

**FEC  
FORM 3X**

**REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines  
 Financial Planning Association Political Action Committee

ADDRESS (number and street) **1615 L St., NW**  
**Suite 650**  
 Check if different than previously reported. (ACC) **Washington DC 20036**

2. **FEC IDENTIFICATION NUMBER** CITY STATE ZIP CODE

C00370130 3. IS THIS REPORT  NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:		Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report(Q1)		Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)
July 15 Quarterly Report(Q2)	(c) 12-Day PRE-Election Report for the:		Primary (12P)	General (12G)	Runoff (12R)
<input checked="" type="checkbox"/> October 15 Quarterly Report(Q3)			Convention (12C)	Special (12S)	
January 31 Quarterly Report(YE)	Election on				in the State of
July 31 Mid-Year Report(Non-election Year Only) (MY)	(d) 30-Day Post -Election Report for the:		General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election on				in the State of

5. Covering Period 07 01 2002 through 09 30 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Duane R. Thompson  
 Signature of Treasurer Electronically Filed by Mr. Duane R. Thompson Date 10 14 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/2001)

Page 2

Write or Type Committee Name  
Financial Planning Association Political Action Committee

Report Covering the Period: From: <sup>h</sup>07 <sup>D</sup>01 <sup>v</sup>2002 To: <sup>h</sup>09 <sup>D</sup>30 <sup>v</sup>2002

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <sup>v</sup> 2002		20210.76
(b) Cash on Hand at Beginning of Reporting Period .....	25610.10	
(c) Total Receipts (from Line 19) .....	7018.00	12417.34
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	32628.10	32628.10
7. Total Disbursements (from Line 30) .....	6000.00	6000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	26628.10	26628.10
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D) .....	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

FEC Form 3X (Revised 1/2001)

Page 3

Write or Type Committee Name

Financial Planning Association Political Action Committee

Report Covering the Period: From: <sup>MM</sup>07 <sup>DD</sup>01 <sup>YYYY</sup>2002 To: <sup>MM</sup>09 <sup>DD</sup>30 <sup>YYYY</sup>2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	1750.00	
(ii) Unitemized .....	5268.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	7018.00	12303.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) .....	7018.00	12303.00
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	114.34
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Nonfederal Account for Joint Activity .....	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) .....	7018.00	12417.34
20. Total Federal Receipts (subtract Line 18 from Line 19) .....	7018.00	12417.34

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Revised 1/2001)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	6000.00	6000.00
24. Independent Expenditure (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees.....	0.00	0.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)..... ▶	6000.00	6000.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)..... ▶	6000.00	6000.00
<hr/>		
<b>III. Net Contributions/Operating Expenditures</b>		
32. Total Contributions (other than loans) from Line 11(d), page 3).....	7018.00	12303.00
33. Total Contribution Refunds (from Line 28(d)).....	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....	7018.00	12303.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... ▶	0.00	0.00
36. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)..... ▶	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 5 / 10

11a  11b  11c  12  
 13  14  15  16  17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
Financial Planning Association Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Mr. V. Raymond Ferrara

Mailing Address  
Suite 105 611 Druid Road East  
City State Zip Code  
Clearwater FL 33756

Date of Receipt  
M M / D D / Y Y Y Y  
07 / 16 / 2002

Amount of Each Receipt this Period  
500.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
ProVise Management Group, Inc. President and CEO

Receipt For: Aggregate Year-to-Date ▼  
Primary General  
Other (specify) ▼ 500.00

Transaction ID: 6804785

**B.** Full Name (Last, First, Middle Initial)  
Ms. Nancy Kissner, CFP

Mailing Address  
153 E 53rd Street 22nd Floor  
City State Zip Code  
New York NY 10043-0001

Date of Receipt  
M M / D D / Y Y Y Y  
07 / 30 / 2002

Amount of Each Receipt this Period  
250.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
The Citigroup Private Bank Vice President-Financial Planning

