FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ▼: 745 Seventh Avenue 13th Floor

Check if different than previously reported. (ACC)

CITY ▲	STATE ▲	ZIPCODE ▲
New York	NY	10019 –

2. **FEC IDENTIFICATION NUMBER** ▼: C00127670

3. IS THIS REPORT — NEW (N) X **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:
- April 15 Quarterly Report(Q1)
- July 15 Quarterly Report(Q2)
- October 15 Quarterly Report(Q3)
- January 31 Quarterly Report(YE)
- July 31 Mid-Year Report(Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) — X — General (12G) — Runoff (12R) — Convention (12C) — Special (12G)

Election on 11 05 2002 in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) — Runoff (30R) — Special (30S)

Election on in the State of

5. Covering Period 10 01 2002 through 10 16 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: Edward S. Grieb

Signature of Treasurer: Electronically Filed by Edward S. Grieb — Date 10 17 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			

FEC FORM 3X
(Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name
Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 10 01 2002 To: 10 16 2002

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1 2002		142627.31
	(b) Cash on Hand at Begining of Reporting Period	152261.48	
	(c) Total Receipts (from Line 19)	5628.46	135619.68
	(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	157889.94	278246.99
7.	Total Disbursements (from Line 30)	10000.00	130357.05
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	147889.94	147889.94
9.	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 10 01 2002 To: 10 16 2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	5425.46	
(ii) Unitemized	203.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶	5628.46	130329.60
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ▶	5628.46	130329.60
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	5000.00
17. Other Federal Receipts Dividends, Interest, etc.)	0.00	290.08
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ▶	5628.46	135619.68
20. Total Federal Receipts (subtract Line 18 from Line 19) ▶	5628.46	135619.68

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	1307.05
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	0.00	1307.05
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	10000.00	129050.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	10000.00	130357.05
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	10000.00	130357.05
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	5628.46	130329.60
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	5628.46	130329.60
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	0.00	1307.05
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	0.00	1307.05

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 5 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ABRAMS STANLEY
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
800.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
60.00
Transaction ID: A16964

B. Full Name (Last, First, Middle Initial)
AMBOSS RODOLPHO
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A16966

C. Full Name (Last, First, Middle Initial)
AMIN KAUSHIK
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A16967

SUBTOTAL of Receipts This Page (optional) ▶ **125.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
APPEL FREDERICK
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A16969

B. Full Name (Last, First, Middle Initial)
ASSELSTIN JAMES K
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A16971

C. Full Name (Last, First, Middle Initial)
BASULTO VINCENT
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A16972

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A16973

B.
Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A16974

C.
Full Name (Last, First, Middle Initial)
BERNARD THOMAS E.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A16975

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BRAND DAVID J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
210.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
10.00

Transaction ID: A16977

B. Full Name (Last, First, Middle Initial)
BRATTON RICHARD E
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
210.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
10.00

Transaction ID: A16978

C. Full Name (Last, First, Middle Initial)
BRIER BRUCE D.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
525.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
25.00

Transaction ID: A16979

SUBTOTAL of Receipts This Page (optional) ▶ **45.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 65
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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BUONINCON DIANNE
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A16980

B. Full Name (Last, First, Middle Initial)
BUTLER SHAUN K
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
840.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A16981

C. Full Name (Last, First, Middle Initial)
BYLON LANGE F
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
315.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A16982

SUBTOTAL of Receipts This Page (optional) ▶ **65.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 65
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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
CALDWELL ROBERT S.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A16983

B.
Full Name (Last, First, Middle Initial)
CHANDONNET RAYMOND E
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BORTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A16985

C.
Full Name (Last, First, Middle Initial)
CLAIBORN STEPHEN A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
570.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A16986

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
CONETTA CHRISTOPHER R
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A16988

B. Full Name (Last, First, Middle Initial)
CONNALLY MARK M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
525.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
25.00
Transaction ID: A16989

C. Full Name (Last, First, Middle Initial)
CONNORS KEVIN T
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A16990

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
CORCORAN THOMAS P
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
420.00

