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FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FURIVI 3A	For (Other Than An	Authorized	d Commi	ttee		Office Use O	nly	
NAME OF COMMITTEE (in f		E OR PRINT ▼		mple: If ty r the lines.		12FE4M	5		
Caterpillar Inc. I	Political Ac	tion Committe	ee (CATP	AC)					
ADDRESS (number and ▼		0 Lake Cook Road							
Check if differ than previous reported. (AC	ly D	eerfield				IL I	60015		
2. FEC IDENTIFICA	TION NUMBE	ER ▼	CITY ▲			STATE A	ZIP	CODE ▲	
C C00148031			3. IS THIS REPORT	×	NEW (N) OR	AN (A)	1ENDED		
4. TYPE OF REP (Choose One) (a) Quarterly Repo		O) Monthly Report Due On:	Feb 20 (M2) Mar 20 (M3)	x	May 20 (M5) Jun 20 (M6)		20 (M8) 20 (M9)	(Non-Ele Year On	nly) 20 (M12) ection
April 15			Apr 20 (M4)		Jul 20 (M7)	Oct	20 (M10)		1 (YE)
July 15	Report (Q1) Report (Q2)	(c) 12-Day PRE-Election Report for t		Primary (12)		General Special (_	Runoff	(12R)
January 3	Report (Q3)	ŗ	Election on	M = M	/ D D /	Y		the ate of	-
July 31 M	Ion-election	(d) 30-Day POST-Elect	ion	General (3	0G)	Runoff (3		,	al (30S)
Terminatio (TER)	on Report	E	Election on	M = M	/ D D /	Y . Y . Y . Y		the ate of	
5. Covering Period	04		021	through	M M M	30	2021	Y	
I certify that I have exact Type or Print Name of	Ze	eport and to the beemke, Wayne, , ,	est of my kno	wledge and	d belief it is tru	ue, correct and	d complete.		
Signature of Treasurer	Zemke, Wa	yne, , ,		[Electronica	ully Filed] [Date 05	19	2021	Y Y Y
NOTE: Submission of fa	llse, erroneous,	or incomplete infor	mation may su	ubject the p	erson signing t	his Report to the	ne penalties o	of 52 U.S.C.	§ 3010
Office Use								ORM 3) 05/2016	K

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)
Page 2

Write or Type Committee Name

Caterpillar Inc. Political Action Committee (CATPAC)

Report Covering the Period: From: 04 01 2021 To: 04 30 2021

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2021		170185.17
	(b) Cash on Hand at Beginning of Reporting Period	374130.66	
	(c) Total Receipts (from Line 19)	61208.70	246721.39
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	435339.36	416906.56
7.	Total Disbursements (from Line 31)	33.35	- 18399.45
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	435306.01	435306.01
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Caterpillar Inc. Political Action Committee (CATPAC)

