

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

E\*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different  
than previously  
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☒

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
Post -Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

09

01

2006

through

09

30

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

10

13

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
E\*TRADE Financial Corporation PAC

Report Covering the Period: From: 

M	M
0	9

D	D
0	1

Y	Y	Y	Y
2	0	0	6

 To: 

M	M
0	9

D	D
3	0

Y	Y	Y	Y
2	0	0	6

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1	2006	61933.13
(b) Cash on Hand at Beginning of Reporting Period .....	115350.62	
(c) Total Receipts (from Line 19) .....	11021.71	116493.98
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	126372.33	178427.11
7. Total Disbursements (from Line 31) .....	3000.00	55054.78
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	123372.33	123372.33
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M
0	9

D	D
0	1

Y	Y	Y	Y
2	0	0	6

To:

M	M
0	9

D	D
3	0

Y	Y	Y	Y
2	0	0	6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	10761.56	99662.15
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	182.70	16737.30
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	10944.26	116399.45
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	10944.26	116399.45
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	77.45	94.53
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	11021.71	116493.98
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	11021.71	116493.98

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	554.78
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	554.78
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		3000.00	54500.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees .....		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		3000.00	55054.78
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		3000.00	55054.78

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	10944.26	116399.45
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	10944.26	116399.45
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	554.78
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	554.78

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Allison Jeannotte

Mailing Address 60 State Street

City State Zip Code  
 Boston MA 02109

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
ETrade Finance Corp

Occupation  
Senior Corp Comm Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1005718117569

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Carol Phelan-Marsh

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1012220417569

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Charles Leonard

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Bank

Occupation  
Senior Mgr, Customer Service

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1012220817569

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

214.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
David Douglass  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Capital HoldingsOccupation  
Sr Mgr, Brokerage Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 . . . . .

Transaction ID: PR1016861417569

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Alison Mittelstadt  
Mailing Address 671 North Glebe Rd.

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Capital HoldingsOccupation  
Sr Director, Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 . . . . .

Transaction ID: PR1030171917569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Randall Brown  
Mailing Address 4005 Windward Plaza Drive

City State Zip Code  
Alpharetta GA 30005

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.Occupation  
Director, Network & Systems Admin.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 . . . . .

Transaction ID: PR1045599617569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

186.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)

Michael Charland

Mailing Address 135 E. 57th St.

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Lending Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045599917569

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)

Russell Ross

Mailing Address 4500 Bohannon Drive

City State Zip Code  
 Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Director, Corporate Info Security

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045600017569

Amount of Each Receipt this Period

50.00

P/R Deduction (\$25.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)

David Grove

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE--Dempsey

Occupation  
SVP, Market Making Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045600317569

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

358.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Daniel Howe		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600417569 Amount of Each Receipt this Period 269.24
Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. C		
Name of Employer E*TRADE Brokerage Holdings		P/R Deduction (\$134.62 Bi-Weekly)
Occupation EVP, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		
Aggregate Year-to-Date ▼ 2692.40		

<b>B.</b> Full Name (Last, First, Middle Initial) Adam Townsend		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600617569 Amount of Each Receipt this Period 154.00
Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C		
Name of Employer E*TRADE Financial Corp.		P/R Deduction (\$77.00 Bi-Weekly)
Occupation SVP, Investor Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		
Aggregate Year-to-Date ▼ 1540.00		

<b>C.</b> Full Name (Last, First, Middle Initial) Steve Lingeman		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600817569 Amount of Each Receipt this Period 78.00
Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C		
Name of Employer E*TRADE Financial Corp		P/R Deduction (\$39.00 Bi-Weekly)
Occupation Director, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		
Aggregate Year-to-Date ▼ 780.00		

**SUBTOTAL** of Receipts This Page (optional) .....

501.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Amit Banerjee

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
VP, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045600917569

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Karen Wall

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
VP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045607617569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** MaryBeth George

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Capitol Holdings

Occupation  
Director of Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045607717569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

310.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 11 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Murphy Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation VP, Relationship Mgmt. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1055089317569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Edouard Dejoux Mailing Address 135 East 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation SVP, Global Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1067673017569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation Chief Marketing Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1078579117569 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**616.60**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Roderick Mikus Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003717569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) John Matos Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003817569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) David Poole Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Senior Mgr, Corp. Relationship Mgmt. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003917569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**186.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Ardwin Droessler

