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FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X	For Other Th	nan An Authorize	ed Committee		Office Us	se Only
NAME OF     COMMITTEE (in full)	TYPE OR PRIN		cample: If typing, er the lines.	type 12	FE4M5	
The Caterpillar Inc	c. Employee Pol	litical Action Co	mmittee			
ADDRESS (number and str	eet)	ms Street				
Check if different than previously reported. (ACC)	Peoria			IL.	61629	9-1430
2. <b>FEC IDENTIFICATIO</b>	ON NUMBER ▼	CITY ▲		STAT	EA	ZIP CODE A
C C00148031		3. IS THIS REPOR		OR	AMENDED (A)	
4. TYPE OF REPOR (Choose One)  (a) Quarterly Reports  April 15 Quarterly Re  July 15	Report Due On (c) 12-	: Mar 20 (M3 Apr 20 (M4	3) Jun	20 (M5) 20 (M6) 20 (M7)	Aug 20 (M8) Sep 20 (M9) Oct 20 (M10) General (12G)	Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only) Jan 31 (YE)  Runoff (12R)
Quarterly Re October 15 Quarterly Re January 31	port (Q2) Re	E-Election port for the:	Convention (120		Special (12S)	in the
Year-End Re July 31 Mid- Report (Non- Year Only) (I	Year election MY) (d) 30-	Day ST-Election port for the:	General (30G)		Runoff (30R)	State of  Special (30S)
(TER)		Election on	M   M / D	D / Y Y	Y	in the State of
5. Covering Period	02 / 01	2019	through		28 20	
I certify that I have exami Type or Print Name of Tre	James, Dani		owledge and beli	ef it is true, co	rrect and comple	te.
Signature of Treasurer	James, Danielle, , ,		[Electronically Fil	ded] Date	03 / 19	2019
NOTE: Submission of false,	erroneous, or incomp	lete information may	subject the person	signing this Re	port to the penalti	es of 52 U.S.C. § 30109
Office Use Only						FORM 3X Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 02 01 2019 To: 02 28 2019

		COLUMN A This Period	COLUMN B Calendar Year-to-Date				
6.	(a) Cash on Hand  January 1,  2019		212187.66				
	(b) Cash on Hand at Beginning of Reporting Period	271257.26					
	(c) Total Receipts (from Line 19)	56802.45	115885.40				
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	328059.71	328073.06				
7.	Total Disbursements (from Line 31)	36512.60	36525.95				
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	291547.11	291547.11				
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

01 2019 02 28 2019 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 30714.56 48174.29 (i) Itemized (use Schedule A)..... 26081.95 67699.91 (ii) Unitemized ..... (iii) TOTAL (add 115874.20 56796.51 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees ..... (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 115874.20 56796.51 Totals to Line 33, page 5) .....▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 0.00 0.00 Political Committees..... 17. Other Federal Receipts (Dividends, Interest, etc.)..... 5.94 11.20 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 (from Schedule H3)..... 0.00 0.00 0.00 (b) Levin Funds (from Schedule H5) ....... (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 115885.40 56802.45 20. Total Federal Receipts 56802.45 115885.40 (subtract Line 18(c) from Line 19) .......▶

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)		Salsina. 1941 to Bate		
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating	4 4 4			
Expenditures	12.60	25.95		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	12.60	25.95		
Transfers to Affiliated/Other Party				
Contributions to	0.00	0.00		
Federal Candidates/Committees and Other Political Committees	0.00	0.00		
Independent Expenditures (use Schedule E)	0.00	0.00		
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	0.00	0.00		
(use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
Loans Made	0.00	0.00		
Refunds of Contributions To: (a) Individuals/Persons Other	4 4 4			
Than Political Committees	0.00	0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees (such as PACs)	0.00	0.00		
(d) Total Contribution Refunds	, , , , , ,	7 7		
(add Lines 28(a), (b), and (c))	0.00	0.00		
Other Disbursements (Including				
Non-Federal Donations)	36500.00	36500.00		
Federal Election Activity (52 U.S.C. § 30101(2 (a) Allocated Federal Election Activity (from Schedule H6)	0))			
(i) Federal Share	0.00	0.00		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add	0.00	0.00		
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00		
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	36512.60	36525.95		
Total Federal Disbursements				
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	22712.22			
HOTH LINE 31)	36512.60	36525.95		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

` ,		5				
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date				
33. Total Contributions (other than loans) (from Line 11(d), page 3)	56796.51	115874.20				
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00				
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	56796.51	115874.20				
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	12.60	25.95				
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00				
38. Net Operating Expenditures (subtract Line 37 from Line 36)	12.60	25.95				

FOR LINE NUMBER: PAGE 6 Use separate schedule(s) (check only one) **X** 11a 11b 11c

OF

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522102 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 115.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Mathew, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code Transaction ID: A2019-522344 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 193.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 387.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bailey, Douglas, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 28 2019 Suite 4000 City State Zip Code Transaction ID : A2019-522731 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 146.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 292.00 Other (specify) 455.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

#### : 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF Hz G7 < 98 I @ 'CF' + H9 A = N5 H+CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkema, Christopher, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2019 City Zip Code State Transaction ID: A2019-522254 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 164.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 329.24 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balkom, Mike, , , Date of Receipt Mailing Address 8565 Old Marsh Way 2019 City State Zip Code **Transaction ID: A2019-522075** AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 51.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 206.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Balkom, Mike, , , Date of Receipt Mailing Address 8565 Old Marsh Way 28 2019 City State Zip Code Transaction ID: A2019-521982 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing C 51.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 257.75 Other (specify) 267.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522517 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 118.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 237.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2019 City State Zip Code **Transaction ID: A2019-522368** IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 109.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Material Req Planning Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 215.27 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bennes, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 28 2019 P O Box 340001 City State Zip Code Transaction ID: A2019-523188 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 221.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 443.80 Other (specify) 449.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bluth, Thomas, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2019 City State Zip Code Transaction ID: A2019-522802 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Breitschwerdt, Otto, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-522814 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 228.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 457.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brennan, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522739 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 109.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 218.04 Other (specify) 754.