

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines
Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street) 1101 New York Avenue, NW
8th Floor
 Check if different than previously reported. (ACC)
Washington DC 20005

2. **FEC IDENTIFICATION NUMBER** C00431312
3. IS THIS REPORT NEW (N) **OR** AMENDED (A)

4. **TYPE OF REPORT** (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report(Q1)
 July 15 Quarterly Report(Q2)
 October 15 Quarterly Report(Q3)
 January 31 Quarterly Report(YE)
 July 31 Mid-Year Report(Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day **PRE**-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12G)
Election on _____ in the State of _____
(d) 30-Day **Post**-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on _____ in the State of _____

5. Covering Period 08 01 2008 through 08 31 2008

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer Richard J. Hunt

Signature of Treasurer Electronically Filed by Richard J. Hunt Date 09 19 2008

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only									
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FEC FORM 3X
(Rev. 12/2004)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

Write or Type Committee Name
Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From:

M	M
0	8

D	D
0	1

Y	Y	Y	Y
2	0	0	8

 To:

M	M
0	8

D	D
3	1

Y	Y	Y	Y
2	0	0	8

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>0</td><td>8</td></tr></table>	Y	Y	Y	Y	2	0	0	8		208009.19
Y	Y	Y	Y							
2	0	0	8							
(b) Cash on Hand at Beginning of Reporting Period	108606.09									
(c) Total Receipts (from Line 19)	80794.25	443424.39								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	189400.34	651433.58								
7. Total Disbursements (from Line 31)	351.88	462385.12								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	189048.46	189048.46								
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00									
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

Write or Type Committee Name
Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From:

M	M
0	8

D	D
0	1

Y	Y	Y	Y
2	0	0	8

 To:

M	M
0	8

D	D
3	1

Y	Y	Y	Y
2	0	0	8

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	79467.96	362189.04
(i) Itemized (use Schedule A)	1326.29	26735.35
(ii) Unitemized		
(iii) TOTAL (add Lines 11(a)(i) and (ii)	80794.25	388924.39
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	52000.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	80794.25	440924.39
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	2500.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	80794.25	443424.39
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	80794.25	443424.39

DETAILED SUMMARY PAGE

of Disbursements

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	351.88	3385.12
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....	351.88	3385.12
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	459000.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	351.88	462385.12
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	351.88	462385.12

DETAILED SUMMARY PAGE
of Disbursements

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3	80794.25	440924.39
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	80794.25	440924.39
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	351.88	3385.12
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	351.88	3385.12

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 17
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Greg Ghodsi

Mailing Address 13122 Greengage Lane

City Tampa State FL Zip Code 33612-1800

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Financial Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 08 / 08 / 2008
Transaction ID: 15790283
Amount of Each Receipt this Period 250.00
Contribution

B. Full Name (Last, First, Middle Initial)
Mr. Dennis Martino

Mailing Address 36750 US 19 North Number 3045

City Palm Harbor State FL Zip Code 34684

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Financial Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 08 / 08 / 2008
Transaction ID: 15790315
Amount of Each Receipt this Period 250.00
Contribution

C. Full Name (Last, First, Middle Initial)
Mr. Dennis Zank

Mailing Address 14365 Eagle Pointe Drive

City Clearwater State FL Zip Code 33762-2206

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 08 / 08 / 2008
Transaction ID: 15790320
Amount of Each Receipt this Period 1000.00
Contribution

SUBTOTAL of Receipts This Page (optional) ► 1500.00

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 / 17
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Brett Bayston	Date of Receipt MM / DD / YYYY 08 / 08 / 2008
	Mailing Address 2009 W 250 North	Transaction ID: 15790323
	City State Zip Code Lebanon IN 46052-8410	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Edward Jones Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Douglas J. Ketterer	Date of Receipt MM / DD / YYYY 08 / 08 / 2008
	Mailing Address 11 Woodvale Drive	Transaction ID: 15790324
	City State Zip Code Syosset NY 11791-1213	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Morgan Stanley Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. Frank W. Baier	Date of Receipt MM / DD / YYYY 08 / 12 / 2008
	Mailing Address 15 Druid Hill Road	Transaction ID: 15798788
	City State Zip Code Summit NJ 07901-3213	Amount of Each Receipt this Period 2500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Securities Industry and Financial Mark CFO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2500.00	