01 2021 04 30 2021 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 44594.84 140287.55 (i) Itemized (use Schedule A)..... 16613.73 106427.21 (ii) Unitemized (iii) TOTAL (add 246714.76 61208.57 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 246714.76 61208.57 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 0.00 0.00 17. Other Federal Receipts 6.63 (Dividends, Interest, etc.)..... 0.13 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 246721.39 61208.70 20. Total Federal Receipts 61208.70 246721.39 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B			
	Operating Expenditures:	Total This Period	Calendar Year-to-Date			
(Allocated Federal/Non-Federal Activity (from Schedule H4)					
	(i) Federal Share	0.00	0.00			
	(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating	33.35	73.70			
(Expenditures c) Total Operating Expenditures	33.33	75.70			
((add 21(a)(i), (a)(ii), and (b))	33.35	73.70			
٦	Fransfers to Affiliated/Other Party	7 7				
	Committees	0.00	0.00			
F	Contributions to Federal Candidates/Committees					
	and Other Political Committees	0.00	- 5000.00			
	ndependent Expenditures use Schedule E)	0.00	0.00			
(Coordinated Party Expenditures	0.00	0.00			
(52 U.S.C. § 30116(d)) use Schedule F)	0.00	0.00			
`	,	4 4	0.00			
L	oan Repayments Made	0.00	0.00			
		4 4				
	oans Made Refunds of Contributions To:	0.00	0.00			
	a) Individuals/Persons Other					
	Than Political Committees	0.00	326.85			
(b) Political Party Committees	0.00	0.00			
`.	c) Other Political Committees	0.00	7 7			
`	(such as PACs)	0.00	0.00			
(d) Total Contribution Refunds		495 495 485			
	(add Lines 28(a), (b), and (c))	0.00	326.85			
		4 4				
	Other Disbursements (Including		42000.00			
Г	Non-Federal Donations)	0.00	- 13800.00			
F	Federal Election Activity (52 U.S.C. § 30101(2	20))				
(a) Allocated Federal Election Activity					
	(from Schedule H6)					
	(i) Federal Share	0.00	0.00			
	(ii) "Lovin" Chara		0.00			
((ii) "Levin" Shareb) Federal Election Activity Paid	0.00	0.00			
(Entirely With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add	0.00	0.00			
•	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00			
	,	4 4	7 7			
٦	Total Disbursements (add Lines 21(c), 22,					
2	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	33.35	- 18399.45			
_	Falal Fadaval Dishaman	4 4	4 4			
	Fotal Federal Disbursements					
	subtract Line 21(a)(ii) and Line 30(a)(ii) rom Line 31)					
	10111 E1110 01/1	33.35	- 18399.45			

DETAILED SUMMARY PAGE

of Disbursements

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date				
33. Total Contributions (other than loans) (from Line 11(d), page 3)	61208.57	246714.76				
34. Total Contribution Refunds (from Line 28(d))	0.00	326.85				
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	61208.57	246387.91				
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	33.35	73.70				
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00				
88. Net Operating Expenditures (subtract Line 37 from Line 36)	33.35	73.70				

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for eac Detaile Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889918 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 129.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-890530 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 66.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 261.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-889566 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 612.37 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 2021 City Zip Code State Transaction ID: A2021-547156 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 41.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 249.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 04 15 2021 City State Zip Code Transaction ID : A2021-747382 Northlake TX 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 292.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 29 2021 City Zip Code State Transaction ID: A2021-769797 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.12 Other (specify) 128.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-890101 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 177.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 685.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889780 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 181.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 706.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aupperle, Clayton, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889993 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. MineStar Site Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 213.71 Other (specify) 413.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID: A2021-890374 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 160.77 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **Industry Territory Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 620.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890504 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 C

		,							
Full Name of Individual (Last, First, Middle In Balkema, Chris, , ,									
Mailing Address 325 Route 31 South (Caterpi PO Box 348	04 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y								
City	State	Zip Code	Transaction ID : A2021-889969						
Aurora	IL	60507	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		178.84						
Name of Employer (for Individual)	Occu	pation (for Individual)	Memo Item						
Caterpillar Inc.	Produ	uct Supply Manager III							
Receipt For: Primary General Other (specify)	Aggregate \	/ear-to-Date ▼ 702.28							
OUDTOTAL of Descripts This Days (outlines)			439.61						

SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bankes, Chester, , , Date of Receipt Mailing Address 3701 South Street 2021 City Zip Code State Transaction ID: A2021-889963 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 70.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889568 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 130.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 509.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beals, Andy, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2021 City State Zip Code Transaction ID: A2021-889835 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 59.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 229.84 Other (specify) 260.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890489 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 60.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Underwriter** Receipt For: Aggregate Year-to-Date ▼ Primary General 236.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Belcher, Jeff, , Date of Receipt Mailing Address 501 Cardinal Drive 04 2021 City State Zip Code Transaction ID : A2021-890443 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Operations Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2021 City State Zip Code Transaction ID: A2021-889826 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 96.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 380.49 Other (specify) 231.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890474 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 251.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 982.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Benz, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889591 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Principal Digital Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 228.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bethune, Deborah, , , Date of Receipt Mailing Address 1499 Byron Ave. 01 2021 City State Zip Code Transaction ID: A2021-548426 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 360.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bethune, Deborah, , , Date of Receipt Mailing Address 1499 Byron Ave. 2021 City Zip Code State Transaction ID: A2021-747201 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 04 2021 City State Zip Code Transaction ID : A2021-890326 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 252.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 989.61 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890050 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) 382.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blank, James, R,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-890414 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.09 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bloch, Stephen, E, , Date of Receipt Mailing Address 3701 South Street 04 2021 City State Zip Code Transaction ID : A2021-889797 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 158.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 625.91 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890094 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Customer Programs Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 392.20 Other (specify) 312.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blunier, Dave, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2021 City State Zip Code Transaction ID: A2021-890179 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Technologist-Test/Valid Receipt For: Aggregate Year-to-Date ▼ Primary General 200.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bonfield, Andrew, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2021 City State Zip Code Transaction ID : A2021-889830 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CFO** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bowman, Greg, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-890490 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 62.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lease Services Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 246.97 Other (specify) 529.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-890007 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 04 2021 City State Zip Code Transaction ID : A2021-889444 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890426 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 241.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 943.99 Other (specify) 757.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Jen, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889479 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 64.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 248.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 04 2021 City State Zip Code Transaction ID : A2021-890209 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 162.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 625.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bulfer, Matthew, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-890117 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 59.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Security Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 229.63 Other (specify) 285.99 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-890076 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 71.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) M&A IT Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 281.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 04 2021 City State Zip Code Transaction ID : A2021-548432 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing 40.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 243.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street 30 2021 Suite 101 City State Zip Code Transaction ID: A2021-889723 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 169.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 663.17 Other (specify) 281.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carr, Bob, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890204 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 66.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Application Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 261.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carroll, Troy, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889660 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 65.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 258.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carr, Ron, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-890518 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 62.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Infrastructure Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 246.69 Other (specify) 195.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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20 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890422 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 226.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 876.71 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 04 2021 City State Zip Code Transaction ID : A2021-890044 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 187.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 752.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-890060 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 831.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890506 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digtl & Glb Transformation Dir Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cobb, Daniel, , , Date of Receipt Mailing Address 500 N Morton Ave 04 2021 City State Zip Code Transaction ID : A2021-890295 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 55.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Packaging Ops Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 215.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 30 2021 P.O. Box 1037 City State Zip Code Transaction ID: A2021-889588 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 622.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City Zip Code State Transaction ID: A2021-889489 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-889870 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 132.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 520.13 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Craig, Curtis, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2021 City State Zip Code Transaction ID : A2021-890141 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 53.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.95 Other (specify) 586.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Criss, Darilynn, , , Date of Receipt Mailing Address 501 SW Jefferson 2021 City Zip Code State Transaction ID: A2021-890172 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Digital Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 212.