Receipt For: Aggregate Year-to-Date ▼  
Primary General  
Other (specify) ▼ 250.00

Transaction ID: 6808245

**C.** Full Name (Last, First, Middle Initial)  
Mr. James A. Bameah, CFP

Mailing Address  
5th Floor 8755 W Higgins Rd  
City State Zip Code  
Chicago IL 60631-2708

Date of Receipt  
M M / D D / Y Y Y Y  
08 / 29 / 2002

Amount of Each Receipt this Period  
300.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Lincoln Financial Advisors Field Vice President

Receipt For: Aggregate Year-to-Date ▼  
Primary General  
Other (specify) ▼ 800.00

Transaction ID: 6811676

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1050.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 10

(check only one)

11a  11b  11c  12  
 13  14  15  16  17

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NAME OF COMMITTEE (In Full)

Financial Planning Association Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** G. Michael Bache, CFP, JD

Mailing Address

135 Princeton Ave.

City

State

Zip Code

Lavallette

NJ

08735-2639

Date of Receipt

N M / D E / Y Y Y Y  
09 / 24 / 2002

Amount of Each Receipt this Period

100.00

FEC ID number of contributing  
federal political committee.

Name of Employer

Occupation

Financial Planner

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Transaction ID: 7159251

Full Name (Last, First, Middle Initial)

**B.** Ms. Dorothy M. Bahile, CFP

Mailing Address

26390 Carmel Rancho Lane

City

State

Zip Code

Carmel

CA

93923-8732

Date of Receipt

N M / D E / Y Y Y Y  
09 / 25 / 2002

Amount of Each Receipt this Period

250.00

FEC ID number of contributing  
federal political committee.

Name of Employer  
Belvile Financial Advisors

Occupation

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Transaction ID: 7159285

Full Name (Last, First, Middle Initial)

**C.** Mr. Curt L. Weil, CFP

Mailing Address

624 University Avenue

City

State

Zip Code

Palo Alto

CA

94301-2019

Date of Receipt

N M / D E / Y Y Y Y  
09 / 25 / 2002

Amount of Each Receipt this Period

100.00

FEC ID number of contributing  
federal political committee.

Name of Employer  
Weil Capital Management, LLC

Occupation

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Transaction ID: 7159311

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **450.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 7 / 10
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
Financial Planning Association Political Action Committee

Full Name (Last, First, Middle Initial)  
A. Bill E. Carter, CFP, ChFC, CLU

Mailing Address  
8117 Preston Rd Ste 42D

City Dallas State TX Zip Code 75225

Date of Receipt  
M M / D D / Y Y Y Y  
09 / 26 / 2002

FEC ID number of contributing federal political committee. 250.00

Amount of Each Receipt this Period

Name of Employer <u>Carter Financial Management</u>	Occupation <u>Financial Planner</u>
--------------------------------------------------------	----------------------------------------

Receipt For: Aggregate Year-to-Date ▼

Primary  General  Other (specify) ▼ 500.00

Transaction ID: 7159323

B.

C.

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	▶	<b>250.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	▶	<b>1750.00</b>

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
				<input type="checkbox"/> 29

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NAME OF COMMITTEE (In Full)  
Financial Planning Association Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. Friends Of Jennifer B Dunn</b>		Date of Disbursement 09 / 10 / 2002	
Mailing Address P.O. Box 40110 City Bellevue State WA Zip Code 98015		Amount of Each Disbursement this Period 500.00	
Purpose of Disbursement		011 Category/ Type	
Candidate Name Rep. Jennifer Dunn			
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: WA      District: 8	Transaction ID: 6970511		

Full Name (Last, First, Middle Initial) <b>B. Re-Elect Nancy Johnson To Congress Committee</b>		Date of Disbursement 09 / 10 / 2002	
Mailing Address PO Box 1986 City New Britain State CT Zip Code 06050		Amount of Each Disbursement this Period 500.00	
Purpose of Disbursement		011 Category/ Type	
Candidate Name Rep. Nancy Johnson			
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: CT      District: 6	Transaction ID: 6970514		

