24038540751

RECEIVED
FEC MAIL
OPERATIONS CENTER

2004 OCT 13 A 10:06

FEC FORM 5

REPORT OF INDEPENDENT EXPENDITURES MADE AND CONTRIBUTIONS RECEIVED

To Be Used by Persons (Other than Political Committees) Including Qualified Nonprofit Corporations

1. (a) Name of Individual, Organization or Corporation

Richard Serra

(b) Address (number and street) ☐ check if different than previously reported

173 Duane Street

(c) City, State and ZIP Code

New York, NY 10013

3. FEC Identification Number

C 9 0 0 0 7 9 3 1

2. Corporate filers only

Is the filer a qualified nonprofit corporation? ☐ Yes ☐ No

Individual filers only — Name of Employer: self-employed — Occupation: Artist

4. TYPE OF REPORT (check appropriate boxes)

(a) ☐ April 15 Quarterly Report ☐ 24-Hour Notice ☒ 48-Hour Notice

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report ☐ 12-Day Report preceding the election ▼

Type of Election — Date of Election — State

☐ January 31 Year-End Report

☐ 30-Day Report following the General Election ▼

Date of Election — State

b) Is this Report an amendment? Yes ☒ No ☐

5. COVERING PERIOD: FROM 06 / 09 / 2005

THROUGH

07 / 19 / 2004

6. TOTAL CONTRIBUTIONS ..... [illegible]

7. TOTAL INDEPENDENT EXPENDITURES ..... [illegible]

Under penalty of perjury I certify that the independent expenditures reported herein were not made in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate or authorized committee or agent of either, or any political party committee or its agent. In addition, (if the independent expenditures reported herein were made by a corporation) I certify that the corporation is a qualified nonprofit corporation under the Commission's regulations.

TYPE OR PRINT NAME OF PERSON COMPLETING FORM: Richard Serra

SIGNATURE: [signature]

DATE: 10-8-04

NOTE: Submission of false, worthless or incomplete information may subject the person signing this report to the penalties of 2 U.S.C. §437g.

For further information, contact:
Federal Election Commission, 999 E Street, N.W., Washington, D.C. 20463 Toll Free 800-424-9530, Local 202-694-1100

24038540752

SCHEDULE B-E
ITEMIZED INDEPENDENT EXPENDITURES

FOR LINE 7 OF FORM 6

NAME OF FILER (In Full)

Richard Serra

Full Name (Last, First, Middle Initial) of Payee: Third Wind, Inc.
Mailing Address: 11 Harrison Street
City: New York, State: NY, Zip Code: 10013
Date: 06 / 09 / 2004
Amount: 3885.00
Purpose of Expenditure: Website Development
Category/Type:
Office Sought: [ ] House [ ] Senate [X] President; State: ; District:
Name of Federal Candidate Supported or Opposed by Expenditure: George W. Bush
Check One: [ ] Support [X] Oppose
Calendar Year-To-Date Per Election for Office Sought: 61,337.00
Disbursement For: [ ] Primary [X] General [ ] Other (specify)

Full Name (Last, First, Middle Initial) of Payee: Third Wind, Inc.
Mailing Address: 11 Harrison Street
City: New York, State: NY, Zip Code: 10013
Date: 07 / 06 / 2004
Amount: 1452.00
Purpose of Expenditure: Website Development
Category/Type:
Office Sought: [ ] House [ ] Senate [X] President; State: ; District:
Name of Federal Candidate Supported or Opposed by Expenditure: George W. Bush
Check One: [ ] Support [X] Oppose
Calendar Year-To-Date Per Election for Office Sought: 61,337.00
Disbursement For: [ ] Primary [X] General [ ] Other (specify)

Full Name (Last, First, Middle Initial) of Payee: The Nation Co. LP
Mailing Address: 33 Irving Place
City: New York, State: NY, Zip Code: 10013
Date: 07 / 19 / 2004
Amount: 3000.00
Purpose of Expenditure: Advertisement
Category/Type:
Office Sought: [ ] House [ ] Senate [X] President; State: ; District:
Name of Federal Candidate Supported or Opposed by Expenditure: George W. Bush
Check One: [ ] Support [X] Oppose
Calendar Year-To-Date Per Election for Office Sought: 61,337.00
Disbursement For: [ ] Primary [X] General [ ] Other (specify)

(a) SUBTOTAL of Itemized Independent Expenditures ▶ 3337.00

(b) SUBTOTAL of Unitemized Independent Expenditures ▶ 0.00

(c) TOTAL Independent Expenditures (carry total from last page forward to Line 7) ▶

24038540753

SCHEDULE 5-E
ITEMIZED INDEPENDENT EXPENDITURES

PAGE 2 OF 2
FOR LINE 7 OF FORM 5

NAME OF FILER (in Full)

Richard Serra

Full Name (Last, First, Middle Initial) of Payee: The Nation Co. LP

Mailing Address: 33 Irving Place

City: New York, NY 10013 | State: | Zip Code:

Date: 07 / 05 / 2004

Amount: 300000

Purpose of Expenditure: Advertisement

Category/Type:

Office Sought: [ ] House [ ] Senate [X] President | State: | District:

Name of Federal Candidate Supported or Opposed by Expenditure: George W. Bush

Check One: [ ] Support [X] Oppose

Calendar Year-To-Date Per Election for Office Sought: 11337 00

Disbursement For: [ ] Primary [X] General [ ] Other (specify) ▶

Full Name (Last, First, Middle Initial) of Payee:

Mailing Address:

City: | State: | Zip Code:

Date: / /

Amount:

Purpose of Expenditure:

Category/Type:

Office Sought: [ ] House [ ] Senate [ ] President | State: | District:

Name of Federal Candidate Supported or Opposed by Expenditure:

Check One: [ ] Support [ ] Oppose

Calendar Year-To-Date Per Election for Office Sought:

Disbursement For: [ ] Primary [ ] General [ ] Other (specify) ▶

Full Name (Last, First, Middle Initial) of Payee:

Mailing Address:

City: | State: | Zip Code:

Date: / /

Amount:

Purpose of Expenditure:

Category/Type:

Office Sought: [ ] House [ ] Senate [ ] President | State: | District:

Name of Federal Candidate Supported or Opposed by Expenditure:

Check One: [ ] Support [ ] Oppose

Calendar Year-To-Date Per Election for Office Sought:

Disbursement For: [ ] Primary [ ] General [ ] Other (specify) ▶

(a) SUBTOTAL of Itemized Independent Expenditures ▶ 300000

(b) SUBTOTAL of Unitemized Independent Expenditures ▶ 000

(c) TOTAL Independent Expenditures (carry total from last page forward to Line 7) ▶ 11337 00

24038540754

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The FEC added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered	Date of Receipt
☐ USPS First Class Mail	Postmarked
☑ USPS Registered/Certified	Postmarked (R/C)
☐ USPS Priority Mail	Postmarked Delivery Confirmation ™ Label ☐
☐ USPS Express Mail	Postmarked
☑ Postmark Illegible	
☐ No Postmark	
☐ Overnight Delivery Service (Specify):	Shipping Date
☐ Received from House Records & Registration Office	Date of Receipt
☐ Received from Senate Public Records Office	Date of Receipt
☐ Received from Electronic Filing Office	Date of Receipt
☐ Other (Specify):	Date of Receipt or Postmarked
JMP PREPARER	10-13-04 DATE PREPARED

(5/2004)