SUBTOTAL of Receipts This Page (optional)	3750.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 17
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. Nancy K. Caton		Date of Receipt MM / DD / YYYY 08 / 12 / 2008		
	Mailing Address 101 Larkspur Landing Circle #220		Transaction ID: 15798792		
	City Larkspur	State CA	Zip Code 94939-1746	Amount of Each Receipt this Period 1000.00	
	FEC ID number of contributing federal political committee. C		Contribution		
	Name of Employer Raymond James Financial, Inc.	Occupation President	Aggregate Year-to-Date 1000.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

B.	Full Name (Last, First, Middle Initial) Mr. Philip G. Lenser		Date of Receipt MM / DD / YYYY 08 / 12 / 2008		
	Mailing Address 11 Ramblewood Way		Transaction ID: 15798794		
	City Woodbridge	State CA	Zip Code 95258-9028	Amount of Each Receipt this Period 250.00	
	FEC ID number of contributing federal political committee. C		Contribution		
	Name of Employer Edward Jones	Occupation Financial Advisor	Aggregate Year-to-Date 250.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

C.	Full Name (Last, First, Middle Initial) Mr. Stephen G. Hill		Date of Receipt MM / DD / YYYY 08 / 12 / 2008		
	Mailing Address 3110 W. Dunwoodie Street		Transaction ID: 15799713		
	City Tampa	State FL	Zip Code 33629-5212	Amount of Each Receipt this Period 500.00	
	FEC ID number of contributing federal political committee. C		Contribution		
	Name of Employer Raymond James Financial, Inc.	Occupation Sr. VP.	Aggregate Year-to-Date 500.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

SUBTOTAL of Receipts This Page (optional)	▶	1750.00
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 / 17
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Steven Novik		Date of Receipt
	Mailing Address 6431 Wydown		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 0 8 / 1 3 / 2 0 0 8
	City	State	Zip Code
	Clayton	MO	63105
	FEC ID number of contributing federal political committee. C		Transaction ID: 15822609
Name of Employer Edward Jones		Occupation Chief Financial Officer	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 5000.00
			Contribution

B.	Full Name (Last, First, Middle Initial) Mr. Charles N. Rogers		Date of Receipt
	Mailing Address 2150 Englewood Terrace		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 0 8 / 1 3 / 2 0 0 8
	City	State	Zip Code
	Chesterfield	MO	63017-7280
	FEC ID number of contributing federal political committee. C		Transaction ID: 15822610
Name of Employer Edward Jones		Occupation Principal	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 2000.00
			Contribution

C.	Full Name (Last, First, Middle Initial) Norman L. Eaker		Date of Receipt
	Mailing Address 20 Country Club Woods		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 0 8 / 1 3 / 2 0 0 8
	City	State	Zip Code
	Saint Charles	MO	63303-3300
	FEC ID number of contributing federal political committee. C		Transaction ID: 15822612
Name of Employer Edward Jones		Occupation General Partner	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 5000.00
			Contribution

SUBTOTAL of Receipts This Page (optional)	<input type="text"/> 12000.00
TOTAL This Period (last page this line number only)	<input type="text"/>

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 17
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. John A. Anderson

Mailing Address 9750 Oatley Lane

City State Zip Code
Burke VA 22015-4423

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1538.48

Date of Receipt: 08 / 13 / 2008
Transaction ID: 15822615
Amount of Each Receipt this Period: 192.31

B. Full Name (Last, First, Middle Initial)
Mr. Thomas F Price

Mailing Address 585 Farview Avenue

City State Zip Code
Wyckoff NJ 07481-1141

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1333.36

Date of Receipt: 08 / 13 / 2008
Transaction ID: 15822617
Amount of Each Receipt this Period: 166.67

C. Full Name (Last, First, Middle Initial)
Mr. Bernard L. Madoff

Mailing Address 133 East 64th Street

City State Zip Code
New York NY 10021

FEC ID number of contributing federal political committee. **C**

Name of Employer: Bernard L. Madoff Investment Securities
Occupation: Principal/Member

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 08 / 20 / 2008
Transaction ID: 15822618
Amount of Each Receipt this Period: 5000.00
Contribution

SUBTOTAL of Receipts This Page (optional) ► **5358.98**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 17
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12		
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Dwaine K. Beck

Mailing Address 1305 Lyon Street

City Columbia State TN Zip Code 38401-5255

FEC ID number of contributing federal political committee. **C**

Name of Employer Edward Jones Occupation Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 08 / 26 / 2008
Transaction ID: 15833424
 Amount of Each Receipt this Period: 250.00
 Contribution

B. Full Name (Last, First, Middle Initial)
Mr. Peter D. Cherasia

Mailing Address 96 West River Road

City Rumson State NJ Zip Code 07760-1139

FEC ID number of contributing federal political committee. **C**

Name of Employer J.P. Morgan Securities Inc. Occupation Chief Information Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 08 / 26 / 2008
Transaction ID: 15833428
 Amount of Each Receipt this Period: 5000.00
 Contribution