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 04 2021 City State Zip Code Transaction ID : A2021-548434 **New Castle** IN 47362 Amount of Each Receipt this Period FEC ID number of contributing 36.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 15 2021 City State Zip Code Transaction ID: A2021-747208 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing 37.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 256.96 Other (specify) 128.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 2021 City Zip Code State Transaction ID: A2021-772340 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing 37.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 294.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Crowell, Tj,,, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 04 2021 PO Box 610 City State Zip Code Transaction ID : A2021-889628 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 393.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daniell, Bruce, , , Date of Receipt Mailing Address 2500 N. 22nd Street 30 2021 City State Zip Code Transaction ID: A2021-889940 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 62.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 245.72 Other (specify) 200.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davidson, Janet, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-890306 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) FTA & SC Security Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 255.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 04 2021 City State Zip Code Transaction ID : A2021-889614 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg A City State Zip Code Transaction ID: A2021-889837 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 315.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Denis, Andrew, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2021 City Zip Code State Transaction ID: A2021-889831 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 89.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 236.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Diaz, Andy, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889838 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 215.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. DiGennaro, David, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890192 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 56.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.91 Other (specify) 201.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dimond, Tim, , , Date of Receipt Mailing Address 4200 Ruffin Road 2021 City Zip Code State Transaction ID: A2021-890273 CA San Diego 92123-1836 Amount of Each Receipt this Period FEC ID number of contributing C 60.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Principal Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 237.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-890430 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Healthcare Design Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 30 2021 City State Zip Code Transaction ID: A2021-889429 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 181.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Quality Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 708.60 Other (specify) 316.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889593 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 242.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 950.29 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890511 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 251.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 986.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** English, Paul, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2021 City State Zip Code Transaction ID: A2021-889455 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 64.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 245.99 Other (specify) 557.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890495 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 70.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 278.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2021 City State Zip Code Transaction ID : A2021-890548 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 178.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 700.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Evenson, Eric, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-890008 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 63.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 244.31 Other (specify) 313.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steve, M,, Date of Receipt Mailing Address 6800 Doerr Lane 2021 City Zip Code State Transaction ID: A2021-889800 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 04 2021 City State Zip Code Transaction ID : A2021-889523 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 356.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fink, Christopher, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2021 City State Zip Code Transaction ID: A2021-890293 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 605.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889869 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 171.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 676.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-890077 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 192.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 748.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Flynn, Dan, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890148 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Rental Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 614.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Steve, S,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890523 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 73.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General 289.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frake, Tom, G, , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889638 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID : A2021-889681 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 71.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 282.62 Other (specify) 561.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaw, Matt,,, Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-890313 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Network Solutions & Spt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street 04 2021 Floor 3 City State Zip Code Transaction ID : A2021-890465 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. George, Brian, W., Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-889923 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 591.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2021 City State Zip Code Transaction ID: A2021-890543 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 195.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 769.59 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 04 2021 City State Zip Code Transaction ID : A2021-890244 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 124.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 465.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890027 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 736.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Graf, Courtney, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890138 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Corp. Finance Svcs Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 04 2021 City State Zip Code Transaction ID : A2021-890118 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 71.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Guilford, Jerome, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2021 Suite 400 City State Zip Code Transaction ID: A2021-889488 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BHL/TH Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 587.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889750 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 129.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager EXD Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 487.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hall, Donald, R, Date of Receipt Mailing Address 510 Lake Cook Road 04 2021 City State Zip Code Transaction ID : A2021-890371 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889498 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Western Region Manager State Governi Receipt For: Aggregate Year-to-Date ▼ Primary General 740.83 Other (specify) 391.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