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2019 City Zip Code State Transaction ID: A2019-522319 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 144.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 288.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carlson, Stacy, , , Date of Receipt Mailing Address Suite 400 2019 1425 K Street City State Zip Code Transaction ID : A2019-522516 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 176.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 352.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carney, Robert, , , III Date of Receipt Mailing Address 600 S. Second Street 28 2019 Suite 101 City State Zip Code Transaction ID: A2019-522377 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing C 150.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 301.44 Other (specify) 471.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carr, Ronald, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-522877 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 119.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 238.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID: A2019-522354 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 202.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 404.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chen, Xiao, , , Date of Receipt Mailing Address State Rt. 116 E. 28 2019 Spring Creek Rd. City State Zip Code Transaction ID: A2019-522649 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 175.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 351.76 Other (specify) 497.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-522872 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digital & Bus Transfm Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 2019 P.O. Box 1037 City State Zip Code Transaction ID: A2019-522326 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 28 2019 PO Box 610 City State Zip Code Transaction ID: A2019-522700 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 123.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 246.90 Other (specify) 690.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davy, Robert, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 2019 City State Zip Code Transaction ID: A2019-522950 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing C 163.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 326.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Douglas, Nola, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code Transaction ID : A2019-522714 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 173.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - Actg Deployment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 346.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 Tianjin Airport Economic Area City Zip Code State Transaction ID: A2019-522588 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 160.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 293.94 Other (specify) 497.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-522205 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 229.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 459.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 2019 City State Zip Code Transaction ID : A2019-522083 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing 65.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 261.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 28 2019 City State Zip Code Transaction ID: A2019-521989 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing C 65.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 327.30 Other (specify) 360.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Michael, , , Date of Receipt Mailing Address 97 E. Congress St. 2019 City Zip Code State Transaction ID: A2019-523101 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 161.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 323.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ferguson, Steven, M, , Date of Receipt Mailing Address 6800 Doerr Lane 2019 City State Zip Code Transaction ID : A2019-523112 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 28 2019 Suite 4000 City State Zip Code Transaction ID: A2019-523020 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 119.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Systems Application Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.66 Other (specify) 698.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frake, Thomas, G,, Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522822 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fuelberth, David, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2019 PO Box 610 City State Zip Code **Transaction ID: A2019-522932** IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 120.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 241.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ginzel, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522907 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 111.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Labor & Employee Relation Receipt For: Aggregate Year-to-Date ▼ Primary General 222.66 Other (specify) 648.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Girard, Kristin, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2019 City State Zip Code Transaction ID: A2019-522105 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Glawe, Regg,,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2019 Bldg E City State Zip Code **Transaction ID: A2019-522736** IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 177.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 354.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 28 2019 City Zip Code State Transaction ID: A2019-522428 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 148.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 296.68 Other (specify) 742.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-523145 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gutgesell, Margaret, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2019 PO Box 610 City State Zip Code Transaction ID : A2019-523202 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Principal IT Architect Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 329.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Halloran, James, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522745 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 178.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 356.07 Other (specify) 493.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-522573 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 154.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 308.24 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hasselbusch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-523102 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 179.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 358.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hays, Katherine, , , Date of Receipt Mailing Address Suite 400 28 2019 1425 K Street City Zip Code State Transaction ID: A2019-522459 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 181.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Intn'l & Fed Gov Affairs Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 363.96 Other (specify) 515.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heiar, Keith, J,, Date of Receipt Mailing Address 100 Tractor Drive Building CV 2019 City Zip Code State Transaction ID: A2019-522911 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 156.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. MH&U Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 313.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. High, Lance, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-522807 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hill, Crystal, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522776 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 116.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct II Legal Entity Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 233.04 Other (specify) 690.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hillman, Jonathan, , , Date of Receipt Mailing Address 100 Sanford Street 2019 City Zip Code State Transaction ID: A2019-522250 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 188.