Transaction ID: A16992

B.
Full Name (Last, First, Middle Initial)
CORNALI CLAUDIO
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
630.00

Transaction ID: A16994

C.
Full Name (Last, First, Middle Initial)
CORTES HECTOR A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
525.00

Transaction ID: A16995

SUBTOTAL of Receipts This Page (optional) ▶ **75.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
COX ROBERT C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For:
Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A16996

B.
Full Name (Last, First, Middle Initial)
CUTLER ALLEN B
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For:
Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A16997

C.
Full Name (Last, First, Middle Initial)
DAVEY PAUL M.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For:
Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼
650.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A16998

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 65
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
DE GAGLIA THOMAS
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A16999

B. Full Name (Last, First, Middle Initial)
DE GENNARO MARK W
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
400.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17000

C. Full Name (Last, First, Middle Initial)
DEAN PATRICK J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17002

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DEGRAFF DONALD
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17001

B.
Full Name (Last, First, Middle Initial)
DONOHUE EDWARD B
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17004

C.
Full Name (Last, First, Middle Initial)
DZIEDZIC STANLEY J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
966.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
46.00
Transaction ID: A17005

SUBTOTAL of Receipts This Page (optional) ▶ **76.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
EDWARDS PAUL
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17006

B. Full Name (Last, First, Middle Initial)
ELKINS JAY S
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 420.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17007

C. Full Name (Last, First, Middle Initial)
EMMERT JAMES R
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17008

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
EPSTEIN JONATHAN
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
300.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17009

B. Full Name (Last, First, Middle Initial)
ERNST HERBERT
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17010

C. Full Name (Last, First, Middle Initial)
ERSOFF BRETT I
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17011

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ESCOFFERY NORMAN L.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17012

B.
Full Name (Last, First, Middle Initial)
FEIGELES EDWARD M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17013

C.
Full Name (Last, First, Middle Initial)
FIDELIA-DAGUILLARD NADJA
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
2100.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
100.00
Transaction ID: A17014

SUBTOTAL of Receipts This Page (optional) ▶ **170.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FLECKENSTEIN W DANSBY
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK State: NY Zip Code: 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 30.00
Transaction ID: A17015

B. Full Name (Last, First, Middle Initial)
FLEISCHOR KIRK D
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK State: NY Zip Code: 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 630.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 30.00
Transaction ID: A17016

C. Full Name (Last, First, Middle Initial)
FORD JONATHAN G
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK State: NY Zip Code: 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 30.00
Transaction ID: A17017

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FORTE VICTOR A.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
525.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
25.00
Transaction ID: A17018

B.
Full Name (Last, First, Middle Initial)
FOX GERARD J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
315.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17019

C.
Full Name (Last, First, Middle Initial)
FRANK FREDERICK
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17021

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FRANKLIII EDWING

Mailing Address
745 SEVENTH AVENUE

City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
420.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
20.00

Transaction ID: A17020

B. Full Name (Last, First, Middle Initial)
GALLAGHER BILL

Mailing Address
745 SEVENTH AVENUE

City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
315.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
15.00

Transaction ID: A17022

C. Full Name (Last, First, Middle Initial)
GARGANO GLORIANNE M

Mailing Address
745 SEVENTH AVENUE

City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
315.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
15.00

Transaction ID: A17024

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GATES WILLIAM H
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
2100.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
100.00
Transaction ID: A17025

B. Full Name (Last, First, Middle Initial)
GELBAND MICHAEL
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17026

C. Full Name (Last, First, Middle Initial)
GENIRS-JO JEANMARIE
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17027

SUBTOTAL of Receipts This Page (optional) ▶ **160.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GIBBS JAMES H
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK | State: NY | Zip Code: 10019

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17028

B. Full Name (Last, First, Middle Initial)
GLASS JEFFREY N
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK | State: NY | Zip Code: 10019

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 525.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 25.00
Transaction ID: A17029

C. Full Name (Last, First, Middle Initial)
GODLIS LEWIS S
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK | State: NY | Zip Code: 10019

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17030

SUBTOTAL of Receipts This Page (optional) ▶ **85.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GOLDFARB DAVID
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17031

B. Full Name (Last, First, Middle Initial)
GRAY LYNN Z.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17032

C. Full Name (Last, First, Middle Initial)
GREEN ERNEST G
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17033

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GREENFIELD YURI M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17034