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE--Dempsey

Occupation  
Director, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1088490817569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Scott Gelber

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Brokerage Holdings

Occupation  
Sr Director, PIERS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1088491017569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** Christine Wolf

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corpora-  
tion

Occupation  
EVP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1090244517569

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

310.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Gabriel Dalporto Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporat- ion Occupation VP, Customer Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911217569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporat- ion Occupation SVP, Bank Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911317569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Ariola Yushin-Slaven Mailing Address 135 East 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora- tion Occupation Director, Communications Dev Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911417569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**386.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1179644517569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Anthony Altobelli Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Dir. Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1222287717569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Lea Stendahl Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Active Trader Mktg. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 585.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1253490117569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**234.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Craig Burton Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b>			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748217569 Amount of Each Receipt this Period 78.00
Name of Employer E*Trade Financial Corpora- tion Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			Occupation Director, Financial Planning and Analy Aggregate Year-to-Date ▼ 429.00 P/R Deduction (\$39.00 Bi- Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Powell Jones Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b>			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748417569 Amount of Each Receipt this Period 78.00
Name of Employer E*Trade Financial Corpora- tion Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			Occupation Director, Metrics and Reporting Aggregate Year-to-Date ▼ 429.00 P/R Deduction (\$39.00 Bi- Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) David Goldberg Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b>			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748517569 Amount of Each Receipt this Period 78.00
Name of Employer E*Trade Financial Corpora- tion Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			Occupation Director, Business Analysis Aggregate Year-to-Date ▼ 429.00 P/R Deduction (\$39.00 Bi- Weekly)

SUBTOTAL of Receipts This Page (optional) .....

234.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Mitchell Caplan Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625117569 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Russell Elmer Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation General Counsel Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625317569 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Arlen W. Gelbard Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation President, E*TRADE Bank Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625617569 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1153.80

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 34

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Robert Lilien Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation President & COO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626117569 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Shane O. Mulron Mailing Address 10951 White Rock Road City State Zip Code Rancho Cardova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2700.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626417569 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Todd W. Roadman Mailing Address 10951 White Rock Road City State Zip Code Cordova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation SVP, Financial P&A Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626617569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**808.60**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Connie Dotson Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Communications Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3820.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115717569 Amount of Each Receipt this Period 382.00 P/R Deduction (\$191.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) James Bidwell Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115817569 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Todd Mackay Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, Corp. Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2680.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540117017569 Amount of Each Receipt this Period 268.00 P/R Deduction (\$134.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

1034.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Curtis Smith Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation SVP, Global Infrastructure Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118517569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) James Swartwout Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities Inc. Occupation VP, Order Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118617569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Lee Thompson Mailing Address 111 Congress Avenue City Austin State TX Zip Code 78701 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Chief Technologist Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118817569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**386.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christopher V. Reyes Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation Business Mgr, Regulatory Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR559347617569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Jonathan K. Law Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Business Mgr, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR650307417569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Jane Gelman Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Administrative Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR673132017569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		138.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, CIO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2700.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168117569 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Kenneth Marienau Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, General Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168217569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3092.40		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168417569 Amount of Each Receipt this Period 309.24 P/R Deduction (\$154.62 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**733.24**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Curcio Mailing Address 135 East 57th St. 31st Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2692.40			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168617569 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Dennis E. Webb Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation President, Capital Markets Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168817569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City State Zip Code Rancho Cordova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3800.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799169017569 Amount of Each Receipt this Period 380.00 P/R Deduction (\$190.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**803.24**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Connie Koontz Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Human Resources Mgr Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR941795117569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation EVP, Fixed Income Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR946040717569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950171217569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		338.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew Geary			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171317569	
Mailing Address 671 North Glebe Road			Amount of Each Receipt this Period 269.24	
City	State	Zip Code		
Arlington	VA	22203		
FEC ID number of contributing federal political committee. C				
Name of Employer E*Trade Bank		Occupation EVP, Risk & Credit		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2692.40	P/R Deduction (\$134.62 Bi-Weekly)	
<b>B.</b> Full Name (Last, First, Middle Initial) Thomas Parker			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950172317569	
Mailing Address 3353 Michelson Drive			Amount of Each Receipt this Period 30.00	
City	State	Zip Code		
Irvine	CA	92612		
FEC ID number of contributing federal political committee. C				
Name of Employer E*TRADE Financial Corp.		Occupation Senior Mgr, Software Engineering		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 300.00	P/R Deduction (\$15.00 Bi-Weekly)	
<b>C.</b> Full Name (Last, First, Middle Initial) Sunil Malik			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950172417569	
Mailing Address 671 North Glebe Road			Amount of Each Receipt this Period 154.00	
City	State	Zip Code		
Arlington	VA	22203		
FEC ID number of contributing federal political committee. C				
Name of Employer E*TRADE Global Asset Mgmt.		Occupation VP, Whole Loans & MBS		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1540.00	P/R Deduction (\$77.00 Bi-Weekly)	