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 376.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hoeft, David, , Date of Receipt Mailing Address 100 NE Adams 2019 P.O. Box 17689 City State Zip Code Transaction ID : A2019-522381 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 184.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 368.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522903 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 161.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 323.34 Other (specify) 534.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2019 City Zip Code State Transaction ID: A2019-522781 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 177.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 354.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Iverson, Aimee, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2019 PO Box 500 City State Zip Code **Transaction ID: A2019-522524** WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 28 2019 City State Zip Code Transaction ID: A2019-522634 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 208.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.68 Other (specify) 510.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City Zip Code State Transaction ID: A2019-522547 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 595.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1190.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Craig, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-522182 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 28 2019 City State Zip Code Transaction ID: A2019-522452 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 1428.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jones, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 2019 City Zip Code State Transaction ID: A2019-523228 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 193.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 387.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 2019 1425 K Street City State Zip Code Transaction ID: A2019-522495 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Keough, Colleen, , , Date of Receipt Mailing Address 501 SW Jefferson 28 2019 City State Zip Code Transaction ID: A2019-523160 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 720.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kibby, Steven, , , Date of Receipt Mailing Address 200 NE Adams Street 2019 City Zip Code State Transaction ID: A2019-522929 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 224.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 448.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knepp, Wesley, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code **Transaction ID: A2019-522216** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 177.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor & Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 354.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kobrak, Harold, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 Ocean Gate Minato Mirai City Zip Code State Transaction ID: A2019-522926 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 173.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Sales Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 346.22 Other (specify) 574.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kozlowski, Patrick, , , Date of Receipt Mailing Address 501 SW Jefferson 2019 City Zip Code State Transaction ID: A2019-523244 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 222.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CI Digital Retail Strategy Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 445.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kreisel, Leopold, , , Date of Receipt Mailing Address 1898 Hibernia Ct 2019 City State Zip Code Transaction ID : A2019-521997 FL Jacksonville 32223-5529 Amount of Each Receipt this Period FEC ID number of contributing 41.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 207.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-523213 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 680.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LaHood, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522104 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 168.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 336.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lang, Mark, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2019 City State Zip Code Transaction ID : A2019-522898 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 161.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 323.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 28 2019 City State Zip Code Transaction ID: A2019-522971 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 696.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Counsel-Corp Secretary Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1392.84 Other (specify) 1026.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MacLeod, William, , , Date of Receipt Mailing Address PO Box 740 2019 City Zip Code State Transaction ID: A2019-523030 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 119.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 239.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 2673 Bethsaida Rd. 2019 City State Zip Code Transaction ID : A2019-522080 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 67.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Maples, Gregory, , , Date of Receipt Mailing Address 2673 Bethsaida Rd. 28 2019 City State Zip Code Transaction ID: A2019-521987 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 67.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General 338.55 Other (specify) 254.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Alexander, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-523039 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 118.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 237.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Massengill, Deborah, , , Date of Receipt Mailing Address 3000 North 27th Street 2019 City State Zip Code **Transaction ID: A2019-522640** IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 161.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 322.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Matheny, Stephen, , , Date of Receipt Mailing Address 1600 Progress Drive 28 2019 P.O. Box 1037 City State Zip Code Transaction ID: A2019-522360 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 199.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 398.36 Other (specify) 479.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams Uozumi-Cho 2019 City Zip Code State Transaction ID: A2019-522829 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 222.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive 2019 **Building CV** City State Zip Code **Transaction ID: A2019-522883** IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 207.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 415.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Michael, A, , Date of Receipt Mailing Address 100 NE Adams 28 2019 City Zip Code State Transaction ID: A2019-522295 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 735.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-523083 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 832.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-523096 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 139.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 279.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 28 2019 City State Zip Code Transaction ID: A2019-523109 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 142.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 285.92 Other (specify) 698.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 2019 City Zip Code State Transaction ID: A2019-522596 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 329.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moore, Jannie, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code **Transaction ID: A2019-522505** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 121.