B. Full Name (Last, First, Middle Initial)
GRIFFIN BERNARD J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17036

C. Full Name (Last, First, Middle Initial)
GRIMM KRIS D
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17037

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GRUNELL MATTHEW F
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTEHRS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17038

B. Full Name (Last, First, Middle Initial)
GUARNIERI MICHAEL P
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17039

C. Full Name (Last, First, Middle Initial)
GUENTHER TODD C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17040

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GUGLIELMO ROBERT
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17041

B. Full Name (Last, First, Middle Initial)
HARTMEIER MIKE A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17042

C. Full Name (Last, First, Middle Initial)
HAWKINS THOMAS A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
525.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
25.00
Transaction ID: A17043

SUBTOTAL of Receipts This Page (optional) ▶ **95.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HOFFMEIST PERRY C.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17046

B. Full Name (Last, First, Middle Initial)
HOLDGRAFE JEFFREY M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17047

C. Full Name (Last, First, Middle Initial)
HOUSEWORTH COURT H
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17048

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 65
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HOWARD MARK W
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17049

B. Full Name (Last, First, Middle Initial)
HOWARD STEPHEN E
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17050

C. Full Name (Last, First, Middle Initial)
HOY ROBERT J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1000.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
100.00
Transaction ID: A17051

SUBTOTAL of Receipts This Page (optional) ▶ **160.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HYNOTE ROBERT A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17053

B. Full Name (Last, First, Middle Initial)
IORIO JAMES L.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17054

C. Full Name (Last, First, Middle Initial)
JANULIS THEODORE
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
840.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A17055

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
JENNINGS WILLIAM
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17056

B.
Full Name (Last, First, Middle Initial)
JONES CRAIG L.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17057

C.
Full Name (Last, First, Middle Initial)
JOTWANI TARUN
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
300.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17058

SUBTOTAL of Receipts This Page (optional) ▶ **95.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KANE JEANNE M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 840.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 40.00
Transaction ID: A17059

B. Full Name (Last, First, Middle Initial)
KELLY JOSEPH J.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17061

C. Full Name (Last, First, Middle Initial)
KIERNAN JOHN J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17062

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KILLIAN GARY M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
420.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
20.00

Transaction ID: A17063

B. Full Name (Last, First, Middle Initial)
KITEI JONATHAN M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
630.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
30.00

Transaction ID: A17065

C. Full Name (Last, First, Middle Initial)
KRUEGER HARVEY M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1050.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
50.00

Transaction ID: A17066

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LAHEY MARK K
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
840.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
40.00

Transaction ID: A17067

B. Full Name (Last, First, Middle Initial)
LANUSSE RARIANO J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
300.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
30.00

Transaction ID: A17068

C. Full Name (Last, First, Middle Initial)
LAUCKS CRAIG S
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
600.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
60.00

Transaction ID: A17070

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LAVELLE DAVID J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17071

B. Full Name (Last, First, Middle Initial)
LESSING STEPHEN
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17072

C. Full Name (Last, First, Middle Initial)
LI RAYMOND K
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17073

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LIEBER ROBERT C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17074

B. Full Name (Last, First, Middle Initial)
LIEBERBERG ROBERT
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17075

C. Full Name (Last, First, Middle Initial)
LIGHTEN WILLIAM E
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
420.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17076

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LISTER JAMES
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1260.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 60.00
Transaction ID: A17077

B. Full Name (Last, First, Middle Initial)
LOFTUS JOSEPH A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 630.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 30.00
Transaction ID: A17078

C. Full Name (Last, First, Middle Initial)
LOHUIS DAVID W
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17079

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LUPO JR FRANK J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 420.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17080

B. Full Name (Last, First, Middle Initial)
MAHER PATRICK F
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 315.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 15.00
Transaction ID: A17081

C. Full Name (Last, First, Middle Initial)
MAJECTIC GUY A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 315.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 15.00
Transaction ID: A17082

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MALIK ANDREW J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17083

B. Full Name (Last, First, Middle Initial)
MALIN MARK C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
350.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
35.00
Transaction ID: A17084