C. Full Name (Last, First, Middle Initial)
Mr. Robert A. Case

Mailing Address 44 West 77th Street Apt. 7E

City New York State NY Zip Code 10024-5150

FEC ID number of contributing federal political committee. **C**

Name of Employer J.P. Morgan Securities Inc. Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.00

Date of Receipt: 08 / 26 / 2008
Transaction ID: 15833434
 Amount of Each Receipt this Period: 2500.00
 Contribution

SUBTOTAL of Receipts This Page (optional) ► **7750.00**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 17
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

<p>A. Full Name (Last, First, Middle Initial) Mr. James E. Staley</p> <p>Mailing Address 245 Park Avenue Floor 05</p> <p>City State Zip Code New York NY 10167-0001</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer J.P. Morgan Securities Inc. Occupation Managing Director</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 2500.00</p>	<p>Date of Receipt MM / DD / YYYY 08 / 26 / 2008</p> <p>Transaction ID: 15833435</p> <p>Amount of Each Receipt this Period 2500.00</p> <p>Contribution</p>
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<p>B. Full Name (Last, First, Middle Initial) Mr. William M. Daley</p> <p>Mailing Address 270 Park Avenue 9th Floor</p> <p>City State Zip Code New York NY 10017-2014</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer J.P. Morgan Securities Inc. Occupation Managing Director</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 5000.00</p>	<p>Date of Receipt MM / DD / YYYY 08 / 26 / 2008</p> <p>Transaction ID: 15833441</p> <p>Amount of Each Receipt this Period 5000.00</p> <p>Contribution</p>
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<p>C. Full Name (Last, First, Middle Initial) Mr. William Johnson</p> <p>Mailing Address 551 North Street</p> <p>City State Zip Code Greenwich CT 06830-3450</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer J.P. Morgan Securities Inc. Occupation Managing Director</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 5000.00</p>	<p>Date of Receipt MM / DD / YYYY 08 / 26 / 2008</p> <p>Transaction ID: 15833442</p> <p>Amount of Each Receipt this Period 5000.00</p> <p>Contribution</p>
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SUBTOTAL of Receipts This Page (optional)	12500.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 17

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Barry L. Zubrow		Date of Receipt
	Mailing Address 500 Black River Road		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 0 8 / 2 6 / 2 0 0 8
	City	State	Zip Code
	Far Hills	NJ	07931-2901
	FEC ID number of contributing federal political committee. C		Transaction ID: 15833443
Name of Employer J.P. Morgan Securities In-c.		Occupation Managing Director	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 2000.00
			Contribution

B.	Full Name (Last, First, Middle Initial) Mr. James Lee		Date of Receipt
	Mailing Address 490 Hollow Tree Ridge Road		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 0 8 / 2 6 / 2 0 0 8
	City	State	Zip Code
	Darien	CT	06820-2727
	FEC ID number of contributing federal political committee. C		Transaction ID: 15833452
Name of Employer J.P. Morgan Securities In-c.		Occupation Vice President & Assistant General Cou	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 5000.00
			Contribution

C.	Full Name (Last, First, Middle Initial) Mr Steven D Black		Date of Receipt
	Mailing Address 25 Sherwood Avenue		<input type="text"/> M <input type="text"/> M / <input type="text"/> D <input type="text"/> D / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y 0 8 / 2 6 / 2 0 0 8
	City	State	Zip Code
	Greenwich	CT	06831-3213
	FEC ID number of contributing federal political committee. C		Transaction ID: 15833453
Name of Employer J.P. Morgan Securities In-c.		Occupation Co-CEO	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	<input type="text"/> 5000.00
			Contribution

SUBTOTAL of Receipts This Page (optional)	<input type="text"/> 12000.00
TOTAL This Period (last page this line number only)	<input type="text"/>

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 17
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
							17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Mr. Michael B. Nierenberg

Mailing Address 14 Plum Beach Point Road

City State Zip Code
Sands Point NY 11050-1314

FEC ID number of contributing federal political committee. **C**

Name of Employer J.P. Morgan Securities Inc.
Occupation Sr. Mng. Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
MM / DD / YYYY
08 / 26 / 2008

Transaction ID: 15833458

Amount of Each Receipt this Period
5000.00

Contribution

B.