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 242.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Richard, , , Date of Receipt Mailing Address 510 Lake Cook Road 28 2019 City State Zip Code Transaction ID: A2019-523205 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 118.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 237.88 Other (specify) 405.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 2019 City Zip Code State Transaction ID: A2019-522241 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing C 162.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 313.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 2019 1425 K Street City State Zip Code Transaction ID : A2019-522316 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 295.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Govt. Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 591.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-523223 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 108.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Director Receipt For: Aggregate Year-to-Date ▼ Primary General 216.20 Other (specify) 566.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd Tech Center Bldg K 2019 City Zip Code State Transaction ID: A2019-522705 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 161.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 323.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norris, Kurt, , , Date of Receipt Mailing Address 300 Hamilton Blvd. 2019 Suite 101 City State Zip Code Transaction ID : A2019-523187 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 115.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Rental Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 231.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Nunez Abe, Jose, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 Diaz Ordaz no 140 Piso9 Col Santa City Zip Code State Transaction ID: A2019-522853  $\mathsf{IL}$ Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 196.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 392.12 Other (specify) 473.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neal, Edward, A, , Date of Receipt Mailing Address 2540 Bruce Rd. 2019 City Zip Code State Transaction ID: A2019-522074 Boaz AL 35957 Amount of Each Receipt this Period FEC ID number of contributing C 85.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health & Safety **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 340.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** O'Neal, Edward, A, , Date of Receipt Mailing Address 2540 Bruce Rd. 2019 City State Zip Code Transaction ID : A2019-521981 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 85.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 425.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neil, Edwin, , , Date of Receipt Mailing Address 100 Tractor Drive 28 2019 **Building CV** City State Zip Code Transaction ID: A2019-522936 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 420.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oliveira, Paulo, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2019 City Zip Code State Transaction ID: A2019-522842 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 162.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 324.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code **Transaction ID: A2019-522132** TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 191.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 382.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Paternoga, Robert, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 28 2019 PO Box 610 City State Zip Code Transaction ID: A2019-522840 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 770.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pellette, Thomas, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City Zip Code State Transaction ID: A2019-522856 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Petterson, Douglas, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2019 City State Zip Code Transaction ID : A2019-522887 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BCP Loaders Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Philbee, Todd, , , Date of Receipt Mailing Address 100 NE Adams St. 28 2019 City State Zip Code Transaction ID : A2019-522841 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 110.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. VIce President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 220.32 Other (specify) 943.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pierce, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-523140 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 151.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Performance Mgr 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 291.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Poole, Joseph, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code **Transaction ID: A2019-522686** TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 178.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Remarketing General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 356.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 28 2019 City State Zip Code Transaction ID: A2019-522987 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Media Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 530.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quigg, James, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2019 City State Zip Code Transaction ID: A2019-523127 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rassi, Anthony, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2019 City State Zip Code Transaction ID: A2019-522280 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 103.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 207.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Reeves, Susan, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 28 2019 City State Zip Code Transaction ID : A2019-522611 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 128.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 256.66 Other (specify) 648.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-523133 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 181.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 362.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-522650 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 28 2019 1425 K Street City Zip Code State Transaction ID: A2019-522531 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 334.00 Other (specify) 764.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Jeffrey, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2019 City Zip Code State Transaction ID: A2019-522921 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 195.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 391.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2019 Suite 400 City State Zip Code Transaction ID : A2019-522717 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 222.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 445.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Salahuddin, Jihad, , , Date of Receipt Mailing Address 501 SW Jefferson 28 2019 City State Zip Code Transaction ID : A2019-522221 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 148.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 297.88 Other (specify) 567.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sale, Ronald, , , Date of Receipt Mailing Address 8826 2 Route 24 2019 City Zip Code State Transaction ID: A2019-522358 IL 61547 Mapleton Amount of Each Receipt this Period FEC ID number of contributing C 132.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 232.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Savage, E Jean, , , Date of Receipt Mailing Address 97 E. Congress St. 2019 City State Zip Code Transaction ID: A2019-522385 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 458.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 916.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-523100 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 516.67 Other (specify) 691.