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37 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, Date of Receipt Mailing Address 11601 93rd Ave N 2021 City Zip Code State Transaction ID: A2021-890205 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 640.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 04 2021 City State Zip Code Transaction ID : A2021-548441 Fort Worth TX 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 15 2021 City State Zip Code Transaction ID: A2021-747215 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 875.00 Other (specify) 410.00 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890470 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 183.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 705.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hartmann, Melissa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 04 2021 Bldg L City State Zip Code Transaction ID : A2021-890125 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Professional II** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 201,17 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 01 2021 City State Zip Code Transaction ID: A2021-548417 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1438.72 Other (specify) 445.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Primary

Other (specify)

General

ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 39 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 15 2021 City Zip Code State Transaction ID: A2021-747223 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1648.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hays, Katie, , , Date of Receipt Mailing Address Suite 400 04 2021 1425 K Street City State Zip Code Transaction ID : A2021-889569 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 200.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Intn'l & Fed Gov Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 782.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Heiar, Keith, J., Date of Receipt Mailing Address 501 SW Jefferson 30 2021 City State Zip Code Transaction ID: A2021-889573 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 171.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼

674.85

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heminger, Pamela, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-889445 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henderson, Dan, E,, Date of Receipt Mailing Address 14009 Old Galena Rd. 04 2021 Bldg A City State Zip Code Transaction ID : A2021-890150 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 30 2021 2nd Floor City State Zip Code Transaction ID: A2021-889397 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 101.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 395.84 Other (specify) 718.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herman, Jason, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-890432 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 66.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 264.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 04 2021 Suite 4000 City State Zip Code Transaction ID : A2021-890011 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 212.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 764.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889745 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 278.44 Other (specify) 348.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-889551 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 221.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 873.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 W Kingsbury 04 2021 City State Zip Code Transaction ID : A2021-889794 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 51.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chn Performance Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 203.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hladun, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889526 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Compliance Review Director Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 373.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-890195 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 200.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 782.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoepfinger, Dean, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 04 2021 City State Zip Code Transaction ID : A2021-890299 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing 60.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Holland, Sally, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-890519 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 69.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Receivables Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 272.15 Other (specify) 330.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-889517 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 190.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 746.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 04 2021 PO Box 610 City State Zip Code Transaction ID : A2021-890161 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 205.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 811.47 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. James, Danielle, , , Date of Receipt Mailing Address Suite 400 30 2021 1425 K Street City Zip Code State Transaction ID: A2021-890167 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 78.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 302.34 Other (specify) 475.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-889906 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 243.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 936.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2021 City State Zip Code Transaction ID : A2021-889893 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr Adv Comp Mfg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-889962 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 860.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City Zip Code State Transaction ID: A2021-890227 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2021 City State Zip Code Transaction ID : A2021-890356 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Jerry, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 City Zip Code State Transaction ID: A2021-889490 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Specialist III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 241.10 Other (specify) 894.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnston, Dayven, , , Date of Receipt Mailing Address 2045 West Pike Street 2021 City Zip Code State Transaction ID: A2021-890349 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-890407 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 30 2021 1425 K Street City Zip Code State Transaction ID: A2021-889567 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 576.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889448 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 95.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 373.51 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 04 2021 City State Zip Code Transaction ID : A2021-889804 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 230.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 906.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg E City State Zip Code Transaction ID: A2021-889958 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Engineering Project Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 241.02 Other (specify) 387.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889673 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Labor & Employee Relations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 740.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889982 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 68.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.27 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2021 City State Zip Code Transaction ID: A2021-889925 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 106.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Solutions Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 420.76 Other (specify) 363.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 50 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2021 City Zip Code State Transaction ID: A2021-890536 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kurumbailmadam, Sankar, , Date of Receipt Mailing Address 500 N Morton Ave 04 2021 City State Zip Code Transaction ID : A2021-889762 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-889560 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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FEC Schedule A (Form 3X) Rev. 06/2016