Full Name (Last, First, Middle Initial) <b>C. Friends Of Sam Johnson</b>		Date of Disbursement 09 / 16 / 2002	
Mailing Address PO Box 860086 City Plano State TX Zip Code 75086		Amount of Each Disbursement this Period 500.00	
Purpose of Disbursement		011 Category/ Type	
Candidate Name Rep. Sam Johnson			
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) ▼ 2002 General		
State: TX      District: 3	Transaction ID: 6987483		

<b>SUBTOTAL</b> of Disbursements This Page (optional) ..... ▶	<b>1500.00</b>
<b>TOTAL</b> This Period (last page this line number only) ..... ▶	



**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

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NAME OF COMMITTEE (In Full)  
Financial Planning Association Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. Grassley Committee</b>		Date of Disbursement 09 / 16 / 2002
Mailing Address PO Box 1000 City Des Moines State IA Zip Code 50304		Amount of Each Disbursement this Period 1000.00
Purpose of Disbursement	Candidate Name Sen. Charles Grassley	011 Category/ Type
Office Sought: House <input checked="" type="checkbox"/> Senate President	Disbursement For: 2004 <input checked="" type="checkbox"/> Primary General Other (specify) ▼	
State: IA District: 1		Transaction ID: 6987572

Full Name (Last, First, Middle Initial) <b>B. America's Majority Trust</b>		Date of Disbursement 09 / 16 / 2002
Mailing Address 1155 21st Street, N.W. Suite 300 City Washington State DC Zip Code 22038		Amount of Each Disbursement this Period 1000.00
Purpose of Disbursement	Candidate Name	011 Category/ Type
Office Sought: House <input type="checkbox"/> Senate President	Disbursement For: <input type="checkbox"/> Primary General Other (specify) ▼	
State: District: 0		Transaction ID: 6986387

Full Name (Last, First, Middle Initial) <b>C. Friends Of Max Baucus 2002</b>		Date of Disbursement 09 / 23 / 2002
Mailing Address PO Box 586 City Helena State MT Zip Code 59624		Amount of Each Disbursement this Period 1000.00
Purpose of Disbursement	Candidate Name Sen. Max Baucus	011 Category/ Type
Office Sought: House <input checked="" type="checkbox"/> Senate President	Disbursement For: 2002 <input checked="" type="checkbox"/> Primary General X Other (specify) ▼ 2002 General	
State: MT District: 1		Transaction ID: 7159803

<b>SUBTOTAL</b> of Disbursements This Page (optional) .....	<b>3000.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
				<input type="checkbox"/> 29

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NAME OF COMMITTEE (In Full)  
Financial Planning Association Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. Ben Cardin For Congress</b>		Date of Disbursement 09 / 25 / 2002	
Mailing Address 100 E. Pratt Street 26th Floor City Baltimore State MD Zip Code 21202		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement		011 Category/ Type	
Candidate Name Rep. Benjamin Cardin		Disbursement For: 2002 Primary General X Other (specify) ▼ 2002 General	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: MD District: 3		Transaction ID: 7159795	

Full Name (Last, First, Middle Initial) <b>B. Rangel For Congress</b>		Date of Disbursement 09 / 25 / 2002	
Mailing Address PO Box 5577 City New York State NY Zip Code 10027		Amount of Each Disbursement this Period 500.00	
Purpose of Disbursement		011 Category/ Type	
Candidate Name Rep. Charles Rangel		Disbursement For: 2002 Primary General X Other (specify) ▼ 2002 General	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: NY District: 15		Transaction ID: 7159799	

**C.**

<b>SUBTOTAL</b> of Disbursements This Page (optional) .....	<b>1500.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	<b>6000.00</b>