C. Full Name (Last, First, Middle Initial)
MALVEY JOHN V
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17085

SUBTOTAL of Receipts This Page (optional) ▶ **135.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MARCUCCI MARK J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17087

B. Full Name (Last, First, Middle Initial)
MARINO THOMAS N
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17089

C. Full Name (Last, First, Middle Initial)
MARON MARK S
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17090

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MARSH LAWRENCE C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17091

B. Full Name (Last, First, Middle Initial)
MCCOMBIE JANICE B
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17092

C. Full Name (Last, First, Middle Initial)
MELTON JR ANDREW J.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
555.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
60.00
Transaction ID: A17094

SUBTOTAL of Receipts This Page (optional) ▶ **125.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MILLER GRANT C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 315.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 15.00
Transaction ID: A17095

B. Full Name (Last, First, Middle Initial)
MURRAY GUY J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17098

C. Full Name (Last, First, Middle Initial)
MURRAY JR JOHN P
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 420.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17097

SUBTOTAL of Receipts This Page (optional) ▶ **45.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 65
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
NAPOLITANS FRANK A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17099

B. Full Name (Last, First, Middle Initial)
NASH ANTHONY A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17100

C. Full Name (Last, First, Middle Initial)
O'DONNELL DEIRDRE J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 420.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17103

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: PAGE 44 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
O'HANLON MICHAEL J.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 3000.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 100.00
Transaction ID: A17105

B. Full Name (Last, First, Middle Initial)
ODRICH MICHAEL J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17104

C. Full Name (Last, First, Middle Initial)
OKIN ROBERT
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 315.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 15.00
Transaction ID: A17106

SUBTOTAL of Receipts This Page (optional) ▶ **165.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
PALEY MARC L
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 210.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17107

B. Full Name (Last, First, Middle Initial)
PANAGEAS SOTIRIOS
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1260.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 60.00
Transaction ID: A17108

C. Full Name (Last, First, Middle Initial)
PENROSE JAMES C
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 840.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 40.00
Transaction ID: A17109

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 65
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
PETERS STEPHEN
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 420.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17110

B. Full Name (Last, First, Middle Initial)
PIA LOUIS
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 420.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17111

C. Full Name (Last, First, Middle Initial)
POLIZZOTT JOSEPH
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 420.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17112

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
PUCCIAREL JAMES V
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
384.60
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
38.46
Transaction ID: A17113

B. Full Name (Last, First, Middle Initial)
REDMOND RICHARD R
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
420.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17114

C. Full Name (Last, First, Middle Initial)
REILLY BRIAN M.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
420.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
20.00
Transaction ID: A17115

SUBTOTAL of Receipts This Page (optional) ▶ **78.46**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 65
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
REYNOLDS LISA G
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
210.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17116

B. Full Name (Last, First, Middle Initial)
RILEY-MOT MARY KAY
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17096

C. Full Name (Last, First, Middle Initial)
RISPOLI DOMINIC
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
525.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
25.00
Transaction ID: A17117

SUBTOTAL of Receipts This Page (optional) ▶ **65.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
RIZZIERI GERALD A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
400.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A17118

B. Full Name (Last, First, Middle Initial)
RIZZO CHARLES K
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17119

C. Full Name (Last, First, Middle Initial)
RIZZOTTO ANTHONY
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17120

SUBTOTAL of Receipts This Page (optional) ▶ **65.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ROBERTS ERIC W
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17121

B. Full Name (Last, First, Middle Initial)
ROWLEY BETH L
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
840.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A17123

C. Full Name (Last, First, Middle Initial)
RUSSO THOMAS A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17124

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
RYLAND KYLE T
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17125

B. Full Name (Last, First, Middle Initial)
SALKO RANDI J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17127

C. Full Name (Last, First, Middle Initial)
SANBORN GILBERT D
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
2000.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17128

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SCHIELDROP DAVID P
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
210.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17130

B. Full Name (Last, First, Middle Initial)
SCHWADRON DAVID A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
336.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
16.00
Transaction ID: A17131

C. Full Name (Last, First, Middle Initial)
SEAMAN JEFFREY M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
630.00

Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17132

SUBTOTAL of Receipts This Page (optional) ▶ **56.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SHERR DAVID N
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 420.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17134