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LaHood, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890151 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 179.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 707.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889771 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 201.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 787.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Leamon, Brittany, , , Date of Receipt Mailing Address 701 Waterford Way 30 2021 Suite 600 City State Zip Code Transaction ID: A2021-889937 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 54.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant Finance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.24 Other (specify) 435.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leustek, Jennifer, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-889481 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Liang, Chih, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-889964 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 30 2021 PO Box 740 City State Zip Code Transaction ID: A2021-889717 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 60.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 286.56 Other (specify) 220.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Liu, Trevor, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City State Zip Code Transaction ID: A2021-889659 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 68.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 271.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lohmeier, Larry, , , Date of Receipt Mailing Address 3701 State Road 26 East 04 2021 City State Zip Code Transaction ID : A2021-890037 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 192.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 758.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-889819 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Legal Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 677.90 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City Zip Code State Transaction ID: A2021-889518 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Large Engine Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890468 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 146.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 566.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-890340 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 663.28 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheny, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889805 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 220.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 865.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheson, Sean, , , Date of Receipt Mailing Address 500 N Morton Ave 04 2021 City State Zip Code Transaction ID : A2021-890330 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 57.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Network Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 221.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2021 **Building CV** City State Zip Code Transaction ID: A2021-889760 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 872.00 Other (specify) 495.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCaslin, James, , , Date of Receipt Mailing Address 1540 Newton Pass 2021 City Zip Code State Transaction ID: A2021-548442 OH **Broadview Hts** 44147-3631 Amount of Each Receipt this Period FEC ID number of contributing C 35.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 214.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McCaslin, James, , , Date of Receipt Mailing Address 1540 Newton Pass 04 15 2021 City State Zip Code Transaction ID : A2021-747216 **Broadview Hts** OH 44147-3631 Amount of Each Receipt this Period FEC ID number of contributing 36.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCaslin, James, , , Date of Receipt Mailing Address 1540 Newton Pass 29 2021 City Zip Code State Transaction ID: A2021-772342 OH **Broadview Hts** 44147-3631 Amount of Each Receipt this Period FEC ID number of contributing C 36.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 287.68 Other (specify) 109.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McClure, Rebecca, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890514 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 62.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGuire, Andy, A, , Date of Receipt Mailing Address 200 NE Adams Street 04 2021 City State Zip Code Transaction ID: A2021-889841 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City Zip Code State Transaction ID: A2021-889562 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 310.91 Other (specify) 557.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890502 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 59.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Credit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890483 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **AFS Commercial Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Miller, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg E City State Zip Code Transaction ID: A2021-889842 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product & Tech Training Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.28 Other (specify) 217.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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59 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Shane, , , Date of Receipt Mailing Address 501 Cardinal Drive 2021 City Zip Code State Transaction ID: A2021-889783 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing 54.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 215.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889579 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1664.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890091 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 151.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General 599.17 Other (specify) 622.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mohrman, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-889449 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 95.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 377.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID : A2021-890369 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 157.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 607.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2021 City State Zip Code Transaction ID: A2021-890093 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 170.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineering Mgr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 675.24 Other (specify) 424.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890425 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 135.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 522.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2021 City State Zip Code Transaction ID : A2021-889714 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889817 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 226.16 Other (specify) 393.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morzy, Adam, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2021 City State Zip Code Transaction ID: A2021-890539 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 67.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Accounting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 261.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 04 2021 City State Zip Code Transaction ID : A2021-890428 Green Valley ΑZ 85614 Amount of Each Receipt this Period FEC ID number of contributing 182.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 711.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mund, Brian, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-889927 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 66.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 261.26 Other (specify) 317.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muthekepalli, Srinivas, , , Date of Receipt Mailing Address 500 N. Morton Ave 2021 City Zip Code State Transaction ID: A2021-890331 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 69.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Info Systems Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 271.29 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Bart, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 04 2021 Suite 4000 City State Zip Code Transaction ID : A2021-889848 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr EP Diesel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 30 2021 1425 K Street City Zip Code State Transaction ID: A2021-889976 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 308.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1235.36 Other (specify) 478.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890499 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 88.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 346.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2021 City State Zip Code Transaction ID : A2021-890373 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 93.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 367.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nicoll, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg E City State Zip Code Transaction ID: A2021-890344 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 237.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd Tech Center Bldg K 2021 City Zip Code State Transaction ID: A2021-889540 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 171.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 676.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Nuttall, Angela, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889845 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 213.89 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** O'Neil, Ed, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890001 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 326.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City Zip Code State Transaction ID: A2021-890267 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 77.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Supply Chain Area Sr. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osterfeld, Scott, , , Date of Receipt Mailing Address 3450 Executive Way 04 2021 City State Zip Code Transaction ID : A2021-889775 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 189.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 746.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-890476 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 211.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 830.33 Other (specify) 478.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Perez, Marco, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 City Zip Code State Transaction ID: A2021-890252 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 88.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dev Assignment Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 343.