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Scott, Johanna, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City Zip Code State Transaction ID: A2019-522530 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 115.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 97 E. Congress St. 2019 City State Zip Code **Transaction ID: A2019-522112** ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 224.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 448.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shankwitz, Phillip, , , Date of Receipt Mailing Address 600 Balderson Blvd 28 2019 PO Box 6 City State Zip Code Transaction ID: A2019-522938 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 182.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Engineer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 365.30 Other (specify) 522.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sheffield, Frances, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-522534 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 176.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 353.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shurman, Rodney, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2019 Suite 4000 City State Zip Code Transaction ID : A2019-523132 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 262.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 525.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 14 2019 City State Zip Code Transaction ID: A2019-522079 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 112.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 449.24 Other (specify) 551.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 2019 City Zip Code State Transaction ID: A2019-521986 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 112.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 561.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2019 City State Zip Code **Transaction ID: A2019-522622** IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 146.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 292.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Snyder, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 28 2019 City State Zip Code Transaction ID: A2019-522670 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 126.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 253.68 Other (specify) 385.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 47 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522519 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 140.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Chief Economist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 281.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code **Transaction ID: A2019-522629** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Janet, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 28 2019 PO Box 610 City State Zip Code Transaction ID: A2019-522520 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 133.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 266.32 Other (specify) 690.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522922 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 139.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 279.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stockwell, Tammi, , , Date of Receipt Mailing Address 200 NE Adams Street 2019 City State Zip Code **Transaction ID: A2019-522393** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 116.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stone, Brian, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522286 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 114.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 229.98 Other (specify) 370.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2019 City Zip Code State Transaction ID: A2019-523232 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Strother, Arthur, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2019 City State Zip Code Transaction ID : A2019-523069 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing 157.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 28 2019 **Building CV** City State Zip Code Transaction ID: A2019-522695 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 206.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 413.78 Other (specify) 781.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sundell, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-523218 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 133.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager CES & KEC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 266.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taphorn, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive 2019 **Building CV** City State Zip Code Transaction ID : A2019-522994 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 120.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 241.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 28 2019 P O Box 340001 City State Zip Code Transaction ID: A2019-522825 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 435.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Insurance Services Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 870.00 Other (specify) 689.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomlins, Gregory, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2019 City Zip Code State Transaction ID: A2019-522768 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 161.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 323.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-522610 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Executive Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 28 2019 PO Box 610 City State Zip Code Transaction ID: A2019-523196 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 995.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vanek, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams Suite 902 2019 City Zip Code State Transaction ID: A2019-522179 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 134.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 268.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street 2019 Suite 101 City State Zip Code **Transaction ID: A2019-522664** IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 215.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 430.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walton, David, , , Date of Receipt Mailing Address 2120 West End Avenue 28 2019 P O Box 340001 City State Zip Code Transaction ID: A2019-523118 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 358.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 717.70 Other (specify) 708.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-522838 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 625.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Caterpillar Defense** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2019 City State Zip Code Transaction ID: A2019-522554 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Whiteley, Douglas, , , Date of Receipt Mailing Address 1355 North Wise Dr 28 2019 City State Zip Code Transaction ID: A2019-522699 SC Sumter 29153 Amount of Each Receipt this Period FEC ID number of contributing C 177.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 354.28 Other (specify) 1218.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2019 City Zip Code State Transaction ID: A2019-522095 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 75.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2019 City State Zip Code Transaction ID : A2019-521973 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing 75.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 28 2019 City State Zip Code Transaction ID: A2019-522757 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 161.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 323.78 Other (specify) 312.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Younessi, Ramin, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City Zip Code State Transaction ID: A2019-522509 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code Transaction ID : A2019-522831 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 SUBTOTAL of Receipts This Page (optional)..... 30714.56 TOTAL This Period (last page this line number only).....