SUBTOTAL of Receipts This Page (optional) .....

453.24

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Rachel Bedell Mailing Address 671 North Glebe Road City State Zip Code Arlinton VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt. Inc. Occupation Director, Retail Consumer Lending Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 741.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950172517569 Amount of Each Receipt this Period 39.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Eric Seasholtz Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt. Inc. Occupation VP, Mortgage Portfolio Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950172717569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173017569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

271.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation VP, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173117569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lori Smith Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Manager, Communications Center Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173417569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) W Chris Staab Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation Director, Credit Cards Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173617569 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

262.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173717569 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lisa Romano Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Manager, Procurement Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956826817569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Francisco Gutierrez Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Finance Corp Occupation Sr Manager, Software Engineer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956827417569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

214.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)

Michael Schoenberger

Mailing Address 4005 Windward Plaza Drive

City State Zip Code  
 Alpharetta GA 30005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities, LLC

Occupation  
Senior Mgr, Relationship Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956828317569

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)

Joseph Corso

Mailing Address 440 S. LaSalle St.  
Suite 3030

City State Zip Code  
Chicago IL 60605

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE GVR

Occupation  
Manager, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302017569

Amount of Each Receipt this Period

50.00

P/R Deduction (\$25.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)

Noel Dalzell

Mailing Address 440 S. LaSalle St.

City State Zip Code  
Chicago IL 60605

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE--GVR

Occupation  
Director, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302117569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

158.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Colleen Ryan Mailing Address 440 S. LaSalle St. Suite 3030 City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Director, ETCM Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302417569 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) June Batcheller Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Capitol Holdings Occupation Director, Compliance Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302617569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Kris Simpson Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation Attorney Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303317569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

110.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christopher Amato Mailing Address 440 S. LaSalle Street City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE-GVR Occupation Senior Mgr, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303517569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) James Carney Mailing Address 440 La Salle Street City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE-Engelman Occupation Mgr, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303617569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Eric Tassell Mailing Address 9255 Town Center Drive City San Diego State CA Zip Code 92121 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation Senior Mgr, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303917569 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.**

Full Name (Last, First, Middle Initial)

Ken Elder

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt

Occupation

Sr. Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304017569

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.**

Full Name (Last, First, Middle Initial)

Marco Pellini

Mailing Address 440 South LaSalle

City

Chicago

State

IL

Zip Code

60605

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE--Dempsey

Occupation

VP, Trading Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

799.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304117569

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Bi-Weekly)

**C.**

Full Name (Last, First, Middle Initial)

Michael Alpert

Mailing Address 671 North Glebe Rd.

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation

Director, Corp Dev.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304417569

Amount of Each Receipt this Period

54.00

P/R Deduction (\$27.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Heather Carroll-Cox  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Bank

Occupation  
VP, Retail Banking Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304517569

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Adam McChane  
Mailing Address 4500 Bohannon Drive

City State Zip Code  
Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Executive Producer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304817569

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Dirk Wyckoff  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Bank

Occupation  
Director, Ass't Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971305017569

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

148.00

**TOTAL** This Period (last page this line number only) .....

10761.56

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 34 / 34

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Pryce For Congress

Mailing Address 145 E Rich Street

City  
Columbus

State  
OH

Zip Code  
43215

Purpose of Disbursement  
Contribution

Candidate Name  
Congresswoman Deborah Pryce

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2006  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: OH District: 15

Transaction ID: 13155549

Date of Disbursement

MM / DD / YY  
09 / 01 / 2006

Amount of Each Disbursement this Period

3000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional) .....

3000.00

**TOTAL** This Period (last page this line number only) .....

3000.00