



FEDERAL ELECTION COMMISSION
WASHINGTON, D. C. 20463

RQ-3

June 17, 1999

Jay Castle, Treasurer
Dave Wu for Congress
625 SW 10th Avenue
Portland, OR 97205

Identification Number: C00329292

Reference: Year End Report (11/24/98-12/31/98)

Dear Mr. Castle:

This letter is to inform you that as of June 16, 1999, the Commission has not received your response to our request for additional information, dated May 25, 1999. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Mary Beth deBeau on our toll-free number (800) 424-9530 or our local number (202) 694-1130.

Sincerely,

A handwritten signature in cursive script that reads "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Jay Castle, Treasurer
Dave Wu for Congress
921 S. W. Morrison, #436
Portland, OR 97205

MAY 25 1999

Identification Number: C00329292

Reference: Year End Report (11/24/98-12/31/98)

Dear Mr. Castle:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your Amended 30 Day Post-General report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for Line(s) 6(a), 6(b), 6(c), 7(a), 7(c), 11(b), 11(c), 11(e), 13(a), 13(c), 14, 15, 16, 17, 20(a), 20(d), 21 and 22 and any subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the year-to-date totals for the calendar year 1998.

-Your report discloses a negative ending cash balance of \$3,207.72. This suggests that you have overdrawn your account, made a mathematical error, or incurred a debt. If your committee has incurred a debt or obligation, please itemize this debt on Schedule D, show a zero balance on Line 8 of the Summary Page and include the amount on Line 10. If the negative ending cash balance is a result of an overdraft, it may constitute a prohibited bank contribution unless it is made on an account that has automatic overdraft protection with usual and customary interest rates and a definite repayment schedule. Please file an amendment to your report to accurately disclose your financial activities. 2 U.S.C. §434(b)(8) and 11 CFR §100.7(b)(11)

-Commission Regulations require the continuous reporting of all outstanding loans. This report omits the loan(s) itemized on your previous report(s). Please amend your report(s) to indicate the current status of the following loan(s): David Wu - 9/1/97 - \$28,500, David Wu - 10/27/98 - \$20,000, David Wu - 10/28/98 - \$30,000, David Wu - 10/29/98 - \$20,000.
V (11 CFR §§104.3(d) and 104.11)

-Commission Regulations require the continuous reporting of all outstanding debts. This report indicates an omission of debts itemized on your previous report(s). (11 CFR §§104.3(d) and 104.11) Please file an amendment to your report to disclose the current status of these omitted debts:

Pride Printing, \$3,740
Thor D. Hesla, \$16,000
Leonard Joseph, \$9,000
Erickson and Associates, \$9,950
Mark Kornblau, \$3,000
Sarah Wiecks, \$2,000
Gunther Oakley, \$1,600
Jill Riverman, \$2,000
Virginia Burdick, \$1,500
Est. Operational Debts, \$26,061
Terris and Jaye, \$3,500
Murphy Putnam Media, \$9,700
Barry Chang, \$1,000
Citibank VISA, \$9,325
Bank of America VISA, \$3,204
United Airlines VISA, \$2,997
Delta Airlines VISA, \$2,862
First USA VISA, \$1,511
David Wu, \$1,771
Kimberly Culvertson, \$1,000
Sam Evans, \$500
Aramark, \$1,000
Founders Club, \$611

-Your previous report discloses an outstanding debt of \$6,447 owed to Fordham and Fordham. This report discloses payment of \$1,000 on this debt; however, the outstanding balance is not included on Schedule D. Please correct this discrepancy and file an amendment to your report.

-On Schedule B of your report, you have itemized disbursements for which you have failed to include the complete address. Please amend your report to include the missing information. (11 CFR §104.3(b)(4))

-Schedule B of your report discloses reimbursements to individuals for transactions other than travel, meals and lodging. Please be advised that when itemizing reimbursements to individuals for goods or services, if the payment to the original vendor aggregates in excess of \$200 in a calendar year, a memo entry including the name and address of the original vendor, as well as the date, amount and purpose of the original purchase must be provided. Please amend your report to include the missing information. (11 CFR § 104.9)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,

Mary Beth deBeau

Mary Beth deBeau
Reports Analyst
Reports Analysis Division

