20004

FEC ID number of contributing
federal political committee.

C C00040535

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

03 / **26** / **2013**

Transaction ID : 30326.C72004

Amount of Each Receipt this Period

2500.00

Receipt

Full Name (Last, First, Middle Initial)

C. National Assoc of Fed Credit Unions PAC

Mailing Address Mr. Dan Berger

3138 10th Street North

City

Arlington

State

VA

Zip Code

22201-2149

FEC ID number of contributing
federal political committee.

C C00040659

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

03 / **21** / **2013**

Transaction ID : 30325.C71968

Amount of Each Receipt this Period

1000.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Credit Suisse Securities (USA) PAC

Mailing Address Mr. Joseph Seidel

1201 F Street NW Ste 450

City

Washington

State

DC

Zip Code

20004

FEC ID number of contributing
federal political committee.

C C00111559

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

03 / **29** / **2013**

Transaction ID : 30403.C72040

Amount of Each Receipt this Period

1000.00

Receipt

Full Name (Last, First, Middle Initial)

B. Capital One Financial Corporation PAC

Mailing Address Mr. Rick Olson

1680 Capital One Drive

City

McLean

State

VA

Zip Code

22102-3491

FEC ID number of contributing
federal political committee.

C C00326595

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

03 / **29** / **2013**

Transaction ID : 30403.C72039

Amount of Each Receipt this Period

2500.00

Receipt

Full Name (Last, First, Middle Initial)

C. Investment Company Institute PAC

Mailing Address Mr. Jim Hart

1401 H St, NW, #1200

City

Washington

State

DC

Zip Code

20005-2148

FEC ID number of contributing
federal political committee.

C C00105981

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

02 / **15** / **2013**

Transaction ID : 30305.C71759

Amount of Each Receipt this Period

1000.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☐ 11a ☐ 11b ☒ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Popular, Inc. PAC

Mailing Address Mr. Brian Doran

700 13th St, NW Ste 600

City

Washington

State

DC

Zip Code

20005

FEC ID number of contributing
federal political committee.

C

C00441303

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / 21 / 2013

Transaction ID : 30325.C71960

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

B. Knight Capital Group, Inc. Good Govt. Fu

Mailing Address Mr. Tom Joyce

545 Washington Blvd, 3rd Floor

City

Jersey City

State

NJ

Zip Code

07310

FEC ID number of contributing
federal political committee.

C

C00351205

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

03 / 26 / 2013

Transaction ID : 30326.C72005

Amount of Each Receipt this Period

1500.00

Receipt

Full Name (Last, First, Middle Initial)

C. Commerce Bancshares PAC

Mailing Address Mr. Jack Schreiber

PO Box 419248

City

Kansas City

State

MO

Zip Code

64141

FEC ID number of contributing
federal political committee.

C

C00072967

Name of Employer

N/A

Occupation

N/A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / 29 / 2013

Transaction ID : 30403.C72041

Amount of Each Receipt this Period

500.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

2500.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☐ 11a ☐ 11b ☒ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Webster Bank PAC

Mailing Address Mr. Jim Smith

145 Bank Street

City

Waterbury

State

CT

Zip Code

06702

FEC ID number of contributing
federal political committee.

C

C00321406

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71950

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

B. Iberia Bank PAC

Mailing Address Mr. Barry Berthelot

200 W. Congress Street

City

Lafayette

State

LA

Zip Code

70501

FEC ID number of contributing
federal political committee.

C

C00406066

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71956

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

C. Astoria Financial Corporation PAC

Mailing Address Mr. Daniel Quirk

One Astoria Federal Plaza

City

New Hyde Park

State

NY

Zip Code

11042

FEC ID number of contributing
federal political committee.

C

C00234245

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 / 21 / 2013

Transaction ID : 30325.C71953

Amount of Each Receipt this Period

500.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

1500.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Arvest Bank Group PAC

Mailing Address Mr. Scott Grigsby
PO Box 799

City State Zip Code
Lowell AR 72745

FEC ID number of contributing
federal political committee.

C C00336768

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / 21 / 2013

Transaction ID : 30325.C71954

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

B. F.N.B. Corporation PAC

Mailing Address Mr. Lawrence Gorski
3015 Glimcher Blvd.

City State Zip Code
Hermitage PA 16148

FEC ID number of contributing
federal political committee.

C C00514026

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / 29 / 2013

Transaction ID : 30403.C72042

Amount of Each Receipt this Period

500.00

Receipt

Full Name (Last, First, Middle Initial)

C. Peoples United Bank

Mailing Address Mr. Alexander Egelson
850 Main St, 15th Floor

City State Zip Code
Bridgeport CT 06604

FEC ID number of contributing
federal political committee.