B. Full Name (Last, First, Middle Initial)
SIFFRINGER DAVID A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 420.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 20.00
Transaction ID: A17135

C. Full Name (Last, First, Middle Initial)
SPARKS ALAN A.
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 2250.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17137

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SWEENEY JOHN K
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
750.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17139

B. Full Name (Last, First, Middle Initial)
TAYLOR PETER J
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
210.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
10.00
Transaction ID: A17140

C. Full Name (Last, First, Middle Initial)
TEGEN DOUGLAS B
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
840.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A17141

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 65
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
TEICHMAN HAROLD
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 315.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 15.00
Transaction ID: A17142

B. Full Name (Last, First, Middle Initial)
TEIFELD LEONARD M
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 840.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 40.00
Transaction ID: A17143

C. Full Name (Last, First, Middle Initial)
TOBIN PAUL G
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00

Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17144

SUBTOTAL of Receipts This Page (optional) ▶ **105.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
TURNER FRANK P
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 630.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 30.00
Transaction ID: A17147

B. Full Name (Last, First, Middle Initial)
UZELAC JOSEPH G
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 525.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 25.00
Transaction ID: A17148

C. Full Name (Last, First, Middle Initial)
VANDERBEE JEFFREY
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 840.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 40.00
Transaction ID: A17149

SUBTOTAL of Receipts This Page (optional) ▶ **95.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
VOIGTMAN JON E
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK | State: NY | Zip Code: 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17151

B. Full Name (Last, First, Middle Initial)
WALKER JON P
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK | State: NY | Zip Code: 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 580.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17152

C. Full Name (Last, First, Middle Initial)
WASHKOWIT ALAN
Mailing Address
745 SEVENTH AVENUE
City: NEW YORK | State: NY | Zip Code: 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 840.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 40.00
Transaction ID: A17153

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 58 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
WEINSTEIN GARY S
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 50.00
Transaction ID: A17154

B. Full Name (Last, First, Middle Initial)
WEXLER PETER I
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 315.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 15.00
Transaction ID: A17155

C. Full Name (Last, First, Middle Initial)
WHITE KEVIN
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 210.00
Date of Receipt: 10 11 2002
Amount of Each Receipt this Period: 10.00
Transaction ID: A17157

SUBTOTAL of Receipts This Page (optional) ▶ **75.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
WHITMAN BRADLEY A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
630.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
30.00
Transaction ID: A17158

B. Full Name (Last, First, Middle Initial)
WILLENS ROBERT
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
840.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A17159

C. Full Name (Last, First, Middle Initial)
WILLOUGHB SCOTT E
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
315.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
15.00
Transaction ID: A17160

SUBTOTAL of Receipts This Page (optional) ▶ **85.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 65
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
WINCHESTE DAVID P
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
840.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
40.00
Transaction ID: A17161

B. Full Name (Last, First, Middle Initial)
ZOIDIS PAUL
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17162

C. Full Name (Last, First, Middle Initial)
ZOREK JEFFREY A
Mailing Address
745 SEVENTH AVENUE
City | State | Zip Code
NEW YORK | NY | 10019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1050.00
Date of Receipt
10 11 2002
Amount of Each Receipt this Period
50.00
Transaction ID: A17163

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 61 / 65

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.

Full Name (Last, First, Middle Initial)
ZUSY MARK L

Mailing Address
745 SEVENTH AVENUE

City: NEW YORK
State: NY
Zip Code: 10019

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1050.00

Date of Receipt
10 11 2002

Amount of Each Receipt this Period
50.00

Transaction ID: A17164

B.

C.