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889664 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 51.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 203.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Pfautsch, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-889929 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 215.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pierce, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-889730 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 85.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Performance Mgr 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 337.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pitts, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890187 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 69.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 272.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Potluru, Pitchaiah, , , Date of Receipt Mailing Address 3287 Pacific Dr 29 2021 City State Zip Code Transaction ID: A2021-769796 FL **Naples** 34119-8666 Amount of Each Receipt this Period FEC ID number of contributing C 28.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 219.12 Other (specify) 182.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-889699 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Qualey, Richard, , , Date of Receipt Mailing Address 5122 N. Jefferson Pl. 04 2021 City State Zip Code Transaction ID : A2021-889718 WA Oak Harbor 98277 Amount of Each Receipt this Period FEC ID number of contributing 57.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 228.17 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-889504 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 674.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rae McKay, Heather, R, Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890396 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WD Functional Area Architect Lead Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rasmussen, Marie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 04 2021 Bldg L City State Zip Code Transaction ID : A2021-890072 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 56.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg A City State Zip Code Transaction ID: A2021-889900 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Strategy & Planning Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 220.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rathman, Carol, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-889948 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 67.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 264.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rea, David, M. Date of Receipt Mailing Address 875 W Cushing Street 04 2021 City State Zip Code Transaction ID : A2021-890345 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rider, Woody, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2021 City Zip Code State Transaction ID: A2021-890197 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 55.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 218.93 Other (specify) 539.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Riggle, Robert, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-889886 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 70.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Performance Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 277.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 04 2021 City State Zip Code Transaction ID : A2021-890316 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 168.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 651.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robbins, Ryan, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-889754 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 58.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General 226.28 Other (specify) 297.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rochford, Mike, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-889417 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Gas & Med Speed Engines Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rodawold, Joseph, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2021 City State Zip Code Transaction ID : A2021-889554 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 166.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 650.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890096 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 204.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 795.52 Other (specify) 431.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889808 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Risk Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889505 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Roos, Kim, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2021 City State Zip Code Transaction ID: A2021-890021 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 61.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 239.74 Other (specify) 578.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 2021 City Zip Code State Transaction ID: A2021-890456 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 269.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rottman, Joe, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2021 City State Zip Code Transaction ID: A2021-889521 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 66.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 258.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-890457 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 226.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2021 City Zip Code State Transaction ID: A2021-890126 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 668.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889477 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 228.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 870.09 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Salahuddin, Jihad, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City Zip Code State Transaction ID: A2021-889495 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 159.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Venture Capital Investmt Mg Receipt For: Aggregate Year-to-Date ▼ Primary General 628.89 Other (specify) 554.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sale, Ronald, , , Date of Receipt Mailing Address 8826 2 Route 24 2021 City Zip Code State Transaction ID: A2021-889742 IL 61547 Mapleton Amount of Each Receipt this Period FEC ID number of contributing 74.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 292.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 04 2021 Suite 600 City State Zip Code Transaction ID : A2021-890068 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-890522 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 207.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 801.90 Other (specify) 382.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shea, Ryan,,, Date of Receipt Mailing Address 600 Balderson Blvd P O Box 6 2021 City State Zip Code Transaction ID: A2021-890286 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 58.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) 6 Sigma Black Belt-2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890071 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 216.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 846.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2021 City State Zip Code Transaction ID: A2021-890329 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Aftermarket Parts Dist Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 364.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-889735 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 351.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1405.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 04 2021 City State Zip Code Transaction ID : A2021-890464 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 86.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solution Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2021 Suite 4000 City State Zip Code Transaction ID : A2021-890121 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 188.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 729.64 Other (specify) 625.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Kristen, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City Zip Code State Transaction ID: A2021-890026 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Communications Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 04 2021 City State Zip Code Transaction ID : A2021-548427 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 733.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 15 2021 City State Zip Code Transaction ID : A2021-747202 ALBoaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 126.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 2021 City Zip Code State Transaction ID: A2021-772339 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 126.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 985.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 04 2021 City State Zip Code Transaction ID : A2021-889670 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Project Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 309.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID : A2021-889931 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 377.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890039 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 155.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Chief Economist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 607.63 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Spears, Drew, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-890486 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - 4 Pillars Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sporre, Eric, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-890019 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Info Security Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 315.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-890164 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 160.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 632.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stanbary, Mary, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-890098 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. HR Merger & Acquistion Consult Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 310.47 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg E City State Zip Code Transaction ID: A2021-889508 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 118.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 462.44 Other (specify) 357.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-889509 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 196.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 774.17 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889601 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 158.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 625.