Full Name (Last, First, Middle Initial)
Ms. Diane Genova

Mailing Address 1 Grace Court
Apt. 2B

City State Zip Code
Brooklyn NY 11201-4195

FEC ID number of contributing federal political committee. **C**

Name of Employer J.P. Morgan Securities Inc.
Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
MM / DD / YYYY
08 / 26 / 2008

Transaction ID: 15833459

Amount of Each Receipt this Period
5000.00

Contribution

C.

Full Name (Last, First, Middle Initial)
Mr. Carlos M. Hernandez

Mailing Address 39 Quail Road

City State Zip Code
Greenwich CT 06831-3322

FEC ID number of contributing federal political committee. **C**

Name of Employer J.P. Morgan Securities Inc.
Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
MM / DD / YYYY
08 / 26 / 2008

Transaction ID: 15833460

Amount of Each Receipt this Period
5000.00

Contribution

SUBTOTAL of Receipts This Page (optional) ► **15000.00**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 / 17
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Jeffrey H. Urwin	Date of Receipt MM / DD / YYYY 08 / 26 / 2008
	Mailing Address 15 East 80th Street	Transaction ID: 15833461
	City State Zip Code New York NY 10075-0109	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer J.P. Morgan Securities Inc. Occupation Co-Head Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
Aggregate Year-to-Date ▼ 5000.00		

B.	Full Name (Last, First, Middle Initial) Mr. Paul Compton	Date of Receipt MM / DD / YYYY 08 / 26 / 2008
	Mailing Address 28 Verandah Place	Transaction ID: 15833462
	City State Zip Code Brooklyn NY 11201-6106	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer J.P. Morgan Securities Inc. Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
Aggregate Year-to-Date ▼ 1000.00		

C.	Full Name (Last, First, Middle Initial) Mr. Rodger Alan Lawson	Date of Receipt MM / DD / YYYY 08 / 28 / 2008
	Mailing Address 330 East 38th Street Apt. 49DE	Transaction ID: 15879561
	City State Zip Code New York NY 10016-2759	Amount of Each Receipt this Period 1500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Fidelity Brokerage Occupation Sr. VP Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
Aggregate Year-to-Date ▼ 1500.00		

SUBTOTAL of Receipts This Page (optional)	7500.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 17
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
							17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Mr. Thomas F Price		Date of Receipt MM / DD / YYYY 08 / 27 / 2008	
Mailing Address 585 Farview Avenue		Transaction ID: 15880268	
City Wyckoff	State NJ	Zip Code 07481-1141	Amount of Each Receipt this Period 166.67
FEC ID number of contributing federal political committee. C			
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1500.03		

B.

Full Name (Last, First, Middle Initial) Mr. John A. Anderson		Date of Receipt MM / DD / YYYY 08 / 27 / 2008	
Mailing Address 9750 Oatley Lane		Transaction ID: 15880269	
City Burke	State VA	Zip Code 22015-4423	Amount of Each Receipt this Period 192.31
FEC ID number of contributing federal political committee. C			
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1730.79		

SUBTOTAL of Receipts This Page (optional)	358.98
TOTAL This Period (last page this line number only)	79467.96

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 17 / 17

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial) PNC Bank <hr/> Mailing Address 1400 K Street, NW <hr/> City Washington State DC Zip Code 20005 <hr/> Purpose of Disbursement Bank Fees Candidate Name <hr/> Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 15912903 Date of Disbursement M M / D D / Y Y Y Y 0 8 / 0 4 / 2 0 0 8
	Amount of Each Disbursement this Period 25.68
	Category/ Type 001
	Bank Fees

B. Full Name (Last, First, Middle Initial) PNC Bank <hr/> Mailing Address 1400 K Street, NW <hr/> City Washington State DC Zip Code 20005 <hr/> Purpose of Disbursement Credit Card Fees Candidate Name <hr/> Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 15912990 Date of Disbursement M M / D D / Y Y Y Y 0 8 / 0 4 / 2 0 0 8
	Amount of Each Disbursement this Period 215.87
	Category/ Type 001
	Credit Card Fees

C. Full Name (Last, First, Middle Initial) PNC Bank <hr/> Mailing Address 1400 K Street, NW <hr/> City Washington State DC Zip Code 20005 <hr/> Purpose of Disbursement Credit Card Fees Candidate Name <hr/> Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 15913024 Date of Disbursement M M / D D / Y Y Y Y 0 8 / 0 5 / 2 0 0 8
	Amount of Each Disbursement this Period 110.33
	Category/ Type 001
	Credit Card Fees

SUBTOTAL of Disbursements This Page (optional) ▶	351.88
TOTAL This Period (last page this line number only) ▶	351.88