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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s	(check only	NUMBER: PAGE 56 OF 59 y one)
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Any information copied from such Reports and Sta or for commercial purposes, other than using the r			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Po	olitical Action Commi	ttee	
Full Name (Last, First, Middle Initial)			Date of Disbursement
Re-Elect Senator Atkins 2020			M M / D D / Y Y Y Y
Mailing Address 330 Encinitas Blvd. Suite 101			02 28 2019
City Encinitas	State Zip Code CA 92024		FEC Identification Number
Purpose of Disbursement P-2020 State Senate 39 CA	0202		C
Candidate Name		011	Transaction ID : B722656
Atkins, Toni, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburg	sement For: 2020	1 76-	1500.00
Senate President	Primary General Other (specify) ▼		П
State: CA District: 39			Memo Item
Full Name (Last, First, Middle Initial)			
B. Rendon for Assembly 2020	Assembly 2020		Date of Disbursement
Mailing Address 3605 Long Beach Blvd.			02 28 7 2019
City	State Zip Code CA 90807		FEC Identification Number
Long Beach Purpose of Disbursement	CA 90807		C
P-2020 State House 63 CA 011			Transaction ID : B722657
Candidate Name		Category/	Amount of Each Disbursement this Period
Rendon, Anthony, , ,  Office Sought: Y House Disburs	sement For: 2020	Туре	2500.00
Senate	Primary General		7 7
President State: CA District: 63	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
Republican Party of Florida			Date of Disbursement
Mailing Address 420 East Jefferson Street			02 28 2019
City	State Zip Code FL 32301		FEC Identification Number
Purpose of Disbursement			C
State Party Cmte  Candidate Name  Category/			Transaction ID : B722660 Amount of Each Disbursement this Period
Office Sought: House Disburs	sement For: 2019	Туре	7500.00
Senate	Primary General		
President State: District:	Other (specify)	hle	Memo Item
State. District.	Not Applical	UIE	
SUBTOTAL of Disbursements This Page (optional	)	• • • • • • • • • • • • • • • • • • •	11500.00
TOTAL This Period (last page this line number or	ıly)	·····	

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	I .	FOR LINE NUMBER: PAGE 57 OF 59 (check only one)		
	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b		
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Polit	· · · · · · · · · · · · · · · · · · ·				
Full Name (Last, First, Middle Initial)  Jobs for Florida			Date of Disbursement		
Mailing Address 1103 Hays Street			02 28 2019		
Tallahassee	State Zip Code FL 32301		FEC Identification Number		
Purpose of Disbursement State PAC Candidate Name		011 Category/	Transaction ID : B722662  Amount of Each Disbursement this Period		
Senate	nent For: 2019 Primary General	Type	5000.00		
State: District:	Other (specify) ▼ Not Applicable	e	Memo Item		
3. Florida Republican Senatorial Cam	Full Name (Last, First, Middle Initial)  Florida Republican Senatorial Campaign Committee				
Mailing Address 2640-A Mitcham Drive  City State Zip Code			02 28 2019		
Tallahassee Purpose of Disbursement State Party Cmte	FL 32308	011	FEC Identification Number		
Candidate Name		Category/ Type	Transaction ID: B722663 Amount of Each Disbursement this Period		
Senate	nent For: 2019 Primary General Other (specify)		5000.00		
State: District:	Not Applicabl	e	Memo Item		
Full Name (Last, First, Middle Initial)  Protect Florida Families			Date of Disbursement		
Mailing Address 1103 Hays Street			02 28 2019		
City Tallahassee Purpose of Disbursement State PAC	State Zip Code FL 32301	011	FEC Identification Number		
Candidate Name  Category/ Type			Transaction ID: B722666 Amount of Each Disbursement this Period		
Senate	nent For: 2019  Primary General  Other (specify) ▼  Not Applicable		5000.00 Memo Item		
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TOTAL This Period (last page this line number only)			1		

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	CHEDULE B (FEC Form 3X) EMIZED DISBURSEMENTS		rate schedule(s)	FOR LINE I	
			category of the Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