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stenger, James, , , Date of Receipt Mailing Address 2749 Waverley Dr 01 2021 City State Zip Code Transaction ID: A2021-547158 TX Trophy Club 76262-3425 Amount of Each Receipt this Period FEC ID number of contributing C 38.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 229.20 Other (specify) 393.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stenger, James, , , Date of Receipt Mailing Address 2749 Waverley Dr 15 2021 City Zip Code State Transaction ID: A2021-747384 TX Trophy Club 76262-3425 Amount of Each Receipt this Period FEC ID number of contributing C 39.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 268.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stenger, James, , , Date of Receipt Mailing Address 2749 Waverley Dr 04 2021 City State Zip Code Transaction ID : A2021-769798 TX Trophy Club 76262-3425 Amount of Each Receipt this Period FEC ID number of contributing 39.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 307.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2021 City State Zip Code Transaction ID: A2021-890424 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 168.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 650.96 Other (specify) 247.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889896 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 153.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stinson, John, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889544 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 191.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 754.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-889932 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 131.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 496.66 Other (specify) 476.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City Zip Code State Transaction ID: A2021-889950 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 71.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 279.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd 04 2021 PO Box 1430 City State Zip Code Transaction ID : A2021-889552 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 164.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 638.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2021 City State Zip Code Transaction ID: A2021-890088 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 652.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams Cileungsi Bogor 2021 City Zip Code State Transaction ID: A2021-890332 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 167.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 661.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 04 2021 **Building CV** City State Zip Code Transaction ID : A2021-890159 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 236.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 909.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-890157 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 138.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 547.96 Other (specify) 542.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-889980 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 169.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 734.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 2021 City State Zip Code Transaction ID : A2021-890116 East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 128.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 505.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thaker, Hrishikesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 City Zip Code State Transaction ID: A2021-890400 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 72.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 281.84 Other (specify) 370.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thomas, Heather, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-890398 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Mktg Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 212.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 04 2021 City State Zip Code Transaction ID : A2021-889657 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2021 Building CV Dock CV City State Zip Code Transaction ID: A2021-889423 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy & Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 279.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-889818 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 04 2021 **Building CV** City State Zip Code Transaction ID : A2021-890390 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 171.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Value Chain Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 676.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2021 City State Zip Code Transaction ID: A2021-889933 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 81.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 321.03 Other (specify) 352.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tyre, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-889871 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 272.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Jim, , , III Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889512 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CEO Chairman of the Board Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-889823 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 858.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Verlasky, Paul, , , Date of Receipt Mailing Address 9330 Sky Park Court 2021 City Zip Code State Transaction ID: A2021-890257 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 60.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Consulting Application Engr Receipt For: Aggregate Year-to-Date ▼ Primary General 230.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889946 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 77.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 304.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 30 2021 Suite 101 City State Zip Code Transaction ID: A2021-889646 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 240.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 960.00 Other (specify) 377.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-890190 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-890439 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889879 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 167.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Risk & Compliance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 649.70 Other (specify) 1000.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weaver, Travis, , , Date of Receipt Mailing Address 540 W. Madison Street Floor 3 2021 City State Zip Code Transaction ID: A2021-889655 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 55.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mgr Strategic Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 215.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weer, Noel, S,, Date of Receipt Mailing Address 3000 North 27th Street 04 2021 City State Zip Code Transaction ID : A2021-889443 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 84.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales & Oprns Plng Coord 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 312.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg A City State Zip Code Transaction ID: A2021-889565 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 556.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weston, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-889998 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 04 2021 City State Zip Code Transaction ID : A2021-889999 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 186.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 739.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg F City State Zip Code Transaction ID: A2021-889663 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 95.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 376.38 Other (specify) 357.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-890459 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 157.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 614.11 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williamson, Ted, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-889825 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CPS Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 290.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Winkel, Chris, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-889981 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Prod Compliance & Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 209.64 Other (specify) 284.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City Zip Code State Transaction ID: A2021-889408 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 64.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division HR Communication Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 248.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wisher, Jessica, , , Date of Receipt Mailing Address 3000 North 27th Street 04 2021 City State Zip Code Transaction ID : A2021-889493 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 167.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Value Stream Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 653.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-889954 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Tax Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 648.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2021 City Zip Code State Transaction ID: A2021-548415 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 475.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 04 15 2021 City State Zip Code Transaction ID : A2021-747221 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing 81.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 556.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 29 2021 City State Zip Code Transaction ID: A2021-772338 GΑ Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 81.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 637.96 Other (specify) 242.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 2021 City Zip Code State Transaction ID: A2021-890136 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 04 2021 City State Zip Code Transaction ID : A2021-890171 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 182.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 707.77 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-889405 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 699.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zdanowski, John, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-889546 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 54.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect I Receipt For: Aggregate Year-to-Date ▼ Primary General 215.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 04 2021 Suite 4000 City State Zip Code Transaction ID: A2021-889671 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 129.80 SUBTOTAL of Receipts This Page (optional)..... 44594.84 TOTAL This Period (last page this line number only).....