SUBTOTAL of Receipts This Page (optional) ▶	**50.00**
TOTAL This Period (last page this line number only) ▶	**5425.46**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
Wayne Allard for US Senate

Mailing Address
P.O. Box 32
City: Loveland | State: CO | Zip Code: 80539

Purpose of Disbursement
G-2002 U.S. Senate CO

Candidate Name
Allard Wayne

24K Category/ Type

Office Sought: House / X Senate / President
State: CO District:

Disbursement For: 2002 Primary / X General / Other (specify)

Date of Disbursement: 10 07 2002

Amount of Each Disbursement this Period: 1000.00

Transaction ID: B3566

B.
Full Name (Last, First, Middle Initial)
Craig for US Senate

Mailing Address
P.O. Box 2754 802 W Bannock #LP101
City: Boise | State: ID | Zip Code: 83701

Purpose of Disbursement
G-2002 U.S. Senate ID

Candidate Name
Craig Larry

24K Category/ Type

Office Sought: House / X Senate / President
State: ID District:

Disbursement For: 2002 Primary / X General / Other (specify)

Date of Disbursement: 10 07 2002

Amount of Each Disbursement this Period: 1000.00

Transaction ID: B3567

C.
Full Name (Last, First, Middle Initial)
Enzi for US Senate

Mailing Address
P.O. Box 2775
City: Cody | State: WY | Zip Code: 82414

Purpose of Disbursement
G-2002 U.S. Senate WY

Candidate Name
Enzi Michael B.

24K Category/ Type

Office Sought: House / X Senate / President
State: WY District:

Disbursement For: 2002 Primary / X General / Other (specify)

Date of Disbursement: 10 07 2002

Amount of Each Disbursement this Period: 1000.00

Transaction ID: B3568

SUBTOTAL of Disbursements This Page (optional) ▶ **3000.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
Oxley for Congress

Mailing Address
P.O. Box 2002
City: Findlay | State: OH | Zip Code: 45839

Purpose of Disbursement
G-2002 U.S. House 04 OH

Candidate Name
Oxley Michael G.

24K Category/ Type

Office Sought: X House / Senate / President
State: OH District: 4

Disbursement For: 2002 Primary X General Other (specify)

Date of Disbursement: 10 07 2002

Amount of Each Disbursement this Period: 3000.00

Transaction ID: B3569

B. Full Name (Last, First, Middle Initial)
Pat Toomey for Congress

Mailing Address
2720 Jordan Road
City: Orefield | State: PA | Zip Code: 18069

Purpose of Disbursement
G-2002 U.S. House 15 PA

Candidate Name
Toomey Patrick J.

24K Category/ Type

Office Sought: X House / Senate / President
State: PA District: 15

Disbursement For: 2002 Primary X General Other (specify)

Date of Disbursement: 10 07 2002

Amount of Each Disbursement this Period: 1000.00

Transaction ID: B3570

C. Full Name (Last, First, Middle Initial)
Hagel for Senate Cmte

Mailing Address
P.O. Box 241497
City: Omaha | State: NE | Zip Code: 68124

Purpose of Disbursement
G-2002 U.S. Senate NE

Candidate Name
Hagel Chuck

24K Category/ Type

Office Sought: House / X Senate / President
State: NE District:

Disbursement For: 2002 Primary X General Other (specify)

Date of Disbursement: 10 07 2002

Amount of Each Disbursement this Period: 1000.00

Transaction ID: B3571

SUBTOTAL of Disbursements This Page (optional) ▶ **5000.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.

Full Name (Last, First, Middle Initial)
Maloney for Congress

Mailing Address
49 East 92nd Street
City: New York | State: NY | Zip Code: 10128

Purpose of Disbursement
G-2002 U.S. House 14 NY

Candidate Name
Maloney Carolyn

24K
Category/ Type

Office Sought: X House / Senate / President
State: NY District: 14

Disbursement For: 2002
Primary X General
Other (specify)

Date of Disbursement
10 07 2002

Amount of Each Disbursement this Period
1000.00

Transaction ID: B3572

B.

Full Name (Last, First, Middle Initial)
Crowley for Congress

Mailing Address
84-56 Grand Avenue
City: Elmhurst | State: NY | Zip Code: 11373

Purpose of Disbursement
G-2002 U.S. House 07 NY

Candidate Name
Crowley Joseph

24K
Category/ Type

Office Sought: X House / Senate / President
State: NY District: 7

Disbursement For: 2002
Primary X General
Other (specify)

Date of Disbursement
10 07 2002

Amount of Each Disbursement this Period
1000.00

Transaction ID: B3573

C.

SUBTOTAL of Disbursements This Page (optional) ▶	**2000.00**
TOTAL This Period (last page this line number only) ▶	**10000.00**

Form/Schedule: SA11A

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.