C C00178012

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

03 / 29 / 2013

Transaction ID : 30403.C72043

Amount of Each Receipt this Period

500.00

Receipt

SUBTOTAL of Receipts This Page (optional)..... ►

1500.00

TOTAL This Period (last page this line number only)..... ►

26000.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Cardmember Service

Mailing Address PO Box 790408

City
Saint LouisState
MOZip Code
63179-0408Purpose of Disbursement
CREDIT CARD: SEE BELOW

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
01 22 2013

Transaction ID : 30129.E6911

Amount of Each Disbursement this Period

3079.36

CREDIT CARD: SEE BELOW

Full Name (Last, First, Middle Initial)

B. Southwest Airlines

Mailing Address 2702 Love Field Dr

City
DallasState
TXZip Code
75235-1908Purpose of Disbursement
Airline Ticket

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
01 22 2013

Transaction ID : 30129.E6913

Amount of Each Disbursement this Period

320.30

[MEMO ITEM]
MEMO: AIRLINE TICKET

Full Name (Last, First, Middle Initial)

C. Fiola Restaurant

Mailing Address 601 Pennsylvania Ave, NW

City
WashingtonState
DCZip Code
20004-Purpose of Disbursement
Event Expense

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
01 22 2013

Transaction ID : 30129.E6914

Amount of Each Disbursement this Period

1499.56

[MEMO ITEM]
MEMO: EVENT EXPENSE

SUBTOTAL of Disbursements This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3079.36

☒	21b	☐	22	☐	23	☐	24	☐	25	☐	26
☐	27	☐	28a	☐	28b	☐	28c	☐	29	☐	30b

Rock City PAC



800.00

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

LINCOLN DAY TABLE

MM / DD / YYYY



835.67

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

CREDIT CARD: SEE BELOW

371.91

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

MEMO: MEETING EXPENSE

1635.67

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Fiola Restaurant

Mailing Address 601 Pennsylvania Ave, NW

City Washington State DC Zip Code 20004-

Purpose of Disbursement
Meeting Expense

Candidate Name

Office Sought: ☐ House
 ☐ Senate
 ☐ President
 State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼
Category/
Type

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
02 26 2013

Transaction ID : 30423.E8522

Amount of Each Disbursement this Period

77.00

[MEMO ITEM]

MEMO: MEETING EXPENSE

Full Name (Last, First, Middle Initial)

B. Sue Rankin

Mailing Address 320 Lynnwood Blvd

City Nashville State TN Zip Code 37205-2927

Purpose of Disbursement
GOP Communication Consulting

Candidate Name

Office Sought: ☐ House
 ☐ Senate
 ☐ President
 State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼
Category/
Type

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
03 07 2013

Transaction ID : 30315.E6957

Amount of Each Disbursement this Period

300.00

GOP COMMUNICATION CONSULTING

Full Name (Last, First, Middle Initial)

C. Todd Womack

Mailing Address 604 N Crest Rd

City Chattanooga State TN Zip Code 37404-1010

Purpose of Disbursement
Consulting - not candidate specific

Candidate Name

Office Sought: ☐ House
 ☐ Senate
 ☐ President
 State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼
Category/
Type

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
02 19 2013

Transaction ID : 30423.E8515

Amount of Each Disbursement this Period

6625.00

CONSULTING - NOT CANDIDATE SPECIFIC

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6925.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Cardmember Service

Mailing Address PO Box 790408

City

Saint Louis

State

MO

Zip Code

63179-0408

Purpose of Disbursement

CREDIT CARD: SEE BELOW

Candidate Name

Category/
Type

Office Sought:

☐

House

☐

Senate

☐

President

Disbursement For:

☐

Primary

☐

General

☐

Other (specify) ▼

State:

District:

Date of Disbursement

M M M /
03D D D /
26Y Y Y Y Y Y
2013

Transaction ID : 30423.E8524

Amount of Each Disbursement this Period

2624.70

CREDIT CARD: SEE BELOW

Full Name (Last, First, Middle Initial)

B. Southwest Airlines

Mailing Address 2702 Love Field Dr

City

Dallas

State

TX

Zip Code

75235-1908

Purpose of Disbursement

Airline Tickets

Candidate Name

Category/
Type

Office Sought:

☐

House

☐

Senate

☐

President

Disbursement For:

☐

Primary

☐

General

☐

Other (specify) ▼

State:

District:

Date of Disbursement

M M M /
03D D D /
26Y Y Y Y Y Y
2013

Transaction ID : 30423.E8525

Amount of Each Disbursement this Period

678.60

[MEMO ITEM]

MEMO: AIRLINE TICKETS

Full Name (Last, First, Middle Initial)

C. The Caucus Room

Mailing Address 401 9th St NW

City

Washington

State

DC

Zip Code

20004-2127

Purpose of Disbursement

Event Expense

Candidate Name

Category/
Type

Office Sought:

☐

House

☐

Senate

☐

President

Disbursement For:

☐

Primary

☐

General

☐

Other (specify) ▼

State:

District:

Date of Disbursement

M M M /
03D D D /
26Y Y Y Y Y Y
2013

Transaction ID : 30423.E8527

Amount of Each Disbursement this Period

400.00

[MEMO ITEM]

MEMO: EVENT EXPENSE

SUBTOTAL of Disbursements This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2624.70

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. U.S. Senate RestaurantMailing Address Dirksen Office Bldg, SDG-55
First & C Streets, Northeast

City Washington State DC Zip Code 20510-

Purpose of Disbursement
TN Tuesday

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼Category/
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y
03 / 26 / 2013

Transaction ID : 30426.E8537

Amount of Each Disbursement this Period

582.50

[MEMO ITEM]

MEMO: TN TUESDAY

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼Category/
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼Category/
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

0.00

14264.73

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
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☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29	☐ 30b

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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Alexander for Senate

Mailing Address 1701 W End Ave Ste 300

City
NashvilleState
TNZip Code
37203-2610Purpose of Disbursement
CONTRIBUTION

Candidate Name

LAMAR ALEXANDER

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2014

☐ Primary ☒ General
☐ Other (specify) ▼

State: TN District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	22	/	2013

Transaction ID : 30325.E6967

Amount of Each Disbursement this Period

5000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

B. Alexander for Senate

Mailing Address 1701 W End Ave Ste 300

City
NashvilleState
TNZip Code
37203-2610Purpose of Disbursement
CONTRIBUTION

Candidate Name

LAMAR ALEXANDER

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: TN District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	22	/	2013

Transaction ID : 30325.E6966

Amount of Each Disbursement this Period

5000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

C. Susan Collins

Mailing Address P.O. Box 1096

City
BangorState
MEZip Code
04402-1096Purpose of Disbursement
CONTRIBUTION

Candidate Name

SUSAN M COLLINS

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: ME District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02	/	27	/	2013

Transaction ID : 30305.E6939

Amount of Each Disbursement this Period

5000.00

CONTRIBUTION

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

15000.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. Texans for Senator John Cornyn

Mailing Address P.O. Box 13026

City	State	Zip Code
Austin	TX	78711-

Purpose of Disbursement
CONTRIBUTION

Candidate Name

JOHN CORNYN

Office Sought:	☐ House
	☒ Senate
	☐ President

Disbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: TX District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03		29		2013

Transaction ID : 30403.E6977

Amount of Each Disbursement this Period

5000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

B. Lindsey Graham

Mailing Address P.O. Box 1801

City	State	Zip Code
Columbia	SC	29202-

Purpose of Disbursement
CONTRIBUTION

Candidate Name

LINDSEY GRAHAM

Office Sought:	☐ House
	☒ Senate
	☐ President

Disbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: SC District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		27		2013

Transaction ID : 30305.E6938

Amount of Each Disbursement this Period

5000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

C. Friends of Jim Inhofe Committee

Mailing Address PO Box 13300

City	State	Zip Code
Oklahoma City	OK	73113-1300

Purpose of Disbursement
CONTRIBUTION

Candidate Name

JAMES M INHOFE

Office Sought:	☐ House
	☒ Senate
	☐ President

Disbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: OK District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03		22		2013

Transaction ID : 30325.E6968

Amount of Each Disbursement this Period

5000.00

CONTRIBUTION

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

15000.00

--

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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☐ 21b	☐ 22	☒ 23	☐ 24	☐ 25	☐ 26
☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29	☐ 30b

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NAME OF COMMITTEE (In Full)

Rock City PAC

Full Name (Last, First, Middle Initial)

A. McConnell Senate Committee 14

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03		22		2013

Mailing Address PO Box 1496

City	State	Zip Code
Louisville	KY	40201-1496

Transaction ID : 30325.E6965Purpose of Disbursement
CONTRIBUTION

Amount of Each Disbursement this Period

Candidate Name

MITCH MCCONNELL

Category/
Type

5000.00

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

CONTRIBUTION

State: KY District: 00

Full Name (Last, First, Middle Initial)

B. National Republican Senatorial Committee

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03		19		2013

Mailing Address 425 2nd St NE

City	State	Zip Code
Washington	DC	20002-4914

Transaction ID : 30325.E6959Purpose of Disbursement
CONTRIBUTION

Amount of Each Disbursement this Period

Candidate Name

NATIONAL REPUBLICAN SENATORIAL COMMITTEE

Category/
Type

15000.00

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2013

☐ Primary ☐ General
☒ Other (specify) ▼

CONTRIBUTION

State: District: Other 2013

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Mailing Address

City	State	Zip Code

Amount of Each Disbursement this Period

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

20000.00

50000.00