	ly information copied from such Reports and Staten for commercial purposes, other than using the name				
	NAME OF COMMITTEE (In Full)				
$\rangle$	The Caterpillar Inc. Employee Polit	ical Action	on Committe	е	
Α.	Full Name (Last, First, Middle Initial)			Date of Disbursement	
Λ.	Sunshine State Conservatives			M M / D D / Y Y Y Y	
	Mailing Address 115 East Park Ave. Suite 1				02 28 2019
	City Tallahassee	State FL	Zip Code 32301		FEC Identification Number
	Purpose of Disbursement State PAC			011	C
	Candidate Name			Category/ Type	Transaction ID: B722667 Amount of Each Disbursement this Period
		nent For: 2	019 General	1,700	5000.00
		Other (spec			Memo Item
_	Full Name (Last, First, Middle Initial)		. (6), (6)		
В.	Manny Diaz Jr. Campaign				Date of Disbursement
	Mailing Address 2600 South Douglas Road Suite 900			02 28 2019	
	City Scoral Gables	State FL	Zip Code 33134		FEC Identification Number
	Purpose of Disbursement			011	C
	Candidate Name			011	Transaction ID : B722665
	Diaz, Manny, , , Jr.			Category/ Type	Amount of Each Disbursement this Period
		nent For: 2	2022	- ,,	1000.00
		Primary	General		
	State: FL District: 36	Other (spec	cify)		Memo Item
C	Full Name (Last, First, Middle Initial)  Kathleen Passidomo Committee			Date of Disbursement	
٠.	Ratifice Passidomo Committee				M M / D D / Y Y Y Y
	Mailing Address 2200 Southwinds Drive				02 28 2019
	City Staples	State FL	Zip Code 34102		FEC Identification Number
	Purpose of Disbursement P-2022 State Senate 28 FL				C
	Candidate Name Cate			011 Category/	Transaction ID : B722664  Amount of Each Disbursement this Period
	Passidomo, Kathleen, C, ,			1000.00	
	ffice Sought: House Disbursement For: 2022  X Senate Primary General			1000.00	
	Senate x	Other (spec			Mome Item
	State: FL District: 28				Memo Item
┢	UBTOTAL of Disbursements This Page (optional)  OTAL This Period (last page this line number only)				7000.00

SCHEDULE B (FEC Form 3X)	Lie comercia cole di la (e)	FOR LINE I	NUMBER: PAGE 59 OF 59			
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 23 26 27			
	Detailed Summary Page	28a	28b 28c <b>x</b> 29 30b			
Any information copied from such Reports and Statem	I nents may not be sold or use	ed by any perso				
or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)						
The Caterpillar Inc. Employee Politi	ical Action Committe	ee				
/ Full Name (Last, First, Middle Initial)						
A. Rommel for State House			Date of Disbursement			
Troning for Glate Floude			M M / D D / Y Y Y Y			
Mailing Address 7633 Mulberry Lane		02 28 2019				
Other						
City Naples	State Zip Code FL 34114		FEC Identification Number			
Purpose of Disbursement			С			
P-2020 State House 106 FL		011	Transaction ID : B722661			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Rommel, Robert, , ,  Office Sought:   March   Disburser	nent For: 2020	Туре	1000.00			
	Primary General					
	Other (specify) ▼		Memo Item			
State: FL District: 10			Wienio item			
Full Name (Last, First, Middle Initial)						
<sup>B.</sup> Georgia House Republican Trust Ir	nc		Date of Disbursement			
Mailing Address P.O. Box 430	Mailing Address D.O. Poy 420					
Maining / Madioco 1.0. Box 450			02 28 2019			
,	State Zip Code		FEC Identification Number			
Monroe Purpose of Disbursement	GA 30655		С			
State Party Cmte						
Candidate Name	, , , , , , , , , , , , , , , , , , , ,					
		Type	Amount of Each Disbursement this Period			
	nent For: 2019		1000.00			
	Primary General Other (specify)					
State: District:	Not Applicable		Memo Item			
Full Name (Last, First, Middle Initial)						
C. Friends of Hugh Leatherman Sr.			Date of Disbursement			
	-					
Mailing Address 1817 Pineland Avenue			02 28 2019			
City	State Zip Code		FFO Identification Number			
Florence	SC 29501		FEC Identification Number			
Purpose of Disbursement P-2020 State Senate 31 SC		011				
Candidate Name	Transaction ID: B722659  Amount of Each Disbursement this Period					
_	Leatherman, Hugh, K, , Sr.					
	Primary General					
	Other (specify) ▼		Memo Item			
State: SC District: 31						
SUBTOTAL of Disbursements This Page (optional)						
age (optional)						
TOTAL This Period (last page this line number only).			36500.00			