



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

March 2, 2017

STEVEN WELZER, TREASURER
JILL STEIN FOR PRESIDENT
22 KENDALL ROAD
LEXINGTON, MA 02421

Response Due Date

IDENTIFICATION NUMBER: C00581199

04/06/2017

REFERENCE: YEAR-END REPORT (11/29/2016 - 12/31/2016)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in enforcement action.** Additional information is needed for the following 3 item(s):

1. The totals listed on Lines 16, 17(a)(iii), 17(e), 19(a), 19(c), 20(a), 20(d), 22, 23, 24, 25, 28(a), 28(d), 29, and 30, Column B-P of the Detailed Summary Pages appear to be incorrect. Column B-P figures for the Summary and Detailed Summary Pages should equal the sum of the Column C-P figures on your previous report and the Column A-P figures on this report. Please file an amendment to your report to correct the Column B-P discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B-P should reflect only the election cycle-to-date totals (11/9/2016 through 11/3/2020). (52 U.S.C. § 30104(b) (formerly 2 U.S.C. § 434(b)) and 11 CFR § 104.3)
2. Line 17(a)(ii) of the Detailed Summary Page discloses \$770,334.62 in unitemized receipts from individuals/persons other than political committees during the reporting period. When contributions from an individual reach \$200 for an election cycle, each subsequent contribution from that individual must be itemized, regardless of the amount. The itemization should include the full name, mailing address and zip code of the person, along with the name of his/her employer, the date of the contribution, his/her occupation, the election designation and the election cycle-to-date amount of contributions made by the person. Please amend your report to provide a Schedule A-P if necessary, or to clarify that for the contributions in question, the aggregate total for the election cycle for each contributor does not exceed \$200. (11 CFR § 104.3(a)(4)(i))

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3. Commission Regulations require that a committee discloses the identification of all individuals who contribute in excess of \$200 in an election cycle. (11 CFR § 104.3(a)(4)(i)) Identification for an individual is defined as the full name (initials for first or last name are not acceptable), complete mailing address, occupation, and name of employer. (11 CFR § 100.12) Your report discloses contributions from individuals for which the identification is not complete.

The attached employer and occupation entries appear on your report and are not considered acceptable.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR § 104.7(b)(1)) See 11 CFR § 104.7(b)(1)(B) for examples of acceptable statements regarding the requirements of federal law.

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The requests must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A-P listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR § 104.7(b)(4))

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Please amend your report to provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

- Please be advised that contributions to a recount fund should be itemized on Schedule A-P supporting Line 21 of the Detailed Summary Page. They should not be listed on Line 17(a)(i). (FEC Advisory Opinion 2006-24)

- Your report disclosed a category of financial activity that appears to be disclosed on the wrong line of the Detailed Summary Page. Disbursements for a recount should be properly disclosed on a separate Schedule B-P, supporting Line 29 of the Detailed Summary Page. Please refer to the Form 3-P instructions for each line and FEC Advisory Opinion 2006-24 when determining the proper categorization(s) for your next filing. (11 CFR § 104.3(a) and (b))

Please note you will not receive an additional notice from the Commission on this matter. Adequate responses received on or before this date will be taken into consideration. **Requests for extensions of time in which to respond will not be considered.** Failure to comply with the provisions of the Act may result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For additional information about the report review process or specific filing information for your committee type, please visit <http://www.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1174.

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Sincerely,

A handwritten signature in black ink, appearing to read 'Jaime', with a stylized, flowing script.

Jaime Amrhein
Senior Campaign Finance Analyst
Reports Analysis Division

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Inadequate Employer/Occupation Entries
Jill Stein for President (C00581199)

| Employer | Occupation |
|---------------|----------------------|
| - | - |
| N/A | Business Owner |
| N/A | Engineer |
| n/a | n/a |
| N/a | Prev hr |
| N/A | Professor |
| N/A | Researcher |
| N/N | N/A |
| NA | NA |
| na | RN |
| None | Arts |
| None | engineer |
| None | LVN |
| None | manager |
| None | None |
| None | self |
| None | self-employed |
| None | Teacher |
| Self | Business |
| Self | Business owner |
| self | management |
| Self | N/A |
| Self | None |
| Self | Professional |
| Self | Restaurant |
| Self | Self |
| Self | Self-employed |
| Self | Self Employed |
| Self | Small business owner |
| Self-employed | Hotel Owner |
| self-employed | preschool owner |
| Self-employed | Sales |
| self-employed | small business |
| selfemployed | adminstrator |

Inadequate Employer/Occupation Entries
Jill Stein for President (C00581199)

| Employer | Occupation |
|---------------|----------------|
| Self employed | Business owner |
| self employed | exec |
| Toxicologist | Toxicologist |